

Particulars

About Your Organisation

1.1 Name of your organization

BASF SE

1.2 What is/are the primary activity(ies) or product(s) of your organization?

- Oil Palm Growers
 - Palm Oil Processors and/or Traders
 - Consumer Goods Manufacturers
 - Retailers
 - Banks and Investors
 - Social or Development Organisations (Non Governmental Organisations)
 - Environmental or Nature Conservation Organisations (Non Governmental Organisations)
 - Affiliate Members
 - Supply Chain Associate
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1.3 Membership number

2-0010-04-000-00

1.4 Membership category

Ordinary

1.5 Membership sector

Palm Oil Processors and/or Traders

Palm Oil Processors and Traders

Operational Profile

1.1 Please state your main activity(ies) within the supply chain

- Refiner of CPO and CPKO
- Post-refinery processor
- Trader with physical possession
- Trader without physical possession
- Kernel Crusher
- Food and non-food ingredients producer
- Power, energy and bio-fuel
- Animal feed producer
- Producer of oleochemicals
- Distributor and wholesaler
- Other

Palm Oil and Certified Sustainable Palm Oil Use

2.1 Please include details of all operations using palm oil majority owned and/or managed by the member and/or related entities

2.1.1 In which markets do you sell goods containing palm oil and oil palm products?

- Applies Globally

2.2 Volumes of palm oil and oil palm products

2.2.1 Total volume of crude and refined Palm Oil handled/traded/processed in the year

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2.2.2 Total volume of crude and refined palm kernel oil handled/traded/processed in the year

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2.2.3 Total volume of Palm Kernel Expeller handled/traded/processed in the year

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2.2.4 Total volume of other palm-based derivatives and fractions handled/traded/processed in the year

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2.2.5 Total volume of all palm oil and oil palm products handled/traded/processed in the year

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2.3 Volumes of palm oil and oil palm products certified

2.3.1 Volume handled/traded/processed in the year that is RSPO-certified (Tonnes):

Description	Refined/CPO	PKO	PKE	Palm-based derivatives and fractions
2.3.1.1 Book and Claim from Mill / Crusher	-	-	-	-
2.3.1.2 Book and Claim from Independent Smallholder	-	-	-	-
2.3.1.3 Mass Balance	-	-	-	-
2.3.1.4 Segregated	-	-	-	-
2.3.1.5 Identity Preserved	-	-	-	-
2.3.1.6 Total volume	-	-	-	-

2.3.2 How much RSPO certified products have you sold as certified (tonnes)

Description	Refined/CPO	PKO	PKE	All other palm-based derivatives and fractions
2.3.2.1 Book and Claim	-	-	-	-
2.3.2.2 Mass Balance	-	-	-	-
2.3.2.3 Segregated	-	-	-	-
2.3.2.4 Identity Preserved	-	-	-	-
2.3.2.5 Total volume	-	-	-	-

2.3.3 How much RSPO certified products have you sold under other schemes (tonnes)

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2.3.4 How much RSPO certified products have you sold as conventional (tonnes)

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2.4 Total annual crude, refined palm kernel oil and derivatives production (only if applicable)

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2.5 What is the percentage of Certified Sustainable Palm Oil in the total palm oil sold by your company in the following regions:

2.5.1 Africa

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2.5.2 Australasia

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2.5.3 Europe (incl.Russia)

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2.5.4 North America

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2.5.5 South America

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2.5.6 Middle East

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2.5.7 China

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2.5.8 India

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2.5.9 Indonesia

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2.5.10 Malaysia

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2.5.11 Asia

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Time-Bound Plan

3.1 Year of first supply chain certification (planned or achieved)

2011

3.2 Year expected to/or started to handle/trade/process any RSPO-certified palm oil and oil palm products

2012

3.3 Year expected to achieve 100% RSPO certification of all palm product processing facilities*

2020

3.4 Year expected to only handle/trade/process 100% RSPO-certified palm oil and oil palm products

2026

3.5 Which countries that your organization operates in do the above own-brand commitments cover?

Belgium, Brazil, China, France, Germany, India, Indonesia, Italy, Japan, Mexico, Netherlands, Poland, Russian Federation, Singapore, Spain, Switzerland, Thailand, Turkey, United Kingdom, United States

3.6 How do you proactively promote RSPO and RSPO certified sustainable palm oil and oil palm products to your customers?

BASF offers since 2012 a broad range of MB and selected SG based palm- and palm kernel oil based ingredients for the Personalcare industry globally. BASF is continuously launching further MB certified palm kernel oil based products in all regions depending on the market development. However, the establishment of certified sustainable supply chains for oleochemicals is complex. BASF uses mainly palm kernel oil or palm kernel oil based derivatives.

In April 2018, BASF has announced a major portfolio shift towards sustainable palm and will offer palm-based specialties for the cosmetics industry exclusively as RSPO-certified. We are committed to switch about 330 palm-based products to 'Mass Balance' standard in the course of 2018 globally

Trademark Use

4.1 Do you use or plan to use the RSPO trademark on your own brand products?

No

If target has not been met, please explain why:

BASF, as ingredient manufacturer, is located in the middle of the value chain between suppliers of Oil Palm products and the FCG Manufacturers and thus is operating in a B2B modus only. At that stage of the value chain there is no relevance of the RSPO Trademark.

Actions for Next Reporting Period**5.1 Outline actions that you will take in the coming year to promote the use of RSPO certified sustainable palm oil and oil palm products along the supply chain**

We see it as part of our responsibility to work intensively with the companies from which we buy, to engage more closely with the palm supply chain from the smallholder farmer to the end consumer, and to look deeply into the upstream consequences of using and selling products based on palm oil and palm kernel oil. The RSPO certification process is the most transparent and effective global initiative to improve the entire palm sector and it provides the necessary infrastructure to monitor market transformation in a transparent manner.

Reasons for Non-Disclosure of Information**6.1 If you have not disclosed any of the above information please indicate the reasons why**

confidential

Application of Principles & Criteria for all members sectors**7.1 Do you have organizational policies that are in line with the RSPO P&C, such as:**

- Water, land, energy and carbon footprints
- Land Use Rights
- Ethical conduct and human rights
- Labour rights
- Stakeholder engagement
- None of the above

7.2 What best practice guidelines or information has your organization provided in the past year to facilitate the uptake of RSPO certified sustainable palm oil and oil palm products? What languages are these guidelines available in?**Comment:**

BASF has fostered Stakeholder Engagement and Exchange on the Topic by initiating and Hosting a series of Palm Dialog Events. In Addition BASF was holding a series of Webinars to explore on the Details of the Palm based value chain including but not limited to RSPO certification supply chain aspects.

7.3. Your answers above indicate that you are not yet using 100% RSPO certified palm oil and oil palm products. Do you have plans to immediately cover the gap using Book & Claim?

No

Please explain why:

BASF, as ingredient manufacturer, is located in the middle of the value chain between suppliers of Oil Palm products and the FCG Manufacturers and thus is operating in a B2B modus only. At that Level of the value chain we don't consider Book and Claim to be an appropriate mean of market Transformation.

GHG Footprint**8.1 Are you currently reporting any GHG footprint?**

Yes

Support for Smallholders

9.1 Are you currently supporting any independent smallholder groups?

Yes

Please state the markets where you use or intend to apply the Trademark and when you plan to start

BASF has committed to target smallholder inclusion by supporting appropriate smallholder Projects (Please find BASF's Palm commitment under <https://www.basf.com/en/company/sustainability/responsible-partnering/palm-dialog.html>). A first result of our efforts on smallholder inclusion can be found under the following link: <https://www.basf.com/en/company/news-and-media/news-releases/2016/08/p-16-273.html>

Challenges

1 What significant economic, social or environmental obstacles have you encountered in the production, procurement, use and/or promotion of CSPO and what efforts did you make to mitigate or resolve them?

1. Strongly limited availability of CSPKO and CSPKO derivatives. 2. Competition from other schemes (i.e. ISCC) and B&C hampering physical transformation. 3. Strong complexity of derivative supply chains.

2 In addition to the actions already reported in this ACOP how has your organization supported the vision of RSPO to transform markets in other ways? (e.g. Funding; Engagement with key stakeholders; Business to business education/outreach)

BASF supports the enhancement of the RSPO criteria to reflect an integrated land use planning for oil palm development that includes the conservation of high carbon stock and peatland. BASF supports the 'HCS Approach' and is a member of the High Carbon Stock Approach Steering Group. BASF supports the development of targets that are meaningful to forest conservation and an integrated land use planning. In April 2018, BASF has announced a major portfolio shift towards sustainable palm and will offer palm-based specialties for the cosmetics industry exclusively as RSPO-certified. We are committed to switch about 330 palm-based products to 'Mass Balance' standard in the course of 2018 globally

3 File -Please attach or add links to any other information from your organisation on your policies and actions on palm oil (EG: sustainability reports, policies, other public information)

- No files were uploaded

Link: <http://www.basf.com/de/company/sustainability/responsible-partnering/palm-dialog.html>
