

Sierra Leone National Interpretation

Adoption of the international RSPO Criteria in its Entirety as a National Interpretation

**Draft for public consultation
Version 1.1**

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Preamble

The Roundtable on Sustainable Palm Oil (RSPO) (www.rspo.org), created in 2004, was established *'to promote the growth and use of sustainable palm oil through co-operation within the supply chain and open dialogue between its stakeholders'*. Today it has over 1,000 members worldwide, including plantation companies, processors, refiners, traders, manufacturers, retailers, banks and civil society. Together they have designed the RSPO Principles and Criteria for Sustainable Palm Oil Production, detailing eight major principles and thirty seven specific criteria to guide the production of sustainable palm oil. Performance indicators and guidance were then also developed to support compliance and assessment of compliance (leading to certification).

To ensure that indicators and guidance align with the expectations of stakeholders and are compatible with the norms, laws and values of an individual country, RSPO asks that a National Interpretation (NI) of the international indicators and guidance is developed. Once approved by the RSPO, the NI must then be followed by all producers and auditors seeking certification within that country.

The RSPO secretariat strongly encourages all countries to develop a full national Interpretation through participatory multi-stakeholder working groups. This Small Producing Country (SPC) National Interpretation is therefore produced as an interim measure while the full National Interpretation is being prepared.

A SPC involves the adoption of the International RSPO Principles, Criteria, Indicators and Guidance in its entirety and is applicable where the total output CPO production of the country must not exceed 5% of Global CPO production.

The RSPO SPC National Interpretation for Liberia is based on the following documents:

- RSPO Principles, Criteria and Indicators
- RSPO Principles and Criteria for Sustainable Palm Oil Production, Guidance on Scheme Smallholders (2009)
- RSPO Principles and Criteria for Sustainable Palm Oil Production, Guidance for Independent Smallholders under Group Certification (2010)
- A list of the Laws, Conventions and Treaties applicable in-country

This document was developed in accordance with RSPO Certification Systems Annex 1a: Procedure for Endorsement of the International Generic Criteria as a National Interpretation in small producing countries. It defines indicators and guidance for each criterion. Indicators are specific pieces of objective evidence that must be in place to demonstrate or verify that the criterion is being met. Guidance consists of useful information to help the grower/miller and auditor understand what the criterion means in practice, including in some cases specific guidance for national interpretation of the criterion and for application by smallholders.

This document will be subject to a 60 days public consultation period, after which it will be revised in the light of the comments received, and submitted for the review and formal endorsement of the RSPO.

Once endorsed by RSPO, this document shall become the applicable RSPO standard for all RSPO certification of oil palm producers in Liberia. The document will be revised periodically in accordance with any changes to the generic Principles and Criteria determined by RSPO

Definitions

Environmental Impact Assessment: A process of predicting and evaluating the effects of an action or series of actions on the environment, then using the conclusions as a tool in planning and decision-making.

High Conservation Value Forest (HCVF): The forest necessary to maintain or enhance one or more High Conservation Values (HCVs):

- HCV1. Forest areas containing globally, regionally or nationally significant concentrations of biodiversity values (e.g. endemism, endangered species).
- HCV2. Forest areas containing globally, regionally or nationally significant large landscape level forests, contained within, or containing the management unit, where viable populations of most if not all naturally occurring species exist in natural patterns of distribution and abundance.
- HCV3. Forest areas that are in or contain rare, threatened or endangered ecosystems.
- HCV4. Forest areas that provide basic services of nature in critical situations (e.g. watershed protection, erosion control).
- HCV5. Forest areas fundamental to meeting basic needs of local communities (e.g. subsistence, health).
- HCV6. Forest areas critical to local communities' traditional cultural identity (areas of cultural, ecological, economic or religious significance identified in cooperation with such local communities).

ISO Standards: Standards developed by the International Organization for Standardization (ISO: see <http://www.iso.ch/iso>).

Natural vegetation: Areas where many of the principal characteristics and key elements of native ecosystems such as complexity, structure and diversity are present.

Plantation: The land containing oil palm and associated land uses such as infrastructure (e.g., roads), riparian zones and conservation set-asides.

Primary Forest: A primary forest is a forest that has never been logged and has developed following natural disturbances and under natural processes, regardless of its age. Also included as primary, are forests that are used inconsequentially by indigenous and local communities living traditional lifestyles relevant for the conservation and sustainable use of biological diversity. The present cover is normally relatively close to the natural composition and has arisen (predominantly) through natural regeneration. National interpretations should consider whether a more specific definition is required. (From FAO Second Expert Meeting On Harmonizing Forest-Related Definitions For Use By Various Stakeholders, 2001, http://www.fao.org/documents/show_cdr.asp?url_file=/DOCREP/005/Y4171E/Y4171E11.htm).

Prophylactic: A treatment or course of action applied as a preventive measure.

Restore: Returning degraded or converted areas within the plantation to a semi-natural state.

Smallholders: Farmers growing oil palm, sometimes along with subsistence production of other crops, where the family provides some of the labour, the farm provides the principal source of income and the planted area of oil palm is below 50 hectares in size. In the case of Honduras, this definition includes producers considered by law to be small producers (1–10 hectares) and medium-scale producers (11–50 hectares). Where land held under communal title is concerned, it is the number of hectares per

member of the communal scheme that is taken into consideration.

Scheme smallholders, while very diverse, are characterised as smallholders who are structurally bound by contract to a particular mill. Scheme smallholders often receive technical assistance in planting and crop management. They are occasionally organised, supervised or directly managed by the managers of the enterprise with which they have a contractual relationship.²

Independent smallholders, while very varied in their situations are characterised by their freedom to choose how to use their lands, which crops to plant and how to manage them; being self-organised, self-managed and self-financed; and by not being contractually bound to any particular mill or any particular association. They may, however, receive support or extension services from government agencies.

Stakeholders. An individual or group with a legitimate and/or demonstrable interest in, or who is directly affected by, the activities of an organisation and the consequences of those activities.

Outgrowers: Farmers, where the sale of FFB is exclusively contracted to the grower/miller. Outgrowers may be smallholders.

Undue influence: The exertion by a third party of any kind of control such that a person signs a contract or other agreement which, absent the influence of the third party, he would not have signed.

User rights: Rights for the use of forest resources that can be defined by local custom, mutual agreements, or prescribed by other entities holding access rights. These rights may restrict the use of particular resources to specific levels of consumption or particular harvesting techniques



PRINCIPLE 1: COMMITMENT TO TRANSPARENCY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
1.1	<p>Growers and millers provide adequate information to relevant stakeholders on environmental, social and legal issues relevant to RSPO Criteria, in appropriate languages and forms to allow for effective participation in decision making.</p>	<p>Indicators:</p> <p>1.1.1 There shall be evidence that growers and millers provide adequate information on (environmental, social and/or legal) issues relevant to RSPO Criteria to relevant stakeholders for effective participation in decision making.</p> <p>1.1.2 Records of requests for information and responses shall be maintained.</p> <p>Specific Guidance:</p> <p>For 1.1.1: Evidence should be provided that information is received in appropriate form(s) and language(s) by relevant stakeholders. Information will include information on the RSPO mechanisms for stakeholder involvement, including information on their rights and responsibilities.</p> <p>Guidance:</p> <p>Growers and millers should have a Standard Operating Procedure (SOP) to respond constructively to stakeholders, including a specific timeframe to respond to requests for information. Growers and millers should respond constructively and promptly to requests for information from stakeholders. Growers and millers should ensure that sufficient objective evidence exists to demonstrate that the response is timely and appropriate.</p> <p>See Criterion 1.2 for requirements relating to publicly available documentation.</p> <p>See Criterion 6.2 on consultation.</p> <p>See Criterion 4.1 on SOPs.</p>



PRINCIPLE 1: COMMITMENT TO TRANSPARENCY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
1.2	<p>Management documents are publicly available, except where this is prevented by commercial confidentiality or where disclosure of information would result in negative environmental or social outcomes.</p>	<p>Indicators:</p> <p>1.2.1 Publicly available documents shall include, but are not necessarily limited to:</p> <ul style="list-style-type: none">• Land titles/user rights (Criterion 2.2);• Occupational health and safety plans (Criterion 4.7);• Plans and impact assessments relating to environmental and social impacts (Criteria 5.1, 6.1, 7.1 and 7.8);• HCV documentation (Criteria 5.2 and 7.3);• Pollution prevention and reduction plans (Criterion 5.6);• Details of complaints and grievances (Criterion 6.3);• Negotiation procedures (Criterion 6.4);• Continual improvement plans (Criterion 8.1);• Public summary of certification assessment report;• Human Rights Policy (Criterion 6.13). <p>Guidance:</p> <p>This concerns management documents relating to environmental, social and legal issues that are relevant to compliance with RSPO Criteria.</p> <p>Management documents will include monitoring reports. The auditors will comment on the adequacy of each of the documents listed in the public summary of the assessment report.</p> <p>Examples of commercially confidential information include financial data such as costs and income, and details relating to customers and/or suppliers. Data that affects personal privacy should also be confidential.</p> <p>Ongoing disputes (within or outside of a legal mechanism) can be considered as confidential information where disclosure could result in potential negative outcomes for all parties involved. However, affected stakeholders and those seeking resolution to conflict should have access to relevant information. Examples of information where disclosure could result in potential negative environmental or social</p>



PRINCIPLE 1: COMMITMENT TO TRANSPARENCY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
1.3	Growers and millers commit to ethical conduct in all business operations and transactions.	<p>outcomes include information on sites of rare species where disclosure could increase the risk of hunting or capture for trade, or sacred sites which a community wishes to maintain as private.</p> <p>Growers and millers should ensure that sufficient objective evidence exists to demonstrate that the level of measuring and monitoring of the management plan, and information, is appropriate and made available.</p> <p>For National Interpretation: Specific approaches to personal privacy safeguards, including any legal requirements, will be considered.</p> <p>Indicators: 1.3.1 There shall be a written policy committing to a code of ethical conduct and integrity in all operations and transactions, which shall be documented and communicated to all levels of the workforce and operations.</p> <p>Guidance: All levels of the operations will include contracted third parties (e.g those involved in security).</p> <p>The policy should include as a minimum:</p> <ul style="list-style-type: none">• A respect for fair conduct of business;• A prohibition of all forms of corruption, bribery and fraudulent use of funds and resources;• A proper disclosure of information in accordance with applicable regulations and accepted industry practices. <p>The policy should be set within the framework of the UN Convention Against Corruption, in particular Article 12.</p>



PRINCIPLE 1: COMMITMENT TO TRANSPARENCY

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

1.1

Growers and millers provide adequate information to relevant stakeholders on environmental, social and legal issues relevant to RSPO Criteria, in appropriate languages and forms to allow for effective participation in decision making.

Guidance for scheme managers:

Scheme managers must ensure that participant smallholders are provided copies of :

- Contracts between scheme managers and smallholders (criterion 1.2).
- Land titles/smallholders' user rights (2.2).
- Training materials in IPM and safe use of agro- chemical use (4.6).
- Health and safety plan (4.7).
- Plans and impact assessments relating to environmental and social impacts (5.1, 6.1, 7.1, 7.3).
- Pollution prevention plans (5.6).
- Details of complaints and grievances (6.3).
- Negotiation procedures (6.4).
- Procedure for calculating prices, and for grading, FFB (6.10).
- Up-to-date records of debts and repayments, charges and fees (6.10).
- Continuous improvement plan (8.1).

Guidance for group managers:

Group managers must provide documents showing compliance with Section 2.1 of the RSPO Standard for Group Certification as well as a continuous improvement plan (8.1).

Group managers must ensure that each participant smallholder is provided a copy of the agreements between them and the group (criterion 1.2) and up-to-date records of sales and prices of their produce (6.10).

Group managers should also provide all group members with simplified training materials in a format and language understood by the group members on:

- IPM and safe use of agro-chemicals (4.6)
- Health and safety plan (4.7).



PRINCIPLE 1: COMMITMENT TO TRANSPARENCY

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

1.2 Management documents are publicly available, except where this is prevented by commercial confidentiality or where disclosure of information would result in negative environmental or social outcomes.

- Plans and impact assessments relating to environmental and social impacts (5.1, 6.1, 7.1, 7.3).
- Pollution prevention plans (5.6).
- Details of complaints and grievance procedures (6.3).
- Procedures for pricing and grading members' FFB (6.10)

Official versions are kept centralized at group manager level for reference and all group members are made aware of this. Training shall be identified and provided where appropriate in relation to these documents (see 4.8).

Guidance for scheme managers:

Scheme managers have shared and explained management plans to smallholders and/or their cooperatives, including documents summarising complaints and grievance procedures and land acquisition processes. Smallholders have contracts from the scheme managers.

Guidance for group managers:

Group managers must also have shared and explained the relevant RSPO standard for Sustainable Oil Palm Production as set out in this document or in an approved national interpretation to group members. Group smallholders have agreements with the group managers

Group Managers must provide a copy of the agreement (mutually agreed) to each smallholder in the group

Group Management Documentation is made available to group members by the group manager on request

Additional management documents (e.g. related to marketing, pricing, purchasing of inputs etc.) should be made available for all group members.



PRINCIPLE 1: COMMITMENT TO TRANSPARENCY

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
1.1	Growers and millers provide adequate information to relevant stakeholders on environmental, social and legal issues relevant to RSPO Criteria, in appropriate languages and forms to allow for effective participation in decision making.	<p>National Legislation:</p> <p>Section 26 (2) of Act No 11/2008 The Environment Protection Act. Schedule 3 (e) of The Environment Protection Act 2008. Page 15 Draft Guidelines for Sustainable Agricultural And Bioenergy Investment.</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>
1.2	Management documents are publicly available, except where this is prevented by commercial confidentiality or where disclosure of information would result in negative environmental or social outcomes.	<p>National Legislation:</p> <p>Section 12 (s) Act No 11/2008 The Environment Protection Agency Act. Section 27 Act No 11/2008 The Environment Protection Agency Act.</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>



PRINCIPLE 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
2.1	There is compliance with all applicable local, national and ratified international laws and regulations.	<p>Indicators:</p> <ul style="list-style-type: none">2.1.1 Evidence of compliance with relevant legal requirements shall be available.2.1.2 A documented system, which includes written information on legal requirements, shall be maintained.2.1.3 A mechanism for ensuring compliance shall be implemented.2.1.4 A system for tracking any changes in the law shall be implemented. <p>Specific Guidance:</p> <p>For 2.1.4: The systems used for tracking any changes in laws and regulations should be appropriate to the scale of the organisation.</p> <p>Guidance:</p> <p>Implementing all legal requirements is an essential baseline requirement for all growers whatever their location or size. Relevant legislation includes, but is not limited to: regulations governing land tenure and land-use rights, labour, agricultural practices (e.g. chemical use), environment (e.g. wildlife laws, pollution, environmental management and forestry laws), storage, transportation and processing practices. It also includes laws made pursuant to a country's obligations under international laws or conventions (e.g. the Convention on Biological Diversity (CBD), ILO core Conventions, UN Guiding Principles on Business and Human Rights). Furthermore, where countries have provisions to respect customary law, these will be taken into account.</p> <p>Key international laws and conventions are set out in Annex 1</p> <p>Contradictions and inconsistencies should be identified and solutions suggested.</p> <p>For National Interpretation:</p> <p>All relevant legislation will be identified, and any particularly important requirements identified.</p>



PRINCIPLE 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
2.2	The right to use the land is demonstrated, and is not legitimately contested by local people who can demonstrate that they have legal, customary or user rights.	<p>Indicators:</p> <ul style="list-style-type: none">2.2.1 Documents showing legal ownership or lease, history of land tenure and the actual legal use of the land shall be available.2.2.2 Legal boundaries shall be clearly demarcated and visibly maintained.2.2.3 Where there are or have been disputes, additional proof of legal acquisition of title and evidence that fair compensation has been made to previous owners and occupants shall be available, and that these have been accepted with free, prior and informed consent (FPIC).2.2.4 There shall be an absence of significant land conflict, unless requirements for acceptable conflict resolution processes (see Criteria 6.3 and 6.4) are implemented and accepted by the parties involved.2.2.5 For any conflict or dispute over the land, the extent of the disputed area shall be mapped out in a participatory way with involvement of affected parties (including neighbouring communities where applicable).2.2.6 To avoid escalation of conflict, there shall be no evidence that palm oil operations have instigated violence in maintaining peace and order in their current and planned operations. <p>Specific Guidance:</p> <p>For 2.2.2: Plantation operations should cease on land planted beyond the legally determined area and there should be specific plans in place to address such issues for associated smallholders.</p> <p>For 2.2.6: Company policy should prohibit the use of mercenaries and para-militaries in their operations. Company policy should prohibit extra-judicial intimidation and harassment by contracted security forces (see Criterion 6.13).</p>



PRINCIPLE 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
2.3	Use of the land for oil palm does not diminish the legal, customary or user rights of other users without their free, prior and informed consent.	<p>Guidance</p> <p>Where there is a conflict on the condition of land use as per land title, growers should show evidence that necessary action has been taken to resolve the conflict with relevant parties. A mechanism should be in place to resolve any conflict (Criteria 6.3 and 6.4). Where operations overlap with other rights holders, companies should resolve the issue with the appropriate authorities, consistent with Criteria 6.3 and 6.4.</p> <p>For National Interpretation:</p> <p>Any legal, customary or user rights to land, or disputes, which are likely to be relevant, will be identified.</p> <p>Indicators:</p> <p>2.3.1 Maps of an appropriate scale showing the extent of recognised legal, customary or user rights (Criteria 2.2, 7.5 and 7.6) shall be developed through participatory mapping involving affected parties (including neighbouring communities where applicable, and relevant authorities).</p> <p>2.3.2 Copies of negotiated agreements detailing the process of free, prior and informed consent (FPIC) (Criteria 2.2, 7.5 and 7.6) shall be available and shall include:</p> <ul style="list-style-type: none">a) Evidence that a plan has been developed through consultation and discussion with all affected groups in the communities, and that information has been provided to all affected groups, including information on the steps that shall be taken to involve them in decision making;b) Evidence that the company has respected communities' decisions to give or withhold their consent to the operation at the time that this decision was taken;c) Evidence that the legal, economic, environmental and social implications for permitting operations on their land have been understood and accepted by affected communities, including the implications for the legal status of their land at the expiry of the company's title, concession or lease on the land. <p>2.3.3 All relevant information shall be available in appropriate forms and languages, including assessments of impacts, proposed benefit sharing, and legal arrangements.</p> <p>2.3.4 Evidence shall be available to show that communities are represented through institutions or representatives of their own choosing, including legal counsel.</p>



PRINCIPLE 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>Specific Guidance: For 2.3.4: Evidence should be available from the companies, communities or other relevant stakeholders.</p> <p>Guidance: All indicators will apply to current operations, but there are exceptions for long-established plantations which may not have records dating back to the time of the decision making, in particular for compliance with Indicators 2.3.1 and 2.3.2.</p> <p>Where there are legal or customary rights over land, the grower should demonstrate that these rights are understood and are not being threatened or reduced. This Criterion should be considered in conjunction with Criteria 6.4, 7.5 and 7.6. Where customary rights areas are unclear these should be established through participatory mapping exercises involving affected parties (including neighbouring communities and local authorities).</p> <p>This Criterion allows for sales and negotiated agreements to compensate other users for lost benefits and/or relinquished rights. Negotiated agreements should be non-coercive and entered into voluntarily, carried out prior to new investments or operations, and based on an open sharing of all relevant information. The representation of communities should be transparent and in open communication with other community members. Adequate time should be given for customary decision making and iterative negotiations allowed for, where requested. Negotiated agreements should be binding on all parties and enforceable in the courts. Establishing certainty in land negotiations is of long-term benefit for all parties.</p> <p>Companies should be especially careful where they are offered lands acquired from the State by its invoking the national interest (also known as ‘eminent domain’).</p> <p>Growers and millers should refer to the RSPO approved FPIC guidance (<i>‘FPIC and the RSPO: A Guide for Companies’, October 2008</i>)</p> <p>For National Interpretation: Any commonly encountered situations should be identified.</p>



PRINCIPLE 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

2.1 There is compliance with all applicable local, national and ratified international laws and regulations.

Guidance for scheme managers:

Scheme managers must regularly provide an up-to-date list of applicable laws and must ensure smallholders can show evidence of compliance with all relevant legal requirements including legally or contractually obligated provisions of lands, plantings, training and services to smallholders.

Scheme smallholders should be aware of and understand the intent of applicable laws and regulations. Training of smallholders is required under 4.8. More detailed guidance should be given in the national interpretations.

Guidance for group managers:

Group managers must hold an up-to-date list of applicable laws and regulation, which is known to be available for reference by group members. Through periodic assessments, group managers must ensure smallholders can show evidence of compliance with all relevant legal requirements including the acquisition or holding of lands. Group smallholders should be aware of and understand the intent of applicable laws and regulations. More detailed guidance must be given in the national interpretations.

2.2 The right to use the land is demonstrated, and is not legitimately contested by local people who can demonstrate that they have legal, customary or user rights.

Guidance for scheme managers:

Scheme managers have maps or other documents showing land allocations for scheme smallholders and can show these lands are not claimed or contested by third parties with legitimate claims. Where the land titles of scheme smallholders are retained by mills until the smallholder debts have been paid off, the scheme manager need to show clearly which lands are allocated to which smallholders (and see 1.1)

Guidance for group managers:

Group managers have mas or other documents showing the lands held by group smallholders and can show that these lands are not claimed or contested by third parties with legitimate claims. National Interpretations must identify what kind of documents are needed to show compliance.



PRINCIPLE 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

2.3 Use of the land for oil palm does not diminish the legal, customary or user rights of other users without their free, prior and informed consent.

Guidance for scheme managers:

Scheme managers can show that lands acquired for smallholders do not diminish legal or customary rights.

Where others' customary or legally owned lands have been taken-over there is documentary proof of transfer of rights (e.g. sale) and of payment or provision of agreed compensation

Guidance for group managers:

Group managers can show that lands acquired for group smallholders do not diminish legal or customary rights of other users.

Where others' customary or legally owned lands have been taken-over there is documentary proof of transfer of rights (e.g. sale) and of payment or provision of agreed compensation.



PRINCIPLE 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
2.1	There is compliance with all applicable local, national and ratified international laws and regulations.	<p>National Legislation:</p> <p>Section 12 AND 21, Act No 18/2007 Registration of Business Act 2007 All Sections of Act No 5/2009 Companies Act. Section 23 (1) Act No 11/2008 Environment Protection Act.</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>
2.2	The right to use the land is demonstrated, and is not legitimately contested by local people who can demonstrate that they have legal, customary or user rights.	<p>National Legislation:</p> <p>Section 3 (1) CAP 122 Laws of Sierra Leone. Customary Land Law Section 4.1.1 Draft Land Policy 2011.</p> <p>International Legislation:</p> <p>ILO Convention 169 (1989) on Indigenous and Tribal people-Articles 13-19; UN Declaration on the Rights of Indigenous People (2007)-Articles 25-26; UN Convention on Biological Diversity (1992)-Article 10 (c)</p>
2.3	Use of the land for oil palm does not diminish the legal, customary or user rights of other users without their free, prior and informed consent.	<p>National Legislation:</p> <p>Section 3 (1)CAP 121 Laws of Sierra Leone. Protectorate Land.</p>



PRINCIPLE 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

NO. PRINCIPLES AND CRITERIA

LAWS, CONVENTIONS & TREATIES

International Legislation:

ILO Convention 169 (1989) on Indigenous and Tribal people-Article 10(c); UN Declaration on the Rights of Indigenous People (2007)-Articles 10-12, 19, 28-29, 32;



PRINCIPLE 3: COMMITMENT TO LONG-TERM ECONOMIC AND FINANCIAL VIABILITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
3.1	There is an implemented management plan that aims to achieve long-term economic and financial viability.	<p>Indicators:</p> <p>3.1.1 A business or management plan (minimum three years) shall be documented that includes, where appropriate, a business case for scheme smallholders.</p> <p>3.1.2 An annual replanting programme projected for a minimum of five years (but longer where necessary to reflect the management of fragile soils, see Criterion 4.3), with yearly review, shall be available.</p> <p>Specific Guidance:</p> <p>For 3.1.1: The business or management plan should contain:</p> <ul style="list-style-type: none">• Attention to quality of planting materials;• Crop projection = Fresh Fruit Bunches (FFB) yield trends;• Mill extraction rates = Oil Extraction Rate (OER) trends;• Cost of Production = cost per tonne of Crude Palm Oil (CPO) trends;• Forecast prices;• Financial indicators. <p>Suggested calculation: trends in 3-year running mean over the last decade (FFB trends may need to allow for low yield during major replanting programmes).</p> <p>Guidance:</p> <p>Whilst it is recognised that long-term profitability is also affected by factors outside their direct control, top management should be able to demonstrate attention to economic and financial viability through long-term management planning. There should be longer term planning for plantations on peat, particularly in regards to subsidence and flooding issues (see Indicator 4.3.5).</p> <p>Consideration of smallholders should be inherent in all management planning where applicable (see also Criteria 6.10 and 6.11). For scheme smallholders the content will vary from that suggested (refer to RSPO Guidance On Scheme Smallholders, July 2009).</p> <p>Growers should have a system to improve practices in line with new information and techniques. For smallholder schemes, the scheme management should be expected to provide their members with information on significant improvements.</p> <p>This Criterion is not applicable to independent smallholders (<i>refer to RSPO Guidance for Independent Smallholders under Group Certification, June 2010</i>)</p>



PRINCIPLE 3: COMMITMENT TO LONG-TERM ECONOMIC AND FINANCIAL VIABILITY

NO.	PRINCIPLES AND CRITERIA	GUIDANCE FOR SMALLHOLDERS
3.1	There is an implemented management plan that aims to achieve long-term economic and financial viability.	<p>Guidance for scheme managers: Scheme managers have a documented management plan for scheme smallholders (minimum 3 years) which are shared with them in appropriate languages.</p> <p>Guidance for group managers: Group managers have a documented annual business plan which shows economic benefits for the group and sets out expansion plans (see 7.1). This plan is shared with group members in an appropriate format</p>



PRINCIPLE 3: COMMITMENT TO LONG-TERM ECONOMIC AND FINANCIAL VIABILITY

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
3.1	There is an implemented management plan that aims to achieve long-term economic and financial viability.	<p>National Legislation:</p> <p>NONE APPLICABLE</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
4.1	Operating procedures are appropriately documented, consistently implemented and monitored.	<p>Indicators:</p> <ul style="list-style-type: none">4.1.1 Standard Operating Procedures (SOPs) for estates and mills shall be documented.4.1.2 A mechanism to check consistent implementation of procedures shall be in place.4.1.3 Records of monitoring and any actions taken shall be maintained and available, as appropriate.4.1.4 The mill shall record the origins of all third-party sourced Fresh Fruit Bunches (FFB). <p>Specific Guidance:</p> <p>For 4.1.1 and 4.1.4: SOP and documentation for mills should include relevant supply chain requirements (see RSPO Supply Chain Certification Standard, Nov 2011).</p> <p>Guidance:</p> <p>Mechanisms to check implementations could include documentation management systems and internal control procedures.</p> <p>For National Interpretation:</p> <p>National codes of practice or Best Management Practices (BMPs) will be referenced.</p>
4.2	Practices maintain soil fertility at, or where possible improve soil fertility to, a level that ensures optimal and sustained yield.	<p>Indicators:</p> <ul style="list-style-type: none">4.2.1 There shall be evidence that good agriculture practices, as contained in Standard Operating Procedures (SOPs), are followed to manage soil fertility to a level that ensures optimal and sustained yield, where possible.4.2.2 Records of fertiliser inputs shall be maintained.4.2.3 There shall be evidence of periodic tissue and soil sampling to monitor changes in nutrient status.4.2.4 A nutrient recycling strategy shall be in place, and may include use of Empty Fruit Bunches (EFB), Palm Oil Mill Effluent (POME), and palm residues after replanting.



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
4.3	Practices minimise and control erosion and degradation of soils.	<p>Guidance: Long-term fertility depends on maintaining the structure, organic matter content, nutrient status and microbiological health of the soil. Nutrient efficiency should take account of the age of plantations and soil conditions. The nutrient recycling strategy should include any use of biomass for by-products or energy production.</p> <p>For National Interpretation: The range of appropriate techniques will be identified.</p> <p>Indicators:</p> <ul style="list-style-type: none">4.3.1 Maps of any fragile soils shall be available.4.3.2 A management strategy shall be in place for plantings on slopes above a certain limit (this needs to be soil and climate specific).4.3.3 A road maintenance programme shall be in place.4.3.4 Subsidence of peat soils shall be minimised and monitored. A documented water and ground cover management programme shall be in place.4.3.5 Drainability assessments shall be required prior to replanting on peat to determine the long-term viability of the necessary drainage for oil palm growing.4.3.6 A management strategy shall be in place for other fragile and problem soils (e.g. sandy, low organic matter, acid sulphate soils). <p>Specific Guidance: For 4.3.4: For existing plantings on peat, the water table should be maintained at an average of 50cm (between 40 - 60cm) below ground surface measured with groundwater piezometer readings, or an average of 60cm (between 50 - 70cm) below ground surface as measured in water collection drains, through a network of appropriate water control structures e.g. weirs, sandbags, etc. in fields, and watergates at the discharge points of main drains (Criteria 4.4 and 7.4).</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>For 4.3.5: Where drainability assessments have identified areas unsuitable for oil palm replanting, plans should be in place for appropriate rehabilitation or alternative use of such areas. If the assessment indicates high risk of serious flooding and/or salt water intrusion within two crop cycles, growers and planters should consider ceasing replanting and implementing rehabilitation.</p> <p>Guidance: Plantations on peat should be managed at least to the standard set out in the <i>'RSPO Manual on Best Management Practices (BMPs) for existing oil palm cultivation on peat'</i>, June 2012 (especially water management, fire avoidance, fertiliser use, subsidence and vegetation cover).</p> <p>Techniques that minimise soil erosion are well known and should be adopted, where appropriate. These should include practices such as ground cover management, biomass recycling, terracing, and natural regeneration or restoration instead of replanting.</p> <p>For National Interpretation: National Interpretation (or an RSPO recognised parallel means) will refer to national guidance, and identify the best management practices and appropriate techniques for maintaining soil quality in local conditions, including guidance on soil types, and any appropriate performance thresholds such as maximum acceptable slope gradient for planting.</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
4.4	Practices maintain the quality and availability of surface and ground water.	<p>Indicators:</p> <p>4.4.1 An implemented water management plan shall be in place.</p> <p>4.4.2 Protection of water courses and wetlands, including maintaining and restoring appropriate riparian and other buffer zones (refer to national best practice and national guidelines) shall be demonstrated.</p> <p>4.4.3 Appropriate treatment of mill effluent to required levels and regular monitoring of discharge quality, especially Biochemical Oxygen Demand (BOD), shall be in compliance with national regulations (Criteria 2.1 and 5.6).</p> <p>4.4.4 Mill water use per tonne of Fresh Fruit Bunches (FFB) (see Criterion 5.6) shall be monitored.</p> <p>Specific Guidance:</p> <p>For 4.4.1: The water management plan will:</p> <ul style="list-style-type: none">• Take account of the efficiency of use and renewability of sources;• Ensure that the use and management of water by the operation does not result in adverse impacts on other users within the catchment area, including local communities and customary water users;• Aim to ensure local communities, workers and their families have access to adequate, clean water for drinking, cooking, bathing and cleaning purposes;• Avoid contamination of surface and ground water through run-off of soil, nutrients or chemicals, or as a result of inadequate disposal of waste including Palm Oil Mill Effluent (POME). <p>For 4.4.2: Refer to the <i>'RSPO Manual On Best Management Practices (BMP) for management and rehabilitation of natural vegetation associated with oil palm cultivation on peat'</i>, July 2012.</p> <p>Guidance:</p> <p>Growers and millers should address the effects of their use of water and the effects of their activities on local water resources.</p> <p>For National Interpretation:</p> <p>National Interpretation will refer to national guidelines or best practice and where appropriate include performance thresholds for requirements such as the size and location and methods of restoration of riparian strips or acceptable maximum run-off levels.</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
4.5	Pests, diseases, weeds and invasive introduced species are effectively managed using appropriate Integrated Pest Management techniques.	<p>Indicators:</p> <p>4.5.1 Implementation of Integrated Pest Management (IPM) plans shall be monitored.</p> <p>4.5.2 Training of those involved in IPM implementation shall be demonstrated.</p> <p>Guidance:</p> <p>Growers should apply recognised IPM techniques, incorporating cultural, biological, mechanical and physical methods to minimise the use of chemicals.</p> <p>Native species should be used in biological control where possible.</p> <p>For National Interpretation:</p> <p>National Interpretation will provide further guidance on what practices are most appropriate for a particular country, and where needed, on practices which are appropriate to smallholders.</p>
4.6	Pesticides are used in ways that do not endanger health or the environment	<p>Indicators:</p> <p>4.6.1 Justification of all pesticides used shall be demonstrated. The use of selective products that are specific to the target pest, weed or disease and which have minimal effect on non-target species shall be used where available.</p> <p>4.6.2 Records of pesticides use (including active ingredients used and their LD50, area treated, amount of active ingredients applied per ha and number of applications) shall be provided.</p> <p>4.6.3 Any use of pesticides shall be minimised as part of a plan, and in accordance with Integrated Pest Management (IPM) plans. There shall be no prophylactic use of pesticides, except in specific situations identified in national Best Practice guidelines.</p> <p>4.6.4 Pesticides that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, and paraquat, are not used, except in specific situations identified in national Best Practice guidelines. The use of such pesticides shall be minimised and eliminated as part of a plan, and shall only be used in exceptional circumstances.</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>4.6.5 Pesticides shall only be handled, used or applied by persons who have completed the necessary training and shall always be applied in accordance with the product label. Appropriate safety and application equipment shall be provided and used. All precautions attached to the products shall be properly observed, applied, and understood by workers (see Criterion 4.7).</p> <p>4.6.6 Storage of all pesticides shall be according to recognised best practices. All pesticide containers shall be properly disposed of and not used for other purposes (see Criterion 5.3).</p> <p>4.6.7 Application of pesticides shall be by proven methods that minimise risk and impacts.</p> <p>4.6.8 Pesticides shall be applied aerially only where there is documented justification. Communities shall be informed of impending aerial pesticide applications with all relevant information within reasonable time prior to application.</p> <p>4.6.9 Maintenance of employee and associated smallholder knowledge and skills on pesticide handling shall be demonstrated, including provision of appropriate information materials (see Criterion 4.8).</p> <p>4.6.10 Proper disposal of waste material, according to procedures that are fully understood by workers and managers shall be demonstrated (see Criterion 5.3).</p> <p>4.6.11 Specific annual medical surveillance for pesticide operators, and documented action to treat related health conditions, shall be demonstrated.</p> <p>4.6.12 No work with pesticides shall be undertaken by pregnant or breast-feeding women.</p> <p>Specific Guidance: For 4.6.1: Measures to avoid the development of resistance (such as pesticide rotations) should be applied. The justification should consider less harmful alternatives and IPM.</p> <p>Specific guidance for 4.6.3: Justification of the use of such pesticides will be included in the public summary report.</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
4.7	An occupational health and safety plan is documented, effectively communicated and implemented.	<p>Specific guidance for 4.6.6: Recognised best practice includes: Storage of all pesticides as prescribed in the FAO International Code of Conduct on the distribution and use of pesticides and its guidelines, and supplemented by relevant industry guidelines in support of the International Code (see Annex 1).</p> <p>Guidance: The RSPO has identified some examples of alternatives to pesticide use, which include those listed in the ‘Research project on Integrated Weed Management Strategies for Oil Palm; CABI, April 2011’. Due to problems in the accuracy of measurement, monitoring of pesticide toxicity is not applicable to independent smallholders (refer to ‘Guidance for Independent Smallholders under Group Certification’, June 2010).</p> <p>For National Interpretation: National Interpretation will consider: statutory requirements concerning pesticide use, lists of legally prohibited pesticides, pesticide residues that should be tested for and the appropriate levels of residues, and best management practices for pesticide use or sources of information on these. National Interpretation will develop best practice guidelines on the exceptional circumstances that would allow the use of pesticides categorised as World Health Organisation Class 1A or 1B, or those listed by the Stockholm or Rotterdam Conventions, and paraquat as well as how they will be used in ways that do not endanger health or the environment.</p> <p>Indicators: The health and safety plan shall cover the following:</p> <ul style="list-style-type: none">4.7.1 A health and safety policy shall be in place. A health and safety plan covering all activities shall be documented and implemented, and its effectiveness monitored.4.7.2 All operations where health and safety is an issue shall be risk assessed, and procedures and actions shall be documented and implemented to address the identified issues. All precautions attached to products shall be properly observed and applied to the workers.4.7.3 All workers involved in the operation shall be adequately trained in safe working practices (see Criterion 4.8). Adequate and appropriate protective equipment shall be available to all workers at the place of work to cover all potentially hazardous operations, such as pesticide application, machine operations, and land preparation, harvesting and, if it is used, burning.



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>4.7.4 The responsible person/persons shall be identified. There shall be records of regular meetings between the responsible person/s and workers. Concerns of all parties about health, safety and welfare shall be discussed at these meetings, and any issues raised shall be recorded.</p> <p>4.7.5 Accident and emergency procedures shall exist and instructions shall be clearly understood by all workers. Accident procedures shall be available in the appropriate language of the workforce. Assigned operatives trained in First Aid should be present in both field and other operations, and first aid equipment shall be available at worksites. Records of all accidents shall be kept and periodically reviewed.</p> <p>4.7.6 All workers shall be provided with medical care, and covered by accident insurance.</p> <p>4.7.7 Occupational injuries shall be recorded using Lost Time Accident (LTA) metrics</p> <p>Specific Guidance for 4.7.7: The National Interpretation will define the metrics for LTA. For countries where there are no national interpretations, the growers will determine their own metrics.</p> <p>Guidance: Growers and millers should ensure that the workplace, machinery, equipment, transport and processes under their control are safe and without undue risk to health. Growers and millers should ensure that the chemical, physical and biological substances and agents under their control are without undue risk to health when appropriate measures are taken. All indicators apply to all workers regardless of status. The health and safety plan should also reflect guidance in ILO Convention 184 (see Annex 1).</p> <p>For National Interpretation: National Interpretation will define the metrics for LTA. All legal requirements together with any local or national guidance on safe working practice in agriculture will be identified and used. It will also be important to identify what constitutes a 'hazardous' operation in the local context.</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
4.8	All staff, workers, smallholders and contract workers are appropriately trained.	<p>Indicators:</p> <p>4.8.1 A formal training programme shall be in place that covers all aspects of the RSPO Principles and Criteria, and that includes regular assessments of training needs and documentation of the programme.</p> <p>4.8.2 Records of training for each employee shall be maintained.</p> <p>Guidance:</p> <p>Workers should be adequately trained on: the health and environmental risks of pesticide exposure; recognition of acute and long-term exposure symptoms including the most vulnerable groups (e.g. young workers, pregnant women); ways to minimise exposure to workers and their families; and international and national instruments or regulations that protect workers' health.</p> <p>The training programme should include productivity and best management practice, and be appropriate to the scale of the organisation.</p> <p>Training should be given to all staff and workers by growers and millers to enable them to fulfil their jobs and responsibilities in accordance with documented procedures, and in compliance with the requirements of these Principles, Criteria, Indicators and Guidance.</p> <p>Contract workers should be selected for their ability to fulfil their jobs and responsibilities in accordance with documented procedures, and in compliance with the requirements of the RSPO Principles, Criteria, Indicators and Guidance.</p> <p>Growers and millers should demonstrate training activities for schemes smallholders who provide Fresh Fruit Bunches (FFB) on a contracted basis.</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>Workers on smallholder plots also need adequate training and skills, and this can be achieved through extension activities of growers or millers that purchase fruit from them, by smallholders' organisations, or through collaboration with other institutions and organisations (<i>refer to 'Guidance for Independent Smallholders under Group Certification', June 2010, and 'Guidance on Scheme Smallholders', July 2009</i>) For individual smallholder operations, training records should not be required for their workers, but anyone working on the farm should be adequately trained for the job they are doing (<i>refer to 'Guidance for Independent Smallholders under Group Certification', June 2010, and 'Guidance on Scheme Smallholders', July 2009</i>)</p> <p>For National Interpretation: Appropriate occupational training qualifications will be identified.</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	GUIDANCE FOR SMALLHOLDERS
4.1	Operating procedures are appropriately documented, consistently implemented and monitored.	<p>Guidance for scheme managers:</p> <p>Scheme managers can show how they document, monitor and manage implementation of best practices by smallholders. Scheme managers should ensure that smallholders can show evidence of compliance. More detailed guidance should be given in the national interpretations. Training should be provided under 4.8.</p> <p>Guidance for group managers:</p> <p>Group managers can show how they document, monitor and manage the implementation of best practices by group smallholders e.g. through the internal assessment of members from Group Managers. Group Manager can show that awareness raising took place to address the non-compliant smallholders and these smallholders were included in the continuous improvement plan. Three years will be given for smallholders to comply with this non-conformance. More detailed guidance should be given in the national interpretations. Training should be provided under 4.8.</p>
4.2	Practices maintain soil fertility at, or where possible improve soil fertility to, a level that ensures optimal and sustained yield.	<p>Guidance for scheme managers:</p> <p>Scheme managers can show that lands prepared for smallholdings have been chosen so as to maintain soil fertility, that they have carried out trainings with scheme smallholders to explain best practices (see 4.8) and that they can monitor and verify effective implementation.</p> <p>Guidance for group managers:</p> <p>Group managers can show that they have carried out trainings with group smallholders to explain best practices to maintain soil fertility (see 4.8) and monitor effective implementation.</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

4.3 Practices minimise and control erosion and degradation of soils.

Guidance for scheme managers:

Scheme managers can show that lands prepared for smallholdings have been chosen so as to minimise and control erosion and soil degradation in accordance with the indicators. National interpretations will include details on peat depth

Guidance for group managers:

Group managers can show that they have carried out trainings with group smallholders to explain best practices to minimise and control the erosion and degradation (see 4.8) and monitor and verify effective implementation.

National interpretations shall contain details on peat depth.

4.4 Practices maintain the quality and availability of surface and ground water.

Guidance for scheme managers:

Scheme managers can show they have chosen and prepared lands allocated for smallholdings so as to maintain the quality and availability of surface and ground water have carried out trainings with scheme smallholders to explain best practices (and see 4.8) and can monitor, manage and verify effective implementation by smallholders.

Scheme managers should provide information and training about appropriate technology and efficient water management practices to minimise water loss and improve efficiency of use.

Scheme managers should ensure that smallholders can show evidence of compliance. More detailed guidance should be given in the national interpretations.



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

4.5 Pests, diseases, weeds and invasive introduced species are effectively managed using appropriate Integrated Pest Management techniques.

Guidance for group managers:

Group Managers shall ensure that group members are maintaining riparian buffer zones and not contributing to water quality degradation. This is monitored and overseen by the Group Manager.

Where existing smallholdings are already established in riparian buffer zones these areas should be restored with natural vegetation at replanting.

Guidance for scheme managers:

Scheme managers should provide regular training to scheme smallholders in IPM techniques (incorporating cultural, biological, mechanical or physical methods – see 4.8) to minimise use of chemicals and provide appropriate assistance for application.

Scheme managers should manage implementation of best practices by smallholders and ensure that smallholders can show evidence of compliance where good quality inputs are available at affordable prices in local markets.

More detailed guidance should be given in the national interpretations.

Guidance for group managers:

Group managers should provide regular training to group smallholders in IPM techniques (incorporating cultural, biological, mechanical or physical methods – see 4.8) to minimise use of chemicals and provide appropriate assistance for application.

More detailed guidance should be given in the national interpretations.



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

4.6 Pesticides are used in ways that do not endanger health or the environment

Guidance for scheme managers:

Scheme managers will provide regular training to scheme smallholders on agrochemical use (see 4.8), especially on how:

- Chemicals should only be applied in accordance with the product label.
- Appropriate safety equipment can be acquired and used (or provided by nucleus estates)
- All precautions attached to the products should be properly observed, applied, and understood
- Chemicals should be securely and safely stored and how all chemical containers should be properly disposed of and not used for other purposes (and see criterion 5.3).
- Pesticides should be applied by proven methods that minimise risk and impacts.
- Proper disposal of waste material, according to procedures that are fully understood (also see criterion 5.3 on waste disposal).

Scheme managers records will also show:

- Justification of all chemical use
- List of all agrochemicals used
- Records of pesticides supplied to smallholders.
- Documentary evidence that use of chemicals categorised as World Health Organisation Type 1A or 1B, or listed by the Stockholm or Rotterdam Conventions, and paraquat is reduced and/or eliminated.
- Evidence of CPO residue testing, as required by the supply chain
- Annual health screening for all smallholders using or handling agrochemicals categorised as World Health Organisation Type 1A or 1B, or listed by the Stockholm or Rotterdam Conventions, and paraquat.
- No chemical spraying by pregnant women or children.

Note: RSPO will identify safe and cost effective alternatives to replace chemicals that are categorised as World Health Organisation Type 1A or 1B, or listed by the Stockholm or Rotterdam Conventions, and paraquat. Results will be collated and reported by November 2007.



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

Guidance for group managers:

Group managers will provide regular training to group smallholders on agrochemical use (see 4.8), especially on how:

- Chemicals should only be applied in accordance with the product label.
- Appropriate safety equipment can be acquired and used.
- All precautions attached to the products should be properly observed, applied, and understood
- The dangers of use of chemicals categorised as World Health Organisation Type 1A or 1B, or listed by the Stockholm or Rotterdam Conventions, and paraquat.
- Chemicals should be securely and safely stored and how all chemical containers should be properly disposed of and not used for other purposes (and see criterion 5.3).
- There should be no chemical spraying by pregnant women or children.

Group managers records will also show:

- List of all agrochemicals used by group members
- Documentary evidence that use of chemicals categorised as World Health Organisation Type 1A or 1B, or listed by the Stockholm or Rotterdam Conventions, and paraquat is reduced and/or eliminated and alternatives identified where possible by the group manager in accordance with the dangers identified of these chemicals
- They have assessed options for regular health screening for all group smallholders and workers they employ (if any) using or handling agrochemicals categorised as World Health Organisation Type 1A or 1B, or listed by the Stockholm or Rotterdam Conventions, and paraquat and encouraged smallholders to have such screening where accessible facilities exist

Note: RSPO will identify safe and cost effective alternatives to replace chemicals that are categorised as World Health Organisation Type 1A or 1B, or listed by the Stockholm or Rotterdam Conventions, and paraquat. Results will be collated and reported by November 2007.



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

4.7 An occupational health and safety plan is documented, effectively communicated and implemented.

Guidance for scheme managers:

Scheme managers have a health and safety plan for scheme smallholders and their families which is managed, implemented and monitored. There are records of regular meetings between the responsible person and smallholders where concerns about health, safety and welfare are discussed. Accident and emergency procedures should exist and instructions should be clearly explained to all smallholders (and see 4.8) and provided in written form to all smallholders in appropriate languages.

Scheme managers should ensure that smallholders understand and implement health and safety procedures. More detailed guidance should be given in the national interpretations.

Guidance for group managers:

Group Manager will identify the risk related to the group smallholdings and will address them in awareness training for the Smallholders (and see 4.8). Major risks only will be addressed through emergency procedures. More detailed guidance should be given in the national interpretations.

4.8 All staff, workers, smallholders and contract workers are appropriately trained.

Guidance for scheme managers:

Scheme managers provide a formal training and awareness raising programme that includes regular assessment of training needs and documentation of the programme and records the training provided to each scheme smallholder. This training includes how to fulfil their tasks and responsibilities in accordance with documented procedures, and in compliance with the requirements of the RSPO principles, criteria and guidance for smallholders.

Training and awareness raising may also be provided through extension services and through smallholders' organisations such as cooperatives or community institutions.



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

Training methods must be effective utilising adult education methods. Training should cover:

- The relevance of the RSPO standard.
- Legal compliance (see 2.1).
- Operating procedures (see 4.1).
- Soil and water management (see 4.2 & 4.4).
- Integrated Pest Management (see 4.5).
- Agrochemical use (see 4.6).
- Occupational Health and Safety (see 4.7).
- Use of fire and relevant regulations (see 5.5)

Guidance for group managers:

Group managers should raise awareness on relevant issues and identify training needs and provided to smallholders where needed. Areas for assessment of training include:

- The functioning of groups and the responsibilities of group members.
- The relevance of the RSPO standard.
- Legal compliance (see 2.1).
- Operating procedures (see 4.1)
- Soil and water management (see 4.2, 4.3 & 4.4).
- Integrated Pest Management (see 4.5)
- Agrochemical use (see 4.6).
- Occupational Health and Safety (see 4.7).
- Use of fire and relevant regulations (see 5.5)



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
4.1	Operating procedures are appropriately documented, consistently implemented and monitored.	National Legislation: NONE APPLICABLE International Legislation: NONE APPLICABLE
4.2	Practices maintain soil fertility at, or where possible improve soil fertility to, a level that ensures optimal and sustained yield.	National Legislation: NONE APPLICABLE International Legislation: NONE APPLICABLE
4.3	Practices minimise and control erosion and degradation of soils.	National Legislation: NONE APPLICABLE International Legislation: NONE APPLICABLE



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
4.4	Practices maintain the quality and availability of surface and ground water.	<p>National Legislation:</p> <p>Section 49 Act No 16/1963 Water Control and Supply Act. Section 10 (2) (e) Act No13/2011 The Sierra Leone Electricity and Water Regulation Commission Act. Draft Water Bill 2012</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>
4.5	Pests, diseases, weeds and invasive introduced species are effectively managed using appropriate Integrated Pest Management techniques.	<p>National Legislation:</p> <p>Section 2 AND Section 5 CAP 185 The Agricultural Act, Public Notice No 66/1974 Draft National Pesticides Management Policy 2010 Section 2 (1) CAP 185 Public Notice No 44 of 1975 The Agricultural Act.</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>
4.6	Pesticides are used in ways that do not endanger health or the environment	<p>National Legislation:</p> <p>All Sections of Public Notice No 66/1974. The Plant Phytosanitary (Import) Rules. Draft National Pesticides Management Policy 2010.</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>



PRINCIPLE 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
4.7	An occupational health and safety plan is documented, effectively communicated and implemented.	<p>National Legislation:</p> <p>Section 23 of CAP 212 Laws of Sierra Leone. Page 40 Draft Guidelines for Sustainable Agricultural and Bioenergy Investment 2013.</p> <p>The provisions under 4.7 of RSPO criterion exceeds the provisions under national law in this area.</p> <p>International Legislation:</p> <p>ILO Convention 110 (1958) Plantations-Articles 5-91 (Sierra Leone not a signatory) ILO Convention 184 (2001) Safety and Health in Agriculture. Articles 7 – 21</p>
4.8	All staff, workers, smallholders and contract workers are appropriately trained.	<p>National Legislation:</p> <p>Section 10 (d) Act No 11/2009 The National Youth Commission Act 2009. Act No 12/2011 The Finance Act Article 21 The Draft Collective Bargaining Agreement for Agricultural workers 2013 – Technical Training and Scholarship. Sierra Leone Local Content Policy 2012.</p> <p>International Legislation:</p> <p>ILO Convention 142 on HR Development. (Not ratified by Sierra Leone)</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
5.1	<p>Aspects of plantation and mill management, including replanting, that have environmental impacts are identified, and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.</p>	<p>Indicator:</p> <p>5.1.1 An environmental impact assessment (EIA) shall be documented.</p> <p>5.1.2 Where the identification of impacts requires changes in current practices, in order to mitigate negative effects, a timetable for change shall be developed and implemented within a comprehensive management plan. The management plan shall identify the responsible person/persons.</p> <p>5.1.3 This plan shall incorporate a monitoring protocol, adaptive to operational changes, which shall be implemented to monitor the effectiveness of the mitigation measures. The plan shall be reviewed as a minimum every two years to reflect the results of monitoring and where there are operational changes that may have positive and negative environmental impacts.</p> <p>Guidance:</p> <p>The EIA should cover the following activities, where they are undertaken:</p> <ul style="list-style-type: none">• Building new roads, processing mills or other infrastructure;• Putting in drainage or irrigation systems;• Replanting and/or expansion of planting areas;• Management of mill effluents (Criterion 4.4);• Clearing of remaining natural vegetation;• Management of pests and diseased palms by controlled burning (Criteria 5.5 and 7.7).



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>Impact assessment can be a non-restrictive format e.g. ISO 14001 EMS and/or EIA report incorporating elements spelt out in this Criterion and raised through stakeholder consultation. Environmental impacts should be identified on soil and water resources (Criteria 4.3 and 4.4), air quality, greenhouse gases (Criterion 5.6), biodiversity and ecosystems, and people's amenity (Criterion 6.1), both on and off-site.</p> <p>Stakeholder consultation has a key role in identifying environmental impacts. The inclusion of consultation should result in improved processes to identify impacts and to develop any required mitigation measures.</p> <p>For smallholder schemes, the scheme management has the responsibility to undertake impact assessment and to plan and operate in accordance with the results (refer to '<i>Guidance for Independent Smallholders under Group Certification</i>', June 2010, and '<i>Guidance on Scheme Smallholders</i>', July 2009).</p> <p>For National Interpretation: National Interpretation will consider any national legal requirements together with any other issues that are not required by law but are nevertheless important, e.g. independent social and environmental impact assessment (SEIA) for replanting may be desirable under specific situations.</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
5.2	<p>The status of rare, threatened or endangered species and other High Conservation Value habitats, if any, that exist in the plantation or that could be affected by plantation or mill management, shall be identified and operations managed to best ensure that they are maintained and/or enhanced.</p>	<p>Indicator:</p> <p>5.2.1 Information shall be collated in a High Conservation Value (HCV) assessment that includes both the planted area itself and relevant wider landscape-level considerations (such as wildlife corridors).</p> <p>5.2.2 Where rare, threatened or endangered (RTE) species, or HCVs, are present or are affected by plantation or mill operations, appropriate measures that are expected to maintain and/or enhance them shall be implemented through a management plan.</p> <p>5.2.3 There shall be a programme to regularly educate the workforce about the status of these RTE species, and appropriate disciplinary measures shall be instigated in accordance with company rules and national law if any individual working for the company is found to capture, harm, collect or kill these species.</p> <p>5.2.4 Where a management plan has been created there shall be ongoing monitoring:</p> <ul style="list-style-type: none">• The status of HCV and RTE species that are affected by plantation or mill operations shall be documented and reported;• Outcomes of monitoring shall be fed back into the management plan. <p>5.2.5 Where HCV set-asides with existing rights of local communities have been identified, there shall be evidence of a negotiated agreement that optimally safeguards both the HCVs and these rights.</p> <p>Specific Guidance:</p> <p>For 5.2.1: This information will cover:</p> <ul style="list-style-type: none">• Presence of protected areas that could be significantly affected by the grower or miller;• Conservation status (e.g. IUCN status), legal protection, population status and habitat requirements of rare, threatened, or endangered (RTE) species that could be significantly affected by the grower or miller;• Identification of HCV habitats, such as rare and threatened ecosystems, that could be significantly affected by the grower or miller; <p>For 5.2.2: These measures will include:</p> <ul style="list-style-type: none">• Ensuring that any legal requirements relating to the protection of the species or habitat are met;• Avoiding damage to and deterioration of HCV habitats such as by ensuring that HCV areas are connected, corridors are conserved, and buffer zones around HCV areas are created;



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<ul style="list-style-type: none">Controlling any illegal or inappropriate hunting, fishing or collecting activities, and developing responsible measures to resolve human-wildlife conflicts (e.g. incursions by elephants). <p>For 5.2.5: If a negotiated agreement cannot be reached, there should be evidence of sustained efforts to achieve such an agreement. These could include third party arbitration (see Criteria 2.3, 6.3 and 6.4).</p> <p>Guidance: This information gathering should include checking available biological records and consultation with relevant government departments, research institutes and interested NGOs if appropriate. Depending on the biodiversity values that are present, and the level of available information, some additional field survey work may be required.</p> <p>Wherever HCV benefits can be realised outside of the management unit, collaboration and cooperation between other growers, governments and organisations should be considered.</p> <p>For National Interpretation: Appropriate sources of information can include government or international lists of threatened species ('red data lists'), national wildlife protection legislation, authorities responsible for protected areas and species, or relevant NGOs.</p> <p>Note: Operators need to consider a variety of land management and tenure options to secure HCV management areas in ways that also secure local peoples' rights and livelihoods. Some areas are best allocated to community management and secured through customary or legal tenures, in other cases co-management options can be considered. Where communities are asked to relinquish rights so that HCVs can be maintained or enhanced by the companies or State agencies, then great care needs to be taken to ensure that communities retain access to adequate land and resources to secure their basic needs; all such relinquishment of rights must be subjected to their free, prior, and informed consent (see Criteria 2.2 and 2.3).</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
5.3	Waste is reduced, recycled, re-used and disposed of in an environmentally and socially responsible manner.	<p>Indicators:</p> <p>5.3.1 All waste products and sources of pollution shall be identified and documented.</p> <p>5.3.2 All chemicals and their containers shall be disposed of responsibly.</p> <p>5.3.3 A waste management and disposal plan to avoid or reduce pollution shall be documented and implemented.</p> <p>Guidance:</p> <p>The waste management and disposal plan should include measures for:</p> <ul style="list-style-type: none">• Identifying and monitoring sources of waste and pollution.• Improving the efficiency of resource utilisation and recycling potential wastes as nutrients or converting them into value-added products (e.g. through animal feeding programmes).• Appropriate management and disposal of hazardous chemicals and their containers. Surplus chemical containers should be reused, recycled or disposed of in an environmentally and socially responsible way using best available practices (e.g. returned to the vendor or cleaned using a triple rinse method), such that there is no risk of contamination of water sources or risk to human health. The disposal instructions on the manufacturers' labels should be adhered to. Use of open fire for waste disposal should be avoided. <p>For National Interpretation:</p> <p>National Interpretation (or an RSPO recognised parallel means) should include, as appropriate: details of relevant national laws or policies, a list of waste types (hazardous, non-hazardous, domestic, etc.) which must be considered, any types of disposal which are not acceptable (e.g. untreated waste water may not be discharged directly into streams or rivers (see Criterion 4.4), existing best practice guidelines on recycling and re-use of nutrients, managing effluent ponds, increasing mill extraction efficiency and appropriate disposal of wastes.</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
5.4	Efficiency of fossil fuel use and the use of renewable energy is optimised.	<p>Indicators:</p> <p>5.4.1 A plan for improving efficiency of the use of fossil fuels and to optimise renewable energy shall be in place and monitored.</p> <p>Guidance:</p> <p>Renewable energy use per tonne of Crude Palm Oil (CPO) or palm product in the mill should be monitored.</p> <p>Direct fossil fuel use per tonne of CPO or Fresh Fruit Bunches (FFB) should be monitored.</p> <p>Energy efficiency should be taken into account in the construction or upgrading of all operations.</p> <p>Growers and millers should assess the direct energy use of their operations, including fuel and electricity, and energy efficiency of their operations. This should include estimation of fuel use by on-site contract workers, including all transport and machinery operations.</p> <p>The feasibility of collecting and using biogas should be studied if possible.</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
5.5	Use of fire for preparing land or replanting is avoided, except in specific situations as identified in the ASEAN guidelines or other regional best practice.	<p>Indicators:</p> <p>5.5.1 There shall be no land preparation by burning, other than in specific situations as identified in the ‘Guidelines for the Implementation of the ASEAN Policy on Zero Burning’ 2003, or comparable guidelines in other regions.</p> <p>5.5.2 Where fire has been used for preparing land for replanting, there shall be evidence of prior approval of the controlled burning as specified in ‘Guidelines for the Implementation of the ASEAN Policy on Zero Burning’ 2003, or comparable guidelines in other regions.</p> <p>Guidance:</p> <p>Fire should be used only where an assessment has demonstrated that it is the most effective and least environmentally damaging option for minimising the risk of severe pest and disease outbreaks, and exceptional levels of caution should be required for use of fire on peat. This should be subject to regulatory provisions under respective national environmental legislation. Extension/training programmes for associated smallholders may be necessary.</p> <p>For National Interpretation:</p> <p>National Interpretation will identify any specific situations where such use of fire may be acceptable, for example through reference to ‘Guidelines for the Implementation of the ASEAN Policy on Zero Burning’ 2003, or comparable guidelines in other regions.</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
5.6	<i>Preamble</i>	<p><i>Growers and millers commit to reporting on operational greenhouse gas emissions. However, it is recognised that these significant emissions cannot be monitored completely or measured accurately with current knowledge and methodology. It is also recognised that it is not always feasible or practical to reduce or minimise these emissions.</i></p> <p><i>Growers and millers commit to an implementation period until the end of December 2016 for promoting best practices in reporting to the RSPO, and thereafter to public reporting. Growers and millers make this commitment with the support of all other stakeholder groups of the RSPO.</i></p>
5.6	Plans to reduce pollution and emissions, including greenhouse gases, are developed, implemented and monitored.	<p>Indicators:</p> <p>5.6.1 An assessment of all polluting activities shall be conducted, including gaseous emissions, particulate/soot emissions and effluent (see Criterion 4.4).</p> <p>5.6.2 Significant pollutants and greenhouse gas (GHG) emissions shall be identified, and plans to reduce or minimise them implemented.</p> <p>5.6.3 A monitoring system shall be in place, with regular reporting on progress for these significant pollutants and emissions from estate and mill operations, using appropriate tools.</p> <p>Specific Guidance:</p> <p>For 5.6.2: Plans will include objectives, targets and timelines. These should be responsive to context and any changes should be justified.</p> <p>For 5.6.2 and 5.6.3: The treatment methodology for POME will be recorded.</p> <p>For 5.6.3 (GHG): For the implementation period until December 31st 2016, an RSPO-endorsed modified version of PalmGHG which only includes emissions from operations (including land use practices) can be used as a monitoring tool.</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
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For 5.6.3: In addition, during the implementation period, growers will start to assess, monitor and report emissions arising from changes in carbon stocks within their operations, using the land use in November 2005 as the baseline. The implementation period for Indicator 5.6.3 is the same implementation period for Criterion 7.8.

During the implementation period, reporting on GHG will be to a relevant RSPO working group (composed of all membership categories) which will use the information reported to review and fine tune the tools, emission factors and methodologies, and provide additional guidance for the process. Public reporting is desirable, but remains voluntary until the end of the implementation period.

During the implementation period the RSPO working group will seek to continually improve PalmGHG, recognising the challenges associated with measuring GHG and carbon stock.

PalmGHG or RSPO-endorsed equivalent will be used to assess, monitor and report GHG emissions. Parties seeking to use an alternative to PalmGHG will have to demonstrate its equivalence to the RSPO for endorsement.

Guidance:

Where practically feasible, operations should follow best management practices to measure and reduce emissions. Advice on this is available from the RSPO.



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

5.1 Aspects of plantation and mill management, including replanting, that have environmental impacts are identified, and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.

Guidance for scheme managers:

Scheme managers must undertake and document an impact assessment, developed with the participation of smallholders, that includes all the scheme smallholdings and sets out appropriate management planning and operational procedures for each impact identified which requires changes in current practice. They have a timetable for the implementation of required actions, which are monitored to demonstrate continuous improvement.

Impact assessments will include consideration of:

- Building and maintenance of roads to service smallholdings and provide access to mills
- Putting in drainage or irrigation systems.
- Replanting or expansion of smallholdings.
- Clearing of remaining natural vegetation and the need to avoid the use of fire (see 5.5).

Guidance for group managers:

Group managers must undertake and document an impact assessment, development with the participation of smallholders and local communities, that includes all the group smallholdings and sets out appropriate actions to address each impact identified when:

- Replanting or expanding smallholdings
- Clearing remaining natural vegetation and given the need to avoid the use of fire (See 5.5)



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

5.2 The status of rare, threatened or endangered species and other High Conservation Value habitats, if any, that exist in the plantation or that could be affected by plantation or mill management, shall be identified and operations managed to best ensure that they are maintained and/or enhanced.

Guidance for scheme managers:

Scheme managers will compile Information about the status of these aspects for scheme smallholdings, as well as the associated mill and directly managed estate (if any). This information should be provided to scheme smallholders and should cover:

- Presence of protected areas that could be significantly affected by smallholdings.
- Conservation status (e.g. IUCN status), legal protection, population status and habitat requirements of rare, threatened, or endangered species that could be significantly affected by the smallholdings.
- Identification of high conservation value habitats, such as rare and threatened ecosystems, that could be significantly affected by the smallholdings.

If rare, threatened or endangered species, or high conservation value habitats, are present, appropriate measures for management planning and operations will include:

- Ensuring that any legal requirements relating to the protection of the species or habitat are met.
- Avoiding damage to and deterioration of applicable habitats.
- Controlling any illegal or inappropriate hunting, fishing or collecting activities; and developing responsible measures to resolve human-wildlife conflicts (e.g., incursions by elephants).

Guidance for group managers:

Group managers will compile Information about the status of critical aspects as listed in National Interpretations within the area of group smallholdings. This information should be provided to group smallholders and should cover:

- Presence of protected areas that could be significantly affected by smallholdings.
- Conservation status (e.g. IUCN status), legal protection, population status and habitat requirements of rare, threatened, or endangered species that could be significantly affected by the smallholdings.
- Identification of high conservation value habitats, such as rare and threatened ecosystems, that could be significantly affected by the smallholdings.



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

5.3 Waste is reduced, recycled, re-used and disposed of in an environmentally and socially responsible manner.

- If rare, threatened or endangered species, or high conservation value habitats, are present, appropriate protection measures must be adopted by group managers in accordance with the National Interpretation and the relevant laws.

Guidance for scheme managers:

Scheme managers must develop and implement a plan for the management and disposal of waste from smallholdings include the safe disposal of pesticide containers. This waste management and disposal plan should include measures for:

- Identifying and monitoring sources of waste and pollution from smallholdings and the mills which process their FFB.
- Improving the efficiency of resource utilisation and recycling potential wastes as nutrients or converting them into value-added products (e.g. through animal feeding programmes).
- Appropriate disposal of hazardous chemicals and their containers. Surplus chemical containers should be disposed of such that there is no risk of contamination of water sources or to human health. The disposal instructions on manufacturer's labels should be adhered to.

Guidance for group managers:

Group Managers shall ensure that group members are made aware of the need to identify all waste and dispose of it in a responsible manner. This is monitored and overseen by the Group Manager.

There should be appropriate disposal of hazardous chemicals and their containers. Surplus chemical containers should be disposed of such that there is no risk of contamination of water sources or to human health. The disposal instructions on manufacturer's labels should be adhered to.



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

5.4 Efficiency of fossil fuel use and the use of renewable energy is optimised.

Guidance for scheme managers:

Scheme managers should develop provisions for improving the efficiency of energy use by scheme smallholders. This provision may not apply to scheme smallholders who only use manual labour to care for and harvest FFB.

Guidance for group managers:

This criterion is not applicable to group smallholders.

5.5 Use of fire for preparing land or replanting is avoided, except in specific situations as identified in the ASEAN guidelines or other regional best practice

Guidance for scheme managers:

Scheme managers will carry out training and provide extension support to scheme smallholders to ensure smallholder awareness and that fire is not used except in accordance with ASEAN guidelines or other regional best practice (see 4.8).

National Interpretations should consider setting thresholds of pest attacks before burning is acceptable.

Guidance for group managers:

Group Managers shall ensure that group members are not utilizing fire in operations. This is monitored by the Group Manager.



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	GUIDANCE FOR SMALLHOLDERS
5.6	<i>Preamble</i>	<p><i>Growers and millers commit to reporting on operational greenhouse gas emissions. However, it is recognised that these significant emissions cannot be monitored completely or measured accurately with current knowledge and methodology. It is also recognised that it is not always feasible or practical to reduce or minimise these emissions.</i></p> <p><i>Growers and millers commit to an implementation period until the end of December 2016 for promoting best practices in reporting to the RSPO, and thereafter to public reporting. Growers and millers make this commitment with the support of all other stakeholder groups of the RSPO</i></p>
5.6	Plans to reduce pollution and emissions, including greenhouse gases, are developed, implemented and monitored.	<p>Guidance for scheme managers:</p> <p>Scheme managers must include an assessment of all polluting activities of scheme smallholdings in their overall plans for pollution and emissions abatement. Significant pollutants and emissions from scheme smallholdings must be identified and plans to reduce them implemented.</p> <p>Guidance for group managers:</p> <p>This criterion is not applicable to group smallholders.</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
5.1	Aspects of plantation and mill management, including replanting, that have environmental impacts are identified, and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.	<p>National Legislation:</p> <ul style="list-style-type: none">• Section 34 AND 12 Act No 11/2008 The Environment Protection Agency Act <p>International Legislation:</p> <p>NONE APPLICABLE</p>
5.2	The status of rare, threatened or endangered species and other High Conservation Value habitats, if any, that exist in the plantation or that could be affected by plantation or mill management, shall be identified and operations managed to best ensure that they are maintained and/or enhanced.	<p>National Legislation:</p> <ul style="list-style-type: none">• Sections (3) AND Section 12 (1) Act No 11/2012 The National Protected Area Authority and Conservation Trust Fund Act.• Section 12 Act No 6/2008 The Bumbuna Watershed and the Bumbuna Conservation Act. <p>International Legislation:</p> <p>NONE APPLICABLE</p>
5.3	Waste is reduced, recycled, re-used and disposed of in an environmentally and socially responsible manner.	<p>National Legislation:</p> <ul style="list-style-type: none">• Draft Integrated Waste Management Policy and Strategic Plan 2012.• The Environment Protection Agency (Environmental Impact Assessment Licence) Regulation 2010.• Section 12 (d) Act No 11/2008 The Environment Protection Agency Act <p>International Legislation:</p> <p>NONE APPLICABLE</p>



PRINCIPLE 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
5.4	Efficiency of fossil fuel use and the use of renewable energy is optimised.	<p>National Legislation:</p> <ul style="list-style-type: none">• Energy Policy 2009 <p>International Legislation:</p> <p>NONE APPLICABLE</p> <p>.</p>
5.5	Use of fire for preparing land or replanting is avoided, except in specific situations as identified in the ASEAN guidelines or other regional best practice	<p>National Legislation:</p> <ul style="list-style-type: none">• Section 4 (6) CAP 189 Laws of Sierra Leone. The Forestry Prohibited Acts.• Section 5 CAP 193 Laws of Sierra Leone. Public Lands Ordinance. <p>International Legislation:</p> <p>NONE APPLICABLE</p>
5.6	Plans to reduce pollution and emissions, including greenhouse gases, are developed, implemented and monitored.	<p>National Legislation:</p> <p>NONE APPLICABLE</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.1	<p>Aspects of plantation and mill management that have social impacts, including replanting, are identified in a participatory way, and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.</p>	<p>Indicators</p> <ul style="list-style-type: none">6.1.1 A social impact assessment (SIA) including records of meetings shall be documented.6.1.2 There shall be evidence that the assessment has been done with the participation of affected parties.6.1.3 Plans for avoidance or mitigation of negative impacts and promotion of the positive ones, and monitoring of impacts identified, shall be developed in consultation with the affected parties, documented and timetabled, including responsibilities for implementation.6.1.4 The plans shall be reviewed as a minimum once every two years and updated as necessary, in those cases where the review has concluded that changes should be made to current practices. There shall be evidence that the review includes the participation of affected parties.6.1.5 Particular attention shall be paid to the impacts of smallholder schemes (where the plantation includes such a scheme). <p>Guidance:</p> <p>Identification of social impacts should be carried out by the grower with the participation of affected parties, including women and migrant workers as appropriate to the situation. The involvement of independent experts should be sought where this is considered necessary to ensure that all impacts (both positive and negative) are identified.</p> <p>Participation in this context means that affected parties are able to express their views through their own representative institutions, or freely chosen spokespersons, during the identification of impacts, reviewing findings and plans for mitigation, and monitoring the success of implemented plans.</p> <p>Potential social impacts may result from activities such as: building new roads, processing mills or other infrastructure; replanting with different crops or expansion of planting area; disposal of mill effluents; clearing of remaining natural vegetation; changes in employee numbers or employment terms; smallholder schemes.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>Plantation and mill management may have social impacts (positive or negative) on factors such as:</p> <ul style="list-style-type: none">• Access and use rights;• Economic livelihoods (e.g. paid employment) and working conditions;• Subsistence activities;• Cultural and religious values;• Health and education facilities;• Other community values, resulting from changes such as improved transport /communication or arrival of substantial migrant labour force. <p>The review can be done (once every two years) internally or externally.</p> <p>For National Interpretation: As social impacts are particularly dependent on local social conditions, National Interpretation will identify the important issues, and methodologies for collecting data and using the results. This should include adequate consideration of the impacts on the customary or traditional rights of local communities and indigenous people, where these exist (Criteria 2.3 and 6.4).</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.2	There are open and transparent methods for communication and consultation between growers and/or millers, local communities and other affected or interested parties.	<p>Indicators:</p> <p>6.2.1 Consultation and communication procedures shall be documented.</p> <p>6.2.2 A management official responsible for these issues shall be nominated.</p> <p>6.2.3 A list of stakeholders, records of all communication, including confirmation of receipt and that efforts are made to ensure understanding by affected parties, and records of actions taken in response to input from stakeholders, shall be maintained.</p> <p>Guidance:</p> <p>Decisions that the growers or mills are planning to make should be made clear, so that local communities and other interested parties understand the purpose of the communication and/or consultation. Communication and consultation mechanisms should be designed in collaboration with local communities and other affected or interested parties. These should consider the use of appropriate existing local mechanisms and languages. Consideration should be given to the existence/formation of a multi-stakeholder forum. Communications should take into account differential access to information by women as compared to men, village leaders as compared to day labourers, new versus established community groups, and different ethnic groups.</p> <p>Consideration should be given to involving third parties, such as disinterested community groups, NGOs, or government (or a combination of these), to facilitate smallholder schemes and communities, and others as appropriate, in these communications.</p> <p>For National Interpretation:</p> <p>National Interpretation will consider issues such as appropriate levels of consultation and the types of organisation or individuals that should be included.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.3	There is a mutually agreed and documented system for dealing with complaints and grievances, which is implemented and accepted by all affected parties.	<p>Indicators:</p> <p>6.3.1 The system, open to all affected parties, shall resolve disputes in an effective, timely and appropriate manner, ensuring anonymity of complainants and whistleblowers, where requested.</p> <p>6.3.2 Documentation of both the process by which a dispute was resolved and the outcome shall be available.</p> <p>Specific Guidance: For 6.3.1: The system should aim to reduce the risks of reprisal.</p> <p>Guidance: See also to Criterion 1.2. Dispute resolution mechanisms should be established through open and consensual agreements with relevant affected parties.</p> <p>Complaints should be dealt with by mechanisms such as Joint Consultative Committees (JCC), with gender representation as necessary. Grievances may be internal (employees) or external.</p> <p>For scheme and independent smallholders, refer to ‘<i>Guidance for Independent Smallholders under Group Certification</i>’, June 2010, and ‘<i>Guidance on Scheme Smallholders</i>’, July 2009.</p> <p>Where a resolution is not found mutually, complaints can be brought to the attention of the RSPO Complaints System.</p> <p>Refer to helpful texts for guidance, such as the Human Rights Commission (HRC) endorsed ‘<i>Guiding Principles on Business and Human Rights: Implementing the UN “Protect, Respect and Remedy” Framework</i>’, 2011.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.4	<p>Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables indigenous peoples, local communities and other stakeholders to express their views through their own representative institutions.</p>	<p>Indicators:</p> <p>6.4.1 A procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, shall be in place.</p> <p>6.4.2 A procedure for calculating and distributing fair compensation (monetary or otherwise) shall be established and implemented, monitored and evaluated in a participatory way, and corrective actions taken as a result of this evaluation. This procedure shall take into account: gender differences in the power to claim rights, ownership and access to land; differences of transmigrants and long-established communities; and differences in ethnic groups' proof of legal versus communal ownership of land.</p> <p>6.4.3 The process and outcome of any negotiated agreements and compensation claims shall be documented, with evidence of the participation of affected parties, and made publicly available.</p> <p>Specific Guidance: For 6.4.2: Companies should make best efforts to ensure that equal opportunities have been provided to both female and male heads of households to hold land titles in smallholder schemes.</p> <p>Guidance: This criterion should be considered in conjunction with Criteria 2.2 and 2.3, and the associated Guidance.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.5	Pay and conditions for employees and for contract workers always meet at least legal or industry minimum standards and are sufficient to provide decent living wages.	<p>Indicators:</p> <ul style="list-style-type: none">6.5.1 Documentation of pay and conditions shall be available.6.5.2 Labour laws, union agreements or direct contracts of employment detailing payments and conditions of employment (e.g. working hours, deductions, overtime, sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice, etc.) shall be available in the languages understood by the workers or explained carefully to them by a management official.6.5.3 Growers and millers shall provide adequate housing, water supplies, medical, educational and welfare amenities to national standards or above, where no such public facilities are available or accessible.6.5.4 Growers and millers shall make demonstrable efforts to monitor and improve workers' access to adequate, sufficient and affordable food. <p>For National Interpretation: National Interpretation will define a Decent Living Wage. Where there is no National Interpretation, the legal minimum wage will be used.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.6	<p>The employer respects the rights of all personnel to form and join trade unions of their choice and to bargain collectively. Where the right to freedom of association and collective bargaining are restricted under law, the employer facilitates parallel means of independent and free association and bargaining for all such personnel.</p>	<p>Indicators:</p> <p>6.6.1 A published statement in local languages recognising freedom of association shall be available.</p> <p>6.6.2 Minutes of meetings with main trade unions or workers representatives shall be documented.</p> <p>Guidance:</p> <p>The right of employees, including migrant and transmigrant workers and contract workers, to form associations and bargain collectively with their employer should be respected, in accordance with Conventions 87 and 98 of the International Labour Organisation (ILO).</p> <p>Labour laws and union agreements, or in their absence direct contracts of employment detailing payments and other conditions, should be available in the languages understood by the workers or explained carefully to them by a management official.</p> <p>For National Interpretation:</p> <p>National Interpretation will define migrant and transmigrant workers. ILO definitions and other international protocols, instruments and explanations should be used throughout.</p>
6.7	<p>Children are not employed or exploited.</p>	<p>Indicators:</p> <p>6.7.1 There shall be documentary evidence that minimum age requirements are met.</p> <p>Guidance:</p> <p>Growers and millers should clearly define the minimum working age, together with working hours. Only workers above the minimum school leaving age in the country or who are at least 15 years old may be employed. The minimum age of workers will not be less than stated under national regulations. Any hazardous work should not be done by those under 18, as per International Labour Organisation (ILO) Convention 138.</p> <p>Please refer to <i>'Guidance for Independent Smallholders under Group Certification'</i>, June 2010, and <i>'Guidance on Scheme Smallholders'</i>, July 2009 for additional guidance on family farms.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.8	<p>Any form of discrimination based on race, caste, national origin, religion, disability, gender, sexual orientation, union membership, political affiliation, or age, is prohibited.</p>	<p>Indicators:</p> <ul style="list-style-type: none">6.8.1 A publicly available equal opportunities policy including identification of relevant/affected groups in the local environment shall be documented.6.8.2 Evidence shall be provided that employees and groups including local communities, women, and migrant workers have not been discriminated against.6.8.3 It shall be demonstrated that recruitment selection, hiring and promotion are based on skills, capabilities, qualities, and medical fitness necessary for the jobs available. <p>Guidance:</p> <p>Examples of compliance can be appropriate documentation (e.g. job advertisements, job descriptions, appraisals, etc.), and/or information obtained via interviews with relevant stakeholders such as affected groups which may include women, local communities, foreign workers, and migrant workers, etc.</p> <p>Notwithstanding national legislation and regulation, medical conditions should not be used in a discriminatory way.</p> <p>The grievance procedures detailed in Criterion 6.3 apply. Positive discrimination to provide employment and benefits to specific communities is acceptable as part of negotiated agreements.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.9	There is no harassment or abuse in the work place, and reproductive rights are protected.	<p>Indicators:</p> <p>6.9.1 A policy to prevent sexual and all other forms of harassment and violence shall be implemented and communicated to all levels of the workforce.</p> <p>6.9.2 A policy to protect the reproductive rights of all, especially of women, shall be implemented and communicated to all levels of the workforce.</p> <p>6.9.3 A specific grievance mechanism which respects anonymity and protects complainants where requested shall be established, implemented, and communicated to all levels of the workforce.</p> <p>Specific Guidance:</p> <p>For 6.9.1 and 6.9.2: These policies should include education for women and awareness of the workforce. There should be programmes provided for particular issues faced by women, such as violence and sexual harassment in the workplace. A gender committee specifically to address areas of concern to women will be used to comply with this Criterion. This committee, which should include representatives from all areas of work, will consider matters such as: training on women’s rights; counselling for women affected by violence; child care facilities to be provided by the growers and millers; women to be allowed to breastfeed up to nine months before resuming chemical spraying or usage tasks; and women to be given specific break times to enable effective breastfeeding.</p> <p>For 6.9.2: see Indicator 4.6.12.</p> <p>Guidance:</p> <p>There should be a clear policy developed in consultation with employees, contract workers and other relevant stakeholders, and the policy should be publicly available. Progress in implementing the policy should be regularly monitored, and the results of monitoring activities should be recorded. Notwithstanding national legislation and regulation, reproductive rights are respected.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.10	Growers and millers deal fairly and transparently with smallholders and other local businesses.	<p>Indicators:</p> <ul style="list-style-type: none">6.10.1 Current and past prices paid for Fresh Fruit Bunches (FFB) shall be publicly available.6.10.2 Evidence shall be available that growers/millers have explained FFB pricing, and pricing mechanisms for FFB and inputs/services shall be documented (where these are under the control of the mill or plantation).6.10.3 Evidence shall be available that all parties understand the contractual agreements they enter into, and that contracts are fair, legal and transparent.6.10.4 Agreed payments shall be made in a timely manner. <p>Guidance:</p> <p>Transactions with smallholders should consider issues such as the role of middle men, transport and storage of FFB, quality and grading. The need to recycle the nutrients in FFB (see Criterion 4.2) should also be considered; where it is not practicable to recycle wastes to smallholders, compensation for the value of the nutrients exported can be made through the FFB price.</p> <p>Smallholders should have access to the grievance procedure under Criterion 6.3 if they consider that they are not receiving a fair price for FFB, whether or not middle men are involved.</p> <p>The need for a fair and transparent pricing mechanism is particularly important for outgrowers who are contractually obliged to sell all FFB to a particular mill.</p> <p>If mills require smallholders to change practices to meet the RSPO Principles and Criteria, consideration should be given to the costs of such changes, and the possibility of advance payments for FFB can be considered.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.11	Growers and millers contribute to local sustainable development where appropriate.	<p>Indicators:</p> <p>6.11.1 Contributions to local development that are based on the results of consultation with local communities shall be demonstrated.</p> <p>6.11.2 Where there are scheme smallholders, there shall be evidence that efforts and/or resources have been allocated to improve smallholder productivity.</p> <p>Guidance:</p> <p>Contributions to local development should be based on the results of consultation with local communities. See also Criterion 6.2. Such consultation should be based on the principles of transparency, openness and participation, and should encourage communities to identify their own priorities and needs, including the different needs of men and women.</p> <p>Where candidates for employment are of equal merit, preference should always be given to members of local communities. Positive discrimination should not be recognised as conflicting with Criterion 6.8. Efforts should be made to identify independent smallholders in the supply base.</p> <p>Where sourcing of fruit is from identified independent smallholders, efforts should be made to contribute to the improvement of their farming practices.</p> <p>For National Interpretation:</p> <p>National Interpretation will consider specific parameters or thresholds such as use of local and national goods and services where possible, whether a certain percentage of the plantation's profit/turnover should be used for social development projects, and minimum quotas for local employment.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.12	No forms of forced or trafficked labour are used.	<p>Indicators:</p> <p>6.12.1 There shall be evidence that no forms of forced or trafficked labour are used.</p> <p>6.12.2 Where applicable, it shall be demonstrated that no contract substitution has occurred.</p> <p>6.12.3 Where temporary or migrant workers are employed, a special labour policy and procedures shall be established and implemented.</p> <p>Specific Guidance:</p> <p>For 6.12.1: Workers should enter into employment voluntarily and freely, without the threat of a penalty, and should have the freedom to terminate employment without penalty given reasonable notice or as per agreement.</p> <p>For 6.12.3: The special labour policy should include:</p> <ul style="list-style-type: none">• Statement of the non-discriminatory practices;• No contract substitution;• Post-arrival orientation programme to focus especially on language, safety, labour laws, cultural practices etc.;• Decent living conditions to be provided. <p>Guidance</p> <p>Migrant workers should be legalised, and a separate employment agreement should be drawn up to meet immigration requirements for foreign workers and international standards. Any deductions made should not jeopardise a decent living wage.</p> <p>Passports should only be voluntarily surrendered.</p> <p>There should be evidence of due diligence in applying this to all sub-contract workers and suppliers. National guidance should be used on contract substitution.</p> <p>For National Interpretation:</p> <p>National Interpretation will define the following: temporary workers; migrant workers; special labour policy; contract substitution; and decent living wage. International Labour Organisation (ILO) definitions (ILO Convention 29 and 105) and other international protocols, instruments and explanations should be used throughout. See Criterion 6.5 for further guidance.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
6.13	Growers and millers respect human rights.	<p>Indicators: 6.13.1 A policy to respect human rights shall be documented and communicated to all levels of the workforce and operations (see Criteria 1.2 and 2.1).</p> <p>Guidance: See also Criterion 6.3. All levels of operations will include contracted third parties (e.g those involved in security).</p> <p>Note: From the UN Guiding Principles on Business and Human Rights: <i>“The responsibility of business enterprises to respect human rights refers to internationally recognised human rights – understood, at a minimum, as those expressed in the International Bill of Human Rights and the principles concerning fundamental rights set out in the International Labour Organization’s Declaration on Fundamental Principles and Rights at Work” (“The corporate responsibility to respect human rights” in Guiding Principles on Business and Human Rights).</i></p> <p>The RSPO WG on Human Rights will provide a mechanism to identify, prevent, mitigate and address human rights issues and impacts. The resulting Guidance will identify the relevant issues on human rights to all RSPO Members.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

6.1 Aspects of plantation and mill management that have social impacts, including replanting, are identified in a participatory way, and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.

Guidance for scheme managers:

Scheme managers must be able to demonstrate that scheme smallholders participated in the development of impact assessments for smallholder schemes. Scheme managers must provide:

- A documented social impact assessment.
- Evidence that the assessment has been done with the participation of scheme smallholders. Participation in this context means that scheme smallholders are able to express their views through their own representative institutions during the identification of impacts, reviewing findings and plans for mitigation, and monitoring the success of implemented plans.
- A timetable with responsibilities for mitigation and monitoring, reviewed and updated as necessary, in those cases where the assessment has concluded that changes should be made to current practices.

The involvement of independent experts should be sought where this is considered necessary to ensure that all impacts (both positive and negative) are identified.

Guidance for group managers:

Group managers must be able to demonstrate that group smallholders participated in the development of a simplified impact assessment for their holdings. Group managers must provide:

- A documented simplified social impact assessment
- Evidence that the assessment has been done with the participation of group smallholders, local communities and stakeholders.
- A timetable with responsibilities for mitigation and monitoring, reviewed and updated as necessary, in those cases where the assessment has concluded that changes should be made to current practices



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA	GUIDANCE FOR SMALLHOLDERS
<p>6.2 There are open and transparent methods for communication and consultation between growers and/or millers, local communities and other affected or interested parties.</p>	<p>Guidance for scheme managers:</p> <p>Scheme managers must have documentary evidence that they have clear, implemented procedures for regular communications and consultations with scheme smallholders, including:</p> <ul style="list-style-type: none">• A nominated manager responsible for these issues.• Maintenance of a list of scheme smallholders, records of all communication and records of actions taken in response to input from scheme smallholders. <p>Communications and consultations should make use of existing local mechanisms and languages.</p> <p>Guidance for group managers:</p> <p>Group managers must have documentary evidence that they have implemented procedures for regular communications with, and assessments of, group smallholders in line with the requirements of the RSPO Standard for Group Certification and liaise with local communities</p>
<p>6.3 There is a mutually agreed and documented system for dealing with complaints and grievances, which is implemented and accepted by all affected parties</p>	<p>Guidance for scheme managers:</p> <p>Scheme managers have a documented system to resolve disputes concerning scheme smallholdings in an effective, timely and appropriate manner. Documents exist of both the process by which a dispute was resolved and the outcome showing the process was open to any affected parties. These dispute resolution mechanisms should be established through open and consensual agreements with smallholders.</p> <p>Guidance for group managers:</p> <p>Group managers have a documented system to resolve disputes concerning group smallholdings in an effective, timely and appropriate manner. Documents exist of both the process by which a dispute was resolved and the outcome showing the process was open to any affected parties. These dispute resolution mechanisms should be established through open and consensual agreements with smallholders.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

6.4 Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables indigenous peoples, local communities and other stakeholders to express their views through their own representative institutions.

Guidance for scheme managers:

Scheme managers must be able to show that they have acquired and/or allocated land for smallholdings in compliance with this criterion. This includes:

- Establishment of a procedure for identifying legal and customary rights and a procedure for identifying people entitled to compensation.
- A procedure for calculating and distributing fair compensation (monetary or otherwise) is established and implemented. These procedures should take into account gender differences in the power to claim rights, ownership and access to land; differences of transmigrants and long-established communities and; differences in ethnic groups' proof of legal versus communal ownership of land. Compensation should be in line with fair market value or replacement cost.
- The process and outcome of any negotiated agreements and compensation claims is documented and made publicly available where beneficiaries agree.

This criterion should be considered in conjunction with Criterion 2.3 and the associated guidance.

Guidance for group managers:

Group managers must be able to show that group members have acquired lands by legal or accepted customary means. This includes:

- Establishment of a process for identifying legal and customary rights and for identifying people entitled to compensation.
- A procedure for calculating and distributing fair compensation (monetary or otherwise) is established and implemented.

These procedures should take into account gender differences in the power to claim rights, ownership and access to land; differences of transmigrants and long-established communities and; differences in ethnic groups' proof of legal versus communal ownership of land. Compensation should be in line with fair market value or replacement cost.



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

		<p>This criterion should be considered in conjunction with Criterion 2.3 and the associated guidance. National interpretations should provide additional guidance.</p>
6.5	Pay and conditions for employees and for contract workers always meet at least legal or industry minimum standards and are sufficient to provide decent living wages.	<p>Guidance for scheme managers:</p> <p>Scheme managers must ensure that workers employed to service smallholders enjoy the same rights, conditions and protections as estate and mill employees in accordance with RSPO P&C.</p>
		<p>Guidance for group managers:</p> <p>Group managers must ensure that workers employed to service smallholders enjoy the labour rights, conditions and protections stipulated in the respective National Interpretations.</p>
6.6	The employer respects the rights of all personnel to form and join trade unions of their choice and to bargain collectively. Where the right to freedom of association and collective bargaining are restricted under law, the employer facilitates parallel means of independent and free association and bargaining for all such personnel.	<p>Guidance for scheme managers:</p> <p>Scheme managers must respect the right of scheme smallholders to form and represent themselves through their own representative associations and / or trades unions and accept them as parties to participatory processes, consultations, communications and negotiations in the management of the scheme.</p>
		<p>Guidance for group managers:</p> <p>This criterion is not applicable to group smallholders.</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

6.7 Children are not employed or exploited.

Guidance for scheme managers:

Scheme managers will train scheme smallholders in the national and ratified international legal requirements for avoiding the use of child labour.

Work by children on family smallholdings within the scheme is only acceptable under adult supervision and when not interfering with education programmes and if permitted by national and ratified international laws.

Guidance for group managers:

Group managers will train group smallholders in the national and ratified international legal requirements for avoiding the use of child labour

Work by children on family smallholdings is only acceptable under adult supervision and when not interfering with education programmes and if permitted by national and ratified international laws.

6.8 Any form of discrimination based on race, caste, national origin, religion, disability, gender, sexual orientation, union membership, political affiliation, or age, is prohibited.

Guidance for scheme managers:

Scheme managers must ensure that there is no discrimination in the recruitment and employment of workers employed to service smallholders. Scheme managers will not allocate smallholdings or recruit smallholders in a discriminatory way, except where local communities have negotiated to be provided smallholdings in accepting the establishment of schemes on their lands. Scheme managers must ensure that women, indigenous peoples and minorities participate in negotiating processes.

Guidance for group managers:

Group managers must provide training to group members about the need to avoid discrimination in the recruitment and employment of workers employed by, or to assist, smallholders.



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

6.9 There is no harassment or abuse in the work place, and reproductive rights are protected.

Guidance for scheme managers:

Scheme managers must ensure that this provision applies to personnel employed to service smallholders, as well as those working in mills and estates as well as smallholders and those they employ.

Guidance for group managers:

Group managers must provide training to group members about the need to prevent sexual harassment and the abuse of women among both smallholders and any workers employed by them.

6.10 Growers and millers deal fairly and transparently with smallholders and other local businesses.

Guidance for scheme managers:

Scheme managers must ensure that

- Current and past prices paid for FFB are publicly available.
- Fair and transparent pricing mechanisms must be established.
- Pricing mechanisms for FFB and inputs/services are documented (where these are under the control of the mill or plantation).
- Evidence is available that all parties understand the contractual agreements they enter into, and that contracts are fair, legal, and transparent and in appropriate languages and all costs, fees and levies are explained and agreed in advance.
- Agreed payments are made in a timely manner. Debt repayment schemes are fair and fully transparent.
- Where smallholders pay relevant service fee, roads must be maintained and transportation provided in a timely manner.
- Renegotiations over second plantings or extensions are commenced with an adequate lead in time

Transactions with scheme smallholders should deal fairly with issues such as the role of middle men, transport and storage of FFB, quality and grading, and inputs from family labour.



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

6.11 Growers and millers contribute to local sustainable development where appropriate.

Smallholders must have access to the grievance procedure under criterion 6.3, if they consider that they are not receiving a fair price for FFB, whether or not middle men are involved.

If mills require smallholders to change practices to meet RSPO criteria, consideration must be given to the costs of such changes, and the possibility of advance payments for FFB could be considered.

Guidance for group managers:

Group managers must ensure that:

- Current and past prices paid for FFB are freely available to group members and other parties.
- Fair and transparent mechanisms must be established to pay members and other parties for their FFB.
- Agreed payments are made in a timely manner.

Transactions with group smallholders should deal fairly with issues such as the role of middle men, transport and storage of FFB, quality and grading, and inputs from family labour.

Smallholders must have access to the grievance procedure under criterion 6.3, if they consider that they are not receiving a fair price for FFB, whether or not middle men are involved

Guidance for scheme managers:

This criterion is not applicable at the generic level but could be considered by National Interpretations. However, scheme managers are encouraged to facilitate the identification of local sustainable development needs.

Contributions to local sustainable development should be based on the results of consultation with local communities and should be entered into on a free will basis.



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

		<p>See also criterion 6.2. Such consultation should be based on the principles of transparency, openness and participation, and should encourage communities to identify their own priorities and needs, including the different needs of men and women. Funds should where possible be used in ways that are sustainable, productive, build community capacity and ownership, do not create dependency and seek to achieve long-term, quality of life improvements for local communities.</p> <p>Guidance for group managers:</p> <p>This criterion is not applicable to group smallholders</p>
6.12	No forms of forced or trafficked labour are used.	<p>Guidance for scheme managers:</p> <p>This criterion is currently not applicable</p> <p>Guidance for group managers:</p> <p>This criterion is currently not applicable</p>
6.13	Growers and millers respect human rights.	<p>Guidance for scheme managers:</p> <p>This criterion is currently not applicable</p> <p>Guidance for group managers:</p> <p>This criterion is currently not applicable</p>



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

LAWS, CONVENTIONS & TREATIES

6.1 Aspects of plantation and mill management that have social impacts, including replanting, are identified in a participatory way, and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.

National Legislation:

- Act No 11 / 2008 Environment Protection Agency Act
- Guidelines for Sustainable Agricultural and Bioenergy Investment.

International Legislation:

NONE APPLICABLE

6.2 There are open and transparent methods for communication and consultation between growers and/or millers, local communities and other affected or interested parties.

National Legislation:

- Draft Guidelines on Sustainable Agricultural and Bioenergy Investments 2013 Page 15.

International Legislation:

- ILO Recommendation 132 (1968) Tenants and Sharecropper-Articles 4-8; ILO Convention 117 (1962) Social Policy (Basic Aims and Standards)-Article 4 (This Convention has not been ratified by Sierra Leone)

6.3 There is a mutually agreed and documented system for dealing with complaints and grievances, which is implemented and accepted by all affected parties

National Legislation:

- Article 30. Draft Collective Bargaining Agreement for Agricultural Workers 2013.

International Legislation:

- ILO Recommendation 132 (1968) Tenants and Sharecropper-Articles 4-8; ILO Convention 117 (1962) Social Policy (Basic Aims and Standards)-Article 4 (This Convention has not been ratified by Sierra Leone)



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
6.4	<p>Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables indigenous peoples, local communities and other stakeholders to express their views through their own representative institutions.</p>	<p>National Legislation:</p> <ul style="list-style-type: none">• Section 15 (d) Act No 6/1991 The Constitution of Sierra Leone. <p>International Legislation:</p> <ul style="list-style-type: none">• ILO Convention 169 (1989) on Indigenous and Tribal people-Article 10(c);• UN Declaration on the Rights of Indigenous People (2007)-Articles 10-12, 19, 28-29, 32
6.5	<p>Pay and conditions for employees and for contract workers always meet at least legal or industry minimum standards and are sufficient to provide decent living wages.</p>	<p>National Legislation:</p> <ul style="list-style-type: none">• Section 21 of The Minimum Wage Act 1997.• Article 34 of The Draft Collective Bargaining Agreement for Agricultural Workers 2013.• Page 40 Guidelines for Sustainable Agriculture and Bioenergy Investment• Sections 8 (3) (b) to (e) Act No 6/1991 The Constitution of Sierra Leone• Act No 16/2007 The General Business Start – Up (Amendment) Act. <p>International Legislation:</p> <ul style="list-style-type: none">• ILO Convention 110 (1958) Plantations-Articles 5-91 (Sierra Leone is not a signatory to this convention)
6.6	<p>The employer respects the rights of all personnel to form and join trade unions of their choice and to bargain collectively. Where the right to freedom of association and collective bargaining are restricted under law, the employer facilitates parallel means of independent and free association and bargaining for all such personnel.</p>	<p>National Legislation:</p> <ul style="list-style-type: none">• Article 3 Draft Collective Bargaining Agreement for Agricultural Workers 2013.• Section 26 Act No 6/1991 The Constitution of Sierra Leone <p>International Legislation:</p> <ul style="list-style-type: none">• ILO Convention 87 (1948) Freedom of Association and Protection of Rights To organize-Articles 2-11• ILO Convention 98 (1949) Right to Organize and Collective Bargaining



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

LAWS, CONVENTIONS & TREATIES

6.7 Children are not employed or exploited.

National Legislation:

- Sections 3 (1) AND (2) Act No 2/2004 The Education Act .
- Sections 8 (3) (f) Act No 6/1991 The Constitution of Sierra Leone.
- Sections 32 (1) AND (2) Act No 2/2007. The Child Rights Act.

International Legislation:

- ILO Convention 138 (1973) Minimum Age-Article 1-3;
- (1999) Worst Forms of Child Labour-Articles 1-7;
- UN Declaration on the Rights of Indigenous People (2007)-Articles 17(2), 21, 22(2)

6.8 Any form of discrimination based on race, caste, national origin, religion, disability, gender, sexual orientation, union membership, political affiliation, or age, is prohibited.

National Legislation:

- Sections 27, 15 and 6 (2) Act No 6/1991 The Constitution of Sierra Leone.
- Section 39 of Act No 11 / 2011 National HIV and AIDS Commission Act.
- Section 19 (1) Act No 3/2011 Persons with Disability Act.

International Legislation:

- ILO Convention 111 (1958)-Articles 1-2

6.9 There is no harassment or abuse in the work place, and reproductive rights are protected.

National Legislation:

- Article 12 of The Draft Collective Bargaining Agreement for Agricultural Workers 2013.
- Section 13 Act No 12/2012 Sexual Offences Act .
- Common Law offences of assault and battery.

International Legislation:

- ILO Convention 100 (1958) Equal remuneration-Articles 1-3



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

LAWS, CONVENTIONS & TREATIES

6.10 Growers and millers deal fairly and transparently with smallholders and other local businesses.

National Legislation:

- The Sale of Goods Act 1839.
- CAP 25 Laws of Sierra Leone 1960. The Arbitration Act.
- The Cooperative Societies Act 1977.
- Local Content Policy 2012.
- The Minimum Criteria set out on Page 41 of The Draft Guidelines for Sustainable Agricultural and Bioenergy Investment 2013.

International Legislation:

NONE APPLICABLE

6.11 Growers and millers contribute to local sustainable development where appropriate.

National Legislation:

- Local Council Act 2004.
- Minimum Criteria set out on page 43 of The Guidelines for Sustainable Agricultural and Bioenergy Investment 2013. .

International Legislation:

NONE APPLICABLE



PRINCIPLE 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES AFFECTED BY GROWERS AND MILLERS

NO. PRINCIPLES AND CRITERIA

LAWS, CONVENTIONS & TREATIES

6.12 No forms of forced or trafficked labour are used.

National Legislation:

- Section 19 of Act No 6/1991 The Constitution of Sierra Leone.
- False Imprisonment under The Criminal Laws of Sierra Leone.
- Section 22 Act No 7/2005 The Anti-Human Trafficking Act.
- Section 3 CAP 215 Laws of Sierra Leone.

International Legislation:

- ILO Convention 29 (1930) Forced Labour-Article 5;
- ILO Convention 105 (1957) Abolition of Forced Labour-Article 1

6.13 Growers and millers respect human rights.

National Legislation:

- Section 15 Act No 6/1991 The Constitution of Sierra Leone Act.
- Section 7 (1) Act No 9/2004 Human Rights Commission for Sierra Leone Act.

International Legislation:

NONE APPLICABLE



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
7.1	<p>A comprehensive and participatory independent social and environmental impact assessment is undertaken prior to establishing new plantings or operations, or expanding existing ones, and the results incorporated into planning, management and operations.</p>	<p>Indicators:</p> <p>7.1.1 An independent social and environmental impact assessment (SEIA), undertaken through a participatory methodology including the relevant affected stakeholders, shall be documented.</p> <p>7.1.2 Appropriate management planning and operational procedures shall be developed and implemented to avoid or mitigate identified potential negative impacts.</p> <p>7.1.3 Where the development includes an outgrower scheme, the impacts of the scheme and the implications of the way it is managed shall be given particular attention.</p> <p>Guidance:</p> <p>See also Criteria 5.1 and 6.1.</p> <p>The terms of reference should be defined and impact assessment should be carried out by accredited independent experts, in order to ensure an objective process. Both should not be done by the same body. A participatory methodology including external stakeholder groups is essential to the identification of impacts, particularly social impacts. Stakeholders such as local communities, government departments and NGOs should be involved through the use of interviews and meetings, and by reviewing findings and plans for mitigation.</p> <p>It is recognised that oil palm development can cause both positive and negative impacts. These developments can lead to some indirect/secondary impacts which are not under the control of individual growers and millers. To this end, growers and millers should seek to identify the indirect/secondary impacts within the SEIA, and where possible work with partners to explore mechanisms to mitigate the negative indirect impacts and enhance the positive impacts.</p> <p>The potential impacts of all major proposed activities should be assessed in a participatory way prior to development. The assessment should include, in no order of preference and as a minimum:</p> <ul style="list-style-type: none"> • Assessment of the impacts of all major planned activities, including planting, mill operations, roads and other infrastructure; • Assessment, including stakeholder consultation, of High Conservation Values (see Criterion 7.3) that could be negatively affected; • Assessment of potential effects on adjacent natural ecosystems of planned developments, including whether development or expansion will increase pressure on nearby natural ecosystems;



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<ul style="list-style-type: none"> • Identification of watercourses and wetlands and assessment of potential effects on hydrology and land subsidence of planned developments. Measures should be planned and implemented to maintain the quantity, quality and access to water and land resources; • Baseline soil surveys and topographic information, including the identification of steep slopes, marginal and fragile soils, areas prone to erosion, degradation, subsidence, and flooding; • Analysis of type of land to be used (forest, degraded forest, cleared land); • Analysis of land ownership and user rights; • Analysis of current land use patterns; • Assessment of potential social impacts on surrounding communities of a plantation, including an analysis of potential effects on livelihoods, and differential effects on women versus men, ethnic communities, and migrant versus long-term residents; • Identification of activities which may generate significant GHG emissions. <p>Plans and field operations should be developed and implemented to incorporate the results of the assessment. One potential outcome of the assessment process is that the development may not proceed because of the magnitude of potential impacts.</p> <p>For smallholder schemes, the scheme management should address this Criterion. For individual smallholders, this Criterion does not apply.</p> <p>Where there is no National Interpretation, for land areas greater than 500ha, a full independent assessment will be required. For land areas less than 500ha, an internal assessment using selected components of SEIA and HCV assessments can be used. Where such internal assessments identify significant environmentally or socially sensitive areas or issues, an independent assessment will be undertaken.</p> <p>For National Interpretation: National Interpretation will identify the relevant accreditations for independent experts. National Interpretation will consider setting an appropriate threshold for the size of new plantings, below which an internal assessment is allowed, and above which an independent SEIA is required. This will list negative social impacts (e.g. displacement, loss of the livelihoods of local peoples, etc.) in the national context.</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
7.2	<p>Soil surveys and topographic information are used for site planning in the establishment of new plantings, and the results are incorporated into plans and operations.</p>	<p>Indicators:</p> <p>7.2.1 Soil suitability maps or soil surveys adequate to establish the long-term suitability of land for oil palm cultivation shall be available and taken into account in plans and operations.</p> <p>7.2.2 Topographic information adequate to guide the planning of drainage and irrigation systems, roads and other infrastructure shall be available and taken into account in plans and operations.</p> <p>Guidance:</p> <p>These activities can be linked to the Social and Environmental Impact Assessment (SEIA) (see Criterion 7.1) but need not be done by independent experts.</p> <p>Soil suitability maps or soil surveys should be appropriate to the scale of operation and should include information on soil types, topography, hydrology, rooting depth, moisture availability, stoniness and fertility to ensure long-term sustainability of the development. Soils requiring appropriate practices should be identified (see Criteria 4.3 and 7.4). This information should be used to plan planting programmes, etc. Measures should be planned to minimise erosion through appropriate use of heavy machinery, terracing on slopes, appropriate road construction, rapid establishment of cover, protection of riverbanks, etc. Areas located within the plantation perimeters that are considered unsuitable for long-term oil palm cultivation will be delineated in plans and included in operations for conservation or rehabilitation as appropriate (see Criterion 7.4).</p> <p>Assessing soil suitability is also important for smallholders, particularly where there are significant numbers operating in a particular location. Information should be collected on soil suitability by companies planning to purchase Fresh Fruit Bunches (FFB) from potential developments of independent smallholders in a particular location. Companies should assess this information and provide information to independent smallholders on soil suitability, and/or in conjunction with relevant government/public institutions and other organisations (including NGOs) provide information in order to assist independent smallholders to grow oil palm sustainably.</p> <p>For National Interpretation:</p> <p>National Interpretation will specify the local or national code of practice or other guidelines that should be followed, or set out what 'good practice' constitutes within the local and national context.</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
7.3	<p>New plantings since November 2005 have not replaced primary forest or any area required to maintain or enhance one or more High Conservation Values.</p>	<p>Indicators:</p> <p>7.3.1 There shall be evidence that no new plantings have replaced primary forest, or any area required to maintain or enhance one or more High Conservation Values (HCVs), since November 2005. New plantings shall be planned and managed to best ensure the HCVs identified are maintained and/or enhanced (see Criterion 5.2).</p> <p>7.3.2 A comprehensive HCV assessment, including stakeholder consultation, shall be conducted prior to any conversion or new planting. This shall include a land use change analysis to determine changes to the vegetation since November 2005. This analysis shall be used, with proxies, to indicate changes to HCV status.</p> <p>7.3.3 Dates of land preparation and commencement shall be recorded.</p> <p>7.3.4 An action plan shall be developed that describes operational actions consequent to the findings of the HCV assessment, and that references the grower’s relevant operational procedures (see Criterion 5.2).</p> <p>7.3.5 Areas required by affected communities to meet their basic needs, taking into account potential positive and negative changes in livelihood resulting from proposed operations, shall be identified in consultation with the communities and incorporated into HCV assessments and management plans (see Criterion 5.2).</p> <p>Specific Guidance:</p> <p>For 7.3.1: Evidence should include historical remote sensing imagery which demonstrates that there has been no conversion of primary forest or any area required to maintain or enhance one or more HCV. Satellite or aerial photographs, land use maps and vegetation maps should be used to inform the HCV assessment.</p> <p>Where land has been cleared since November 2005, and without a prior and adequate HCV assessment, it will be excluded from the RSPO certification programme until an adequate HCV compensation plan has been developed and accepted by the RSPO.</p> <p>For 7.3.5: The management plan will be adaptive to changes in HCV 5 and 6. Decisions will be made in consultation with the affected communities.</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>Guidance:</p> <p>This Criterion applies to forests and other vegetation types. This applies irrespective of any changes in land ownership or farm management that have taken place since November 2005. HCVs may be identified in restricted areas of a landholding, and in such cases new plantings can be planned to allow the HCVs to be maintained or enhanced.</p> <p>The HCV assessment process requires appropriate training and expertise, and will include consultation with local communities, particularly for identifying social HCVs. HCV assessments should be conducted according to the National Interpretation of the HCV criteria or according to the Global HCV Toolkit if a National Interpretation is not available (see Definitions).</p> <p>Developments should actively seek to utilise previously cleared and/or degraded land on mineral soil. Plantation development should not put indirect pressure on forests through the use of all available agricultural land in an area.</p> <p>Where landscape level HCV maps have been developed, these should be taken into account in project planning, whether or not such maps form part of government land use plans.</p> <p>In case of small areas located either in hydrologically sensitive landscapes or in HCV areas where conversion can jeopardise large areas or species, an independent assessment will be required. HCV areas can be very small.</p> <p>Once established, new developments should comply with Criterion 5.2.</p> <p>For National Interpretation:</p> <p>National Interpretation should refer to existing national definitions of HCVs (or where these do not exist refer to definitions in this document), or equivalent land-use/conservation plans or consider how growers and the audit team can identify High Conservation Values. This may involve collaboration with other bodies.</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
7.4	Extensive planting on steep terrain, and/or marginal and fragile soils, including peat, is avoided.	<p>Indicators:</p> <p>7.4.1 Maps identifying marginal and fragile soils, including excessive gradients and peat soils, shall be available and used to identify areas to be avoided</p> <p>7.4.2 Where limited planting on fragile and marginal soils, including peat, is proposed, plans shall be developed and implemented to protect them without incurring adverse impacts.</p> <p>Guidance:</p> <p>This activity should be integrated with the social and environmental impact assessment (SEIA) required by Criterion 7.1.</p> <p>Planting on extensive areas of peat soils and other fragile soils should be avoided (see Criterion 4.3). Adverse impacts may include hydrological risks or significantly increased risks (e.g. fire risk) in areas outside the plantation (see Criterion 5.5).</p> <p>For National Interpretation:</p> <p>National Interpretation will determine specific controls and thresholds, such as slope limits, listing soil types on which planting should be avoided (especially peat soils), the proportion of plantation area that can include marginal/fragile soils, and definitions of ‘extensive’, ‘marginal’, ‘fragile’, and ‘excessive’.</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
7.5	<p>No new plantings are established on local peoples' land where it can be demonstrated that there are legal, customary or user rights, without their free, prior and informed consent. This is dealt with through a documented system that enables these and other stakeholders to express their views through their own representative institutions.</p>	<p>Indicators:</p> <p>7.5.1 Evidence shall be available that affected local peoples understand they have the right to say 'no' to operations planned on their lands before and during initial discussions, during the stage of information gathering and associated consultations, during negotiations, and up until an agreement with the grower/miller is signed and ratified by these local peoples.</p> <p>Refer also to criteria 2.2, 2.3, 6.2, 6.4 and 7.6 for Indicators and Guidance on compliance.</p> <p>Guidance:</p> <p>This activity should be integrated with the Social and Environmental Impact Assessment (SEIA) required by Criterion 7.1.</p> <p>Where new plantings are considered to be acceptable, management plans and operations should maintain sacred sites. Agreements with indigenous peoples, local communities and other stakeholders should be made without coercion or other undue influence (see Guidance for Criterion 2.3).</p> <p>Relevant stakeholders include those affected by or concerned with the new plantings.</p> <p>Free, prior and informed consent (FPIC) is a guiding principle and should be applied to all RSPO members throughout the supply chain. Refer to RSPO approved FPIC guidance (<i>'FPIC and the RSPO; A Guide for Companies'</i>, October 2008).</p> <p>Customary and user rights will be demonstrated through participatory user mapping as part of the FPIC process.</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
7.6	<p>Where it can be demonstrated that local peoples have legal, customary or user rights, they are compensated for any agreed land acquisitions and relinquishment of rights, subject to their free, prior and informed consent and negotiated agreements.</p>	<p>Indicators:</p> <ul style="list-style-type: none">7.6.1 Documented identification and assessment of demonstrable legal, customary and user rights shall be available.7.6.2 A system for identifying people entitled to compensation shall be in place.7.6.3 A system for calculating and distributing fair compensation (monetary or otherwise) shall be in place.7.6.4 Communities that have lost access and rights to land for plantation expansion shall be given opportunities to benefit from plantation development.7.6.5 The process and outcome of any compensation claims shall be documented and made publicly available.7.6.6 Evidence shall be available that the affected communities and rights holders have access to information and advice, that is independent of the project proponent, concerning the legal, economic, environmental and social implications of the proposed operations on their lands. <p>Specific Guidance:</p> <p>For 7.6.1: This activity shall be integrated with the social and environmental impact assessment (SEIA) required by Criterion 7.1.</p> <p>For 7.6.6: Growers and millers will confirm that the communities (or their representatives) gave consent to the initial planning phases of the operations prior to the new issuance of a concession or land title to the operator.</p> <p>Guidance:</p> <p>Refer to Criteria 2.2, 2.3 and 6.4 and associated Guidance.</p> <p>This requirement includes indigenous peoples (see Annex 1).</p> <p>Refer to RSPO approved FPIC guidance (<i>'FPIC and the RSPO; A Guide for Companies'</i>, October 2008)</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
7.7	No use of fire in the preparation of new plantings other than in specific situations, as identified in the ASEAN guidelines or other regional best practice.	<p>Indicators:</p> <p>7.7.1 There shall be no land preparation by burning, other than in specific situations, as identified in the 'Guidelines for the Implementation of the ASEAN Policy on Zero Burning' 2003, or comparable guidelines in other regions.</p> <p>7.7.2 In exceptional cases where fire has to be used for preparing land for planting, there shall be evidence of prior approval of the controlled burning as specified in 'Guidelines for the Implementation of the ASEAN Policy on Zero Burning' 2003, or comparable guidelines in other regions.</p> <p>Specific Guidance:</p> <p>For 7.7.2: This activity shall be integrated with the social and environmental impact assessment (SEIA) required by Criterion 7.1.</p> <p>Guidance:</p> <p>Fire should be used only where an assessment has demonstrated that it is the most effective and least environmentally damaging option for minimising the risk of severe pest and disease outbreaks, and exceptional levels of caution are required for use of fire on peat. This should be subject to regulatory provisions under respective national environmental legislation. Extension/training programmes for smallholders may be necessary.</p> <p>For National Interpretation:</p> <p>National Interpretation will identify any specific situations where such use of fire may be acceptable, for example through reference to 'Guidelines for the Implementation of the ASEAN Policy on Zero Burning' 2003, or comparable guidelines in other regions.</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
7.8	<i>Preamble</i>	<p><i>It is noted that oil palm and all other agricultural crops emit and sequester greenhouse gases (GHG). There has already been significant progress by the oil palm sector, especially in relation to reducing GHG emissions relating to operations. Acknowledging both the importance of GHGs, and the current difficulties of determining emissions, the following new Criterion is introduced to demonstrate RSPO's commitment to establishing a credible basis for the Principles and Criteria on GHGs.</i></p> <p><i>Growers and millers commit to reporting on projected GHG emissions associated with new developments. However, it is recognised that these emissions cannot be projected with accuracy with current knowledge and methodology.</i></p> <p><i>Growers and millers commit to plan development in such a way to minimise net GHG emissions towards a goal of low carbon development (noting the recommendations agreed by consensus of the RSPO GHG WG2).</i></p> <p><i>Growers and millers commit to an implementation period for promoting best practices in reporting to the RSPO, and after December 31st 2016 to public reporting. Growers and millers make these commitments with the support of all other stakeholder groups of the RSPO.</i></p>
7.8	New plantation developments are designed to minimise net greenhouse gas emissions.	<p>Indicators:</p> <p>7.8.1: The carbon stock of the proposed development area and major potential sources of emissions that may result directly from the development shall be identified and estimated.</p> <p>7.8.2: There shall be a plan to minimise net GHG emissions which takes into account avoidance of land areas with high carbon stocks and/or sequestration options.</p> <p>Specific Guidance:</p> <p>For 7.8.1: GHG identification and estimates can be integrated into existing processes such as HCV and soil assessments.</p> <p>The RSPO carbon assessment tool for new plantings will be available to identify and estimate the carbon stocks. It is acknowledged that there are other tools and methodologies currently in use; the RSPO working group will not exclude these, and will include these in the review process.</p> <p>The RSPO PalmGHG tool or an RSPO-endorsed equivalent will be used to estimate future GHG emissions from new developments using, amongst others, the data from the RSPO carbon assessment tool for new plantings.</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
		<p>Parties seeking to use an alternative tool for new plantings will have to demonstrate its equivalence to the RSPO for endorsement.</p> <p>For 7.8.2: Growers are strongly encouraged to establish new plantings on mineral soils, in low carbon stock areas, and cultivated areas, which the current users are willing to develop into oil palm. Millers are encouraged to adopt low-emission management practices (e.g. better management of palm oil mill effluent (POME), efficient boilers etc.) in new developments.</p> <p>Growers and millers should plan to implement RSPO best management practices for the minimisation of emissions during the development of new plantations.</p> <p>Guidance This Criterion covers plantations, mill operations, roads and other infrastructure. It is recognised that there may be significant changes between the planned and final development area, hence the assessment may need to be updated before the time of implementation.</p> <p>Public reporting is desirable, but remains voluntary until the end of the implementation period. During the implementation period until December 31st 2016 (as specified in Criterion 5.6), reporting on GHG will be to a relevant RSPO working group (composed of all membership categories) which will use the information reported to review and fine tune the tools, emission factors and methodologies, and provide additional guidance on the process. During the implementation period the RSPO working group will seek to further develop and continually improve the RSPO carbon assessment tool for new plantings, recognising the challenges associated with estimating carbon stocks and projecting GHG emissions from new developments.</p> <p>Thereafter growers and millers will ensure that new plantation developments are designed to minimise net GHG emissions and commit to reporting publicly on this.</p> <p>Once established, new developments should report on-going operational, land use and land use change emissions under Criterion 5.6.</p> <p>For National Interpretation: National Interpretation will provide guidance within the national context for national requirements (e.g. high and low carbon stock lands or emission reduction requirements).</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

7.1 A comprehensive and participatory independent social and environmental impact assessment is undertaken prior to establishing new plantings or operations, or expanding existing ones, and the results incorporated into planning, management and operations.

Guidance for scheme managers:

When proposed new plantings include schemes for smallholders, scheme managers should ensure that local communities, indigenous peoples and prospective smallholders participate actively in impact assessments. In addition to the considerations outlined in the RSPO P&C such assessments must include participatory consideration of:

- Land use planning and land allocations to smallholders and arrangements regarding land acquisition.
- Identification and mitigation of environmental impact, road building and road maintenance.
- Debt provisions and payments, FFB pricing procedures, transport and grading
- Conservation Values (see criterion 7.3) that could be negatively affected.
- Assessment of potential effects on adjacent natural ecosystems of planned smallholding developments, including whether development or expansion will increase pressure on nearby natural ecosystems.
- Identification of watercourses and assessment of potential effects on hydrology by planned smallholding developments. Measures should be planned and implemented to maintain the quantity and quality of water resources.
- Baseline soil surveys and topographic information, including the identification of marginal and fragile soils, areas prone to erosion and slopes unsuitable for planting
- Analysis of type of land to be used (forest, degraded forest, cleared land).
- Analysis of land ownership and user rights.
- Analysis of current land use patterns.
- Assessment of potential social impact on surrounding communities of the group of smallholdings, including an analysis of differential effect on women versus men, ethnic communities, migrant versus long-term residents.
- Plans and field operations should be developed and implemented with the participation of smallholders to incorporate the results of the assessment.

National interpretations should consider setting a minimum threshold of the size of new plantings, e.g. 50 ha, above which an SEIA is required and should consider listing unacceptable negative social impacts (e.g., displacement, loss of the food security of local people, etc.) in the national context.



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

Guidance for group managers:

Where groups plan to expand their aggregate holdings by more than 500 ha. in any one year, group managers should ensure that local communities, indigenous peoples and prospective smallholders participate actively in impact assessments. In addition to the considerations outlined in the RSPO P&C such assessments must include participatory consideration of:

- Land use planning and land allocations to smallholders and arrangements regarding land acquisition.
- Identification and mitigation of environmental impact, road building and road maintenance
- Conservation Values (see criterion 7.3) that could be negatively affected.
- Assessment of potential effects on adjacent natural ecosystems of planned smallholding developments, including whether development or expansion will increase pressure on nearby natural ecosystems.
- Identification of watercourses and assessment of potential effects on hydrology by planned smallholding developments. Measures should be planned and implemented to maintain the quantity and quality of water resources.
- Baseline soil surveys and topographic information, including the identification of marginal and fragile soils, areas prone to erosion and slopes unsuitable for planting, where such data already exists
- Analysis of type of land to be used (forest, degraded forest, cleared land).
- Analysis of land ownership and user rights.
- Analysis of current land use patterns.
- Assessment of potential social impact on surrounding communities of the group of smallholdings, including an analysis of differential effect on women versus men, ethnic communities, migrant versus long-term residents.

Where groups plan to expand their aggregate holdings by less than 500 ha. In any one year, group managers should carry out a simplified social and environmental impact assessment which assesses HCVs, identifies suitable lands and other rights holders.



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

7.2 Soil surveys and topographic information are used for site planning in the establishment of new plantings, and the results are incorporated into plans and operations.

Guidance for scheme managers:

Scheme managers must ensure that they apply the same procedures required for mills and new estates to all associated smallholder schemes. Information on soils may be collected and provided by scheme management or the mill that purchases the scheme's FFB.

National interpretations should include detail on peat depth.

Guidance for group managers:

Where groups plan to expand their aggregate holdings by more than 500 ha. in any one year, group managers must ensure that these requirements are applied to all group members planning to expand their holdings or acquire new ones. National interpretations should include detail on peat depth.

Where groups plan to expand their aggregate holdings by less than 500 ha. in any one year only a simplified soil survey is required (see 7.1)

7.3 New plantings since November 2005 have not replaced primary forest or any area required to maintain or enhance one or more High Conservation Values.

Guidance for scheme managers:

Scheme managers must ensure that this criterion is applied to scheme smallholdings.

Guidance for group managers:

Group managers must ensure that this criterion is applied to group smallholdings. This criterion also applies to independent smallholders who later seek to become members of smallholder groups seeking certification.



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

7.4 Extensive planting on steep terrain, and/or marginal and fragile soils, including peat, is avoided.

Guidance for scheme managers:

Scheme managers must ensure that no lands are allocated to scheme smallholders on steep terrain and/or on marginal and fragile soils. Where limited planting is proposed it must be fully justified and must not to push people into poverty, and must be done in accordance with the indicators.

National interpretations should consider including specific controls and thresholds, such as slope limits, listing soil types that on which planting should be avoided (especially peat soils), the proportion of plantation area that can include marginal/fragile soils, and/or definitions of 'extensive', 'marginal' and 'fragile'. Marginal and degraded lands suitable for restoration should be distinguished from fragile lands that need to be avoided.

Guidance for group managers:

Where groups plan to expand their aggregate oil palm holdings by more than 500 ha. in any one year, group managers must ensure that no new lands are acquired by existing group members on steep terrain and/or on marginal and fragile soils.

7.5 No new plantings are established on local peoples' land where it can be demonstrated that there are legal, customary or user rights, without their free, prior and informed consent. This is dealt with through a documented system that enables these and other stakeholders to express their views through their own representative institutions.

Guidance for scheme managers:

This criterion must be considered with 2.2, 2.3, 6.4 and 7.6. Scheme managers must ensure that they first identify local owners of any and all lands for proposed scheme smallholdings. Scheme managers must provide:

- Maps showing extent of recognised customary rights (criteria 2.3, 7.5 and 7.6).
- Copies of negotiated agreements detailing process of consent (criteria 2.3, 7.5 and 7.6)

General Guidance:

- Where lands are encumbered by legal or customary rights, the group manager must demonstrate that these rights are understood by group members and are not being threatened or reduced.



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

This criterion allows for sales and negotiated agreements to compensate other users for lost benefits and/or relinquished rights. Negotiated agreements to acquire lands for group smallholdings should be non-coercive and entered into voluntarily, carried out prior to new investments or operations and based on an open sharing of all relevant information in appropriate forms and languages, including assessments of impacts, proposed benefit sharing and legal arrangements. Communities must be permitted to seek legal counsel if they so choose. Communities selling or leasing lands must be represented through institutions or representatives of their own choosing, operating transparently and in open communication with other community members. Adequate time must be given for customary decision-making and iterative negotiations allowed for, where requested. Negotiated agreements should be binding on all parties and enforceable in the courts.

Scheme managers have maps or other documents showing the land holdings of group smallholders and can show these lands are not claimed or contested by third parties with legitimate claims.

Scheme managers can show that lands acquired for smallholders do not diminish legal or customary rights. Where others' customary or legally owned lands have been taken- over there is proof of transfer of rights (e.g. sale or lease) and of payment or provision of agreed compensation.

Guidance for group managers:

This criterion must be considered with 2.2, 2.3, 6.4 and 7.6. Group managers must ensure that members first identify local owners of any and all lands for the expansion or acquisition of new group smallholdings.

General Guidance:

Where lands are encumbered by legal or customary rights, the group manager must demonstrate that these rights are understood by group members and are not being threatened or reduced.



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

7.6

Where it can be demonstrated that local peoples have legal, customary or user rights, they are compensated for any agreed land acquisitions and relinquishment of rights, subject to their free, prior and informed consent and negotiated agreements.

This criterion allows for sales and negotiated agreements to compensate other users for lost benefits and/or relinquished rights. Negotiated agreements to acquire lands for group smallholdings should be non-coercive and entered into voluntarily, carried out prior to new investments or operations and based on an open sharing of all relevant information in appropriate forms and languages, including assessments of impacts, proposed benefit sharing and legal arrangements. Those selling or leasing lands must be permitted to seek legal counsel if they so choose. Communities selling or leasing lands must be represented through institutions or representatives of their own choosing, operating transparently and in open communication with other community members. Adequate time must be given for customary decision-making and iterative negotiations allowed for, where requested. Negotiated agreements should be binding on all parties and enforceable in the courts.

Group managers have maps or other documents showing the land holdings of group smallholders and can show these lands are not claimed or contested by third parties with legitimate claims.

Group managers can show that lands acquired for smallholders do not diminish legal or customary rights. Where others' customary or legally owned lands have been taken- over there is proof of transfer of rights (e.g. sale or lease) and of payment or provision of agreed compensation.

Guidance for scheme managers:

See 7.5 above

Guidance for group managers:

See 7.5 above



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	GUIDANCE FOR SMALLHOLDERS
7.7	No use of fire in the preparation of new plantings other than in specific situations, as identified in the ASEAN guidelines or other regional best practice.	<p>Guidance for scheme managers:</p> <p>Scheme managers must ensure that no fire is used to establish new plantings. Fire should be used only where an assessment has demonstrated that it is the most effective and least environmentally damaging option for minimising the risk of severe pest and disease outbreaks during the preparation of new plantings, and with evidence that fire-use is carefully controlled.</p> <p>National interpretation should identify any specific situations where such use of fire may be acceptable, for example through reference to ‘Guidelines for the implementation of the ASEAN policy on zero burning’, or comparable guidelines in other locations.</p> <p>Guidance for group managers:</p> <p>Group managers must ensure that no fire is used to establish new plantings.</p> <p>Fire should be used only where an assessment has demonstrated that it is the most effective and least environmentally damaging option for minimising the risk of severe pest and disease outbreaks during the preparation of new plantings, and with evidence that fire-use is carefully controlled.</p> <p>National interpretation should identify any specific situations where such use of fire may be acceptable, for example through reference to ‘Guidelines for the Implementation of the ASEAN policy on zero burning’ or comparable guidelines in other locations.</p>
7.8	<i>Preamble</i>	<p><i>It is noted that oil palm and all other agricultural crops emit and sequester greenhouse gases (GHG). There has already been significant progress by the oil palm sector, especially in relation to reducing GHG emissions relating to operations. Acknowledging both the importance of GHGs, and the current difficulties of determining emissions, the following new Criterion is introduced to demonstrate RSPO’s commitment to establishing a credible basis for the Principles and Criteria on GHGs.</i></p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO. PRINCIPLES AND CRITERIA

GUIDANCE FOR SMALLHOLDERS

7.8 New plantation developments are designed to minimise net greenhouse gas emissions.

Growers and millers commit to reporting on projected GHG emissions associated with new developments. However, it is recognised that these emissions cannot be projected with accuracy with current knowledge and methodology.

Growers and millers commit to plan development in such a way to minimise net GHG emissions towards a goal of low carbon development (noting the recommendations agreed by consensus of the RSPO GHG WG2).

Growers and millers commit to an implementation period for promoting best practices in reporting to the RSPO, and after December 31st 2016 to public reporting. Growers and millers make these commitments with the support of all other stakeholder groups of the RSPO

Guidance for scheme managers:

Scheme managers must develop an action plan for continual improvement, developed in a participatory manner with smallholders, based on a consideration of the main social and environmental impacts and opportunities of the smallholdings, and should include a range of indicators covered by these principles and criteria.

Guidance for group managers:

Group managers must develop an action plan for continual improvement, developed in a participatory manner with group smallholders, based on a consideration of the main social and environmental impacts and opportunities of the smallholdings, and should include a range of indicators covered by these principles and criteria.



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	GUIDANCE FOR SMALLHOLDERS
7.1	<p>A comprehensive and participatory independent social and environmental impact assessment is undertaken prior to establishing new plantings or operations, or expanding existing ones, and the results incorporated into planning, management and operations.</p>	<p>National Legislation:</p> <ul style="list-style-type: none"> Section 25 Act No 11/2008 Environment Protection Act <p>International Legislation:</p> <p>NONE APPLICABLE</p>
7.2	<p>Soil surveys and topographic information are used for site planning in the establishment of new plantings, and the results are incorporated into plans and operations.</p>	<p>National Legislation:</p> <p>NONE APPLICABLE</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>
7.3	<p>New plantings since November 2005 have not replaced primary forest or any area required to maintain or enhance one or more High Conservation Values.</p>	<p>National Legislation:</p> <p>NONE APPLICABLE</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
7.4	Extensive planting on steep terrain, and/or marginal and fragile soils, including peat, is avoided.	<p>National Legislation:</p> <p>NONE APPLICABLE</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>
7.5	No new plantings are established on local peoples' land where it can be demonstrated that there are legal, customary or user rights, without their free, prior and informed consent. This is dealt with through a documented system that enables these and other stakeholders to express their views through their own representative institutions.	<p>National Legislation:</p> <p>NONE APPLICABLE</p> <p>International Legislation:</p> <p>NONE APPLICABLE</p>
7.6	Where it can be demonstrated that local peoples have legal, customary or user rights, they are compensated for any agreed land acquisitions and relinquishment of rights, subject to their free, prior and informed consent and negotiated agreements.	<p>National Legislation:</p> <ul style="list-style-type: none"> • Sections 21 and 15 (d) Act No 6/1991 The Constitution of Sierra Leone. <p>International Legislation:</p> <p>NONE APPLICABLE</p>



PRINCIPLE 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

NO.	PRINCIPLES AND CRITERIA	LAWS, CONVENTIONS & TREATIES
7.7	No use of fire in the preparation of new plantings other than in specific situations, as identified in the ASEAN guidelines or other regional best practice.	National Legislation: NONE APPLICABLE International Legislation: NONE APPLICABLE
7.8	New plantation developments are designed to minimise net greenhouse gas emissions.	National Legislation: NONE APPLICABLE International Legislation: NONE APPLICABLE



PRINCIPLE 8: COMMITMENT TO CONTINUAL IMPROVEMENT IN KEY AREAS OF ACTIVITY

NO.	PRINCIPLES AND CRITERIA	INDICATORS/GUIDANCE
8.1	<p>Growers and millers regularly monitor and review their activities, and develop and implement action plans that allow demonstrable continual improvement in key operations.</p>	<p>Indicators:</p> <p>8.1.1 The action plan for continual improvement shall be implemented, based on a consideration of the main social and environmental impacts and opportunities of the grower/mill, and shall include a range of Indicators covered by these Principles and Criteria.</p> <p>As a minimum, these shall include, but are not necessarily be limited to:</p> <ul style="list-style-type: none">• Reduction in use of pesticides(Criterion 4.6);• Environmental impacts (Criteria 4.3, 5.1 and 5.2);• Waste reduction (Criterion 5.3);• Pollution and greenhouse gas (GHG) emissions (Criteria 5.6 and 7.8);• Social impacts (Criterion 6.1);• Optimising the yield of the supply base. <p>Guidance:</p> <p>Growers should have a system to improve practices in line with new information and techniques, and a mechanism for disseminating this information throughout the workforce. For smallholders, there should be systematic guidance and training for continual improvement.</p> <p>For National Interpretation:</p> <p>National Interpretation will include specific minimum performance thresholds for key indicators (Criteria 4.2, 4.3, 4.4, and 4.5).</p>



PRINCIPLE 8: COMMITMENT TO CONTINUAL IMPROVEMENT IN KEY AREAS OF ACTIVITY

NO.	PRINCIPLES AND CRITERIA	GUIDANCE FOR SMALLHOLDERS
8.1	Growers and millers regularly monitor and review their activities, and develop and implement action plans that allow demonstrable continual improvement in key operations.	<p>Guidance for scheme managers:</p> <p>Scheme administrators should develop an action plan according to the scheme.</p> <p>Scheme managers must develop an action plan for continual improvement, developed in a participatory manner with group smallholders, based on a consideration of the main social and environmental impacts and opportunities of the smallholdings, and should include a range of indicators covered by these principles and criteria</p> <p>Guidance for group managers:</p> <p>This criterion is currently not applicable</p>



PRINCIPLE 8: COMMITMENT TO CONTINUAL IMPROVEMENT IN KEY AREAS OF ACTIVITY

NO. PRINCIPLES AND CRITERIA

LAWS, CONVENTIONS & TREATIES

8.1 Growers and millers regularly monitor and review their activities, and develop and implement action plans that allow demonstrable continual improvement in key operations.

National legislation:

- Statutory Instrument No 14/2010 The Environment Protection Agency (Environmental Impact Assessment Licence) Regulation.

International legislation:

NONE APPLICABLE

ANNEX 1

LIST OF RELATED INTERNATIONAL STANDARDS

Principles	International Standards	Key Provisions	Summary of Protections
Just Land Acquisition	ILO Convention 169 (1989) on Indigenous and Tribal people	Article 13-19	Respect and safeguard rights to lands and natural resources traditionally occupied and used; respect for customs of inheritance; no forced removals; compensation for loss and injury.
	UN Declaration on the Rights of Indigenous Peoples (2007)	Articles 25, 26	Right to distinctive relationship with land; right to own, use, develop and control their lands, territories and other resources.
	UN Convention on Biological Diversity (1992)	Article 10 (c)	Protect and encourage Customary use of biological resource in accordance with tradition practice.
Fair Representation and participation of Indigenous and Tribal Peoples	ILO Convention 169 (1989) on Indigenous and Tribal Peoples	Article 10 (c)	Represent themselves through their own representative institutions; consultations with objective of achieving agreement or consent; rights to decide own priorities, retain their own customs and resolve offense according to customary law (compatible with international human rights).
	UN Declaration on the Rights of In of Indigenous Peoples (2007)	Article 10, 11 (2), 19, 28 (1), 29 (2) and 32 (2)	Right to free, prior and informed consent to any project affecting their lands as expressed through their own representative institutions.
	Convention on the Elimination of All Forms of Racial Discrimination, International Covenant on Economics, Social and Culture Rights, Inter American Human Rights System.	UN CERD Committee, UN Committee on Social Cultural and Economic Rights, Inter-American Commission on Human Rights.	Free, Prior and Informed Consent for decisions that may affect indigenous peoples. (This standard has been widely accepted as a 'best practice standard by bodies such as World Commission on Dams, Extractive Industrial Review, Forest Stewardship Council, UNDP, CBD, IUCN and WWF).

No Forced Labour	ILO Convention 29 (1930) Forced Labour	Article 5	No concession to companies shall involve any form of forced or compulsory labour.
	ILO Convention 105 (1957) Abolition of Forced Labour	Article 1	No concession to companies shall involve any form of forced or compulsory labour.
Protection of Children	ILO Convention 138 (1973) Minimum Age	Article 1-3	Abolition of child labour and definition of national minimum age for labour not less than 15-18 years (depending on occupation).
	(1999) Worst Forms of Child Labour	Article 1-7	Abolition of child slavery, debt, bondage, trafficking and procurement for prostitution; suitable methods to monitor and enforce compliance.
	UN Declaration on the Rights of Indigenous Peoples (2007)	Articles 17 (2), 21, 22 (2)	No exploitation or exposure to hazard or discrimination against indigenous women and children.
Freedom of Association and Collective Bargaining	ILO Convention 87 (1948) Freedom of Association and Protection of Right to Organise	Article 2-11	Freedom to join organisations, federations and confederations of their own choosing; with freely chosen constitutions, and rules; measures to protect the right to organise.
	ILO Convention 98 (1949) Right to Organise and Collective Bargaining	Article 1-4	Protection against anti-union acts and measures to dominate unions; established means for voluntary negotiation of terms and condition of employment through collective agreements.
	ILO Convention 141 (1975) Rural Workers' Organization	Article 2-3	Right of tenants, sharecropper and smallholders to organise; freedom of association; free from interference and coercion.
	UN Declaration on the Rights of Indigenous Peoples (2007)	Article 3	Indigenous people have the right to self-determination and to freely pursue their economics, social and culture development.
Non-Discrimination and Equal Remuneration	ILO Convention 100 (1958) Equal Remuneration	Article 1-3	Equal remuneration for men and women for work of equal value.
	ILO Convention 111 (1958)	Article 1-2	Equality of opportunity and treatment in respect to employment and occupation; no discrimination of the basis of race, colour, sex, religion, political opinion, national extraction or social origin

	UN Declaration on the Rights of Indigenous People (2007)	Article 1-9	Provision of information; no obstacle to travel; provision of health care; non-discrimination in employment, accommodation, social security and remuneration; no forced repatriation of legal migrant workers; repatriation savings.
	ILO Convention 143 (1975) Migrant Workers (Supplementary Provision)	Articles 1-12	Respect basic human rights; protection of illegal migrant from abusive employment; no trafficking in illegal migrant fair treatment of migrant labour.
Protection of Plantation Workers	ILO Convention 110 (1958) Plantations	Articles 5-91	Protection of members of families of recruited workers; protection of workers' right during recruitment and transport; fair employment contracts; abolition of penal sanctions; fair wages and condition of work; no coercion or obligation to use company stores; adequate accommodation and condition; and maternity protection compensation for injuries and accidents; freedom of association; right to organise and collective bargaining; proper labour inspection; decent housing and medical care.
Protection of Tenants and Sharecropper	ILO Recommendation 132 (1968) Tenants and Sharecropper	Article 4-8	Fair rents; adequate payment for crops; provisions for well-being; voluntary organization; fair contract; procedures for the settlement of dispute.
Protection of Smallholder	ILO Convention 117 (1962) Social Policy (Basic Aims and Standards)	Article 4	Alienation with due regard to customary right; assistant to form cooperation; tenancy arrangements to secure highest possible living standards.
Health and Safety	ILO Convention 184 (2001) Safety and Health in Agriculture	Article 7-21	Carry out risk assessments and adopt prevention and protective measures to ensure to workplace, machinery, equipment, chemicals, tools and processes, ensure dissemination of information, appropriate training, supervision and compliance; special protections for youth and woman workers; coverage against occupational injuries and disease.
Control or Eliminate Use of Dangerous Chemicals and Pesticides	Stockholm Convention on Persistent Organic Pollutants (2001)	Articles 1-5	Prohibit and/or eliminate production and use of chemical listed in Annex A (eg Aldrin, Chlordane, PCB); restrict production and use of chemicals in Annex B (e.g DDT); reduce or eliminate release of chemicals listed in Annex C (e.g. Hexachorobenzene).

	FAO International Code of Conduct on the Distribution and Use of Pesticides (1985, Revised 2002)	Article 5	Curtail use of dangerous pesticides where control is difficult; ensure use of protective equipment and techniques; provide extension service to smallholders and farmers, protect workers and bystanders; make available full information on risks and protections; protect biodiversity and minimize impacts on environment; ensure safe disposal of waste and equipment; make provisions for emergency treatment for poisoning.
	Rotterdam Conventions on prior and Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade (1998)	Article 1,5 and 6	Curb trade in banned and hazardous chemicals and pesticides; develop national procedures for control of their use and trade; list banned and hazardous chemical and pesticides.
	UN Declaration on the Rights of Indigenous Peoples (2007)	Article 21 (1), 23, 24, 29 (3)	Improvement of livelihood in sanitation, health and housing; participate in health delivery; maintain tradition health system; effective monitoring of health.