



SIRIM QAS INTERNATIONAL SDN. BHD.
Building 4, SIRIM Complex, No. 1, Persiaran Dato' Menteri,
Section 2, 40700 Shah Alam, Selangor, Malaysia.

File Ref. : ES10170016

RSPO PUBLIC SUMMARY REPORT

CLIENT : GIRAM STRATEGIC OPERATING UNIT
PARENT COMPANY : SIME DARBY PLANTATION SDN. BHD.
RSPO MEMBERSHIP No.: 1-0008-04-000-00

LOCATION OF THE CERTIFICATION UNIT (MILL AND THE SUPPLY BASE):

Certification Unit	Mill and Supply Base	GPS Location		Location
		Latitude	Longitude	
SOU 29 – Giram	Giram Palm Oil Mill	4° 35' N	118° 12' E	Kilang Kelapa Sawit Giram, 91207 Kunak, Sabah
	Giram Estate	4° 35' N	118° 12' E	Giram Estate, 91207 Kunak, Sabah
	Mostyn Estate	4° 39' N	118° 07' E	Mostyn Estate 91207 Kunak, Sabah

MAP : See Attachment 1

AUDIT DATE : 23 - 25 May 2017

DURATION : 9 auditor days

TYPE OF AUDIT :



Annual Surveillance Audit No. 2



Recertification Audit

STANDARD : RSPO PRINCIPLE & CRITERIA MYNI 2014, AND RSPO SUPPLY CHAIN CERTIFICATION STANDARD 2014

SCOPE OF CERTIFICATION: Production of Sustainable Crude Palm Oil and Palm Kernel Using the Identity Preserved Supply Chain Model

VALIDITY OF RSPO CERTIFICATE : 13 July 2015 - 12 July 2020

The following attachments form part of this report:

Non-conformity Report(s)



List of additional site(s)



Report by Audit Team Leader

Name : MOHD RAZMAN SALIM

Signature :

Date : 5 September 2017

Acknowledgement by Client's Representative

Name :

Signature :

Date :

SIME DARBY PLANTATION (SABAH) SDN BHD
Formerly known as Golden Hope Plantations (Sabah) Sdn Bhd
Company No. 29939-V
MOSTYN ESTATE

SAIFULLIZAN BIN KAMARUDDIN
saifullizana@simedarby.com
Senior Manager

RSPO PUBLIC SUMMARY REPORT

SUMMARY OF AUDITS

Stage 2 Audit				
On-site audit date :	9 - 11 December 2014		No. of auditor days :	9
Audit team :	Khairul Najwan Ahmad Jahari (LA), Valence Shem, Mohamed Hidhir Zainal Abidin			
No. of major NCR :	6	Indicator: 2.1.1, 2.3.1, 2.3.2, 4.7.1, 4.8.1 & 6.5.1		Closing date : 8/4/2015
No. of minor NCR :	5	Indicator : 2.1.4, 2.2.3.2, 2.3.3, 6.4.3 & 6.5.2		
Indicate the stakeholders interviewed during the on-site audit :	Employees	Settlers	Villagers	Suppliers
	√		√	√
	Contract workers	NGOs	Govt. agency	Independent growers
Indigenous people	Contractor	Others (Please specify)		
		√		
Supply base sampled :	Mostyn and Giram Estate			

Annual Surveillance Audit 1				
On-site audit date :	12 – 14 April 2016		No. of auditor days :	9
Audit team :	Mahzan Bin Munap (LA), Khairul Najwan Bin Ahmad Jahari, Selvasingam T Kandiah, Ruzita Binti Abd Ghani			
No. of major NCR :	1	Indicator: 4.7.3		Closing date : 13/06/2016
No. of minor NCR :	2	Indicator : 4.1.2 & 6.1.4		
Indicate by ticking the stakeholders interviewed during the on-site audit :	Employees	Settlers	Villagers	Suppliers
	√		√	√
	Contract workers	NGOs	Govt. agency	Independent growers
Indigenous people	Contractor	Others (Please specify)		
		√		
Supply base sampled :	Mostyn and Giram Estates			
Changes since the last audit :	No changes.			

Annual Surveillance Audit 2				
On-site audit date :	23 – 25 May 2017		No. of auditor days :	9
Audit team :	Mohd Razman Salim, Mohd Zulfakar Kamaruzaman, & Rozaimie Ab. Rahman			
No. of major NCR :	1	Indicator: 2.1.1		Closing date : 20 July 2017
No. of minor NCR :	1	Indicator: 2.2.2		
Indicate by ticking the stakeholders interviewed during the on-site audit :	Employees	Settlers	Villagers	Suppliers
	√		√	√
	Contract workers	NGOs	Govt. agency	Independent growers
Indigenous people	Contractor	Others (Please specify)		
		√		
Supply base sampled :	Giram and Mostyn Estate			
Changes since the last audit :	No changes			

RSPO PUBLIC SUMMARY REPORT

Annual Surveillance Audit 3					
On-site audit date	:		No. of auditor days :		
Audit team	:				
No. of major NCR	:	Indicator:	Closing date :		
No. of minor NCR	:	Indicator :			
Indicate by ticking the stakeholders interviewed during the on-site audit	:	Employees	Settlers	Villagers	Suppliers
		Contract workers	NGOs	Govt. agency	Independent growers
		Indigenous people	Contractor	Others (Please specify)	
Supply base sampled	:				
Changes since the last audit	:				

Annual Surveillance Audit 4					
On-site audit date	:		No. of auditor days :		
Audit team	:				
No. of major NCR	:	Indicator:	Closing date :		
No. of minor NCR	:	Indicator :			
Indicate by ticking the stakeholders interviewed during the on-site audit	:	Employees	Settlers	Villagers	Suppliers
		Contract workers	NGOs	Govt. agency	Independent growers
		Indigenous people	Contractors	Others (Please specify)	
Supply base sampled	:				
Changes since the last audit	:				

RSPO PUBLIC SUMMARY REPORT

SUMMARY OF INFORMATION

TABLE 1

TYPE OF AUDIT	STAGE 2	ASA 1	ASA 2	ASA 3	ASA 4
Projection Period	Dec 2015 – Nov 2016	Jan – Dec 2016	May 2017 – April 2018		
Certified Area (Ha)	8,245.01	8,245.01	8,245.01		
Planted area Area (Ha)	7,602.13	7,666.85	7,666.85		
Production Area (Ha)	6,264.60	6,735.07	6,767.81		
HCV Area (Ha)	89.39	89.39	89.39		
Certified FFB Processed (MT)	203,571.32	148,484.22	158,966.23		
Production of Certified CPO (MT)	43,272.58	36,753.22	36,867.70		
Production of Certified PK (MT)	11,226.94	7,886.33	7,748.24		
REMARKS	NA	NA	NA		

TABLE 2

	PO	PK
Last years certified volume (MT)	36,753.22	7,886.33
Last years actual certified sold (MT)	29,105.71	6,150.33
Last years actual sold under other schemes (MT)	0.00	0.00
Last years sold conventional (MT)	22,857.41	6,150.33
New year certified volume (MT)	36,867.70	7,748.24

Table of contents	Page
1.0 AUDIT PROCESS	6
1.1 Certification body	6
1.2 Qualification of audit team	6
1.3 Audit methodology	6
1.4 Stakeholder Consultation	6
1.5 Audit plan	6
1.6 Date of next audit	6
2.0 SCOPE OF CERTIFICATION AUDIT	7
2.1 Description of the certification unit	7
2.2 Description of the Supply Base (including planting profile)	7
2.3 Organization Information / Contact Person(s)	10
3.0 AUDIT FINDINGS	10
3.1 Changes to certified products in accordance to the production of the previous year	10
3.2 Time bound plans including changes and reasons for the changes see below	10
3.3. Overall comment in terms of acceptance or non acceptance on the changes in the time-bound plan (including details of non-adherence or the conditions justifying a time-bound plan have changed)	10
3.4 All associated smallholders (including scheme smallholders) where their fruit supply is included , by the mill, are audited within 3 years from when their fruit was first included in the mill certification.	11
3.5 Any new acquisition which has replaced primary forests or HCV areas	11
3.6 Other changes (e.g. organizational structure, new contact person, addresses, etc.)	11
3.7 Status of previous non-conformities * (refer to Attachment 6)	11
3.8 Complaint received from stakeholder (if any)	11
4.0 DETAILS OF NON-CONFORMITY REPORT	11
4.1 For P&C (Details checklist refer to Attachment 3)	11
4.2 For SC (Details checklist refer to Attachment 5)	11
5.0 AUDIT CONCLUSION	11
6.0 RECOMMENDATION	12

List of Attachments

Attachment 1	: Map of SOU 29 – Giram CU	13
Attachment 2	: RSPO Surveillance Audit Plan	14
Attachment 3	RSPO P&C Audit Checklist And Findings	19
Attachment 4	: Details of Non-conformities and Corrective Actions Taken	41
Attachment 5	: RSPO Supply Chain at the palm oil mill – Identity Preserved Model – Module D	42
Attachment 6	: Status of Non-conformities Previously Identified	45
Attachment 7	: SDP - RSPO Certification Status for Malaysia & Indonesia Operations	47

RSPO PUBLIC SUMMARY REPORT

1.0 AUDIT PROCESS

1.1 Certification Body

SIRIM QAS International Sdn. Bhd. is the leading certification, inspection and testing body in Malaysia. SIRIM QAS International provides a comprehensive range of certification, inspection and testing services which are carried out in accordance with internationally and nationally recognised standards. Attestation of this fact is the accreditation of the various certification and testing services by leading national and international accreditation and recognition bodies such as the Department of Standards Malaysia (STANDARDS MALAYSIA), the United Kingdom Accreditation Services (UKAS) and the International Automotive Task Force (IATF). SIRIM QAS International is a partner of IQNet, a network currently comprising of leading certification bodies in Europe, North and South America, East Asia and Australia.

SIRIM QAS International has vast experience in conducting audits related to RSPO certification. It has certified more than a hundred palm oil mills and several estates to ISO 14001 & OHSAS 18001. SIRIM QAS International has also conducted many audits for sustainable production of palm oil products against the requirements of the RSPO P&C. SIRIM QAS International was approved by the RSPO as a RSPO certification body on 21 March 2008 and accredited by ASI on 27 September 2014.

1.2 Qualification of audit team

Member of the Audit Team	Role/area of RSPO requirements	Qualifications
Mohd Razman Salim	Audit team leader / Workers & community issues, HCV, and related legal	Possessed B.Sc.Forestry (Hons) from University Putra Malaysia with more than 6 years of working experience in the Forest Management, forest, HCVF and ecology.
Mohd Zulfakar Kamaruzaman	Auditor / environmental, OHS & Supply Chain	Holds a B.Sc. Forestry from University Putra Malaysia. He had more than 4 years of working experience in the oil palm operation. He has been trained as a RSPO Lead Auditor
Rozaimiee Ab Rahman	Auditor / GAP, environmental & OHS	Holds a B.Sc. of Agriculture from University Putra Malaysia. He had more than 5 years of working experience in the oil palm operation.

1.3 Audit methodology

The audit covered the Giram Palm Oil Mill, Giram Estate and Mostyn Estate. The sampling of the number of the supply base was using the formula of $0.8\sqrt{y}$. The audit included an on-site audit to the estates, mill, linesites, amenities and facilities to verify the implementation of the requirement of the certification. Interviews with the CU's management, employees, contractors and other relevant stakeholders were also conducted during the audit.

1.4 Stakeholder Consultation

There were no comments received from stakeholders prior to this annual surveillance audit.

1.5 Audit plan : Refer to Attachment 2

1.6 Date of next audit : The next surveillance audit will be conducted within 12 months but not sooner than 9 months from this audit.

RSPO PUBLIC SUMMARY REPORT

2.0 SCOPE OF CERTIFICATION AUDIT

2.1 Description of the certification unit

The Giram Certification Unit (Giram CU) is one of the Strategic Operating Unit (SOU) of Sime Darby Plantation Sdn. Bhd. (SDPSB). Located in Kunak, Sabah, East Malaysia, the CU is also known as SOU 29. The CU was certified to the RSPO MYNI:2014 P&C by SIRIM QAS International Sdn Bhd on 13 July 2015.

The Giram CU comprises of the Giram Palm Oil Mill (Giram POM) and two supply base i.e. the Giram Estate and Mostyn Estate. All the estates are owned by SDPSB. The Giram POM has a mill capacity of 60 mt/hour.

2.2 Description of the Supply Base (including the planting profile)

The FFB is sourced from company owned estates that are certified - Giram Estate and Mostyn Estate. Details of the FFB actual and projected contribution from each source to the mill are shown in the following tables.

Table 1: Actual FFB production by the supply base for the last reporting period (May 2016 to April 2017)

Estates	FFB Production	
	Tonnes	Percentage (%)
Giram Estate	72,613.830	54.05
Mostyn Estate	61,389.460	45.70
Jeleta Bumi Estate*	72.07	0.05
Sungang Estate*	101.98	0.08
Tingkayu Estate*	58.61	0.04
Binuang Estate*	97.77	0.07
Total	134,333.72	100

Note: * Giram POM has received and processed certified FFB from other Sime Darby's certified estates such as Sungang Estate, Tingkayu Estate & Binuang Estate (SOU 28 Binuang). All the estates were certified by SIRIM (Cert No.: RSPO 0026 – SIRIM).

Table 2: Projected FFB production by supply base for the next reporting period (May 2017 to April 2018)

CU own estates	FFB Contribution	
	Tonnes	Percentage (%)
Giram Estate	81,266.79	52.98
Mostyn Estate	72,128.30	47.02
Total	158,966.23	100

Table 3: Actual FFB received and CPO & PK dispatch by the Mill for the last reporting period (May 2016 to April 2017)

	Total (MT)
FFB Received	134,333.72
FFB Processed	134,333.72
CPO Production	29,103.607
PK Production	6,172.001
CPO delivered as Identity Preserved	6,158.30
CPO delivered as non-RSPO certified	22,857.41
PK delivered as Identity Preserved	0
PK delivered as non-RSPO certified	6,150.330

RSPO PUBLIC SUMMARY REPORT

**Table 4: Projected FFB received and CPO & PK dispatch by the Mill of the next reporting period
(May 2017 to April 2018)**

	Total (MT)
FFB Received	158,966.23
FFB Processed	158,966.23
CPO Production	36,867.70
PK Production	7,748.24
CPO delivered as Identity Preserved	36,867.70
PK delivered as Identity Preserved	7,748.24

Table 5 Planted and certified area of the CU

Estate	Planted (ha)	Certified (ha)
Giram Estate	3,924.07	4166.98
Mostyn Estate	3,742.78	4078.03
Total	7,666.85	8,245.01

Table 6: Planting profile

Estate	Year of establishment	Mature (Ha)	Immature (Ha)	Planted area	% of planted area mature	% of planted area immature
Giram Estate	1987	3510.46	413.61	3924.07	89.46	10.54
Mostyn Estate	1957	3257.35	485.43	3742.78	87%	13%
Total		6,767.81	899.04	7,666.85		

Table 6(a): Planting Profile for Giram Estate

Year of planting	Planting cycle (Generation)	Mature / Immature	Planted area (ha)	Percentage of planted area (%)
MAIN DIV.				
1993B3	1	Mature	118.41	3.02
1993B4	1	Mature	78.60	2.00
1993T1	1	Mature	86.93	2.22
1993T2	1	Mature	116.86	2.98
1995B1	1	Mature	116.86	2.98
1995B2	1	Mature	65.71	1.67
1995B2A	1	Mature	103.61	2.64
1995B3	1	Mature	126.05	3.21
1995B3A	1	Mature	88.16	2.25
1995T1	1	Mature	120.70	3.08
1995T1A	1	Mature	90.29	2.30
1995T2	1	Mature	97.30	2.48
1995T2A	1	Mature	90.67	2.31
1996B	1	Mature	73.61	1.88
1996B1	1	Mature	69.51	1.77
1996K	1	Mature	122.69	3.13
1996K1	1	Mature	107.92	2.75
1996T	1	Mature	159.34	4.06
1996T1	1	Mature	121.57	3.10
1999B	1	Mature	145.84	3.72
1999T	1	Mature	100.56	2.56
1999T1	1	Mature	64.78	1.65
2016A	2	Immature	65.98	1.68
2016B	2	Immature	94.03	2.40
2009A	2	Mature	106.81	2.72

RSPO PUBLIC SUMMARY REPORT

Year of planting	Planting cycle (Generation)	Mature / Immature	Planted area (ha)	Percentage of planted area (%)
2009B	2	Mature	67.40	1.72
2011A	2	Mature	75.64	1.93
2011B	2	Mature	101.44	2.59
2011C	2	Mature	94.41	2.41
2011D	2	Mature	68.19	1.74
2012A	2	Mature	87.65	2.23
2012B	2	Mature	98.62	2.51
2013A	2	Mature	100.09	2.55
2013B	2	Mature	74.83	1.91
2014A	2	Immature	85.31	2.17
2015A	2	Immature	79.67	2.03
2015B	2	Immature	88.62	2.26

ULU Balung DIV.				
1995K	1	Mature	38.11	0.97
1995L	1	Mature	39.81	1.01
1995M	1	Mature	30.11	0.77
1995N	1	Mature	44.50	1.13
1995P	1	Mature	36.35	0.93
1995Q	1	Mature	31.15	0.79
1995R	1	Mature	36.48	0.93
1995S	1	Mature	39.13	1.00
1995T	1	Mature	38.52	0.98
1995U	1	Mature	35.25	0.90
Total for GIRAM Estate			3924.07	100.00

Table 6(b): Planting Profile for Mostyn Estate

Year of Planting	Planting cycle (Generation)	Mature / Immature	Planted area (ha)	Percentage of planted area (%)
1980	2	Mature	42	1.12
1990	2	Mature	101	2.70
1991	2	Mature	34.9	0.93
2013	3	Mature	102.16	2.73
2014	3	Immature	69.25	1.85
2014	3	Immature	64.04	1.71
2014	3	Immature	37.02	0.99
1992	2	Mature	80.12	2.14
1993	2	Mature	56.11	1.50
1996	2	Mature	95.34	2.55
1996	2	Mature	64.39	1.72
2002	3	Mature	124.62	3.33
2003	3	Mature	119.85	3.20
2005	3	Mature	70.72	1.89
2010	3	Mature	39.16	1.05
2010	3	Mature	77.1	2.06
2011	3	Mature	72.13	1.93
2011	3	Mature	54.64	1.46
2015	2	Immature	68.39	1.83
1995	2	Mature	72.63	1.94
1996	2	Mature	121.62	3.25
1995	2	Mature	52.48	1.40
1994	2	Mature	70.14	1.87
1995	2	Mature	14.99	0.40
1996	2	Mature	86.01	2.30
1996	2	Mature	93.1	2.49
1996	2	Mature	78.16	2.09
1997	2	Mature	76.77	2.05
1997	2	Mature	67.92	1.81
1997	2	Mature	143.7	3.84
1997	2	Mature	65.93	1.76

RSPO PUBLIC SUMMARY REPORT

1997	2	Mature	57.38	1.53
2007	3	Mature	13.83	0.37
2009	3	Mature	52.01	1.39
2009	3	Mature	102.27	2.73
2011	3	Mature	79.03	2.11
2013	3	Mature	105.68	2.82
1957	1	Mature	1.82	0.05
1997	2	Mature	93.21	2.49
1997	2	Mature	98.62	2.63
1997	2	Mature	91.45	2.44
1997	2	Mature	110.99	2.97
1997	2	Mature	70.29	1.88
1997	2	Mature	56.02	1.50
1997	2	Mature	80.57	2.15
2002	3	Mature	71.57	1.91
2002	3	Mature	64.68	1.73
2002	3	Mature	76.6	2.05
2003	3	Mature	44	1.18
2009	3	Mature	42.24	1.13
2010	3	Mature	114.13	3.05
Total			3742.78	100

2.3 Organizational Information/Contact Person(s)

The details of the contact person is as shown below:

Name	:	Saifullizan Bin Kamaruddin
Position	:	Senior Manager, Mostyn Estate
Address	:	P.O. Box 19, 91207 Kunak, Sabah
Phone no.	:	089-855 277
Fax no.	:	089-855 010
Email	:	saifullizan@simedarby.com

3.0 AUDIT FINDINGS

3.1 Changes to certified products in accordance to the production of the previous year

There is no changes to certified products.

3.2 Time bound plans including changes and reasons for the changes see below

	Yes	No	<u>If yes, state reasons/justifications</u>
- acquisitions/disposals	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
- emergence/re-emergence of land disputes	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
- labour conflicts	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

3.3

Overall comment in terms of acceptance or non-acceptance on the changes in the time-bound plan (including details of non-adherence or the conditions justifying a time-bound plan have changed)

Sime Darby Plantation Sdn Bhd (SDPSB) had established a time bound plan to certify all of its SOUs to be certified for the RSPO. To date 59 SOUs (in Malaysia and Indonesia) had been certified. There is one SOU in Indonesia which have yet to be certified due to pending social issue. Refer at Attachment 7.

RSPO PUBLIC SUMMARY REPORT

3.4 All associated smallholders (including scheme smallholders) where their fruit supply is included, by the mill, are audited within 3 years from when their fruit was first included in the mill certification. Yes No

If no, please state reasons No associated smallholders

3.5 Any new acquisition which has replaced primary forests or HCV areas Yes No

3.6 Other changes (e.g. organizational structure, new contact person, addresses, etc.)

There is no changes since last year.

3.7 Status of previous non-conformities *

Closed Not closed

* If not closed, minor non conformity will be upgraded to major non conformity

3.8. Complaint received from stakeholder (if any)
There were various stakeholders interviewed during this audit comprising of workers, surrounding villagers and contractors / suppliers. Generally, all of the stakeholders had given positive feedback towards the CU.

4.0 DETAILS OF NON-CONFORMITY REPORT

4.1 For P&C (Details checklist refer to Attachment 3) :

Total no. of minor NCR(s) List : 1 2.2.2
(details refer to Attachment 4)

Total no. of major NCR(s) List : 1 2.1.1
(details refer to Attachment 4)

4.2 For SC (Details checklist refer to Attachment 5) :

Total no. of minor NCR(s) List : NA
(details refer to Attachment 5)

Total no. of major NCR(s) List : NA
(details refer to Attachment 5)

5.0 AUDIT CONCLUSION

The audit team concludes that the organization has / ~~has not~~* established and maintained its management system in line with the RSPO P&C requirements of the standard and demonstrated the ability of the system to systematically achieve agreed criterion & requirements.

RSPO PUBLIC SUMMARY REPORT

6.0 RECOMMENDATION



No NCR recorded. Recommended to continue certification.



Minor NCR(s) recorded. Corrective action plan has been accepted. Verification of the NCR(s) to be carried out in the next audit.

Note: Minor NCRs raised in the audit which are not addressed in the subsequent audit shall be upgraded to major NCRs .



Major NCR(s) recorded. Evidence of implementation of the corrective actions have been provided and accepted by the audit team. The NCR(s) have been satisfactorily closed out.



Recommended to continue certification.



Major NCR(s) recorded. Evidence of implementation of the corrective actions have been provided but not fully accepted by the audit team. NCR(s)..... have not been satisfactorily closed out within 60 days of the audit. Recommended for suspension of the certificate.

Note: Major NCRs which are not addressed within a further 60 days shall result in the certificate being withdrawn.

7.0 **IT IS CONFIRMED THAT ALL CORRECTIVE ACTIONS TAKEN ON MAJOR NON CONFORMITIES HAVE BEEN SATISFACTORILY REVIEWED, ACCEPTED AND VERIFIED AND ALL CORRECTIVE ACTIONS PLANS PROVIDED ON MINOR NON CONFORMITIES HAVE BEEN SATISFACTORILY REVIEWED AND ACCEPTED. RECOMMENDED FOR CONTINUATION OF RSPO P & C CERTIFICATION.**

Audit Team Leader :

MOHD RAZMAN SALIM



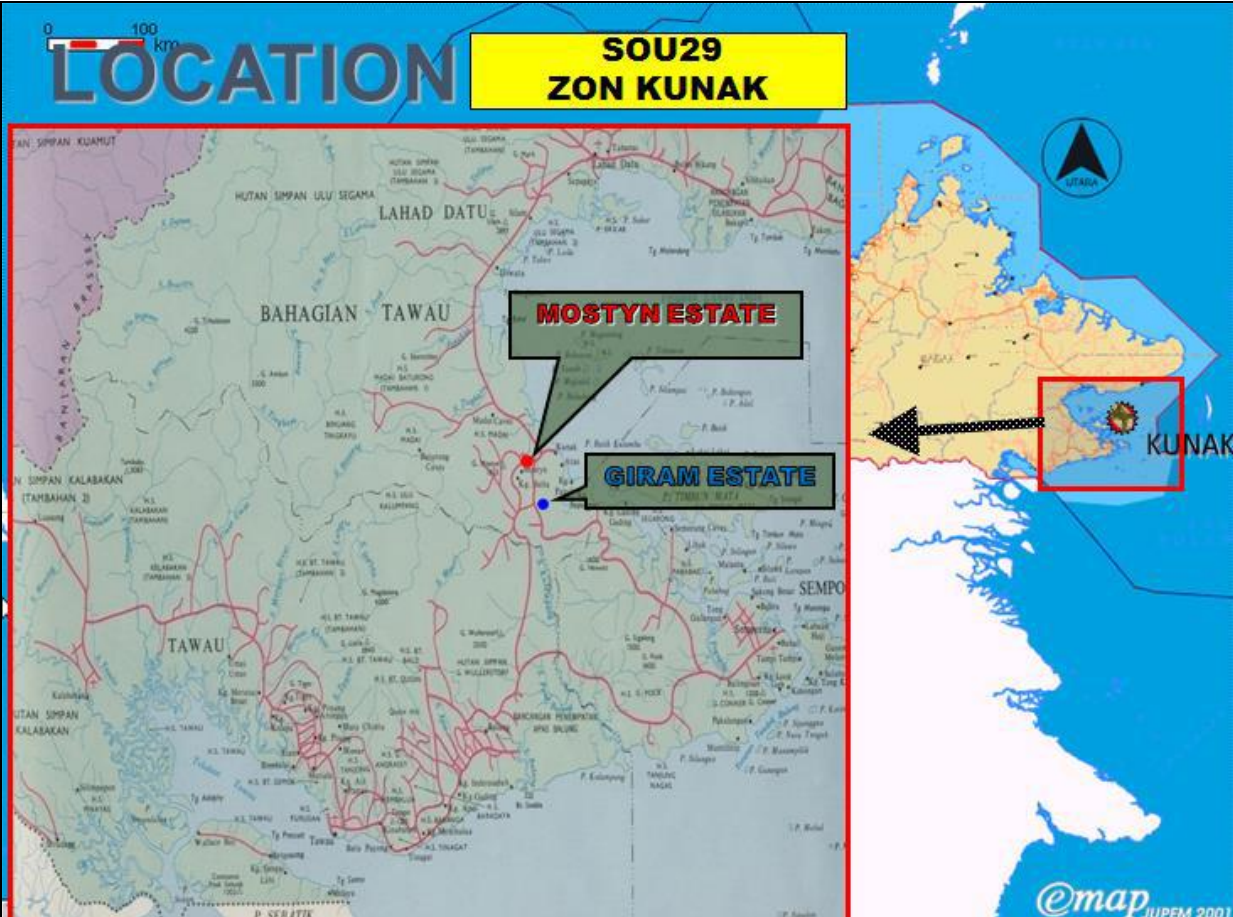
20/7/2017

(Name)

(Signature)

(Date)

Map of SOU 29 – Giram CU



RSPO SURVEILLANCE 2 AUDIT PLAN

1. Objectives

The objectives of the assessment are as follows:

- (i) To evaluate SOU 29 - Giram continued conformance against the RSPO Principles & Criteria Malaysian National Interpretation (MYNI) and RSPO Supply Chain Requirements
- (ii) To verify the effective implementation of corrective actions arising from the findings of last assessment.
- (iii) To make appropriate recommendations based on the assessment findings.

2. Date of assessment : 23 - 25 May 2017

3. Site of assessment : SOU 29 - Giram

- Giram Palm Oil Mill
- Giram Estate
- Mostyn Estate

4. Scope of Certification : Production of crude palm oil and palm kernel using identity preserved (IP) model

5. Audit Criteria :

- a. RSPO P&C MYNI: 2014
- b. RSPO Supply Chain Standard, 2014
- c. Company's audit criteria including Company's Manual/Procedures

6. Assessment Team

Assessor: Mohd Razman Salim
 Mohd Zulfakar Kamaruzaman
 Rozaimée Ab Rahman

If there is any objection to the proposed audit team, the organization is required to inform the Lead Auditor/RSPO Section Manager.

7. Audit Method

Site audits including observation of practices, interviews with interested parties (all categories of contractors, employees, nearby population, etc.), documentation evaluation and evaluation of records.

8. Audit Findings

Audit findings shall be classified as major and/or minor. Major non conformities shall be addressed within 60 days or else the certificate shall be suspended. If the major non conformities are still not addressed within another 60 days, the certificate shall be terminated. Recurrence of major non-conformities on the same issues shall be recommended for immediate suspension. Minor non-conformities shall be upgraded to major non-conformities if the corrective actions taken are not satisfactorily and effective.

For minor non conformities raised in the surveillance audit, corrective action plans shall be submitted within 60 days. Verification of implementation of corrective actions will be carried out during the next audit. If corrective action plans to address the minor non-conformity(ies) are not submitted within 60 days, the RSPO.

9. Confidentiality Requirements

SIRIM QAS International shall not disclose any information concerning the company regarding all matters arising or coming to its attention with the conduct of the programme, which is of confidential in nature other than information, which is in the public domain. In the event that there be any legal requirements for disclosing any information concerning the organization, SIRIM QAS International shall inform the organization of the information to be disclosed.

10. Conflict of interest

RSPO PUBLIC SUMMARY REPORT

Auditors have not been employed by the organization concerned nor provided consultancy services relating to the development of its system in the past five years and next five years.

11. **Working Language** : English and Bahasa Malaysia
12. **Reporting**
- a) Language : English
 - b) Format : Verbal and written
 - c) Expected date of issue : 30 days after the date of assessment
 - d) Distribution list : Client file
13. **Facilities Required**
- a. Room for discussion
 - b. Relevant document and record
 - c. Personnel protective equipment if required
 - d. Photocopy facilities
 - e. A guide for each group
13. **Assessment Programme Details** : As shown below

RSPO PUBLIC SUMMARY REPORT

Day 1: 23 May 2017 (Tuesday)				
Time	Activities / areas to be visited			
8.30 – 9.30 am	<p><u>Opening meeting at SOU 29 - Giram</u> Lead Auditor to introduce audit team member, brief on audit objectives, scope, methodology, criteria and programmes Organization Representative to brief on the following :</p> <ol style="list-style-type: none"> 1) RSPO implementation at Giram SOU (i.e. mill & supply base) including changes 2) Time bound plan for Sime Darby 3) Significant changes on organization activities, machinery, supply bases capacity etc. 			Top mgmt & Committee Member
	<u>Razman</u>	<u>Zulfakar</u>	<u>Rozaimie</u>	
9:30 – 1:00 pm	<p style="text-align: center;"><u>Giram POM</u></p> <p>Coverage of assessment: P1, P2, P5, P6, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Land titles user rights • Riparian zone • Social Impact Assessment (SIA), management plan & implementation • Complaints and grievances • Interview workers, GPW, local communities and stakeholders • Training and skill development programmes • Continuous improvement 	<p style="text-align: center;"><u>Giram Estate</u></p> <p>Coverage of assessment: P1, P2, P4, P5, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Occupational safety & health practice – witness activities at site • Environmental management – witness activities at site • Waste & chemical management • Interview with workers, safety committee and contractors • Facilities at workplace • Training and skill development programmes • Continuous improvement 	<p style="text-align: center;"><u>Mostyn Estate</u></p> <p>Coverage of assessment: P1, P2, P3, P4, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Commitment to long-term economic and financial viability • Interview with workers and contractors • Good Agricultural Practice- witness activities at site (weeding/ spraying, etc) • EFB mulching, POME application • Chemical store/fertilizer • Plantation on hilly/swampy area • IPM implementation, training and safe use of agro-chemicals. • New planting • Continuous improvement 	Guide(s) for each assessor
1.00 – 2.00 pm	Break			
2.00 – 5.00 pm	Continue assessment			Guide(s) for each assessor

RSPO PUBLIC SUMMARY REPORT

Day 2: 24 May 2017 (Wednesday)				
	Razman	Zulfakar	Rozaimie	
8.30 – 1.00 pm	<p align="center"><u>Giram Estate</u></p> <p>Coverage of assessment: P1, P2, P5, P6, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Land titles user rights • Inspection of protected sites with HCV attributes • Forested area, plantation boundary, adjacent and neighbouring land use • Riparian zone • Social Impact Assessment (SIA), management plan & implementation • Complaints and grievances • Interview workers, GPW, local communities and stakeholders • Training and skill development programmes • Continuous improvement 	<p align="center"><u>Giram POM</u></p> <p>Coverage of assessment: P1, P2, P4, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Occupational safety & health practice – witness activities at site • Interview with workers, safety committee and contractors • Facilities at workplace • Training and skill development programmes • Continuous improvement 	<p align="center"><u>Giram POM</u></p> <p>Coverage of assessment: P1, P2, P3, P5, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Environmental management – witness activities at site • Waste & chemical management • Interview with workers, safety committee and contractors • Facilities at workplace • Training and skill development programmes • Continuous improvement 	Guide(s) for each assessor
1.00 – 2.00 pm	Break			
2.00 – 5.00 pm	Continue assessment	<p>RSPO Supply Chain implementation including the model used</p> <ul style="list-style-type: none"> • General Chain of Custody System Requirements for the supply chain • Documented procedures • Purchasing and goods in • Outsourcing activity • Sales and goods out • Processing • Records keeping • Registration • Training • Claims 	Continue assessment	Guide(s) for each assessor

RSPO PUBLIC SUMMARY REPORT

Day 3: 25 May 2017 (Thursday)				
	Razman	Zulfakar	Rozaimiee	
8.30 – 1.00 pm	<p align="center"><u>Mostyn Estate</u></p> <p>Coverage of assessment: P1, P2, P5, P6, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Land titles user rights • Inspection of protected sites with HCV attributes • Forested area, plantation boundary, adjacent and neighbouring land use • Riparian zone • Social Impact Assessment (SIA), management plan & implementation • Complaints and grievances • Interview workers, GPW, local communities and stakeholders • Training and skill development programmes • Continuous improvement 	<p align="center"><u>Mostyn Estate</u></p> <p>Coverage of assessment: P1, P2, P4, P5, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Occupational safety & health practice – witness activities at site • Environmental management – witness activities at site • Waste & chemical management • Interview with workers, safety committee and contractors • Facilities at workplace • Training and skill development programmes • Continuous improvement 	<p align="center"><u>Giram Estate</u></p> <p>Coverage of assessment: P1, P2, P3, P4, P7, P8</p> <ul style="list-style-type: none"> • Laws and regulations • Commitment to long-term economic and financial viability • Interview with workers and contractors • Good Agricultural Practice- witness activities at site (weeding/ spraying, etc) • EFB mulching, POME application • Chemical store/fertilizer • Plantation on hilly/swampy area • IPM implementation, training and safe use of agro-chemicals. • New planting • Continuous improvement 	Guide(s) for each assessor
1.00–2.00 pm	Break			
2.00 – 4.00 pm	<ul style="list-style-type: none"> • Continue assessment on unfinished area • Verification on outstanding issues • Audit Team discussion, preparation on audit findings and issuance of NCR (if any) 			Guide(s) for each assessor
4.00 – 5.00 pm	Closing meeting			Top management & Committee member

RSPO PUBLIC SUMMARY REPORT

Attachment 3

RSPO P&C Audit Checklist and Findings

Principle 1: COMMITMENT TO TRANSPARENCY

Clause	Indicators		Comply Yes/No	Findings
C 1.1 Growers and millers provide adequate information to relevant stakeholders on environmental, social and legal issues relevant to RSPO Criteria, in appropriate languages and forms to allow for effective participation in decision making.	1.1.1	There shall be evidence that growers and millers provide adequate information upon request for information on (environmental, social and/or legal) issues relevant to RSPO Criteria to relevant stakeholders for effective participation in decision making.	Yes	SOU 29 Giram continued to implement the procedure for responding to any communication as outlined in their Estate/Mill Quality Management System documents. SDPSB continued to use their website for disseminating public information. Information relating to land titles, safety and health plans, pollution prevention plans and the procedure for complaints and grievances were readily made available through SDPSB website at http://plantation.simedarby.com
	1.1.2	Records of requests for information and responses shall be maintained. Major Compliance	Yes	The internal communication was kept in the 'Buku aduan/Buku Laporan Kerosakan rumah dan lain-lain' and it was mainly for request for repairs of employee houses. For, external communication records of minutes of meetings held were maintained and correspondences from Government department such as DOSH, DOE and Labour Department were maintained in the communication file. At the point of this audit, there has been no request for such information by the public.
C 1.2 Management documents are publicly available, except where this is prevented by commercial confidentiality or where disclosure of information would result in negative environmental or social outcomes.	1.2.1	Land titles/user rights (Criterion 2.2);	Yes	Copies of land titles / user rights were sighted available at the audited sites. Refer to Indicator 2.2.1.
		Occupational health and safety plans (Criterion 4.7);	Yes	Both estates and the mill have established the Safety and Health plan. The SDPSB OHS Policy (available in both Bahasa and English) is available at all the operating units. Information relating to safety and health plan was available through SDPSB website at http://plantation.simedarby.com . Cross refer Criterion 4.7
		Plans and impact assessments relating to environmental and social impacts (Criteria 5.1, 6.1, 7.1 and 7.8)	Yes	Management documents related to environmental plans and impact assessments were made available at all audited operating units. Social impact assessments and social action plan were sighted at the audited estates and mill. Cross-refer to Criterion 6.1.
		HCV documentation summary (Criteria 5.2 and 7.3);	Yes	HCV documentation summary was available at Giram CU.
		Pollution prevention and reduction plans (Criterion 5.6);	Yes	Pollution prevention and reduction plans continued made available at all assessed operating units. Among the relevant documents were the Pollution Prevention Plan, Identification and Management of Wastewater, Contingency plan during water shortage, Action plan to reduce fresh water usage, Water management plan, Waste Management Action Plan 2016, Minimise Use of certain Pesticides Plan; 2016 / 2017. Cross refer Criterion 5.6.
		Details of complaints and grievances (Criterion 6.3);	Yes	The system was open to all aggrieved parties and there is evidence that estate community as well neighbouring community's use of the complaints book. The complaints and their outcomes were recorded and kept in files.
		Negotiation procedures (Criterion 6.4);	Yes	Negotiation procedures were available during the audit. There was no claim against the CU by any party.
		Continual improvement plans (Criterion 8.1);	Yes	Sime Darby Plantation Sdn. Bhd. committed to utilise the established system to regularly monitor and review their key activities at the mill and estates. Relevant action plans were initiated for continuous improvement in key areas of operations, environmental, safety, health and welfare of the workers as well as social contribution to the community. Details of the CU

RSPO PUBLIC SUMMARY REPORT

				continual improvement plans have been reported in indicator 8.1. The continuous improvement plans related to environment was established and update accordingly.
		Public summary of certification assessment report;	Yes	Public summary for RSPO certification audit report can be assessed at the link below: http://www.sirim-gas.com.my/sirim/core-files/uploads/2017/02/04-Sime-Darby-Plantation-Sdn-Bhd-%E2%80%93Giram-Palm-Oil-Mill-1st-Surveillance-2016.pdf
		Human Rights Policy (Criterion 6.13).	Yes	The Social Humanity Management Policy was updated in Jan 2015. The policy was displayed at the notice boards at the mill and the estates' offices.
C 1.3 Growers and millers commit to ethical conduct in all business operations and transactions.	1.3.1	There shall be a written policy committing to a code of ethical conduct and integrity in all operations and transactions, which shall be documented and communicated to all levels of the workforce and operations. Minor Compliance	Yes	A documented code of ethical conduct and integrity in all operations and transactions has been established as observed in a "Code of Business Conduct" booklet, which available in the website: http://www.simedarby.com/about-us/governance/ and had been communicated to employees.

Principle 2: COMPLIANCE WITH APPLICABLE LAWS AND REGULATIONS

Clause	Indicators	Comply Yes/No	Findings
C 2.1 There is compliance with all applicable local, national and ratified international laws and regulations.	2.1.1 Evidence of compliance with relevant legal requirements shall be available. Major Compliance	No	Giram CU has obtained and renewed license and permits as required by the law. Amongst the licenses or permits reviewed were the MPOB licenses, permits to store diesel from KPDNKK, Energy Commission's license, fire certificate, license for hiring foreign workers and salary deduction. These legal requirements were also verified: 1) Factory and Machinery Act 1967 i) Person In Charge Regulation 1970 ii) Steam Boiler and Unfired Pressure Vessel 1970 iii) The Factories and Machinery (Notification, Certificate of Fitness and Inspection), Regulations, 1970 Regulation 10(2) iv) Noise Exposure Regulations 1989 2) EQ (Prescribed Premise) Crude Palm Oil Regulations 1977 3) EQ (Scheduled Wastes) Regulations 2005 4) OSH(Use and Standards Of Exposure of Chemicals Hazardous to Health Regulations 2000) 5) Code of Practice in Confined Space 2010 However, it was found that, at Giram POM; 1. There was no health surveillance by Occupational Health Doctor for those who works in Confined Space. 2. Declaration of health status for the authorised entrant prior to each entry was not evident e.g. work carried out on 20/2/17 and 10-11/6/16. Major NCR MZK 01 2017 was raised.
	2.1.2 A documented system, which includes written information on legal requirements shall be maintained. Minor Compliance	Yes	The CU continued to implement the established documented system for identifying, accessing, tracking and monitoring of compliance with the legal requirements that are applicable to them. Each estate and mill had its own Legal and Other Requirements Register and were being evaluated individually annually for compliance. The legal registers were updated by the person in-charge and approved by the respective managers.
	2.1.3 A mechanism for ensuring compliance shall be implemented.	Yes	The CU had a documented system for identifying, updating changes to legal requirements and to monitor the status of legal compliance in their Estate & Mill Quality Management System included under the Standard Operation Manual. It was evident that the compliance to legal requirements had been

RSPO PUBLIC SUMMARY REPORT

		Minor Compliance		evaluated on an annual basis.
	2.1.4	A system for tracking any changes in the law shall be implemented. Minor Compliance	Yes	The tracking for changes in the law is carried by the PSQM Department at SDPSB's headquarters at Ara Damansara, Subang. Any change in the law is disseminated to all CUs which then updates its legal register from time to time. This mechanism was outlined in its internal procedure.
C 2.2 The right to use the land is demonstrated, and is not legitimately contested by local people who can demonstrate that they have legal, customary or user rights	2.2.1	Documents showing legal ownership or lease, history of land tenure (confirmation from community leaders based on history of customary land tenure, recognised NCR land) and the actual legal use of the land shall be available. Major Compliance	Yes	The legal ownership and the maps to indicate the boundary stone were sighted at Giram Estate and Mostyn Estate.
	2.2.2	There is evidence that physical markers are located and visibly maintained along the legal boundaries particularly adjacent to state land, NCR land and reserves. Minor Compliance	No	Giram & Mostyn Estate has visibly maintained its boundary pegs adjacent with the nearby forest reserves and villages. However, during site visit at boundary area between Sipit Division (Giram Estate) and KLK Plantation, the boundary pegs were not visibly maintained. Thus, a minor NCR MRS 01/2017 was raised.
	2.2.3	Where there are or have been disputes, additional proof of legal acquisition of title and evidence that fair compensation has been made to previous owners and occupants shall be available, and that these have been accepted with FPIC. Minor Compliance	Yes	During this audit, auditor attempted to consult the complainant from neighboring village on the issue of electric poles and cables installed inside his land. But both of them cannot be reached during the audit. However, based on previous year report, the Mostyn Estate has conducted proper meeting with the complainant and minutes of meeting between them were reviewed. The complainant was provided with clear participatory mapping to show the extent of his right. A certified copy of the surveyed map was handed to the complainant by Mostyn estate representative. Mostyn estate has removed the disputed electric poles and cables and construct a new road inside estate's land. This has been completed in Jan 2016. Apart from this, there was no any other disputes in Giram CU. It was confirmed during the consultation with sampled stakeholders.
	2.2.4	There shall be an absence of significant land conflict, unless requirements for acceptable conflict resolution processes are implemented and accepted by the parties involved. Major Compliance	Yes	Evidence of ownership (cross refer to section 2.2) was available and were sighted. It was also noted from records sighted, as well as through interviews with stakeholders, that there were no dispute on land rights in the area, except with the complainant as stated in indicator 2.2.3.
	2.2.5	For any conflict or dispute over the land, the extent of the disputed area shall be mapped out in a participatory way with involvement of affected parties. Minor Compliance	Yes	This has been carried out accordingly, as reported in indicator 2.2.3.
	2.2.6	To avoid escalation of conflict, there shall be no evidence that oil palm operations have instigated violence in maintaining peace and order in their current and planned operations. Major Compliance	Yes	Site visit to Giram CU found no evidence that oil palm operations have instigated violence. Instead, peace and order was being maintained in the Giram CU current and planned operations. This was confirmed during the consultation with sampled stakeholders.

RSPO PUBLIC SUMMARY REPORT

<p>C 2.3 Use of the land for oil palm does not diminish the legal, customary or user rights of other users without their FPIC.</p>	2.3.1	<p>Maps of an appropriate scale showing the extent of recognised legal, customary or user rights shall be developed through participatory mapping involving affected parties. Major Compliance</p>	Yes	This has been carried out accordingly, as in indicator 2.2.3.
	2.3.2	<p>Copies of negotiated agreements detailing the process of FPIC shall be available and shall include: a) Evidence that a plan has been developed through consultation and discussion with all affected groups in the communities, and that information has been provided to all affected groups, including information on the steps that shall be taken to involve them in decision making; b) Evidence that the company has respected communities' decisions to give or withhold their consent to the operation at the time that this decision was taken; c) Evidence that the legal, economic, environmental and social implications for permitting operations on their land have been understood and accepted by affected communities, including the implications for the legal status of their land at the expiry of the company's title, concession or lease on the land. Minor Compliance</p>	Yes	This has been carried out accordingly, as in indicator 2.2.3.
	2.3.3	<p>All relevant information shall be available in appropriate forms and languages, including assessments of impacts, proposed benefit sharing, and legal arrangements. Minor Compliance</p>	Yes	This has been carried out accordingly, as in indicator 2.2.3.

RSPO PUBLIC SUMMARY REPORT

	2.3.4	Evidence shall be available to show that communities are represented through institutions or representatives of their own choosing, including legal counsel. Major Compliance	Yes	There was no other issue on legal, customary or user rights of other users without their free, prior and informed consent (except that reported in indicator 2.2.3). This was confirmed during the consultation with sampled stakeholders.
--	-------	---	-----	--

Principle 3: COMMITMENT TO LONG-TERM ECONOMIC AND FINANCIAL VIABILITY

Clause	Indicators	Comply Yes/No	Findings
C 3.1 There is an implemented management plan that aims to achieve long-term economic and financial viability.	3.1.1	Yes	Both estates continued to make commitment to long-term economic and financial viability. The annual budgets for 2017 to 2019 were sighted. The budget provisions cover activities for upkeep, cultivation, harvesting & evacuation, welfare, capital expenditure, RSPO compliance etc. The budget included projections on yield/ha, and total cost of production per mt & per ha.
	3.1.2	Yes	The replanting programmes for year 2016/2017 until 2020/2021 were sighted for both estates. This programmes are reviewed once a year and had been incorporated into their annual financial budget.

Principle 4: USE OF APPROPRIATE BEST PRACTICES BY GROWERS AND MILLERS

Clause	Indicators	Comply Yes/No	Findings
C 4.1 Operating procedures are appropriately documented, consistently implemented and monitored.	4.1.1	Yes	In SOU 29 Giram, the SOPs for each of the processes were continues to be implemented. Brief version of the SOPs was displayed at the appropriate locations. In general, it can be concluded that adequate SOPs have been established and implemented. The SOPs included the operation activities in the estates and the mills from seedlings in nursery to planting of young palms and plantation upkeep to mill FFB receipt, grading, processing, quality analysis and dispatch of CPO & PK and security in the CU. In addition to the PQMS, technical guidelines as listed in the Agricultural Reference Manual were also used. Contents of the Manual were disseminated to the workers through morning roll call and trainings. A copy of the Manual is also kept in the administration office where everyone can refer.
	4.1.2	Yes	The mechanisms to check the implementation of procedures were carried out through internal audit, safety and health meeting and routine inspection (workplace inspection) by assistant manager, staff and hospital assistant.
	4.1.3	Yes	Records of monitoring and actions taken by the estates continued to be maintained. This is to ensure that the established procedures were consistently implemented. Records of monitoring and actions taken by both estates were maintained and kept for a minimum of 12 months. Among the records sighted at the estates included Work Program Sheets, Field cost books, Bin cards, Harvesting Intervals, Monthly Progress & Report Account, rainfall data, etc. Harvesting standards were monitored using SDSB's Plantation Micro Macro Program, Store Bin Cards, Kernel Stock, Oil Tank Stock, boiler water test etc.
	4.1.4	Yes	The scope for Giram POM SC is Identity Preserved, hence, this indicator is not applicable.

RSPO PUBLIC SUMMARY REPORT

		sourced FFB. Major Compliance		
C 4.2 Practices maintain soil fertility at, or where possible improve soil fertility to, a level that ensures optimal and sustained yield.	4.2.1	There shall be evidence that good agriculture practices, as contained in SOPs, are followed to manage soil fertility to a level that ensures optimal and sustained yield, where possible. Minor Compliance	Yes	Both estates practiced the maintenance of long-term soil fertility by annual application of fertilisers based on periodic foliar and soil analysis, biomass retention (pruned fronds left to decompose in the fields) and some EFB and compost application. Fertiliser application which was of paramount importance for maintenance of soil fertility, was carried based on the recommendation made by the Agronomist from the Sime Darby Research Sdn. Bhd. Annual fertiliser recommendations were based on annual foliar sampling and 5 yearly soil sampling. The main fertilisers recommended for 2016/2017 in mature areas were NK compacted, MOP, CiRP, and AS. Fertiliser application program was monitored using records like program sheets for both estates.
	4.2.2	Records of fertiliser inputs shall be maintained. Minor Compliance	Yes	Fertiliser application program was monitored using the program sheets, bin cards and field cost book. Records of programs and applications of fertilisers were reviewed by the auditor. Noted from the records that the actual amount of fertilisers applied in 2015/2016 was completed. Fertiliser application for 2016 / 2017 was on going in both estates.
	4.2.3	There shall be evidence of periodic tissue and soil sampling to monitor changes in nutrient status. Minor Compliance	Yes	The last soil sampling was conducted in June 2014. It was maintain by at least 5 yearly.
	4.2.4	A nutrient recycling strategy shall be in place, and may incl. use of EFB, POME & palm residue. Minor Compliance	Yes	Both estates had a nutrient recycling strategy in place. Palm fronds were stacked in the fields to decompose and by EFB application. There is no specific program for EFB mulching and compost application at the estates for mature oil palm areas. EFB application was carried in the Immature areas. There was no POME land application in both estates.
C 4.3 Practices minimize and control erosion and degradation of soils.	4.3.1	Maps of any fragile/marginal soils shall be available. Major Compliance	Yes	There were no fragile/marginal soils in both Mostyn and Giram Estates. Based on the soil maps provided, there was no fragile/marginal soils in both estates visited.
	4.3.2	A management strategy shall be in place for plantings on slopes between 9 & 25° unless specified otherwise by the company's SOP. Minor Compliance	Yes	SDPSB had a management strategy in place for planting on slopes to minimise and control erosion and degradation of soils. It was observed that practices to minimise and control erosion and degradation of soils were also advocated through proper stacking of fronds, EFB application, avoidance of blanket spraying, construction terraces, road maintenance and maintenance of soft vegetation in interlines. Cover crop was observed planted in the replants. No bare ground was sighted during the visit.
	4.3.3	A road maintenance programme shall be in place. Minor Compliance	Yes	During the field visit, it was noted road conditions was well maintained in both estates. Accessibility was made possible by regular maintenance, guided by its road maintenance programmes. The program had been supported by adequate provisions in the budgets. For road maintenance both estates had used motor graders and tractor drawn rollers, back hoes, tractors with back buckets and excavators. For resurfacing crusher run was purchased. Surface run off water from roads is directed into fields and road side drains. De-silting of drains was carried out with excavators.
	4.3.4	Subsidence of peat soils shall be minimised and monitored. A documented water and ground cover management prog. shall be in place. Major Compliance	Yes	There were no peats soils in both estates.
	4.3.5	Drainability assessments	Yes	There were no peats soils in both estates.

RSPO PUBLIC SUMMARY REPORT

		where necessary will be conducted prior to replanting on peat to determine the long-term viability of the necessary drainage for oil palm growing. Minor Compliance		
	4.3.6	A management strategy shall be in place for other fragile and problem soils. Minor Compliance	Yes	There were no peats soils in both estates.
C 4.4 Practices maintain the quality and availability of surface and ground water.	4.4.1	An implemented water management plan shall be in place. Minor Compliance	Yes	<p><u>Among of water management plan for Giram CU</u></p> <p><u>Giram POM</u> The Water Management plan was updated in July 2016. It included the recycling of processing water, recycling of condensate water, recycling of treated water from POME for cleaning purpose at the mill and hydrocyclone operation during water shortage, collection of rain water, Linesite water usage monitoring, and Contingency Plan to covered dry spell/shortage.</p> <p><u>Mostyn Estate</u> 1)Water shortage / dry spell Actions to be taken: <ul style="list-style-type: none"> - to revised demand and supply conditions - to reduce all operating system water used to essential level - Increase monitoring. - Promote consumption goals for typical household and percentage reduction goals for commercial usage </p> <p>2)Severe water pollution Actions to be taken: <ul style="list-style-type: none"> - Reduce leaks in underground pipe/taps. - Installing water meters and leak detention device. - Reusing/recycling water - Planting drought resistant shrubs and grasses. - Utilise spring water from estate area – field 02F & 03M, Mongkok Division </p> <p><u>Giram estate</u> 1)Rainwater collection Action taken: <ul style="list-style-type: none"> - Large containers are to be placed at strategic locations to be collect rainwater. - Rainwater to be recycle for washing heavy machine. - Install two feet culvert at replanting area to collect the rain water for spraying activities. </p> <p>2)Resource spring water Action taken: <ul style="list-style-type: none"> - To collect spring water from 96K1 and distribute to line site. </p>
	4.4.2	Protection of water courses and wetlands, including maintaining and restoring appropriate riparian and other buffer zones (refer to national best practice and national	Yes	SOU 29 continues to implement their SOP and Policy on maintenance of the riparian zone. The buffers had been identified in accordance with Sabah Water Resources Enactment (1998). Interviews with the workers revealed that they understood the requirement of keeping the riparian zones free from any agricultural activities such as application of fertilizer and chemical weeding. Site visit was conducted to verify the protection of water courses and wetlands, including maintaining and restoring appropriate riparian buffer zones at or before replanting along all natural waterways within the estate. SOU 29 had maintained the protection on water courses, including maintaining and restoring appropriate riparian

RSPO PUBLIC SUMMARY REPORT

		guidelines) shall be demonstrated. Major Compliance		buffer zones along all natural waterways within the estate. There was no infringement of buffer zone sighted.
	4.4.3	Appropriate treatment of mill effluent to required levels and regular monitoring of discharge quality, shall be in compliance with national regulations. Minor Compliance	Yes	Site visit to the effluent treatment plant and interview with operator in charge revealed that the operation was in accordance with standard operation procedure and legal requirements. No over flow was observed and flow meter reading was recorded daily. The treated POME going to land irrigation was monitored. Water sampling analysis on monthly basis as stipulated in the procedure. Results viewed and confirmed to be within stipulated limit.
	4.4.4	Mill water use per tonne of FFB shall be monitored. Minor Compliance	Yes	Processing water obtained from water catchment near to the mill. The mill water usage per tonne of fresh fruit bunches continued to be monitored on monthly basis, recorded between 0.79 – 1.20 lit/MT FFB. A slight inconsistent trend was noted. This was due to rainy season at the mill.
C 4.5 Pests, diseases, weeds and invasive introduced species are effectively managed using appropriate IPM techniques.	4.5.1	Implementation of IPM plans shall be monitored. Major Compliance	Yes	The CU had documented an IPM system. These included the pest control on rats, bagworms and rhinoceros beetles. The IPM techniques used include detection, census and treatment controls to be applied when pests' population or crop damages has reached the threshold level. There was no prophylactic application made in the estates. The control on rat or other pest would only be implemented when census had shown a 20% damages respectively. Control would then be confined to the affected areas. In addition, the Giram SOU encouraged the planting of beneficial plant and beneficial plant maps had been prepared for both estates.
	4.5.2	Training of those involved in IPM implementation shall be demonstrated. Minor Compliance	Yes	The IPM technique for bagworm control includes the planting of beneficial plants such as <i>Cassia cobanensis</i> , <i>Antigonon leptopus</i> and <i>Turnera subblata</i> and for rhino beetles is by using pheromone trap. The following training were sighted at the estates: <u>Giram Estate</u> IPM (P&D) beneficial plant and beneficial planting technique. <u>Mostyn Estate</u> IPM control & beneficial plant training and introduction of IPM training for workers and staff.
C 4.6 Pesticides are used in ways that do not endanger health or the environment	4.6.1	Justification of all pesticides used shall be demonstrated. The use of selective products that are specific to the target pest, weed or disease and which have minimal effect on non-target species shall be used where available. Major Compliance	Yes	Written justification in SOP of all agrochemical was available in Section 15 and 16 of the Agricultural Reference Manual and in the Safety Pictorial Book prepared by Sime Darby Plantation Sdn Bhd. The use of selective products that are specific to the target pest, weed or disease were demonstrated in the Agricultural Reference Manual. Chemical registers indicate the purpose of usage (intended target), hazards signage, trade and generic names. Relevant records sighted.
	4.6.2	Records of pesticides use (incl. active ingredients used and their LD50, area treated, amount of active ingredients applied per ha and no. of applications) shall be provided. Major Compliance	Yes	Both estates had records to show the types of pesticides used with active ingredients and their LD50 and where these pesticides had been used, the total quantity, number of applications and Ai/Ha. Pesticides are used only when justified and areas used are recorded in bin cards, program sheets, chemical register, field cost books and in progress reports. Both estates had documented programs for spraying pesticides and for rat baiting.
	4.6.3	Any use of pesticides shall be minimised as part of a plan, and in accordance	Yes	Barn owls was encouraged, as indicated by Barn Owl census records, to reduce the use of rat baits. In Mostyn Estate, there were 66 barn owl boxes and in Giram Estate 45 barn owl boxes were installed. As part of the IPM plans, the management of both estates had established nectariferous beneficial plants

RSPO PUBLIC SUMMARY REPORT

	with IPM plans. There shall be no prophylactic use of pesticides, except in specific situations identified in ind.'s Best Practice. Major Compliance		(<i>Cassia cobanensis</i> , <i>Antigonon leptopus</i> , <i>Turnera sublata</i> , and <i>Mucuna bracteata</i>) nurseries for continuous planting in order to attract natural predators and thus reducing the use of insecticides. EFB applied at replants was applied in single layers and is not dumped in large amounts to prevent breeding of Rhinoceros Beetles.
4.6.4	Pesticides that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, and paraquat, are not used, except in specific situations identified in industry's Best Practice. The use of such pesticides shall be minimised and/or eliminated as part of a plan, and shall only be used in exceptional circumstances. Pesticides selected for use are those officially registered under the Pesticides Act 1974 (Act 149) and the relevant provision (Section 53A); and in accordance with USECHH Reg. (2000). Minor Compliance	Yes	Both estates only used pesticides that were officially registered under the Pesticides Act 1974 (Act 149) and the relevant provision (Section 53A); and in accordance with USECHH Regulations 2000. From the review of the chemical register, it was noted that all pesticides used were of class ii, iii & class iv. The use of paraquat had been banned in all SDPSB estates. There was no evidence of pesticides that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions been used. From records and interviews with workers, staff and estate assistants, found that they were trained, all precautions taken and all legal requirements met.
4.6.5	Pesticides shall only be handled, used or applied by persons who have completed the necessary training and shall always be applied in accordance with the product label. Appropriate safety and application equipment shall be provided and used. All precautions attached to the products shall be properly observed, applied, and understood by workers. Major Compliance	Yes	Records showed that pesticides were handled, used and applied by trained persons. As mentioned under Indicator 4.6.1, SOPs for safe-handing of pesticides were established at both estates. The staff and workers such as the storekeepers, sprayers, fertilizer and rat bait workers were trained and they understood the hazards involved and how the chemicals should be used in a safe manner. The trade and generic names of the chemicals were made known to the workers through the MSDS/CSDS training. It was also noted that MSDS/CSDS were available at all audited sites. Training on pesticide handling was last done at Mostyn Estate in Dec 2016 by the supplier and in May 2017 by the Assistant Manager and Sustainability Officer. At Giram Estate, training was conducted in May 2017 by the 3 rd party. The trainings included the safety aspects and usage of PPE when handling with pesticides. Records of training were available for verification. All workers involved in pesticide application were provided with appropriate PPE and replaced when damaged. PPE issuance and replacements records were verified by the auditors.
4.6.6	Storage of all pesticides shall be according to	Yes	The chemical stores in all estates were found to be in compliance with the Occupational Safety and Health Act 1994 (Act 514) as well as in the Pesticides Act 1974 (Act 149). Records of purchase, storage

RSPO PUBLIC SUMMARY REPORT

	recognised best practices. All pesticide containers shall be properly disposed of and not used for other purposes (see Criterion 5.3). Pesticides shall be stored in accordance to the Occupational Safety and Health Act 1994 (Act 514) and Regulations and Orders, Pesticides Act 1974 (Act 149) and Reg. Major Compliance		and use were maintained. All of the stores were equipped with exhaust fans and the door was secured. Only authorized personnel are allowed to handle the chemicals. All the chemicals were segregated accordingly. Empty pesticides containers were triple rinsed, holes punched in them and stored separately in the scheduled wastes store awaiting proper disposal.
4.6.7	Application of pesticides shall be by proven methods that minimise risk and impacts. Minor Compliance	Yes	As mentioned under 4.6.5 pesticides were handled only by trained personnel.
4.6.8	Pesticides shall be applied aerially only where there is documented justification. Communities shall be informed of impending aerial pesticide applications with all relevant information within reasonable time prior to application. Major Compliance	Yes	Aerial spraying was not practiced by both Mostyn Estate and Giram Estate. There was no evidence to show that any had been carried out.
4.6.9	Evidence of continual training to enhance knowledge and skills of employees and associated smallholders on pesticide handling demonstrated. Minor Compliance	Yes	The staffs and workers such as the storekeepers, sprayers, fertilizer and rat bait workers were trained and they had understood the hazards involve and how the chemicals should be used in a safe manner. Attendance list was available for all the training carried out.
4.6.10	Proper disposal of waste material, according to procedures that are fully understood by workers and managers shall be demonstrated. Minor Compliance	Yes	Procedure EN1 – scheduled wastes (Hazardous Waste) management was established. Disposal of empty pesticide containers were carried out as per established procedures. Triple rinsing was continually implemented for empty pesticide containers. The rinsed containers were then pierced and stored prior disposal. 5 th schedule inventory was evident. Noted that in Giram CU, scheduled wastes disposal was to DOE licensed contractors. The 6 th schedule consignment notes were verified.
4.6.11	Annual medical surv for pesticide operators, and doc. action to treat related health conditions, shall be demonstrated. Major Compliance	Yes	All sprayers, store keepers, foremen, water treatment operator and workers handing pesticides in both estates were sent for annual medical surveillance and the records were reviewed by the audit team.

RSPO PUBLIC SUMMARY REPORT

	4.6.12	No work with pesticides shall be undertaken by preg. or breast-feeding women. Major Compliance	Yes	There was no evidence of pregnant and breast-feeding women sprayers performed spraying activity in both estates. Workers interviewed were aware that pregnant and breast-feeding women should not handle chemicals. Monitoring of pregnancy and lactating was conducted on every month by the estate HA.
<p>C 4.7 An occupational health and safety plan is documented, effectively communicated and implemented. The occupational health and safety plan shall cover the following:</p>	4.7.1	An occupational health and safety policy in place. An occupational health and safety plan covering all activities doc. and implemented, and its effectiveness monitored. Major Compliance	Yes	The CU continued to adopt SDPSB Occupational Safety and Health Policy dated April 2011. The policy had been communicated to all employees through briefings and being displayed on the estates and mill notice boards. It has been communicated to all workers during roll call and by the worker's representative in OSH committee. The OHS management plan sighted addressed issues related to hazards and risks, legal register and its requirements for compliance, OSH awareness and training program, accident and emergency procedures, treatment of illness/injury during the job, use of PPE, OSH Committee meetings, etc. Generally, the ESH program noted to be satisfactory. The implementation of OSH plan was monitored by internal audits conducted by OSH officers from PSQM department.
	4.7.2	All operations where health and safety is an issue shall be risk assessed, and procedures and actions shall be documented and implemented to address the identified issues. All precautions attached to products shall be properly observed and applied to the workers. Major Compliance	Yes	The HIRARC and CHRA records covered activities in the estates and mill were verified during the assessment. The HIRARC register for Giram POM was reviewed in Feb 2017 due to changing the HIRARC. Most significant and routine activities for mill and estate were adequately covered including chemical spraying, harvesting in the estates, boiler operation, FFB sterilisation, kernel extraction and oil extraction and clarification in the mill. The HIRARC registers for Mostyn Estate were updated in Mar 2017 by the Assistant Manager. The registers were revised where activities and control measure for activities such as Mechanical Assisting Infield Collection and Replanting section which is on heat stress management on open space area was added. At Giram Estate was updated in July 2016 regarding on issues of heat stress management. Most significant and routine activities for estate such as chemical spraying and harvesting were included. Appropriate administrative control was sighted with safety signage's displayed at all work stations at the estates.
	4.7.3	All workers involved in the op. adequately trained in safe working practices. Adequate and appropriate protective equipment shall be available to all workers at the place of work to cover all potentially hazardous op. such as pesticide application, machine operations, & land prep, harvesting and, if it is used, burning. Major Compliance	Yes	Training and briefing on the operations were provided to workers to educate them on safe working practices and to ensure applicable precautions are adhered. Training for employees were conducted from time to time through various method such as on the job training, briefings, meetings, etc. Records sighted. All workers were provided with appropriate PPE and replaced when damaged. PPE issuance and replacement records were verified by the audit team. It was observed that PPE was used by workers working in the fields as per CHRA recommendation.
	4.7.4	The responsible person shall be identified. There shall be records of regular meetings between the responsible person and workers. Concerns of all parties about health, safety and welfare shall be discussed at these meetings, and any issues	Yes	<u>Giram POM</u> The Mill Manager is the responsible person for environment, safety and health. The Safety and Health Committee organization chart dated in Sept 2016 was available. The Mill Manager is the chair of the committee and the QA as the secretary. Minutes of meetings verified. Quarterly Safety & Health Committee meetings were held to discuss among others the following : i) Passing of previous minutes and arising matters. ii) Hospital Assistant Report (Monthly Accident statistics) iii) Mill Health & Safety Inspection Report iv) Training & Safety related activities

RSPO PUBLIC SUMMARY REPORT

		raised shall be recorded. Major Compliance		<u>Giram and Mostyn Estates</u> Regular safety meetings between the responsible persons and workers about safety and health were conducted. Safety Committee Meeting has been conducted once in three months by all audited operating units. The meeting was chaired by the Estate managers, and minutes were made available at the estate offices.
	4.7.5	Accident and emergency proc. shall exist and instructions shall be clearly understood by all workers. Accident proc. shall be available in the appropriate language of the workforce. Assigned operatives trained in First Aid should be present in both field and other op. and first aid eq. shall be available at worksites. Records of all accidents shall be kept and periodically reviewed. Minor Compliance	Yes	The CU had adhered to the SDPSB policy on 'Crisis Management & Emergency Response' plan, reference document: chapter 13 of PQMS, OSH manual and "Accident and Reporting and Investigation Procedure' in chapter 14 of the same manual. Each estates had procedures for responding to emergency such as fire, flood, chemical spillage, strikes and accident. The procedures were available in English and Bahasa. The procedures were displayed at the office, Muster Ground, Workshop and Dispensary. The names of the Emergency Response Team (ERT) members and their contact numbers were displayed at notice boards and communicated to all employees. The telephone numbers of the nearest police station, Fire Brigade, Immigration Department and hospital were also included. Fire Drill was conducted by the mill & estates ERT in Jan 2017 and Sept 2016, respectively. The timing for evacuation was recorded and generally concluded as satisfactory. The First Aid kits at the Stations were checked and found to be satisfactorily maintained.
	4.7.6	All workers shall be provided with medical care, and covered by accident insurance. Minor Compliance	Yes	SOU Giram continued to provide group insurance for all their foreign workers as required under the Workmen Compensation Act 1992. Sighting of records and cross check with workers showed that they were covered with valid insurance policy. Local workers were covered by SOCSO.
	4.7.7	Occupational injuries shall be recorded using LTA metrics. Minor Compliance	Yes	Giram POM - Accident statistics was maintained and reviewed on quarterly basis during 'Health and Safety' committee meeting. This was evident in the minutes of the meeting. JKPP 8 for 2016 was submitted in Jan 2017. No accidents were recorded for 2016. LTI as at 30 th April 2017 – 672,326 mandays. As at April 2017, the cumulative man hour without lost time incidents are 46,853 for Mostyn estate and 26,630 for Giram.
C 4.8 All staff, workers, smallholders and contract workers are appropriately trained.	4.8.1	A formal training prog. shall be in place that covers all aspects of the RSPO Principles and Criteria, and that includes regular assessments of training needs and documentation of the prog. Major Compliance	Yes	Training programmes for 2017 covering aspects of the RSPO Principles and Criteria was established. Regular assessments of training needs was presented to auditors by both estates. The training programmes had also included the contractors and suppliers.
	4.8.2	Records of training for each employee shall be maintained. Minor Compliance	Yes	Training records were available and maintained at the POM and estates. The required were reviewed accordingly by auditors Regular assessment of training needs were carried out and formal training programs for 2016/2017 that covered aspects of the RSPO Principles and Criteria were established.

RSPO PUBLIC SUMMARY REPORT

Principle 5: ENVIRONMENTAL RESPONSIBILITY AND CONSERVATION OF NATURAL RESOURCES AND BIODIVERSITY

Clause	Indicators		Comply Yes/No	Findings
C 5.1 Aspects of plantation and mill management, including replanting, that have environmental impacts are identified, and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.	5.1.1	An environmental impact assessment (EIA) shall be documented. Major Compliance	Yes	Giram CU has established its environmental aspects/impacts register associated with their activities. Identification of environmental aspect and evaluation of impact covers form upstream activities such as FFB reception until downstream processes i.e. the mill operation. Among the significant environmental receptors were the air emissions from the boiler, palm oil mill effluent (POME) discharge and land contamination associated with the management of scheduled wastes and general wastes. For the estate operation, all activities from harvesting, pest and disease, upkeep programmed until delivery to mill have been identified.
	5.1.2	Where the identification of impacts requires changes in current practices, in order to mitigate negative effects, a timetable for change shall be developed and implemented within a comprehensive action plan. The action plan shall identify the responsible person/persons. Minor Compliance	Yes	<u>Giram POM</u> Environmental Aspect and Impact Identification Form & Environmental Impact Evaluation Form was used to identify Aspect & Impact and take necessary action. The record was reviewed in May 2015 by the Assistant Engineer and approved by the Mill Manager. No changes were made to the record. Environmental Improvement Plan FY 2016 / 2017 comprising of Pollution Prevention Plan was established in July 2017. Among the pollution prevention being identified are control of leakage of lubricant oil and maintenance machineries, black smoke monitoring and effluent monitoring. Sighted records of monitoring by the mill. <u>Giram and Mostyn Estates</u> No changes were made to the environmental aspects and impacts or current practices which require changes in the environmental action plans. Nevertheless, the existing environmental action plans had identified timeframes and responsible person at each operating units assessed.
	5.1.3	This plan shall incorporate a monitoring protocol, adaptive to operational changes, which shall be implemented to monitor the effectiveness of the mitigation measures. The plan shall be reviewed as a minimum every two years to reflect the results of monitoring and where there are operational changes that may have positive and negative environmental impacts. Minor Compliance	Yes	<u>Giram POM</u> - the POM 'Pollution Prevention plan', 'Waste Management Plan' was updated on 1 July 2016 and approved by the Mill Manager. The following were monitored : i) Black Smoke Monitoring ii) Effluent Treatment iii) Control of leakage of Lubricant oil and maintenance machineries <u>Giram and Mostyn Estates</u> For FY 2016/2017 both estate have decided to minimize chemical usage in some area such as: 1)To reduce usage of insecticide and pesticide Action taken: <ul style="list-style-type: none"> • Establish of pheromone trap • Prevention of pest breeding area by doing good agricultural practices during replanting – ensuring thin chipping of trunks and all trunk debris must stack in close ended trenches 2)to reduce usage of rat bait Action taken: to establish of barn owl boxes. 3)to prevent of usage of chemical class 1 (methamidaphos) for control bagworm Action taken: to plant more beneficial plants.

RSPO PUBLIC SUMMARY REPORT

<p>C 5.2</p> <p>The status of rare, threatened or endangered species other High Conservation Value habitats, if any, that exist in the plantation or that could be affected by plantation or mill management, shall be identified and operations managed to best ensure that they are maintained and/or enhanced.</p>	5.2.1	Information shall be collated in a High Conservation Value (HCV) assessment that includes both the planted area itself and relevant wider landscape-level considerations (such as wildlife corridors). Major Compliance	Yes	Identification and assessment of HCV habitats and protected areas within landholdings; and attempt assessments of HCV habitats and protected areas surrounding landholdings was conducted in July, August & Sept 2013 . A report titled 'HCV Re-Assessment for Strategic Operating Unit (SOU) Sabah Central – South Zone – January 2014 (Version II)' was verified. The assessment had covered all the High Conservation Value (HCV) within and adjacent to their estates. The Giram CU had identified the significant HCV as reported in the assessment report, with the total of 89.39ha.
	5.2.2	Where RTE species, or HCVs, are present or are affected by plantation or mill operations, appropriate measures that are expected to maintain and/or enhance them shall be implemented through an action plan. Major Compliance	Yes	The HCV Assessment conducted showed there were HCVs area present in Giram CU. However, there was no threatened or endangered (RTE) species present. The HCV management plan was developed. The action plan contained descriptions of HCV, action steps and monitoring activities. Auditor has verified HCV action plan at Giram Estate titled 'Biodiversity Action Plan FY 2016/2017'.
	5.2.3	There shall be a programme to regularly educate the workforce about the status of these RTE species, and appropriate disciplinary measures shall be instituted in accordance with company rules and national law if any individual working for the company is found to capture, harm, collect or kill these species. Minor Compliance	Yes	In both estates, the training programs for 2016/2017 has included HCV training programme. HCV briefing were carried out during muster in March at both Giram & Mostyn Estates. Attendance lists were available. Giram CU will notify the relevant authorities immediately if any individual working for the company is found to capture, harm, collect or kill these species.
	5.2.4	Where an action plan has been created there shall be ongoing monitoring: <ul style="list-style-type: none"> The status of HCV and RTE species that are affected by plantation or mill operations shall be documented and reported; Outcomes of monitoring shall be fed back into the action plan. Minor Compliance 	Yes	Among the monitoring activities conducted by Giram Estate were inspection of water catchment area, education & awareness programme, and erect signboard at strategic place. For Mostyn Estate, monitoring of water catchment area, illegal poaching at forest border and illegal logging.
	5.2.5	Where HCV set-asides with existing rights of local communities have been identified, there shall be evidence of a negotiated agreement that optimally safeguards both the HCVs and these rights. Minor Compliance	Yes	There was no HCV set-asides with existing rights of local communities in Giram CU. It was confirmed during the consultation with sampled stakeholders.
<p>C 5.3</p> <p>Waste is reduced, recycled, re-used and disposed of in</p>	5.3.1	All waste products and sources of pollution shall be identified and documented. Major Compliance	Yes	<u>Giram POM</u> The Giram POM has identified all wastes and sources of pollution. The Waste Management Action Plan FY 2016/2017 were established to mitigate and control the identified wastes and source of pollution. The most significant environmental receptors for the mill operations were:

RSPO PUBLIC SUMMARY REPORT

an environmentally and socially responsible manner.				<p>Air – sources from boiler stack (smoke and particulate), vehicle & generator (smoke and gases), anaerobic processes (ETP, EFB dumping – biogas emission)- GHG , Water – cleaning water/run-off/process station waters (hydro-cyclone /sterilizer condensate/clarification waste) & boiler quenching water and blowdown. Land – scheduled waste, clinical waste, Industrial waste, domestic waste and industrial/process waste. <u>Giram and Mostyn Estates</u> Information pertaining wastes and plans continued to be available in the documented documents as below: (a) Environmental Aspect Identification (EAI) and Environmental Impact Evaluation (EIE) register. (b) Pollution Prevention Plan – FY2016/17. (c) Identification and Management of Wastewater – FY2016/17. (d) Waste Management Plan – FY2016/17. (e) Environmental Improvement Plan - FY2016/17.</p>
	5.3.2	All chemicals and their containers shall be disposed of responsibly. Major Compliance	Yes	Among the identified wastes include empty chemical containers including pesticides containers. Empty pesticides containers were washed at washing station prior to disposal. Disposals were carried out in compliance with relevant regulation of scheduled waste. Inventory and consignment documents verified for confirmation of proper management and disposal.
	5.3.3	A waste management and disposal plan to avoid or reduce pollution shall be documented and implemented Minor Compliance	Yes	<p><u>Giram POM</u> Waste management and disposal plan to avoid or reduce pollution had been documented and implemented as discussed in Indicator 5.1.3 above. Procedures and guideline were used to guide the waste disposal activities and to reduce pollution on the routine operation. Mill wastes were disposed as follows; EFBs were sent for mulching in the field, while crop residue/biomass i.e. fiber and shell were used as fuel in the boiler. On the monitoring of water and effluent discharge, a monthly analysis and quarterly reporting were submitted to DOE as required by the written approval. <u>Giram and Mostyn Estates</u> Disposal of empty pesticide containers were carried out as per established procedures. Triple rinsing was continually implemented for empty pesticide containers. The rinsed containers were then pierced and stored prior disposal. 5th schedule inventory was evident. Noted that at Mostyn Estate and Giram Estate, last disposal was to approved contractors. The 6th schedule consignment notes were verified.</p>
C 5.4 Efficiency of fossil fuel use and the use of renewable energy is optimised.	5.4.1	A plan for improving efficiency of the use of fossil fuels and to optimise renewable energy shall be in place and monitored. Minor Compliance	Yes	SOU Giram has established the plan on efficiency use of fossil fuels titled as fossil fuel reduction program. The programs among others included the use of fibre and shell as fuel in the POM's boiler and at the estates, maintenance of the tractor to ensure at optimum level. The use of fossil fuel (petrol and diesel) and its renewable energy were monitored by the managements on monthly basis. For both estates among of fossil fuel reduction plans were: To used solar panel lamp at roadside area and to change gen set to electricity supplied by Sabah Electricity Sdn Bhd.
C 5.5 Use of fire for preparing land or replanting is avoided,except in specific situations as identified in the ASEAN guidelines or other regional best practice	5.5.1	There shall be no land preparation by burning, other than in specific situations as identified in the 'Guidelines for the Implementation of the ASEAN Policy on Zero Burning' 2003. Major Compliance	Yes	SDPSB has a policy on no open burning. As advocated, both estates practiced Zero burning. In the replants area on Giram Estate and Mostyn Estate visited during the audit, it was evident that all palms were felled, shredded, windrowed and left to decompose. No burning was carried out.
	5.5.2	Where fire has been used for preparing land for replanting, there shall be evidence of prior approval of the controlled burning as specified in 'Guidelines for the Implementation of the ASEAN Policy on Zero Burning'	Yes	There was no evidence that fire has been used for preparing land for replants area on Giram Estate and Mostyn Estate. The estates had adhered to the Zero Burning Policy of SDPSB.

RSPO PUBLIC SUMMARY REPORT

<p>C 5.6 Preamble Growers and millers commit to reporting on operational greenhouse gas emissions. However, it is recognised that these significant emissions cannot be monitored completely or measured accurately with current knowledge and methodology. It is also recognized that it is not always feasible or practical to reduce or minimise these emissions. Growers and millers commit to an implementation period until the end of December 2016 for promoting best practices in reporting to the RSPO, and thereafter to public reporting. Growers and millers make this commitment with the support of all other stakeholder groups of the RSPO. Plans to reduce pollution and emissions, including greenhouse gases are developed, implemented and monitored.</p>	5.6.1	<p>2003. Minor Compliance An assessment of all polluting activities shall be conducted, including gaseous emissions, particulate/soot emissions and effluent.</p>	Yes	<p>The CU had conducted an assessment of all polluting activities including gaseous Emissions and effluent discharges in the identification of environmental impact assessment. Management plans called the 'Prevention Pollution Plan' FY 2016/2017 were established at each sites. These documents included all activities in the estates and mill including gaseous emissions from boiler, gensets, and transportation. The management monitored the use of fossil fuel and its renewable energy on monthly basis. For estates, the most significant receptors to soil were pesticides (spillage) and at Giram Estate it include domestic waste at own operated landfill. No changes of environmental aspects and impact or new polluting activities observed. The existing EIA and EIE documents maintained identified generation of gaseous emissions from its operation such as lorries and farm tractor. Noted the documents had been reviewed annually at each operating units assessed.</p>
	5.6.2	<p>Significant pollutants and greenhouse gas (GHG) emissions shall be identified, and plans to reduce or minimise them implemented. Major Compliance</p>	Yes	<p>SOU Giram continued to maintain its documented plans to mitigate environmental pollution associates to its activities. The environmental aspects for air pollution were identified. The action plan and continuous improvement plan named Action Plan to reduce GHG was established. This document was reviewed 1 July 2016. Example of the action plan that had been plan is to monitor diesel usage and construct biogas plant. The Action Plan to reduce GHG for Giram POM was established and reviewed on 1 July 2016. The GHG emission was included in the CU's Environmental Aspect Impact. The mill is in the process of preparing the site for constructing a biogas plant. The construction is proposed to start in October 2017. Both estates had identified emission of greenhouse gas (GHG) from their operations such as emission from their farm tractor and gen set operation. The management from both estates had plan to reduce emission by daily inspection and monitoring for their farm tractor and gen set to prevent any leakage and problem which can impact on smoke emission.</p>
	5.6.3	<p>A monitoring system shall be in place, with regular reporting on progress for these significant pollutants and emissions from estate and mill operations, using appropriate tools. Minor Compliance</p>	Yes	<p>RSPO made compulsory for submitting GHG starting from 1/1/2017. The CU had used the RSPO PalmGHG Calculator as the tools to calculate the GHG. The records pertaining for this calculation were kept for ease retrieval and made available at the Giram POM and both estates during this assessment.</p>

Principle 6: RESPONSIBLE CONSIDERATION OF EMPLOYEES AND OF INDIVIDUALS AND COMMUNITIES BY GROWERS AND MILLERS

Clause	Indicators	Comply Yes/No	Findings
<p>C 6.1 Aspects of plantation and mill management that have social impacts, including replanting, are identified in a participatory way, and</p>	<p>6.1.1 A social impact assessment (SIA) including records of meetings shall be documented. Major Compliance</p>	Yes	<p>The social impact assessment report for Giram CU was prepared by the Sime Darby's Plantation Sustainable Quality Management in Sept 2013 covering the two estates, namely Mostyn and Giram, and Giram mill. The background setting of the assessment highlighted the social issues and proposed mitigation measures. Each of the estates and the mill had to formulate its own action plan to address the issues raised by the various stakeholders. Based on the assessment report, there were local communities living surrounding the Giram Estate and Mostyn Estate except for Giram POM.</p>

RSPO PUBLIC SUMMARY REPORT

plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.	6.1.2	There shall be evidence that the assessment has been done with the participation of affected parties. Major Compliance	Yes	The SIA report was prepared with the participation of various stakeholders, namely, estate workers, local communities, contractors, vendors and suppliers. Evidence on participation was shown by the schedules of interviews between the assessors of the report and the stakeholders concerned. Records of meeting including attendance list in August 2013 was verified.
	6.1.3	Plans for avoidance or mitigation of negative impacts and promotion of the positive ones, and monitoring of impacts identified, shall be developed in consultation with the affected parties, documented and timetabled, including responsibilities for implementation. Major Compliance	Yes	<u>Giram POM</u> - The SIA Action Plan (FY 2016/2017) for mitigation of negative impacts and promotion of the positive ones, and monitoring of impacts identified was made available as verified by auditor. Among the issues discussed were medical claim, differences of wages between mechanical and electrical workers, etc. <u>Giram Estate</u> - Auditor has verified all issues as listed in the Social Action Plan FY 2016/2017 such as water pressure at workers quarters, road lamp, mobile toilet, maintenance o boundary marking with neighbouring estates and local community, creche' and pavement of estate road from estate entrance to line site area. <u>Mostyn Estate</u> - The estate has listed all significant issues in the Social Action Plan FY 2016/2017. Among the issues discussed were minimum wages, road grading, illegal poaching and domestic wastes collection.
	6.1.4	The plans reviewed as a min. once every 2yrs and updated as necessary, in those cases where the review has concluded that changes should be made to current practices. There shall be evidence that the review includes the participation of affected parties. Minor Compliance	Yes	<u>Giram POM</u> - The SIA Action Plan (FY2016/2017) for Giram POM was reviewed in March 2017. The review was carried out in consultation with stakeholders, included their staffs and workers, FFB transporter, electrical supplier, and maintenance mill. <u>Giram Estate</u> - The Social Action Plan was reviewed in Feb 2017 after discussion with related stakeholders (workers, staffs and head of villages) during stakeholders meeting. <u>Mostyn Estate</u> - The estate has reviewed the action plan in June 2017 with their stakeholders (Labour Department, Hospital Kunak, village representative and Kunak Forest Officer) during stakeholders meeting.
	6.1.5	Particular attention shall be paid to the impacts of smallholder schemes. Minor Compliance	Yes	There was no smallholder scheme in Giram CU.
C 6.2 There are open and transparent methods for communication and consultation between growers and/or millers, local communities and other affected or interested parties	6.2.1	Consultation and communication procedures shall be documented. Major Compliance	Yes	The CU continues to refer to the documented consultation and communication procedures established by HQ, applicable company-wide. The procedures were sighted available at respective operating units.
	6.2.2	A management official responsible for these issues shall be nominated. Minor Compliance	Yes	A nominated management official responsible for handling the social issues was available at each of the operating unit.
	6.2.3	A list of stakeholders, records of all communication, including confirmation of receipt and that efforts are made to ensure understanding by affected parties, and records of actions taken in response to input from stakeholders, shall be maintained. Minor Compliance	Yes	Giram CU has reviewed list of stakeholders FY2016/2017 in Jan, April & May 2017, respectively. Record of communications were recorded in the stakeholders meeting dated in March 2017. Records of actions taken in response to input from the stakeholders were maintained in the stakeholders meeting and social action plan.
C 6.3 There is a mutually agreed and documented system for dealing with complaints and grievances, which is implemented and accepted by all affected parties	6.3.1	The system, open to all affected parties, shall resolve disputes in an effective, timely and appropriate manner, ensuring anonymity of complainants and whistleblowers, where requested. Major Compliance	Yes	The procedure for responding to grievances and complaints were established and the flow chart of the procedures was sighted also made available on notice boards at the mill and estate office. The system is open to all affected parties, set to resolve disputes in an effective, timely and appropriate manner, ensuring anonymity of complainants and whistle-blowers.
	6.3.2	Documentation of both the process by which a dispute was resolved and the outcome shall be available.	Yes	Other than issue with a complainant as mentioned in indicator 2.2.3, interviews with the mill and estate management and staffs revealed that there was no social and land dispute issues with external parties or workers. The above was confirmed during consultation with sampled

RSPO PUBLIC SUMMARY REPORT

		Major Compliance		stakeholders. Only complaint related to housing maintenance were noted at Giram Estate. Action taken on the same day.
C 6.4 Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables indigenous peoples, local communities and other stakeholders to express their views through their own representative institutions.	6.4.1	A procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, in-place. Major Compliance	Yes	The Giram CU has established a procedures for handling boundary issues developed by Sime Darby Plantation to deal with customary rights and compensation for loss of legal rights. The procedure starts with confirmation of conflict, negotiation with affected parties and, if not resolved, arbitration process will take place.
	6.4.2	A procedure for calculating and distributing fair compensation shall be established and implemented, monitored and evaluated in a participatory way, and corrective actions taken as a result of this evaluation. This procedure shall take into account: gender differences in the power to claim rights, ownership and access to land; differences of transmigrants and long-established communities; and differences in ethnic groups' proof of legal versus communal ownership of land. Minor Compliance	Yes	Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables indigenous peoples, local communities and other stakeholders to express their views through their own representative institutions.
	6.4.3	The process and outcome of any negotiated agreements and compensation claims shall be documented, with evidence of the participation of affected parties, and made publicly available. Major Compliance	Yes	As verified during this audit, there was no negotiations concerning compensation for loss of legal, customary or user rights between the Giram CU and indigenous peoples, local communities and other stakeholders.
C 6.5 Pay and conditions for employees and for contract workers always meet at least legal or industry minimum standards and are sufficient to provide decent living wages	6.5.1	Documentation of pay and conditions shall be available. Major Compliance	Yes	Auditor has verified foreign workers' pay slips Giram CU and confirmed that all the wages were above the minimum wage requirement i.e. above RM920.
	6.5.2	Labour laws, union agreements or direct contracts of employment detailing payments and conditions of employment available in the languages understood by the workers or explained carefully to them by a management official. Major Compliance	Yes	Contracts of employment for local & foreign workers were sampled and verified by auditor. The contract has detailing payments and conditions of employment (e.g. deductions, overtime, sickness, holiday entitlement). The terms of and conditions of employment were explained during annual renewal of the contract. Based on interview with these foreign workers, there were understood on the content of the contract of employment.
	6.5.3	Growers and millers shall provide adequate housing, water supplies, med., ed. and welfare amenities to national standards or above, in accordance with Workers' Minimum Standard of Housing and Amenities Act 1990 (Act 446) or above, where no such public facilities are available or accessible. Minor Compliance	Yes	Visit to linesite at Giram POM, Giram Estate and Mostyn Estate showed that the CU had provided adequate and appropriate housing including water supply and electricity, clinics and other amenities which were in line with requirement of Workers' Minimum Standard of Housing and Amenities Act 1990 (Act 446). It was evident that the Health Assistant conducted weekly inspection at the quarters. Apart from this, the treated water analysis was also done accordingly.

RSPO PUBLIC SUMMARY REPORT

	6.5.4	Growers and millers shall make demonstrable efforts to monitor and where able, improve workers' access to adequate, sufficient and affordable food. Minor Compliance	Yes	Giram CU had demonstrated efforts to monitor and where able, improve workers' access to adequate, sufficient and affordable food. There was no sundry shop at the Giram POM. Staffs and workers prefer to buy their goods at the estate's sundry shop because nearby with the worker's quarters. However, there is a sundry shop at Giram & Mostyn Estate. The estate has conducted yearly monitoring on the adequacy, sufficient and affordable food with latest monitoring in April 2017.
C 6.6 The employer respects the rights of all personnel to form and join trade unions of their choice and to bargain collectively. Where the right to freedom of association and collective bargaining are restricted under law, the employer facilitates parallel means of independent and free association and bargaining for all such personnel.	6.6.1	A published statement in local languages recognizing freedom of association shall be available. Major Compliance	Yes	The freedom of association statement was incorporated in the company Social Policy, dated January 2015. The policy was seen displayed on notice boards in the estates and mill.
	6.6.2	Minutes of meetings with main trade unions or workers representatives shall be documented. Minor Compliance	Yes	The workers in the estates and mill were members of the Sabah Plantation Industry Employees Union while the administration staff were members of the All Malaysian Estate Staffs Union. At the estates and mill, it was evident that a SPIEU representative was selected among the workers.
C 6.7 Children are not employed or exploited.	6.7.1	There shall be documentary evidence that min. age req. are met. Major Compliance	Yes	Sime Darby adheres to the child labour policy. As revealed in the latest Employee Master Listing at Giram POM, Giram Estate and Mostyn Estate dated in May 2017, no person below 18 years old were recruited to work in Giram CU.
C 6.8 Any form of discrimination based on race, caste, national origin, religion, disability, gender, sexual orientation, union membership, political affiliation, or age, is prohibited.	6.8.1	A publicly available equal opportunities policy including identification of relevant/affected groups in the local environment shall be documented. Major Compliance	Yes	The CU maintained its documented Social Policy that contain equal employment opportunities statement. The policy was observed displayed inside and outside the operating unit office.
	6.8.2	Evidence shall be provided that employees and groups including local communities, women, and migrant workers have not been discriminated against. Major Compliance	Yes	Based on records such as contract of employment, pay slip and insurance including interview with local workers, foreign workers, gender community representative and union representatives, revealed that no case of discrimination based on race, religion, gender, national origin or any other form of discrimination. The payments and conditions of employment for foreign or local, male or female employees, were based on the SPIEU and MAPA-AMESU agreements and not decided arbitrarily by the estate/mill management. All workers, local or foreign and regardless of their colour, creed, gender, beliefs, socio-cultural and political backgrounds, were provided with the same housing and amenities as required by the law. Interviews with administration staff and workers, (foreign and local, male and female) confirmed the absence of any form of discrimination in the Giram CU.
	6.8.3	It shall be demonstrated that recruitment selection, hiring and promotion where relevant are based on skills, capabilities, qualities, and medical fitness necessary for the jobs available. Minor Compliance	Yes	An inspection of job advertisements and records of hiring and promotion showed that they were based on skills, capabilities, qualities and medical fitness. It was confirmed during interview with the employees at Giram POM, Giram Estate and Mostyn Estate.
C 6.9 There is no harassment or abuse in the work place, and reproductive	6.9.1	A policy to prevent sexual and all other forms of harassment and violence shall be implemented and communicated to all levels of the	Yes	Sime Darby Plantation Sdn Bhd and thus cascaded to the Giram CU endeavor to prevent sexual harassment and all other forms of violence against women. This commitment was incorporated in the company Social & Humanity Management Policy and the Gender Policy statements both signed by the Managing Director. The policies were sighted display on notice

RSPO PUBLIC SUMMARY REPORT

rights are protected.		workforce. Major Compliance		boards in the estates and POM. They were also communicated to all levels of the workforce.
	6.9.2	A policy to protect the reproductive rights of all, especially of women, shall be implemented and communicated to all levels of the workforce. Major Compliance	Yes	The Social & Humanity Management Policy statement signed by the Managing Director in January 2015 was available. The statement included commitment to protect the reproductive rights of women. The policy was also displayed at the estates and mill notice boards.
	6.9.3	A specific grievance mech. which respects anonymity and protects complainants where requested shall be established, implemented, and communicated to all levels of the workforce. Minor Compliance	Yes	A specific grievance mechanism which respects anonymity and protects complainants had been established and implemented in Group Policies and Authorities-Whistleblowing dated in Feb 2014 and the staff of Giram CU had been made aware on this mechanism.
C 6.10 Growers and millers deal fairly and transparently with smallholders and other local businesses.	6.10.1	Current and past prices paid for FFB shall be publicly available. Minor Compliance	NA	The indicator was not applicable as the mill only accepting certified FFB from its owned estates.
	6.10.2	Evidence shall be available that growers/millers have explained FFB pricing, and pricing mechanisms for FFB and inputs/services shall be documented. Major Compliance	Yes	The FFB were 100% supplied by Sime Darby Estate and the pricing was from the MPOB website.
	6.10.3	Evidence that all parties understand the contractual agreements they enter into, and that contracts are fair, legal and transparent. Minor Compliance	Yes	Interview with FFB transporters, suppliers and contractors from Giram & Mostyn Estate and Giram POM confirmed that they understood the contractual agreements they entered into, and that contracts were fair, legal and transparent.
	6.10.4	Agreed payments shall be made in a timely manner. Minor Compliance	Yes	Interview with FFB transporters, suppliers and contractors from Giram & Mostyn Estate and Giram POM confirmed that payments were made in a timely manner (maximum 30 days).
C 6.11 Growers and millers contribute to local Sustainable development where appropriate.	6.11.1	Contributions to local development that are based on the results of consultation with local communities shall be demonstrated. Minor Compliance	Yes	Giram POM had employed local communities to work at the mill as the contribution to local development. During this audit, there were 91 staffs from local communities worked at the mill. The contributions to local development were based on consideration of the main social issues with the nearby villages. The laying of water pipeline project across the Mostyn Estate were in progress pending approval from Sabah Water Department. In addition to the above, Mostyn Estate continued to maintain the road connecting to all related villages through the estate.
	6.11.2	Where there are scheme smallholders, there shall be evidence that efforts and/or resources have been allocated to improve smallholder productivity. Minor Compliance	Yes	There was no smallholder scheme in Giram CU.
C 6.12 No forms of forced or trafficked labour are used.	6.12.1	There shall be evidence that no forms of forced or trafficked labour are used. Major Compliance	Yes	An examination of the employee list, pay slips, SPIEU Collective Agreement and letter of job offer confirmed that the Giram CU did not use any form of forced or trafficked labour. Auditor had verified through interview with foreign workers, union representative, and gender committee representative at Giram POM that there was no forms of forced or trafficked labour were used. Foreign workers were allowed to keep their own passport as verified through interview.
	6.12.2	Where applicable, it shall be demonstrated that no contract substitution has occurred. Minor Compliance	Yes	Based on employment contract and interview with sampled foreign workers at the CU, it can be confirmed that there was no occurrence of contract substitution in the CU.
	6.12.3	Where temporary or foreign workers are employed, a special labour	Yes	The policy and procedures for employment of foreign workers at the CU were made available and it is the company labour policy that requires all foreign workers hired by the company to

RSPO PUBLIC SUMMARY REPORT

		policy and procedures shall be established and implemented. Major Compliance		attend a one-week post-arrival orientation course before commencing work. Through this courses the workers were exposed among other things to various relevant laws of the country (such as labour and immigration laws), health and safety, work contract, sourcing process of foreign workers and language and cultures of Malaysia.
C 6.13 Growers and millers respect human rights	6.13.1	A policy to respect human rights shall be documented and communicated to all levels of the workforce and operations. Major Compliance	Yes	The SDPSB Social Operational Policy and Social & Humanity Management Policy documented the statement to respect and give fair treatment in accordance with the rights of employees. The policy was communicated to all workers at Giram CU during the regular morning briefing/roll call. In addition, the policies dated January 2015 were displayed on notice boards at various strategic points (e.g. morning briefing area) in the estates and the mill.
	6.13.2	As long as children of foreign workers in Sabah and Sarawak are ineligible to attend government school, the plantation companies should engage in a process to secure these children access to education as a moral obligation. Minor Compliance	Yes	The Giram CU had obliged and engaged in the process to secure children of foreign workers access to education by allowing and assisting the establishment of HUMANA school at their plantations.

Principle 7: RESPONSIBLE DEVELOPMENT OF NEW PLANTINGS

Auditor has verified through www.globalforestwatch.org, google map and map provided by visited estate including site visit, there was no new planting at the Giram SOU as verified by audit team. Thus Principle 7 is not applicable.

Principle 8: COMMITMENT TO CONTINUOUS IMPROVEMENT IN KEY AREAS OF ACTIVITY

Clause	Indicators		Comply Yes/No	Findings
C 8.1 Growers and millers regularly monitor and review their activities, and develop and implement action plans that allow demonstrable continual improvement in key operations.	8.1.1	The action plan for continual improvement shall be implemented, based on a consideration of the main social and environmental impacts and opportunities of the grower/mill, and shall include a range of Indicators covered by these Principles and Criteria. As a minimum, these shall include, but are not necessarily be limited to: Major Compliance		
	a)	Reduction in use of pesticides(Criterion 4.6);	Yes	Pesticides reduction: <ul style="list-style-type: none"> • Both estates had introduced mechanized rotor slashing weeding in all young palms possible areas to reduce the use of chemicals for spraying. • To reduce the use of rat baits to control rats, Barn Owls were encouraged as indicated by Barn Owl census records. Barn Owl boxes were sighted in the fields. • To control the Rhinoceros Beetle, the estate used pheromone traps and had programs to cover trunk chips in replants with cover crops. • To reduce chemical used to control Bagworm, the estates planted more nectariferous beneficial plants. This was evident with the presence of polybag young plants in the nurseries.

RSPO PUBLIC SUMMARY REPORT

	b)	Environmental impacts (Criteria 4.3, 5.1 and 5.2);	Yes	<p>The CU maintained to improve continually its environmental management. Environmental action plans continued reviewed and implemented. Among the improvements contained in documented:</p> <p>(a) Pollution Prevention Plan – FY2016/17. (b) Identification and Management of Wastewater – FY2016/17. (c) Environmental Improvement Plan - FY2016/17.</p> <p>Among the improvement actions:</p> <p>(a) construction of sump at chemical mixing and washing to prevent ground or water contamination. (b) collect back chemicals bags and allocate store for control of misused. (c) changed of method for tractor lubricant refilling from pumping method to tap method to reduce spillage. (e) practise path grass cutting to reduce usage of chemical. (f) use of tray for tractor parking and workshop stations to prevent ground contamination.</p>
	c)	Waste reduction (Criterion 5.3);	Yes	<p>The CU maintained to reduce continually its wastes generation. Waste Management Plan (FY2015/16) noted annually updated.</p> <p>Among the improvement actions:</p> <p>(a) Inculcate awareness to reduce domestic wastes generation by monitoring of wastes disposal to local municipal instead of self-land filled. (b) salvage of reusable scrap metal for part repair or replacement. (c) reuse of wastewater from chemical mixing</p>
	d)	Pollution and greenhouse gas (GHG) emissions (Criteria 5.6 and 7.8);	Yes	<p>Pollution Identification Environmental improvement action plan' – was used to identify the waste products and sources of pollution. Greenhouse gas and its potential sources were identified using the 'Carbon Inventory Calculation Methodology' and reported in the sustainability report.</p> <p>The management from both estates had plan to reduce emission by daily inspection and monitoring for their farm tractor / lorry and gen set to prevent any leakage and problem which can impact on smoke emission.</p>
	e)	Social impacts (Criterion 6.1);	Yes	<p>Giram CU has prepared a budget for corporate social responsibility (CSR) for year 2017 especially for workers such as furniture, carpet for mosque, upgrading main water pipe line, upgrading community hall, drainage system and also Humana School. Budget for road maintenance for local community also has been prepared.</p>
	f)	Encourage optimising the yield of the supply base	Yes	<p>In order to optimise yields both estates were committed to implement best agricultural practices, inclusive of timely and proper fertiliser application; Improve on accessibility to maximise crop evacuation and expand in field mechanised collection of FFB. Water bodies and water conservation pits were constructed to conserve moisture.</p>

Detail of Non-conformities and Corrective Actions Taken

P & C Indicator	Specification Major/Minor	Detail Non-conformances	Corrective Action Taken by the CU and Verification by Assessors
Indicator 2.1.1 NCR#: MZK 01 2017	Major	<p>Finding : There was a non-compliance with Industry Code of Practice for Working in a Confined Space Area, 2010</p> <p>Objective evidence : <u>Giram POM</u> 3. There was no health surveillance by Occupational Health Doctor for workers who working in Confined Space. 4. Declaration of health status for the authorised entrant prior to each entry was not evident; e.g 20 February 2017, 11 June 2016, and 10 June 2016.</p>	<p>Corrective Action Taken:</p> <ul style="list-style-type: none"> i. To send all certified AESP for health surveillance by OHD. Completion date: 23/7/2017. ii. Create a declaration of health status form for the authorised entrant according to ICOP. A completion date: 31/05/2017. <p>Verification by Assessor:</p> <ul style="list-style-type: none"> i. Auditor has received letter from Klinik Mansor dated 18 July 2017 for the health surveillance for AESP. The surveillance has been conducted on 8 July 2017. ii. The CU has create the declaration form titled 'Declaration of Health Status by Authorised Entrant' as accordance to ICOP requirements. <p>Status: Closed.</p>
Indicator 2.2.2 NCR#: MRS 01/ 2017	Minor	<p>Finding : Physical markers was not visibly maintained adjacent to other private plantation company</p> <p>Objective evidence : During site visit at boundary area between Sipit Division (Giram Estate) and KLK Plantation, auditor has found that boundary pegs not visibly maintained.</p>	<p>Corrective Action Taken:</p> <p>Estate management already carried out maintenance work and maintain the boundary pegs with red and white colour at boundary area between Sipit Division (Girem Estate) and KLK Plantation. Expected completion date: 5 June 2017.</p> <p>Verification by Assessor:</p> <p>Based on corrective action plan from Giram Estate, the boundary pegs will be refurbished and maintain.</p> <p>Status: Effectiveness of the corrective action plan will be verified during next audit.</p>

RSPO PUBLIC SUMMARY REPORT

Attachment 5

RSPO Supply Chain at the Giram Palm Oil Mill – Identity Preserved Model – Module D

Item No	Requirement NOV 2014	Findings Standard Nov 2014	
D.1 D.1.1	<p>Defination</p> <p>To verify :</p> <p>a) the volume of certified and uncertified FFB entering the mill</p> <p>b) the volume sales of RSPO certified</p> <p>The claim only the volume of oil palm products produced from processing of the certified FFB as MB</p>	Actual (May 2016 – April 2017)	
			<u>MT</u>
		a) FFB Received	134,333.72
		RSPO	134,333.72
		Non-RSPO	0
		FFB Processed	134,333.72
		RSPO	134,333.72
		Non-RSPO	0
		CPO Production	29,103.61
		PK Production	6,172.00
		b) Delivery of CPO	29,015.71
		RSPO(IP)	6,158.30
		Non-RSPO	22,857.41
		Delivery of PK	6.150.33
		RSPO (IP)	0
		Non-RSPO	6.150.33
D 2 D.2.1	<p>Explanation</p> <p>Estimate total tonnage of CPO and PK potentially produce in a year</p>	Projection (May 2017 – April 2018)	
			<u>MT</u>
		(1) FFB Received	158,966.23
		RSPO	158,966.23
		Non-RSPO	0
		(2) FFB Processed	158,966.23
		RSPO	158,966.23
		Non-RSPO	0
		(3) CPO Production	36,867.70
		(4) PK Production	7,748.24
D. 2 D 2.2	<p>Explanation</p> <p>The mill must also meet all registration and reporting requirements for the appropriate supply chain through the RSPO supply chain managing organization (RSPO IT platform or book and claim.</p>	Giram POM has a registered RSPO e-Trace. The member ID is RSPO_PO1000000182	
D 3 D 3.1	<p>Documented procedures</p> <p>The site shall have written procedures and/or work instructions to ensure the implementation of all the elements specified in these requirements. This shall include at minimum the following:</p>	a) Giram POM had revised their documented procedure title ' <i>Standard operating procedure for Sustainability Supply Chain and Traceability SD/SDP/PSQM/001</i> ', version 2, dated October 2016. The procedure described the following:	

RSPO PUBLIC SUMMARY REPORT

	<p>a) Complete and up to date procedures covering the implementation of all the elements in these requirements;</p> <p>b) The role of the person having overall responsibility for and authority over the implementation of these requirements and compliance with all applicable requirements. This person shall be able to demonstrate awareness of the site's procedures for the implementation of this standard.</p>	<ul style="list-style-type: none"> • Clause 4.0 ~ the responsibility of for the implementation of RSPO SCC i.e. head of operating unit • Clause 5.0 ~ Control of document & records such as weighbridge tickets, consignment note , training record & contracts. Record retention for 10 years. Define the critical control point (CCP) :estate – weighbridge, mill – weighbridge, admin office, ramp, CPO despatch area, CPO storage tank. Kernel silos. • Clause 6.0 ~ Delivery of FFB from the estate – relevant record involved, flowchart for crop diversion, list of mill and their supply chain model i.e. IP or MB • Clause 7.0 ~ Receiving FFB at the mill – list of supply base, rules for determining diverted FFB destination, relevant record • Clause 8.0 ~ process monitoring – for IP model mill need to ensure no mixing of RSPO certified and non-certified • Clause 9.0 ~ CPO and PK despatch – [Clause 9.1] all delivery of CPO and PK shall be in accordance with the contract allocated by Global Trading & Marketing (GTM) department. [Clause 9.2] Outgoing document for CPO and PK 9e.g. contract, weighbridge ticket / despatch note) shall specify the following information which can be either presented in single document or across a range of document Trade name / RSPO IP, RSPO certificate no. : RSPO 0025, • Clause 10.0 ~ Non-conforming material / product – requirement to downgrade the RSPO Product • Clause 11.0 ~ product claim – shall follow RSPO rules on market communication & claim • Clause 12.0 ~ Outsourced contractor - the mill has established list of outsourced contractor. Sighted list of transporter for CPO and PK. • Clause 13.0 ~ Training – the mill shall provide training for relevant personnel carrying the task at tech critical control point (CCP). • Clause 14.0 ~ Reclassification of mill's supply chain model - Reclassification of mill's supply chain model may be determined by GTM/ PSQM. CB shall be notified. All communicated shall be recorded. • Clause 15.0 ~ Production volume <p>The procedure was kept in file RSPO SCCS (in File Bahagian C 16) Appropriate changes were also made in the change from the previous Segregation to Identity Preserved model.</p> <p>b) The Assistant Manager remained as the person with overall responsibility for and authority over the implementation of the supply chain requirements.</p>
D 3.2	The site shall have documented procedures for receiving and processing certified and non-certified FFBs.	Clause 7.0 of <i>SOP for Sustainable Supply Chain and Traceability</i> , version 2 has described how the Giram POM manages the FFB from certified source. It was confirmed that no non-certified FFB was received by Giram POM.
D.4	Purchasing and goods in	Giram POM will only accept the RSPO certified FFB which are from Sime

RSPO PUBLIC SUMMARY REPORT

D.4.1	The site shall verify and document the tonnage and sources of certified and the tonnage of non-certified FFBs received.	Darby's own estates i.e. Mostyn Estate and Giram Estate. Monitoring records titled as "RSPO Records for Oil Mills" has recorded the tonnage of certified FFB and its supplying estate. Verified through Giram POM weighing system called 'SimeWeigh' and random sample of weighbridge ticket from Giram Estate, Sogomana Estate and Sungei Wangi Estate. There was no non-certified FFB received based on the records only Diversion from Certified Estate such as Binuang Estate, Jeleta Bumi, Tingkayu and Sungang Estate.
D 4.2	The site shall inform the CB immediately if there is a projected overproduction.	There was no overproduction of certified CSPO during the period under review (May 2016 – April 2017).
D.5 D.5.1	Record keeping The site shall record and balance all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a three-monthly basis.	Giram POM has maintained the three-monthly accounting system to record RSPO certified FFB and deliveries of RSPO certified CPO and PK. Record titled as "Mass Balance Sheet Records for Oil Mills FY 2016/2017".
D 6 D.6.1	The site shall assure and verify through documented procedures and record keeping that the RSPO certified oil palm product is kept segregated from noncertified material including during transport and storage.	Global Trading & Marketing Ara Damansara office informed Sime Darby Plantation Sdn.Bhd – Kunak Bulking Installation (KBI) by e-mail on the dispatch of RSPO certified CPO from the supplying POMs (Giram). The dispatch of the RSPO certified CPO to KBI by the supplying POMs was made based on a specific contract. The receiving pit, pipelines and tanks in KBI were thoroughly cleaned, swept and flushed before RSPO certified CPO was pumped in to avoid contamination. Dedicated tanks were used to store RSPO certified CPO from Giram POM. For traceability of a specific batch of RSPO certified CPO back to the supplying POM, KBI kept the relevant documents such as the weighbridge ticket, CPO dispatch note, Dispatch oil quality ticket, Authorization from transporter, Borang MPOB L3, CPO dispatch authorization issued by the POM.
D.6.2	The objective is for 100 % segregated material to be reached.	Giram POM does not accept any non-certified FFB. It was confirmed through summary of weighbridge ticket and interview with weighbridge operator. Therefore, CPO and PK can be considered 100% segregated.

Status of Non-conformities Previously Identified

P & C Indicator	Specification Major/Minor	Detail Non-conformances	Corrective Action	Verification by Assessor
Indicator 4.7.3	Major NCR#: STK2/2016	<p>Findings: The recommendation for use of respirator mask, made in the CHRA (2015) for weedicide sprayers was not complied with.</p> <p>Objective Evidence: Giram Estate - Sprayers carrying out circle and path spraying in Field 2011C were seen wearing 3M N95 mask</p>	<p>Corrective Action Training on understanding of the correct type of PPE and respiratory devices suitable for selected chemicals, has been planned to be conducted on 6th June 2016. To inspect PPE/respiratory device purchased, i.e., to equip sprayers with Respirators 3M 3200 series.</p> <p>Verification by auditor: Sighted the following supporting documents as evidence of the corrective action had been taken. 1. Training records including pictures. 2. Use of correct respiratory devices.</p>	<p>All workers were provided with appropriate PPE and replaced when damaged. PPE issue and replacements records were verified by the auditors. Records of training for safety were maintained at the office for reference and verification, and were verified during the audit. Sample of training: 1. PPE, & Manuring Technique – 13/4/17 2. PPE, & Spraying Technique – 16/5/17 Status : Closed</p>
Indicator 4.1.2	Minor NCR STK1/2016	<p>Findings : The 'Prosedur Kerja Selamat Operasi Kebun (Field Operation) – Penuai Buah Sawit Dan Pemangkasan (Harvester & Pruner) was not complied with on Loose Fruits collection</p> <p>Objective Evidence: Mostyn Estate - In Field P03M1 a large number of loose fruits were left uncollected in the harvesting paths and palm</p>	<p>Corrective Action 1. Warning letter was issued to the respective loose fruits collection gang. 2. Refresher training for LF Collectors has been planned to be conducted on 26th May 2016.</p> <p>Verification by auditor: Sighted the following supporting documents as evidence that corrective action had been taken. 1. Warning letter issuance on 14 April 2016 and signed by receiver 2. Picture of the fields of concern 3. Refresher training records on 26 May 2016.</p>	<p>During site visit at both estate, there is no evidence that l/f have not been collected and left inside a palm circle, harvesting path, or at a platform. All the loose fruit were collected and clean from debris and were keep inside the fertilizer bags. It clear showed that management had taken seriously regarding this issues. Status: Closed</p>
Indicator 6.1.4	Minor NCR : KN01/2016	<p>Findings : The reviewed SIA plans did not include the participation of affected parties</p> <p>Objective Evidence: The documented SIA plans (2015-2016) for Giram Estate, Mostyn Estate and Giram POM showed the non-</p>	<p>Corrective Action 1. Training has been planned to be conducted on 25th July 2016. 2. Social Impact Action Plan will be discussed in every Stakeholder Meeting and update of the</p>	<p>Social Impact Action Plan has been discussed with affected stakeholders during Stakeholder Meeting as verified through stakeholders meeting minute at Giram POM dated 21</p>

RSPO PUBLIC SUMMARY REPORT

		inclusion of participation of affected parties.	<p>progress/resolution of related issues will be communicated with the affected parties during the meeting.</p> <p><i>Verification by auditor:</i> Proposed corrective action plan is accepted. Verification of the corrective actions to be carried out in the next audit.</p>	<p>March 2017, at Giram Estate dated 7 February 2017 and Mostyn Estate dated 16 May 2017.</p> <p>Status: Closed</p>
--	--	---	---	--

RSPO PUBLIC SUMMARY REPORT

Attachment 7

SDP - RSPO Certification Status for Malaysia Operations

SOU NO	Name of SOU	Location	Date of Certification	End Date of Certification	Certificate Number	Remarks
1	Sg. Dingin	Karangan, Kedah	12 Aug '10	11 Aug '20	SPO 550179	
2	Chersonese	Kuala Kurau, Perak	5 Oct '11	4 Oct '21	CU-RSPO-815148, SPO 590800	
3	Elphil	Sg Siput, Perak	18 Jun '11	17 Jun '21	SPO 550180	
4	Flemington	Teluk Intan, Perak	5 Oct '11	4 Oct '21	CU-RSPO-819144, SPO 590802	
5	Seri Intan	Teluk Intan, Perak	3 Mar '11	2 Mar '21	CU-RSPO-811218, RSPO 0015	
5	Selaba	Teluk Intan, Perak	3 Mar '11	2 Mar '21	CU-RSPO-819142, RSPO 0016	
5a	Sg Samak		3 Mar '11	NA	NA	Withdrawn. Ceased Operation.
6	Tennamaram	Bestari Jaya, Selangor	3 Mar '11	2 Mar '21	CU-RSPO-819143, RSPO 0014	
7	Bkt Kerayong	Kapar, Selangor	15 Apr '11	14 Apr '21	RSPO 550181	
8	East	Carey Island, Selangor	19 May '10	18 May '20	SPO 543543	
9	West	Carey Island, Selangor	19 May '10	18 May '20	SPO 543594	
9a	Sepang	Sepang, Selangor	19 May '10	NA	NA	Withdrawn. Ceased Operation.
10	Bukit Puteri	Raub, Pahang	7 Jul '16	6 Jul '21	CU-RSPO-815147, 18502206 001, 854 502 14020	
11	Kerdau	Temerloh, Pahang	7 Jul '16	6 Jul '21	CU-RSPO-819155, 18502207 001, 854 502 14019	
12	Jabor	Kuantan, Pahang	7 Jul '16	6 Jul '21	CU-RSPO-819156, RSPO 928288, 854 502 14049	
13	Labu	Nilai, Negeri Sembilan	30 Dec '11	29 Dec '16	CU-RSPO-819163, SGS-RSPO/PM/MY13/01284, 854 502 14039	Recertification of Labu POM is in progress. PalmTrace License is valid till end of March 2017 in the PalmTrace system.
14	Tanah Merah	Port Dickson, Negeri Sembilan	19 May '10	18 May '20	SPO 541905	
15	Sua Betong	Port Dickson, Negeri Sembilan	18 Feb '14	17 Feb '19	SPO 600305, 824 502 16032	Sua Betong Oil Mill has been commissioned to replace Rantau Oil Mill.
16	Kok Foh	Bahau, Negeri Sembilan	7 Jul '16	6 Jul '21	CU-RSPO-819157, RSPO 928188, 824 502 16051	
17	Kempas	Jasin, Melaka	19 May '10	18 May '20	RSPO 005	
18	Diamond Jubilee	Jasin, Melaka	5 Oct '11	4 Oct '21	CU-RSPO-819146, RSPO 591224	
19	Pagoh	Muar, Johor	28 Jan '14	27 Jan '19	SPO 600305	Pagoh Oil Mill has been commissioned to replace Nordanal Oil Mill.
19a	Yong Peng	Yong Peng, Johor	20 Oct '10	19 Oct '15	SPO 550182	Withdrawn. Ceased Operation.

RSPO PUBLIC SUMMARY REPORT

20	Chaah	Chaah, Johor	18 Nov '10	17 Nov '20	RSPO 548299	
21	Gunung Mas	Kluang, Johor	19 May '10	18 May '20	RSPO 901888	
22	Bukit Benut	Kluang, Johor	5 Oct '11	4 Oct '21	CU-RSPO-819147, RSPO 591229	
23	Ulu Remis	Layang-layang, Johor	11 Apr '16	10 Apr '21	SGS-RSPO/PM-00722, 824 502 16042	
24	Hadapan	Layang-layang, Johor	29 Mar '11	28 Mar '21	824 502 16040	
25	Segaliud	Sandakan, Sabah	20 May '10	19 May '15	SPO 547123	Withdrawn. Ceased operation.
26	Sandakan Bay	Sandakan, Sabah	1 Oct '08	30 Sep '18	SPO 537872	
27	Melalap	Tenom, Sabah	21 Jan '11	20 Jan '21	SPO 547124	
28	Binuang	Kunak, Sabah	16 Jan '09	12 Jul '20	RSPO 001	
29	Giram	Kunak Sabah	16 Jan '09	12 Jul '20	RSPO 002	
30	Merotai	Tawau, Sabah	16 Jan '09	12 Jul '20	RSPO 004	
30a	Jeleta Bumi	Kunak, Sabah	24 May '10	NA	NA	Withdrawn. Ceased operation.
30b	Mostyn	Kunak Sabah	16 Jan '09	NA	NA	Withdrawn. Ceased operation.
31	Lavang	Bintulu, Sarawak	30 Dec '11	29 Dec '21	CU-RSPO-819166, MUTURSPO/053	
32	Rajawali	Bintulu, Sarawak	30 Dec '16	29 Dec '21	CU-RSPO-819167, RSPO 0020	
33	Derawan	Bintulu, Sarawak	30 Dec '11	29 Dec '21	CU-RSPO-819169, RSPO 0019	
34	Pekaka	Bintulu, Sarawak	30 Dec '11	29 Dec '21	CU-RSPO-815150, MUTURSPO/054	

SDP- RSPO Certification Status for Indonesia Operations

NO	Name of PT	Name of Mill	Location	Date of Certification	End Date of Certification	Certificate Number	Remarks
1	PT LAHAN TANI SAKTI	ALUR DUMAI	Bagan Sinembah/Tanh Putih, Pujud, Rokan Hilir, Riau	16 Jan '12	16 Jan '17	MUTU-RSPO/011	
2	PT SAJANG HEULANG	ANGSANA MINI	Sebamban, Indonesia	6 Jul '11	6 Jul '16	MUTU-RSPO/006b	Mill closed down.
3		MUSTIKA OIL MILL		3 Jul '13	3 Jul '18	MUTU-RSPO/027	
4	PT LADANGRUMPUN SUBURUBADI	ANGSANA	Sebamban, Indonesia	9 Nov '16	8 Nov '21	MUTU-RSPO/006a	
5	PT LANGGENG MUARAMAKMUR	BEBUNGA	Pamakan Utara, Tanah Grogot, Kotabaru/Pasir, Kalimantan Selatan/Kalimantan Timur	16 Mar '12	16 Mar '17	MUTU-RSPO/014	

RSPO PUBLIC SUMMARY REPORT

6	PT KRIDATAMA LANCAR	SUKAMANDANG	Seruyan Tengah, Sampit, Seruyan, Kalimantan Tengah	2 Sept '16	1 Sept '21	MUTU-RSPO/003	
7	PT BAHARI GEMBIRARIA	LADANG PANJANG	Kumpeh Ulu, Jambi, Muaro Jambi, Jambi	9 Jul '12	9 Jul '17	MUTU-RSPO/019	
8	PT TUNGGAL MITRA PLANTATIONS	MANGGALA	Riau, Indonesia	25 Nov '10	24 Nov '20	MUTU-RSPO/002	
9	PT PARIPURNA SWAKARSA	PONDOK LABU	Pamakan Selatan, Tanah Grogot, Kotabaru, Kalimantan Selatan	16-Mar-12	16-Mar-17	MUTU-RSPO/016	
10	PT BERSAMA SEJAHTERA SAKTI	GUNUNG ARU	Sebamban, Indonesia	5-Jul-11	5-Jul-16	MUTU-RSPO/005	
11	PT GUTHRIE PECCONINA	RANTAU PANJANG	Muara Lakitan, Lubuk Linggau, Musi Rawas, Sumatera Selatan	16 Mar '12	16 Mar '17	MUTU-RSPO/017	
12	PT LAGUNA MANDIRI	RANTAU	Sungai Durian, Kotabaru, Kalimantan Selatan	30 Dec '11	30 Dec '16	MUTU-RSPO/009	Recertification of Rantau POM is in progress. PalmTrace License is valid till end of Feb 2017 in the PalmTrace system.
13		BETUNG OIL MILL		1 Apr '14	1 Apr '19	MUTU-RSPO/035	
14	PT INDOTRUBA TENGAH	SEKUNYIR	Kalimantan Tengah, Indonesia	23 Nov '10	22 Nov '20	MUTU-RSPO/001	
15	PT SWADAYA ANDIKA	SELABAK	Sungai Durian, Kotabaru, Kalimantan Selatan	16 Mar '12	16 Mar '17	MUTU-RSPO/015	
16	PT BINA SAINS CEMERLANG	SG PINANG	Muara Lakitan, Lubuk Linggau, Musi Rawas, Sumatera Selatan	11 Sep '12	11 Sep '17	MUTU-RSPO/020	
17	PT TEGUH SEMPURNA	PEMANTANG	Kuala Kuayan, Sampit, Kotawaringin Timur, Kalimantan Tengah	9 Sept '16	8 Sept '21	MUTU-RSPO/004	
18	PT BHUMIREKSA NUSA SEJATI	TELUK BAKAU	Pelangiran, Sg.Guntung, Indragiri Ilir, Riau	1 Dec '16	30 Nov '21	MUTU-RSPO/008	
19		MANDAH OIL MILL		1 Apr '14	1 Apr '19	MUTU-RSPO/036	
20	PT ANEKA INTIPERSADA	TELUK SIAK	Tualang, Perawang, Siak, Riau	8 Dec '16	7 Dec '21	MUTU-RSPO/007	

RSPO PUBLIC SUMMARY REPORT

21	PT TAMACO GRAHA KRIDA	UNGKAYA	Witaponda, Kolonodale, Morowali, Sulawesi Tengah	10 Jul '12	10 Jul '17	MUTU-RSPO/018	
22	PT SIME INDO AGRO	BK AJONG	Kalimantan Barat, Indonesia	18 Jul '16	17 Jul '21	MUTU-RSPO/088	
23	PT PADANG PALMA PERMAI/PT PERKASA SUBUR SAKTI	BLANG SIMPO	Karang Baru, Kuala Simpang, Aceh Tamiang, Nangroe Aceh Darussalam	3 May '13	3 May '18	MUTU-RSPO/026	
24	PT SANDIKA NATAPALMA/PT BUDIDAYA AGRO LESTARI	LEMBIRU	Desa Suka Karya Kec. Marau Kab. Ketapang, Kalimantan Barat	3 Jul '14	2 Jul '19	MUTU-RSPO/044	
25	PT MITRAL AUSTRAL SEJAHTERA	MAS Mill	Desa Rahayu Kec. Parindu Kab. Sanggau, Kalimantan Barat	NA	NA NA		Pending certification by RSPO EB.