

Particulars

About Your Organisation

1.1 Name of your organization

BASF SE

1.2 What is/are the primary activity(ies) or product(s) of your organization?

- Grower
 - Processor and/or Trader
 - Consumer Goods Manufacturer
 - Retailer and/or Wholesaler
 - Bank and/or Investor
 - Social and/or Development NGO
 - Environmental and/or Conservation NGO
 - Supply Chain Associate
 - Affiliate
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1.3 Membership number

2-0010-04-000-00

1.4 Membership category

Palm Oil Processors and/or Traders

1.5 Membership sector

Ordinary

Processor and/or Trader

1. Operational Profile

1.1 Please state your main activity(ies) within the palm oil supply chain. Please select the option(s) that apply to you

- Refiner of CPO and PKO
- Trader with physical possession
- Trader without physical possession
- Palm kernel crusher
- Food and non-food ingredients producer
- Power, energy and biofuel
- Animal feed producer
- Producer of oleochemicals
- Distributor and wholesaler
- Other

Other:

2. Palm Oil and Certified Sustainable Palm Oil Use

2.1 Please include details of all operations using palm oil owned and/or managed by the member and/or all entities that belong to the group.

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2.1.1 In which markets do you sell goods containing palm oil and oil palm products?

Applies globally

2.2 Volumes of palm oil and oil palm products

2.2.1 Total volume of crude and refined palm oil handled/traded/processed in the year (tonnes)

N/A

2.2.2 Total volume of crude and refined palm kernel oil handled/traded/processed in the year (tonnes)

N/A

2.2.3 Total volume of palm kernel expeller handled/traded/processed in the year (tonnes)

N/A

2.2.4 Total volume of other palm-based derivatives and fractions handled/traded/processed in the year (tonnes)

N/A

2.2.5 Total volume of all palm oil and oil palm products used in the year (tonnes)

N/A

2.3 Volumes of palm oil and oil palm products certified

Description	Crude and Refined Palm Oil	Crude and Refined Palm Kernel Oil	Palm Kernel Expeller	Other palm-based derivatives and fractions
2.3.1 RSPO Credits from Mill / Crusher	N/A	N/A	N/A	N/A
2.3.2 RSPO Credits from Independent Smallholder	N/A	N/A	N/A	N/A
2.3.3 Mass Balance (MB)	N/A	N/A	N/A	N/A
2.3.4 Segregated (SG)	N/A	N/A	N/A	N/A
2.3.5 Identity Preserved (IP)	N/A	N/A	N/A	N/A
2.3.6 Total volume (tonnes)	N/A	N/A	N/A	N/A

2.4 Volume sold in the year that is RSPO-certified (tonnes):

Description	Crude and Refined Palm Oil	Crude and Refined Palm Kernel Oil	Palm Kernel Expeller	Other palm-based derivatives and fractions
2.4.1 Mass Balance (MB)	N/A	N/A	N/A	N/A
2.4.2 Segregated (SG)	N/A	N/A	N/A	N/A
2.4.3 Identity Preserved (IP)	N/A	N/A	N/A	N/A
2.4.4 Total volume (tonnes)	N/A	N/A	N/A	N/A

2.4.5 How much RSPO-certified products have you sold under other schemes (tonnes)?

N/A

2.4.6 How much RSPO-certified products have you sold as conventional (tonnes)?

N/A

2.5 What is the percentage of Certified Sustainable Palm Oil in the total palm oil products sold by your company in the following regions:

2.5.1 Africa

0%

2.5.2 Oceania

0%

2.5.3 Europe

0%

2.5.4 North America

0%

2.5.5 Latin America

0%

2.5.6 Middle East

0%

2.5.7 China

0%

2.5.8 India

0%

2.5.9 Indonesia

0%

2.5.10 Malaysia

0%

2.5.11 Rest of Asia

0%

3. Time-Bound Plan

3.1 Year of first supply chain certification (planned or achieved).

2011

3.2 Year started/expected to start to handle/trade/process any RSPO-certified palm oil and oil palm products.

2012

3.2.1 If target has not been met, please explain why.

-

3.3 Year expected to achieve 100% RSPO certification of all palm product processing facilities.

2020

3.3.1 If target has not been met, please explain why.

-

3.4 Year expected to only handle/trade/process 100% RSPO-certified palm oil and oil palm products

2026

3.4.1 If target has not been met, please explain why.

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3.5 Which countries do these commitments cover?

Applies globally

3.6 How do you proactively promote RSPO and RSPO-certified sustainable palm oil and oil palm products to your customers?

BASF offers since 2012 a broad range of MB and selected SG based palm- and palm kernel oil based ingredients for the Personalcare industry globally. BASF is continuously launching further MB certified palm kernel oil based products in all regions depending on the market development. However, the establishment of certified sustainable supply chains for oleochemicals is complex. BASF uses mainly palm kernel oil or palm kernel oil based derivatives.

In 2018, BASF has implemented a major portfolio shift towards sustainable palm and will offer palm-based specialties for the cosmetics industry exclusively as RSPO-certified. We have switched about 330 palm-based products to „Mass Balance,“ standard in the course of 2018 globally

4. Trademark Use

4.1 Do you use or plan to use the RSPO Trademark on your own brand products?

No

4.2 Please select the countries where you use or intend to apply the Trademark

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4.2.1 Please state the year when you began or plan to begin to apply the Trademark

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4.3 Please explain why

- Challenging reputation of palm oil
 - Confusion among end-consumers
 - Costs of changing labels
 - Difficulty of applying for RSPO Trademark
 - Lack of customer demand
 - Limited label space
 - Low consumer awareness
 - Low usage of palm oil
 - Risk of supply disruption
 - Others
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Other:

BASF, as ingredient manufacturer, is located in the middle of the value chain between suppliers of Oil Palm products and the FCG Manufacturers and thus is operating in a B2B modus only. At that stage of the value chain there is no relevance of the RSPO Trademark.

5. Actions for Next Reporting Period

5.1 Outline activities that you will take in the coming year to promote the use of RSPO-certified palm oil and oil palm products along the supply chain.

We see it as part of our responsibility to work intensively with the companies from which we buy, to engage more closely with the palm supply chain from the smallholder farmer to the end consumer, and to look deeply into the upstream consequences of using and selling products based on palm oil and palm kernel oil. To foster this goal we take part in global Palm initiatives and networks with various stakeholders in order to drive acceleration in market transformation. Here the RSPO certification process is the most transparent and effective global initiative to improve the entire palm sector and it provides the necessary infrastructure to monitor market transformation in a transparent manner.

6. Non-Disclosure of Information

6.1 Information in the sections above are mandatory declarations in your ACOP. For confidentiality purposes, members may choose not to display volume data in Section 2 publicly; however, RSPO reserves the right to utilise the member's data on an aggregate basis for sectoral and total analysis. Please check this box if the member chooses to have the data in Section 2 displayed publicly.

No - Redact volume data

7. Application of Principles & Criteria for all member sectors

7.1 Regarding your company's sourcing, handling or trading, do you have organisational policies that are in line with the RSPO P&C? Select all relevant options.

7.1.A Water, land, energy and carbon footprints

File: --

Link: BASF Climate protection goals covering Water, land, energy and carbon footprints please refer to:
<https://www.basf.com/global/en/who-we-are/sustainability/management-and-instruments/our-goals.html>

7.1.B Land use rights

File: --

Link: BASF Supplier code of conduct (<https://www.basf.com/global/en/who-we-are/organization/suppliers-and-partners/sustainability-in-procurement>)
<https://www.basf.com/global/en/who-we-are/sustainability/responsible-partnering/palm-dialog.html>

7.1.C Ethical conduct and human rights

File: --

Link: BASF Supplier code of conduct (<https://www.basf.com/global/en/who-we-are/organization/suppliers-and-partners/sustainability-in-procurement>)
<https://www.basf.com/global/en/who-we-are/sustainability/responsible-partnering/palm-dialog.html>

7.1.D Labour rights

File: --

Link: BASF Supplier code of conduct (<https://www.basf.com/global/en/who-we-are/organization/suppliers-and-partners/sustainability-in-procurement>)
<https://www.basf.com/global/en/who-we-are/sustainability/responsible-partnering/palm-dialog.html>

7.1.E Stakeholder engagement

File: --

Link: BASF Supplier code of conduct (<https://www.basf.com/global/en/who-we-are/organization/suppliers-and-partners/sustainability-in-procurement>)
Webinars

7.1.F None of the above. Please explain why.

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7.2 What best practice guidelines or information has your organisation provided in the past year to facilitate the uptake of RSPO-certified sustainable palm oil and oil palm products? What languages are these guidelines available in?

BASF Palm Dialog as a very well perceived platform to convince our customers to enhance their sustainability journey and to foster the market Transformation based on RSPO certified material.

8. Greenhouse Gas (GHG) Footprint

8.1 Are you currently reporting any GHG footprint?

Yes

8.1.1 Please upload your publicly available GHG report

File: --

Link:

<https://www.basf.com/global/en/who-we-are/sustainability/environment/energy-and-climate-protection/corporate-carbon-footprint.html>

8.1.2 OR please insert the URL to the GHG section of your corporate website.

Link:

<https://www.basf.com/global/en/who-we-are/sustainability/environment/energy-and-climate-protection/corporate-carbon-footprint.html>

8.2 Please explain and justify why you are not calculating your GHG footprint. Please include any future plans you may have to calculate your GHG footprint.

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8.3 What methodology are you using to calculate your GHG footprint?

GHG Protocol Corporate Accounting Standard

9. Support for Oil Palm Smallholders

9.1 Are you currently supporting any oil palm Independent Smallholder groups?

Yes

9.2 How are you supporting them?

BASF is supporting several smallholder projects targeting livelihood improvement (e.g. good agricultural practices, strengthening farmer organizations, improve access to agro inputs and international markets and improve money and business Management, RSPO certification when appropriate).

9.2.1 Do you have any future plans to support oil palm Independent Smallholders?

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9.2.2 If yes, when do you plan to start your support for oil palm Independent Smallholders?

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10. Challenges

10.1 What significant economic, social or environmental obstacles have you encountered in the production, procurement, use and/or promotion of CSPO and what efforts did you make to mitigate or resolve them?

- Awareness of RSPO in the market
- Difficulties in the certification process
- Certification of smallholders
- Competition with non-RSPO members
- High costs in achieving or adhering to certification
- Human rights issues
- Insufficient demand for RSPO-certified palm oil
- Low usage of palm oil
- Reputation of palm oil in the market
- Reputation of RSPO in the market
- Supply issues
- Traceability issues
- Others

Other:

10.2 In addition to the actions already reported in this ACOP how has your organisation supported the vision of RSPO to transform markets in other ways?

- Engagement with business partners or consumers on the use of CSPO
- Engagement with government agencies
- Promotion of CSPO outside of RSPO venues eg trade workshops industry associations
- Promotion of physical CSPO
- Providing funding or support for CSPO development efforts
- Research & Development support
- Stakeholder engagement
- Others

Other:

10.3 Please attach or add links to any other information from your organisation on your policies and actions on palm oil

<https://report.basf.com/2018/en/>
