

Particulars

About Your Organisation

1.1 Name of your organization

United Plantations Bhd

1.2 What is/are the primary activity(ies) or product(s) of your organization?

- Oil Palm Growers
 - Palm Oil Processors and/or Traders
 - Consumer Goods Manufacturers
 - Retailers
 - Banks and Investors
 - Social or Development Organisations (Non Governmental Organisations)
 - Environmental or Nature Conservation Organisations (Non Governmental Organisations)
 - Affiliate Members
 - Supply Chain Associate
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1.3 Membership number

1-0004-04-000-00

1.4 Membership category

Ordinary

1.5 Membership sector

Oil Palm Growers

Oil Palm Growers**Operational Profile****1.1 Please state your main activities as a palm oil grower**

- Oil palm grower & miller

Operations and Certification Progress**2.1.1 Please state your number of estates/management units**

11.00

2.1.2 Total land controlled/managed* for oil palm cultivation, planted (already planted areas and areas used for roads, mills, housing and other associated infrastructure)

46,836.00

2.1.3 Total area unplanted (land area controlled/managed that is designated for future planting of oil palm)

600.00

2.1.4 Total land designated and managed as HCV areas

6,000.00

2.1.5 Other conservation areas set aside excluding HCV areas reported in 2.1.4

2,414.00

2.1.6 Total land under scheme/plasma smallholders certified

0.00

2.1.6.1 Land still uncertified under scheme/plasma smallholders

552.00

2.1.7 Total land area controlled/managed for oil palm cultivation (This is an auto sum of 2.1.2 - 2.1.6.1)

55,850.00

2.2 Certification progress**2.2.1 Number of estates/Management Units certified**

9.00

2.2.2 Total certified area*

35,309.00 ha

2.3 In which countries are your estates?**2.3.1 Indonesia - Please indicate which province(s)**

- Kalimantan Tengah

2.3.2 Malaysia - please indicate which state(s)

- Perak
- Selangor

2.3.3 Other - please indicate which country(ies)

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2.4 New plantings and developments (Exclude replanting):**2.4.1 New area planted in this reporting period**

- ha

2.4.2 Have New Planting Procedures notifications been submitted to the RSPO for plantings this year?

No

2.5 Supply of Fresh Fruit Bunches (FFB)**2.5.1 Please choose from the list below if you have smallholders and/or outgrowers as part of your supply base?**

no

2.6 FFB processing operations**2.6.1 Number of Palm Oil Mills operated**

5

2.6.2 Number of Palm Oil Mills certified

4

2.6.3 Number of Palm Kernel crushers and/or Palm Kernel mills operated

-

2.6.4 Number of Palm Kernel crushers and/or Palm Kernel mills certified

-

Supply Chain Used**3.1 Which supply chain options do you sell RSPO-certified palm oil products through?**

- Segregated
- Identity Preserved

Time-Bound Plan**4.1 Year of first RSPO estate certification (planned or achieved)**

2008

Comment:

All our Palm Oil Mills in Malaysia (4units) were certified on 21st August 2008 and subsequent re-certification was on 21st August 2013.

4.2 Year expected to achieve 100% RSPO certification of estates

2019

Comment:

PT Surya Sawit Sejati (PT SSS) is located in Pangkalan Bun, Kotawaringin Barat District, Central Kalimantan Province and was purchased by this company (United Plantations Berhad) early 2007. At the time of purchase PT SSS had approvals and possession of HGU no 42 (Land Title) for 2,508.472 ha issued by the National Land Authority/BPN Kotawaringin Barat on 9 August 2005 and the remaining of ± 13,000 ha had been further processed application of HGU – in 2007 up to the Cadastral (Land Office Boundary Confirmation) ref.113.540.42.2007. The Land Boundary Confirmation was based on Spatial Management Plan (RTRWP 2003) for Central Kalimantan Province, which mapped and designated this area as non-forest land (KKP -Kawasan Pengembangan Produksi and KPPL-Kawasan Pemukiman dan Penggunaan Lain).

In 2008, PT SSS received a letter from the National Land Authority (BPN-Badan Pertanahan Nasional) that the process for application of HGU of ± 13,000 ha was postponed as a result of the forest land release (Izin Pelepasan Kawasan Hutan) issued by the Ministry of Forestry (Reference Letter No.800.540.42 dated September 2008) due to the discrepancy between RTRWP 2003 and TGHK (Tata Guna Hutan Kesepakatan) 1982, which is prevalent in Kalimantan Tengah. As per TGHK 1982, PT SSS's land was partially in the forest zone area.

PT SSS accordingly submitted an application for land release (Izin Pelepasan) since 5 June 2009 based on PP no 10 year of 2010. Unfortunately the process in securing the required land release documents were held back due to ongoing uncertainties by the Local and Central Government on whether to follow RTRWP 2003 or TGHK 1982 and also the fact that there was No Bupati of Kalimantan Barat District until the end of 2011, hence bringing all decisions to a standstill.

With the appointment of the new Bupati towards end 2011, the process of PT SSS's land release was completed and submitted to the Forestry Ministry. However in April 2012, PT SSS received a letter from the Ministry of Forestry stating the application for forest release would be postponed until the "harmonization process" of the zoning map based on RTRWP 2003 with the new forestry map of 2011 (changing TGHK 1982 map) had been completed pending the amendment of the "Peraturan Pemerintah No.10, 2010" concerning converting the forest zone use and functions according to the Director of General Planology, Forestry Ministry No.S.431/V11-KLH/2012, dated 19th April 2012.

On 6th July 2012 The President of The Republic of Indonesia issued the amendment of the Peraturan Pemerintah No.10 year 2010, namely Peraturan Pemerintah No.60 year 2012.

From the flow chart of PP No 60 of 2012, any company affected by the "harmonization process has to go back to the drawing board and initiate the forest release application according to the new regulation.

In this respect, PT SSS has successfully obtained the Pelepasan Decree from the Investment Coordinating Board on behalf of the Environment and Forestry Minister for 5,122.73 ha on 20 March 2015. For this 5,122.73 ha, HGU application has been done to BPN. However, the 4,717.03 ha of HP area are still in the process of Land Swap under the PP no 60 year 2012.

Meanwhile, PT SSS's application for land release of 1,769.61 ha of land in the forest zone from its original HGU area of 2,508.47 was not supported by the Land Office, as the Land Office is of the opinion that once HGU is approved (as under KPP/KPPL in RTRWP 2003) there is no necessity to apply for land release, very much contrary to the Ministry of Forestry's stand. PT SSS had envisaged to certify this 2,508.47 ha of HGU area in its Lada Estate in Q4 of 2016 as per its time bound plan. However due to the difference in opinions between the Land Office and the Ministry of Forestry, which of late has surfaced, PT SSS has to postpone its time bound plan.

The President of The Republic of Indonesia issued Peraturan Pemerintah 104, 2015 dated 28 Dec 2015 and made available to the public in early 2016 a new protocol for the "harmonization process" replacing PP 60/2012. This will prolong the process of 4,717.03 ha of HP area Land Swap ; as the re application has been submitted by 17 February 2016 to the Forestry Department. PT SSS is in the process of submitting all documents for its 1769.61ha (from its original HGU) to the Investment Coordinating Board/ Badan Koordinasi Penanaman Modal/BKPM. The balance 738.86 ha in the HGU can not proceed with certification as part of this area falls in PT SSS's Final Compensation Liability (FCL) and can only proceed on acceptance of its proposal by RSPO. PT SSS is currently exploring various options for its FCL.

The Company (PTSSS) in view of several sudden and unexpected changes in legislation and laws by the authorities, combined with deferring views between the National and Regional bodies in terms of land tenure and designation as explained in detail above which is totally beyond its control, is now compelled to defer its time bound plan.

In view of this, the time bound plan to certify PTSSS1 is in 2017. The liquidation of PTSSS2, leaves only PTSSS 1 business Unit (Lada Oil Mill, Lada Estate Runtu Estate & Arut-Kumai Plasma Package) to be certified. As all our PLASMA's are fully managed by INTI; the certification scope will cover all Plasma's (existing ones and Arut-Kumai Plasma Package) in conjunction with INTI's certification for both RSPO & ISPO.

Both RSPO&ISPO secretariat have confirmed that certifications can be done on the HGU portion of the estates and subsequently in tandem as and when HGU are obtained and on acceptance of HCV compensation proposal by RSPO.

In this connection we are slated to go for RSPO and ISPO certification of HGU obtained land in the 3rd quarter of 2017 and balance by 2019.

4.3 Year expected to achieve 100% RSPO certification of associated smallholders and outgrowers

2022

Comment:

The outside crop received in our Lada Palm Oil Mill are from non associated smallholders and outgrowers and we will continue to educate them in RSPO P&Cs , however they have an option to sent elsewhere. Soon they will have to comply with ISPO which is compulsory.

4.4 Year expected to achieve 100% RSPO certification of scheme and associated smallholders and outgrowers

2019

Comment:

All our Plasma scheme are managed by PT.SSS and thus the certification will be in tandem with PTSSS.

Concession Map

5.1 With regards to RSPO General Assembly resolution 6g that calls for members to submit maps of their concessions by ACOP 2014 deadline, please upload your estate location concession map(s) in KML or SHP format here:

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5.2 Map data declaration**GHG Emissions****6.1 Are you currently assessing your operational GHG emissions?**

Yes

Description : In 2006 following the completion of the worlds first panel reviewed Life Cycle Assessment(LCA) study in accordance with the ISO 14000 international Standards, on the cradle to grave production of 1MT of refined palm oil, various areas were identified within our production chian which could mitigate GHG emissions.Uploaded file: [___United Plantations summary_20170220v2\(final\)_ACOP17042017.pdf](#)

Actions for Next Reporting Period**7.1 Outline actions that you will take in the coming year to advance your plans for certification**

We are pleased to inform that all our plantations in Malaysia have successfully obtained the RSPO Re Certification in 2013-thus 80% of our palm oil mills are certified. Subsequently ASA 1, 2 and 3 have been successfully completed. In view of the discrepancy between the Provisional and National maps, we are in the harmonization process through the PP No 60 Of 2012(forest release).Until such time the HGU will not be issued .It is a prerequisite that HGU must be obtained for RSPO certification. We have submitted our application for HGU and expect to obtain it by 3rd Quarter of 2017 and in tandem with its issuance we will seek certification in 2017-2018 for our plantations in Indonesia.

7.2 Outline actions that you will take to promote CSPO along the supply chain

Our organisation starting working with stakeholders involved with the RSPO in 2004. We have promoted the concept behind RSPO ever since. United Plantations received the worlds first RSPO certificate in August 2008 indicating our commitment from the beginning. Through our international network we have promoted RSPO and persuaded many customers to switch to responsible palm oil being CSPO under the RSPO. We attend international conferences and promote the RSPO when discussing with different stakeholders. We attend the RSPO conferences and continue to promote the RSPO. We educate smallholders and PLASMA farmers on the benefits of being RSPO certified. we open our doors to hundreds of visitors annually and provide them with an overview on sustainable oil palm cultivation and the importance in promoting the RSPO.

Reasons for Non-Disclosure of Information**8.1 If you have not disclosed any of the above information, please indicate the reasons why**

Confidential

Support Smallholders**9.1 Are you currently supporting any independent smallholder groups?**

Yes

9.2 How are you supporting them?

Smallholders' Field Day Oil palm smallholders have a critical role in helping us achieve our sustainability goals, they are part of the supply chain providing an estimated 40% of world palm oil production. The RSPO defines smallholders with less than 50 hectares of cultivated land and are mostly family-run, with some sustenance farming to support basic needs. As part of our Company's involvement, UP continuously engages with smallholders. We invited farmers from local districts to visit our plantations to get a better understanding of good agricultural practices, sustainability initiatives and environmental protection. The smallholders were given training sessions in safe handling of pesticides, integrated pest control, nursery upkeep, optimal harvesting procedures and fertilizer application in order to assist them with their agricultural interests.

Palm Oil Processors and Traders**Operational Profile****1.1 Please state your main activity(ies) within the supply chain**

- Refiner of CPO and CPKO
- Post-refinery processor
- Trader with physical possession
- Trader without physical possession
- Kernel Crusher
- Food and non-food ingredients producer
- Power, energy and bio-fuel
- Animal feed producer
- Producer of oleochemicals
- Distributor and wholesaler
- Other

Palm Oil and Certified Sustainable Palm Oil Use**2.1 Please include details of all operations using palm oil majority owned and/or managed by the member and/or related entities****2.1.1 In the markets where you operate, in which do you supply goods containing palm oil and oil palm products?**

- Applies Globally

2.1.2 Do you have a system for calculating how much palm oil and oil palm products you handle?

Yes

2.1.3 In which markets where you operate, do you calculate how much palm oil and oil palm products you handle?

- Applies Globally

2.2 Volumes of palm oil and oil palm products**2.2.1 Total volume of crude and refined Palm Oil handled/traded/processed in the year**

133,507.00 Tonnes

2.2.2 Total volume of crude and refined palm kernel oil handled/traded/processed in the year

106,743.00 Tonnes

2.2.3 Total volume of Palm Kernel Expeller handled/traded/processed in the year

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2.2.4 Total volume of other palm-based derivatives and fractions handled/traded/processed in the year

16,278.00 Tonnes

2.2.5 Total volume of all palm oil and oil palm products handled/traded/processed in the year

256,528.00 Tonnes

2.3 Volumes of palm oil and oil palm products certified**2.3.1 Volume handled/traded/processed in the year that is RSPO-certified (Tonnes):**

Description	Crude and Refined Palm Oil	Crude and Refined PKO	PKE	Other Palm-based Derivatives and Fractions
2.3.1.1 Book & Claim				
2.3.1.2 Mass Balance		3689.00		
2.3.1.3 Segregated	43856.00	18158.00		861.00
2.3.1.4 Identity Preserved	89651.00			
2.3.1.5 Total volume	133,507.00	21,847.00	-	861.00

2.3.2 How much certified products have you sold to other RSPO certified companies ? (tonnes)

Description	Crude and Refined Palm Oil	Crude and Refined PKO	PKE	Other Palm-based Derivatives and Fractions
2.3.1 Book & Claim	-	-	-	-
2.3.2 Mass Balance	-	-	-	-
2.3.3 Segregated	-	-	-	-
2.3.4 Identity Preserved	-	-	-	-
2.3.5 Total volume	-	-	-	-

2.4 Total annual crude, refined palm kernel oil and derivatives production (only if applicable)

256,528 Tonnes

2.5 What is the percentage of certified sustainable palm oil in the total palm oil your company sells in:**2.5.1 Africa**

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2.5.2 Australasia

3%

2.5.3 Europe

51%

2.5.4 North America

7%

2.5.5 South America

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2.5.6 Middle East

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2.5.7 China

1%

2.5.8 India

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2.5.9 Indonesia

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2.5.10 Malaysia

36%

2.5.11 Asia

2%

Time-Bound Plan**3.1 Year of first supply chain certification (planned or achieved)**

2010

3.2 Year expected to/or started to handle/trade/process any RSPO-certified palm oil and oil palm products

2010

3.3 Year expected to achieve 100% RSPO certification of all supply chains

2022

Comment:

Our independent suppliers only supply part of their crop to us and balance elsewhere, thus they are flexible. We will strive to motivate them to obtain certification by 2022

3.4 Year expected to only handle/trade/process 100% RSPO-certified palm oil and oil palm products

2022

Comment:

We will continue further the tireless efforts undertaken by the Company over the past many decades in terms of our leadership within the segment of sustainable agricultural production. 95% of the palm oil we process is RSPO certified. The reason we don't process 100% RSPO certified solutions is the fact that we use certain fractions which our current suppliers are unable to provide based on RSPO segregation. We hope to be able to source only RSPO certified fractions by 2019.

We have plans to eventually go 100% RSPO certified, however, it will depend on the demand and ability to get the various raw materials and fractions. On the Palm Oil side 100% of all Palm Oil fractions is planned to be RSPO segregated and certified by 2018. Currently 95% is fully rsपो segregated and certified. On the Palm Kernel oil side, it will depend very much on the supply availability of RSPO certified palm kernels. Today only 25% of our total processed palm kernel oil is RSPO segregated and certified. We will move towards buying more and more RSPO CPKO, however, it will depend very much on the availability of RSPO certified crude palm kernel oil and demand for the fractions of RSPO certified palm kernel oil produced.

3.5 Which countries that your organization operates in do the above own-brand commitments cover?

Indonesia, Malaysia

3.6 How do you proactively promote RSPO and RSPO certified sustainable palm oil and oil palm products to your customers?

We will continue further the tireless efforts undertaken by the Company over the past many decades in terms of our leadership within the segment of sustainable agricultural production. Specific actions is to continue briefing customers through detailed presentations about RSPO solutions and continue to promote RSPO solutions through dialogue and showing them our plantations. We will continue to discuss possibilities for our customers to increase the demand for RSPO solution we can supply them with. We attend conferences and discuss sustainable and responsible agriculture through supporting the RSPO P&Cs

Trademark Use

4.1 Do you use or plan to use the RSPO trademark on your own brand products?

Yes

Please state the markets where you intend to apply the Trademark and when you plan to start

The trademark is used in USA and Russia for "Nutrolein" since 2012.

2012

Actions for Next Reporting Period**5.1 Outline actions that you will take in the coming year to promote the use of RSPO certified sustainable palm oil and oil palm products along the supply chain**

We will continue further the tireless efforts undertaken by the Company over the past many decades in terms of our leadership within the segment of sustainable agricultural production. Specific actions is to continue briefing customers through detailed presentations about RSPO solutions and continue to promote RSPO solutions through dialogue and showing them our plantations. We will continue to discuss possibilities for our customers to increase the demand for RSPO solution we can supply them with. We attend conferences and discuss sustainable and responsible agriculture through supporting the RSPO P&Cs

Reasons for Non-Disclosure of Information**6.1 If you have not disclosed any of the above information please indicate the reasons why**

confidential

Application of Principles & Criteria for all members sectors**7.1 Do you have organizational policies that are in line with the RSPO P&C, such as:**

- Water, land, energy and carbon footprints

Uploaded file: [P-Policies-to-PNC-waterland.pdf](#)

Related link:

<http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>

- Land Use Rights

Uploaded file: [P-Policies-to-PNC-landuseright.pdf](#)

Related link:

<http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>

- Ethical conduct and human rights

Uploaded file: [P-Policies-to-PNC-ethicalconducthr.pdf](#)

Related link:

<http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>

- Labour rights

Uploaded file: [P-Policies-to-PNC-laborrights.pdf](#)

Related link:

<http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>

- Stakeholder engagement

Uploaded file: [P-Policies-to-PNC-stakeholderengagement.pdf](#)

Related link:

<http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>

- None of the above

7.2 What best practice guidelines or information has your organization provided in the past year to facilitate the uptake of RSPO certified sustainable palm oil and oil palm products? What languages are these guidelines available in?

Our organisation starting working with stakeholders involved with the RSPO in 2004. We have promoted the concept behind RSPO ever since. United Plantations received the worlds first RSPO certificate in August 2008 indicating our commitment from the beginning. Through our international network we have promoted RSPO and persuaded many customers to switch to responsible palm oil being CSPO under the RSPO. We attend international conferences and promote the RSPO when discussing with different stakeholders. We attend the RSPO conferences and continue to promote the RSPO. We educate smallholders and PLASMA farmers on the benefits of being RSPO certified. we open our doors to hundreds of visitors annually and provide them with an overview on sustainable oil palm cultivation and the importance in promoting the RSPO.

GHG Emissions

8.1 Are you currently assessing the GHG emissions from your operations?

Yes

Report file: [P-GHG-Emissions-Report.pdf](#)

URL: <http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>

8.2 Do you publicly report the GHG emissions of your operations?

Yes

Report file: [P-GHG-Public-Report.pdf](#)

URL: <http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>

Support for Smallholders**9.1 Are you currently supporting any independent smallholder groups?**

Yes

Please state the markets where you intend to apply the Trademark and when you plan to start

Smallholders' Field Day

Oil palm smallholders have a critical role in helping us achieve our sustainability goals, they are part of the supply chain providing an estimated 40% of world palm oil production. The RSPO defines smallholders with less than 50 hectares of cultivated land and are mostly family-run, with some sustenance farming to support basic needs. As part of our Company's involvement, UP continuously engages with smallholders. We invited farmers from local districts to visit our plantations to get a better understanding of good agricultural practices, sustainability initiatives and environmental protection. The smallholders were given training sessions in safe handling of pesticides, integrated pest control, nursery upkeep, optimal harvesting procedures and fertilizer application in order to assist them with their agricultural interests.

Challenges

1 What significant economic, social or environmental obstacles have you encountered in the production, procurement, use and/or promotion of CSPO and what efforts did you make to mitigate or resolve them?

The opportunity loss by not developing more areas through further expansion as we are committed to preserve conservation areas and have committed to strict standards of the RSPO including the add on criteria of No deforestation, No Peat land development, HCS assessments and all elements involved with that. For further info Pls see our 2016 annual report pages 83-86. : <http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf> In addition, it has been a tough journey identifying customers that are willing to pay a premium for CSPO solutions. Fortunately this is changing now and we do see more demand which hopefully entice producers and not be a demotivating factor for producers. Smallholders also don't have the means to rush into certification due to costs and hence it takes time for full certification throughout the organisation including smallholders. Smallholders require more time in certification and therefore can prolong and delay a fully certified supply chain. It is therefore good that there are time-bound plans in order for all to work together for certification including smallholders and plasma groups. Government permits in Indonesia takes much time in pursuing and being issued hence delaying the process of certification. In terms of social obstacles we have spent much time and money trying to solve land issues which is a common problem for Plantations companies in Indonesia. It takes much time and effort, however, with the various procedures in Place and by following the FPIC principle progress has been made. Initially the communities around our operations had great mistrust in the new owners and management, due to many broken promises earlier, however, with genuine commitment and social awareness including seriousness in developing plasma areas, participating in the local society and promoting csr projects, the social collaboration with the surrounding communities have improved significantly. For further info :Pls see our 2016 annual report p 138: <http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf> We have had an uphill task in trying to preserve our conservation areas as many members of the community are aware of the timber value in the trees. With poverty and financial constraints amongst the communities, it is of key importance to spend time in trying to convince communities to retain the conservation areas. This will take time and much effort is being placed on finding a balance between economy and ecology. We have established a biodiversity department and work with Copehagen Zoo in order to establish a first class example on how plantation development can go hand in hand with environmental protection and conservation.(For further info: Pls see our 2016 annual report pg 90-100 : <http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>

2 How has your organization supported the vision of RSPO to transform markets? (e.g. Funding; Engagement with key stakeholders; Business to business education/outreach)

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3 Other information on palm oil (sustainability reports, policies, other public information)

- No files were uploaded

Link: <http://www.unitedplantations.com/Files/PDF/Announcements/Annual%20Report%202016%20.pdf>