



**SGS RSPO PROGRAM**  
(Associated Document)

Doc. Number:

**GP 9405A**

Doc. Version date:

**7<sup>th</sup> June 2016**

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Issue:

**02**

## RSPO PRINCIPLES & CRITERIA CERTIFICATION REPORT

### Public Summary Information

<b>Job Number:</b>	CO/BAR 2000021350		
<b>Client:</b>	EXTRACTORA EL ROBLE S.A.S.	<b>RSPO membership #</b>	1-0170-14-000-00
<b>Country:</b>	<b>COLOMBIA</b>	<b>RSPO Registered Parent Company name:</b>	EXTRACTORA EL ROBLE S.A.S
<b>Scope:</b>	Production of CPO and Palm Kernel of EXTRACTORA EL ROBLE S.A.S Palm Oil Mill and its supply bases.		
<b>Supply Chain Module:</b>	Module E: CPO Mills- Mass Balance;		
<b>Mill Capacity</b>	30 tonne/hour	<b>Number of Estate</b>	20 Estates
<b>Certificate Number:</b>	<b>SGS-RSPO/PC17-00009</b>	<b>Date of Issue:</b>	11 September 2017
		<b>Date of Expiry:</b>	11 September 2017
<b>SGS Accreditation Code</b>	RSPO-ACC-023	<b>Date of accreditation:</b>	5 <sup>th</sup> July 2016
<b>Contacts Job Description:</b>	Corporative Relation Director		
<b>Name:</b>	María Alejandra Cortina		
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<b>Email:</b>	<a href="mailto:relacionescorporativas@extractoraelroble.com">relacionescorporativas@extractoraelroble.com</a>		
<b>Standard:</b>	<b>RSPO P&amp;C National Interpretation for Colombia 2016</b> <b>RSPO Supply Chain Certification Standard dated 21 November 2014</b>		
<b>Date of last report update</b>	07/04/17		
<b>Certified FFB Received by the Mill 2016</b>	None. This is Initial certification assessment. No FFB certified yet.		
<b>Annual CSPO Tonnage produced 2016</b>	None. This is Initial certification assessment. No FFB certified yet.		
<b>Annual CSPK Tonnage produced 2016</b>	None. This is Initial certification assessment. No FFB certified yet.		
<b>Annual CSPO Tonnage Sold 2016</b>	None. This is Initial certification assessment. No FFB certified yet.		
<b>Annual CSPK Tonnage</b>	None. This is Initial certification assessment. No FFB certified yet.		

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**End of Public Summary**

**BASIC EVALUATION INFORMATION**

<b>MAIN EVALUATION</b>			
<b>Evaluation Dates:</b>	24 to 27 of January of 2017 with CAR Closure on 17/04/2017		
<b>Team Leader/Team:</b>	Team Leader: Adriana Tenjo Fuentes Auditor team: Katherin Ibarra, Nury Perilla, Liliana Garcia, Rhuth Elena Tenjo Fuentes		
<b>Affiliate Project Manager:</b>		<b>Date:</b>	
<b>Report approved by:</b>	Aryo Gustomo	<b>Date:</b>	11 September 2017
<b>Certification approved by:</b>	Shashibhushan Jogani	<b>Date:</b>	11 September 2017
<b>Database logged by:</b>	Camilo Romero	<b>Date:</b>	11 September 2017
<b>SURVEILLANCE 1</b>			
<b>Evaluation Dates:</b>			
<b>Team Leader/Team:</b>			
<b>Affiliate Project Manager:</b>		<b>Date:</b>	
<b>Report reviewed &amp; approved by:</b>		<b>Date:</b>	
<b>Certification approved by:</b>		<b>Date:</b>	
<b>Database logged by:</b>		<b>Date:</b>	
<b>SURVEILLANCE 2</b>			
<b>Evaluation Dates:</b>			
<b>Team Leader/Team:</b>			
<b>Affiliate Project Manager:</b>		<b>Date:</b>	
<b>Report reviewed &amp; approved by:</b>		<b>Date:</b>	
<b>Certification approved by:</b>		<b>Date:</b>	
<b>Database logged by:</b>		<b>Date:</b>	
<b>SURVEILLANCE 3</b>			
<b>Evaluation Dates:</b>			
<b>Team Leader/Team:</b>			
<b>Affiliate Project Manager:</b>		<b>Date:</b>	
<b>Report reviewed &amp; approved by:</b>		<b>Date:</b>	
<b>Certification approved by:</b>		<b>Date:</b>	
<b>Database logged by:</b>		<b>Date:</b>	
<b>SURVEILLANCE 4</b>			
<b>Evaluation Dates:</b>			
<b>Team Leader/Team:</b>			
<b>Affiliate Project Manager:</b>		<b>Date:</b>	
<b>Report reviewed &amp; approved by:</b>		<b>Date:</b>	
<b>Certification approved by:</b>		<b>Date:</b>	
<b>Database logged by:</b>		<b>Date:</b>	



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**LIST OF ABBREVIATION**

<b>Short Form</b>	<b>Meanings</b>
CAR	Corrective Action Request
CHRA	Chemical Health Risk Assessment
CPO	Crude Palm Oil
EFB	Empty Fruit Bunch
EIA	Environment Impact Assessment
EMS	Environmental Management System
EQA	Environmental Quality Act
ERT	Enhazarded, Rare and Threatened species
ESA	Environmentally Sensitive Area
FFA	Free Fatty Acids
FFB	Fresh Fruit Bunches
FR	Forest Reserve
Ha	Hectare
HCV	High Conservation Value
HDPE	High Density Polyethylene
IPM	Integrated Pest Management
ISO	International Organisation for Standardisation
IUCN	International Union for Conservation of Nature and Natural Resources
JCC	Joint Consultative Committee
K	Potassium
kW	Kilowatt
M	Meter
Mg	Magnesium
Mm	Millimeter
Mt	Metric ton
N	Nitrogen
NGO	Non Governmental Organisation
OER	Oil Extraction Rate
OSH	Occupational Safety & Health
P	Phosphate
P & C	Principles and Criteria
PK	Palm Kernel
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
SOP	Standard Operating Procedures
SEIA	Social and Environment Impact Assessment
SGS	Societe Generale de Surveillance
SOP	Standard Operating Procedures
USECHH	Use and Standards of Exposure of Chemicals Hazardous to Health
WHO	World Health Organisation
yr	Year

## 1. SCOPE OF CERTIFICATION ASSESSMENT

### 1.1 National Interpretation Used

The operations of the mill and their supply based of FFB were assessed against the **Roundtable on Sustainable Palm Oil (RSPO), Colombia National Interpretation of RSPO Principles and Criteria for Sustainable Palm Oil Production 2016**.

### 1.2 Certification Scope

The scope of certification includes the production of Extractora El Roble S.A.S and its supply base from outgrowers whose has long term contract relationship for delivery of FFB to the mill, namely as: Juan Jose, La Patricia, Palmares Tehobromina, Ecuador & Montecarlo, Guayabos, Suramérica, Polo Norte, La Gabriela, Las Flores, Pepilla, Pie de cuesta, El Reposo, El Corozo, Avil, El Roble, La Cabaña, La Gloria, La Luisa, Reneta y Ginebra, in accordance to the RSPO standard requirement of **Roundtable on Sustainable Palm Oil (RSPO), Colombia National Interpretation of RSPO Principles and Criteria for Sustainable Palm Oil Production 2016** and **RSPO Supply Chain Certification Standard dated 21 November 2014**.

According to document of **RSPO Management System Requirements for Group Certification of FFB production (March 2016)**, it is allow for the mill and outgrowers are certified together as mill-with-supply-base under the RSPO Principles & Criteria, using the respective National Interpretation under Local Interpretation. This is because the mill is an independent mill, and has no management control on decisions of the growers on their operations, nor on the planted land; but still contractually buys from the growers, and the outgrowers do not have capacity and resources for getting certification. Extractora El Roble has capacity and resources is fully support to implement RSPO certification on outgrowers land. Therefore, the document for Group Certification was not used.

### 1.3 Location and Maps

Extractora El Roble S.A.S localizada en la Zona Bananera, Colombia (**Figure 1**). More detailed information on the estates location and layouts is shown in **Figures 2, 3, 4, 5** and **6**. The GPS locations of the mills are shown in Table 1.

**Table 1: Mill and Supply Base GPS Location**

Mill/Supply Base (Estate/smallholder)	Latituded	Longitude
EXTRACTORA EL ROBLE SAS	10°40' 36.36" N	74° 12' 52.30" W
JUAN JOSE	10° 39' 51,4" N	74°11' 30,8" W
LA PATRICIA	10° 37' 19.61" N	74° 13' 44.72" W
PALMARES TEHOBROMINA	10° 38' 29,1" N	74° 13' 38,2" W
ECUADOR & MONTECARMELO	10° 40' 1.42" N	74° 12' 0.18" W
GUAYABOS	10° 41' 55.88" N	74° 15' 8.34" W

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SURAMERICA	10° 43' 9.83" N	74° 17' 7.05" W
POLO NORTE	10° 38' 4.32" N	74° 14' 24.71" W
LA GABRIELA COROZO	10° 45' 16.27" N	74° 6' 26.94" W
LAS FLORES	10° 35' 28.66" N	74° 13' 58.59" W
PEPILLA	10° 35' 45.97" N	74° 11' 48.02" W
PIE DE CUESTA	10° 34' 38.19" N	74° 9' 33.43" W
EL REPOSO	10° 44' 44.29" N	74° 7' 55.78" W
EL COROZO	10° 33' 20.89" N	74° 12' 52.10" W
AVIL	10° 37' 39.73" N	74° 13' 16.55" W
EL ROBLE	10° 40' 39.06" N	74° 12' 59.26" W
LA CABAÑA	10° 40' 48.75" N	74° 12' 44.88" W
LA GLORIA	10° 41' 10.41" N	74° 12' 39.36" W
LA LUISA	10° 34' 52.92" N	74° 13' 52.74" W
RENETA	10° 39' 20.44" N	74° 11' 44.73" W
GINEBRA	10° 37' 23.20" N	74° 12' 49.29" W



Figure 1: Location Map for Extractora El Roble S.A.S

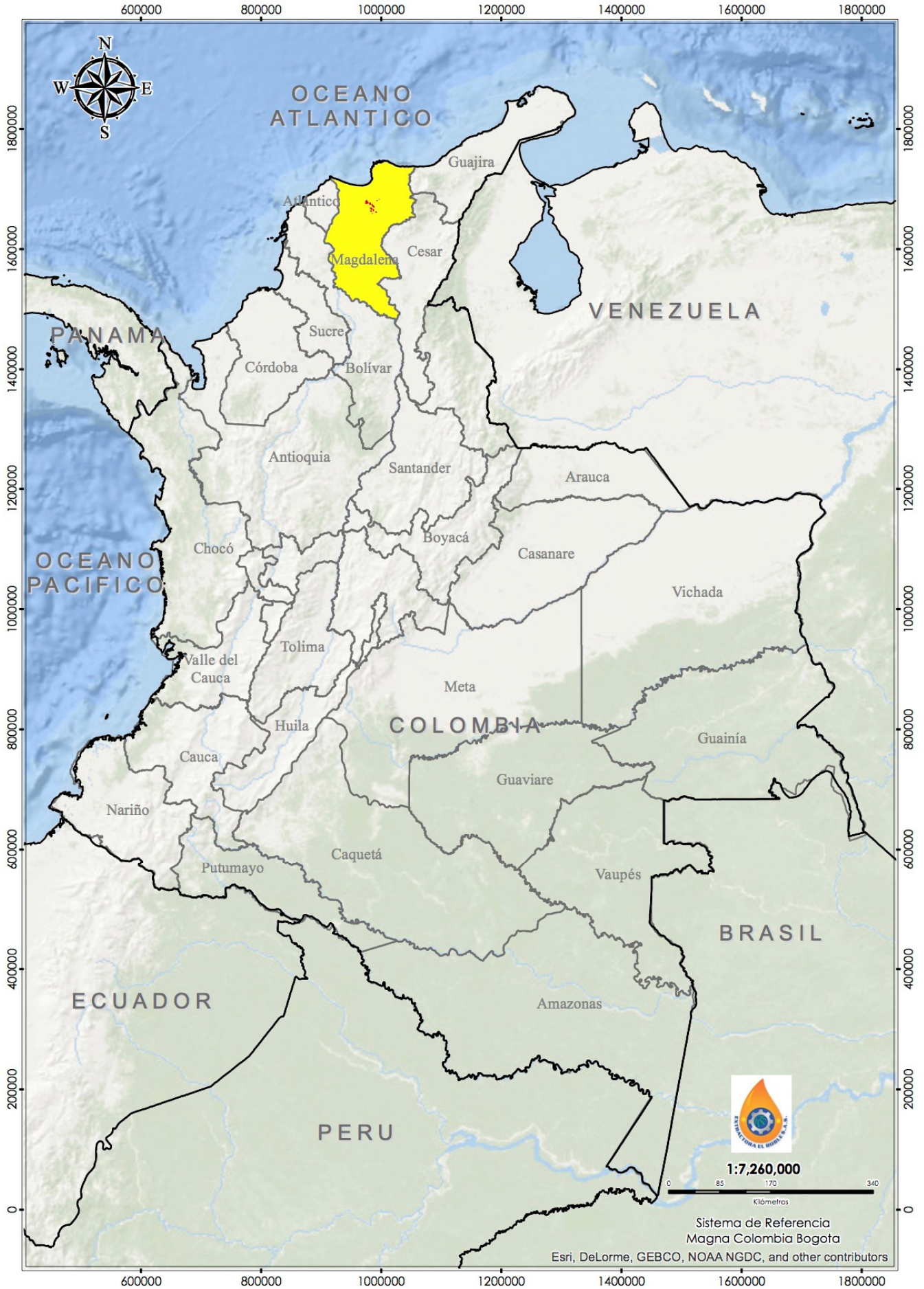
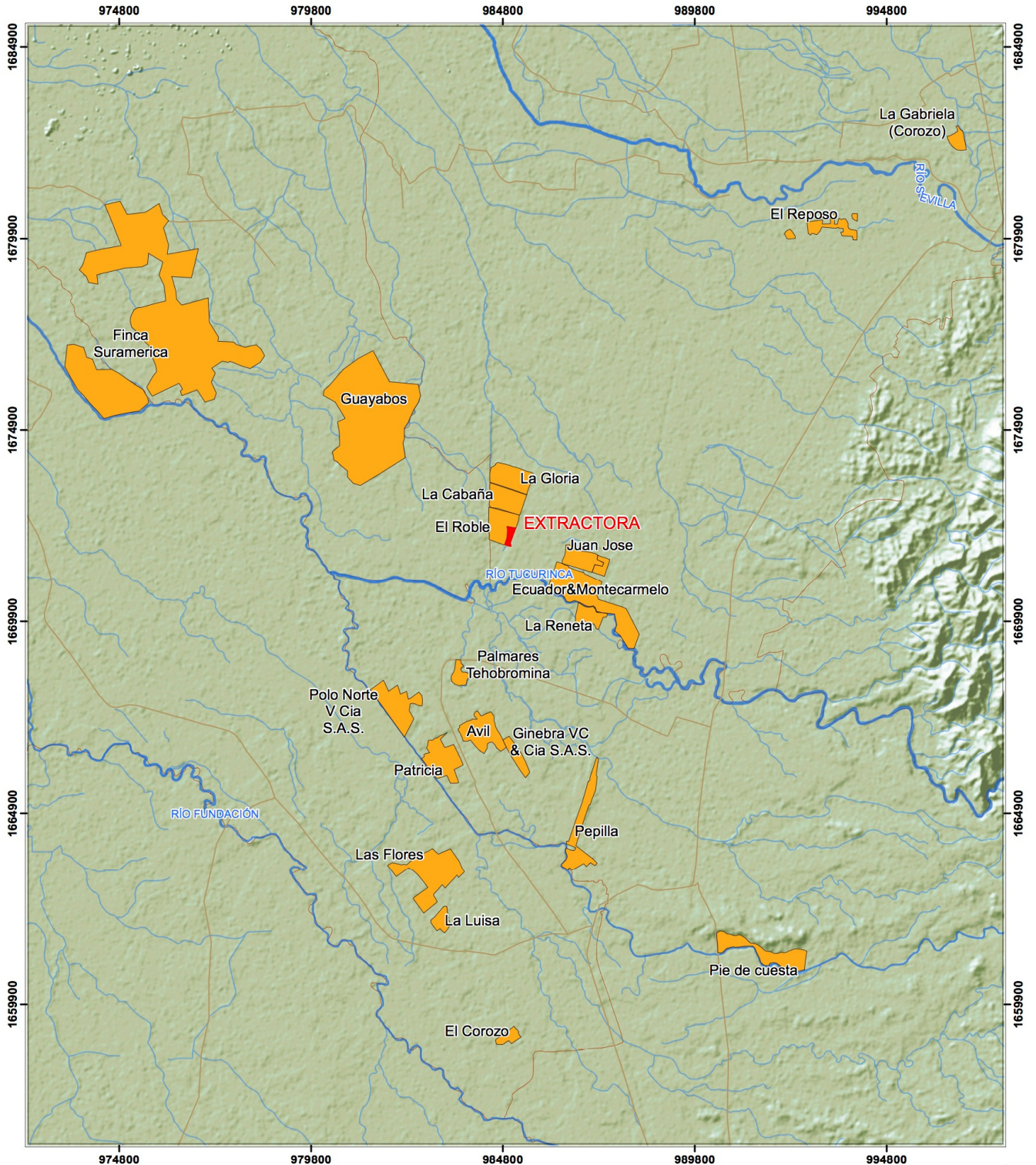


Figure 2: Estates and Mills Location Map



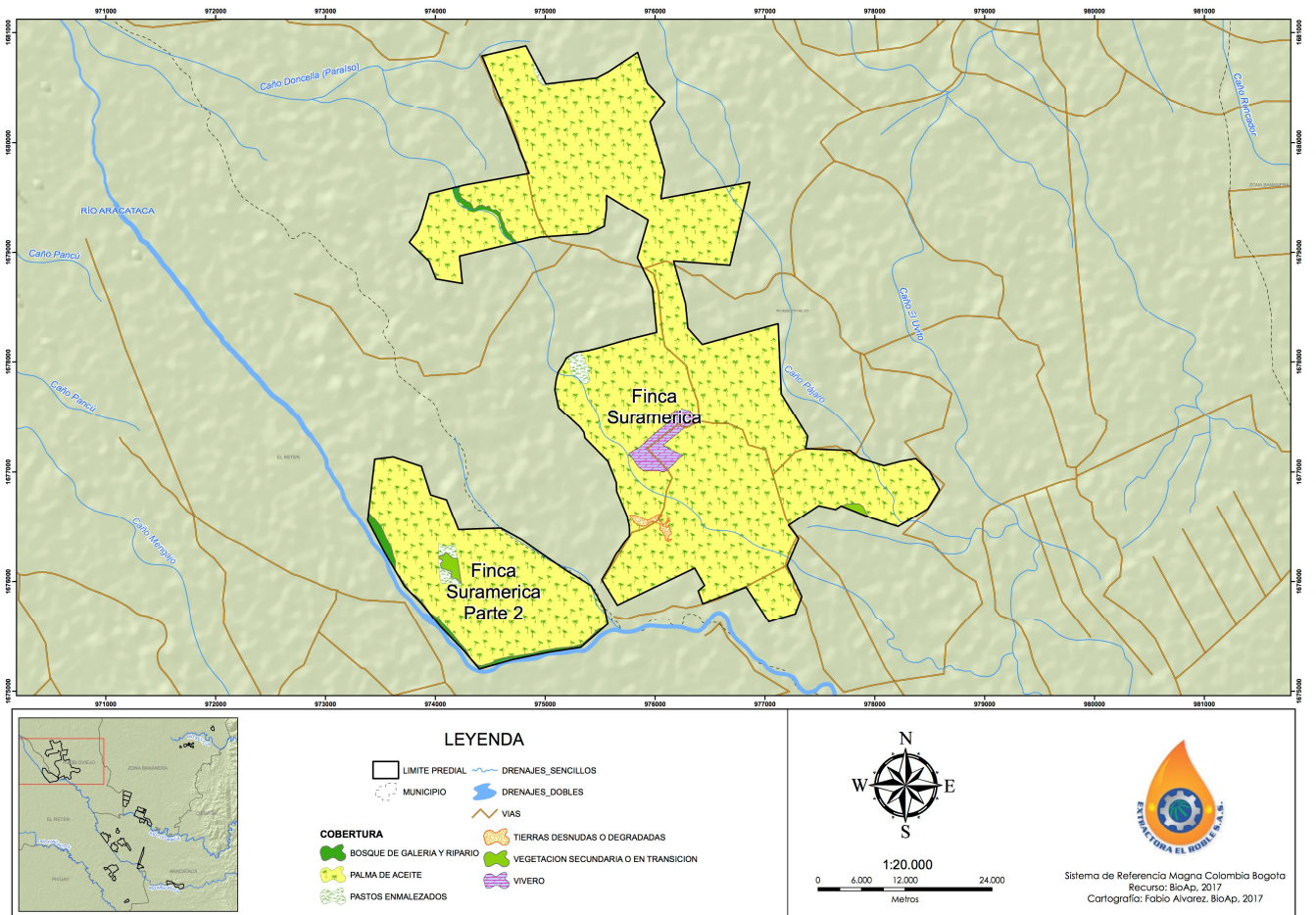
### LEYENDA

<span style="display: inline-block; width: 15px; height: 15px; background-color: red; border: 1px solid black;"></span> EXTRACTORA ELROBLE	DRENAJE SENCILLO
<span style="display: inline-block; width: 15px; height: 15px; background-color: orange; border: 1px solid black;"></span> PREDIOS EL ROBLE	DRENAJES DOBLES
VIAS	DEPARTAMENTO
	LIMITE MUNICIPAL

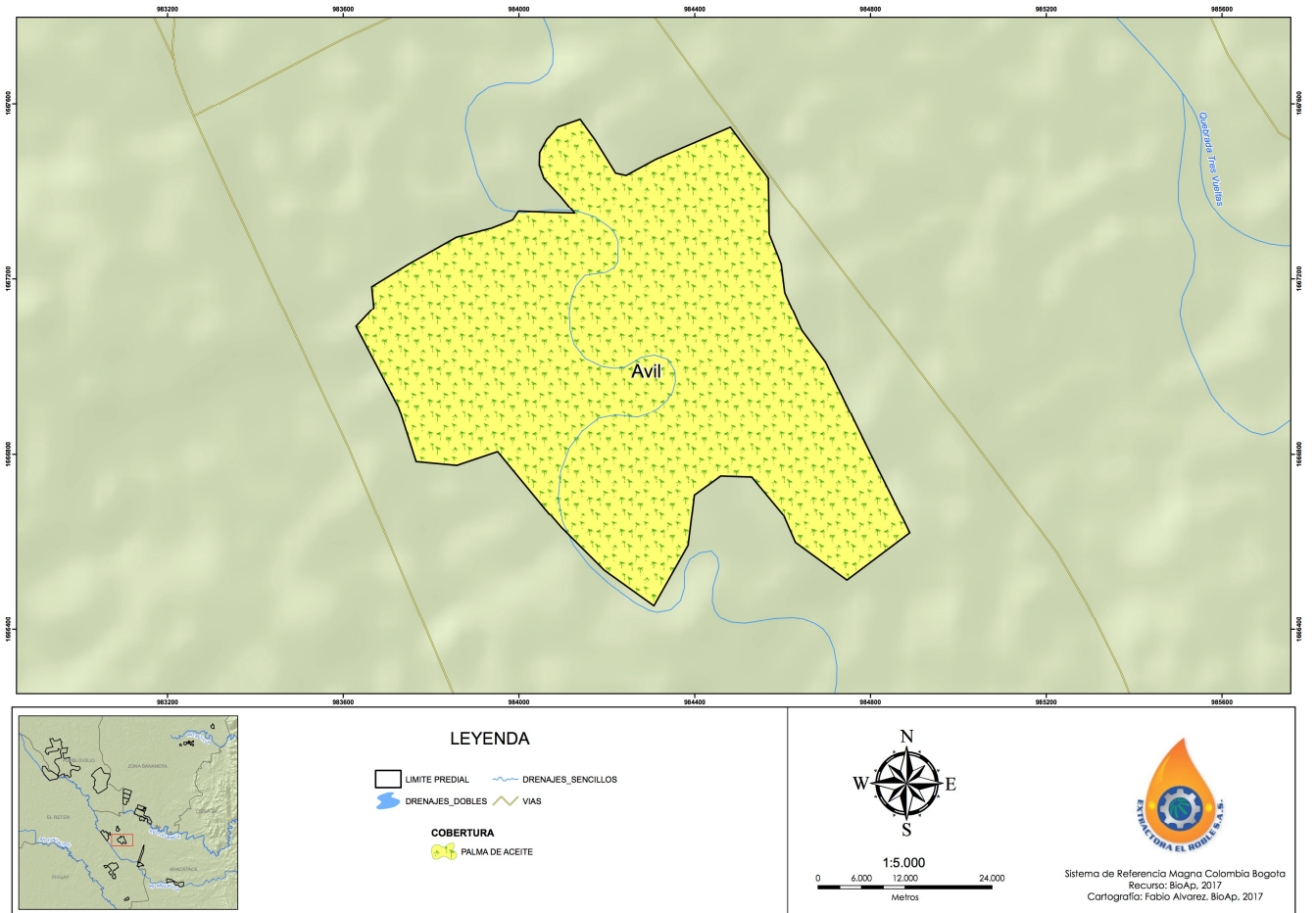
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Sistema de Referencia  
Magna Colombia Bogota

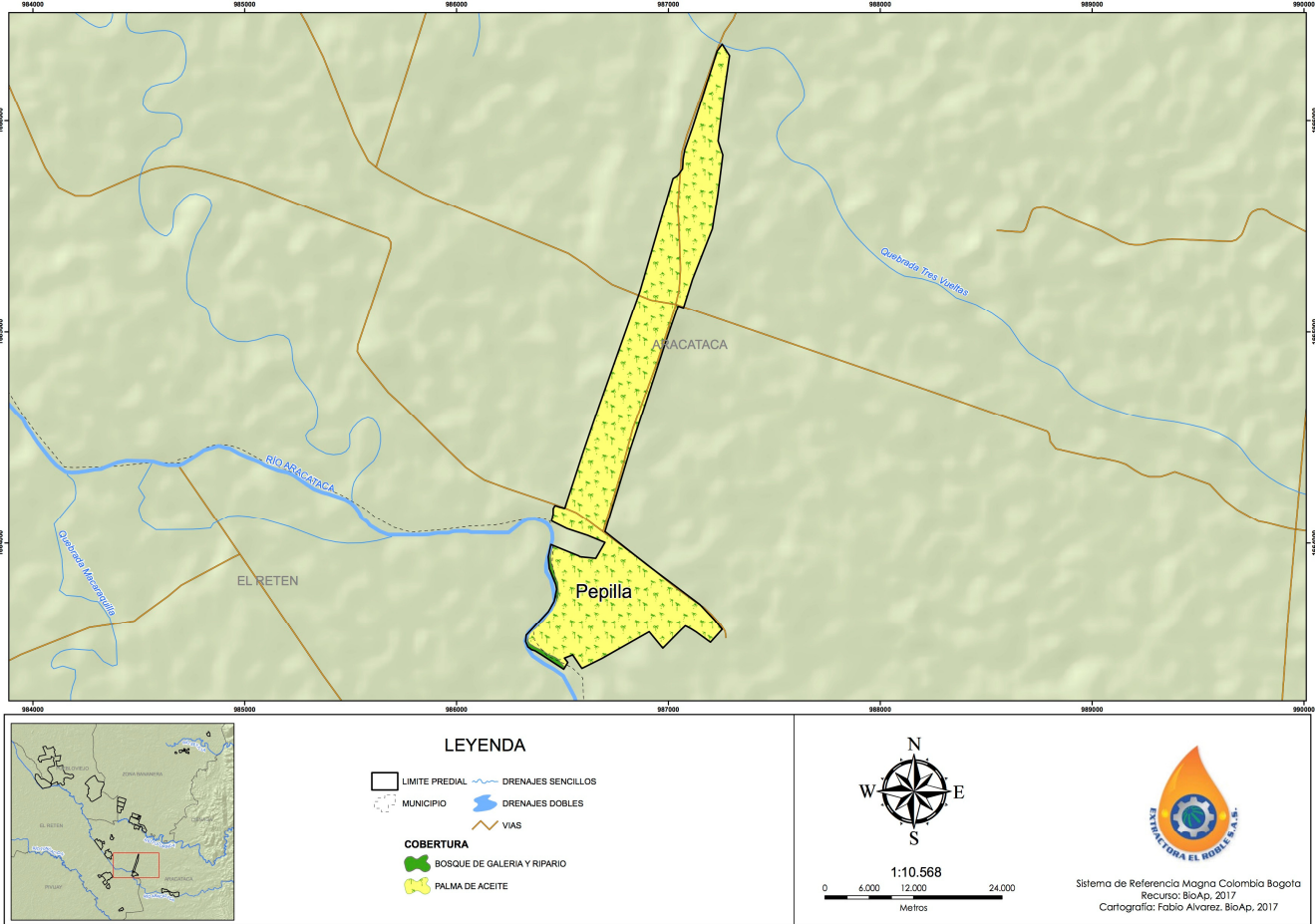
Figure 3: Estate Suramérica Layout



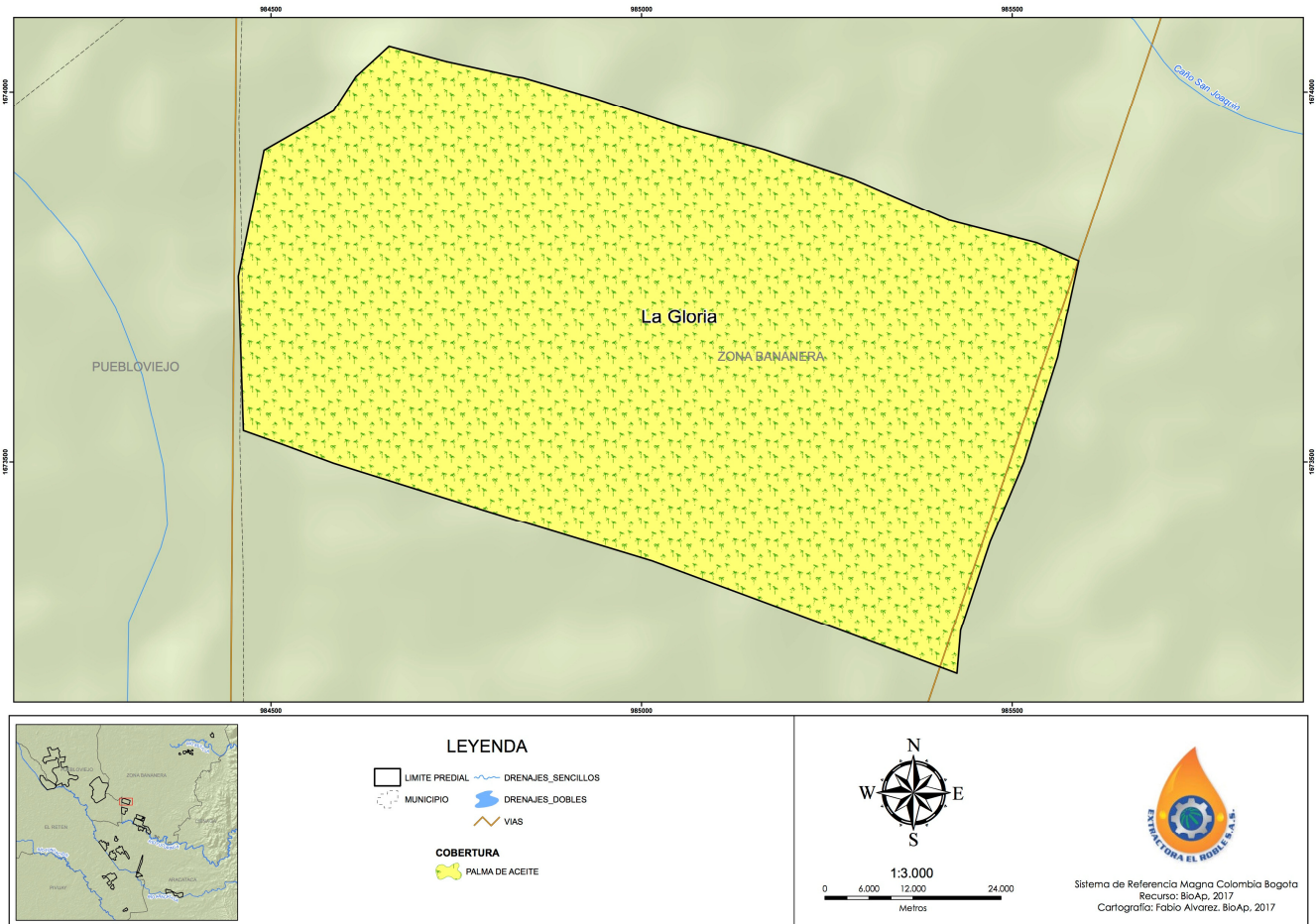
**Figure 4: Estate Avil Layout**



**Figure 5: Estate La Pepilla Layout**



**Figure 6: Estate La Gloria Layout**



#### 1.4 Description of Supply Base and Mill Processing Capacity

The FFB is sourced from 20 Estates which has relation to Extractora El Roble S.A.S.

The company Extractora El Roble has signed contracts with all the estates, in a long term contractual relationship (normally for 25 years) for delivery of fresh fruit bunches to the mill. The administration and management of the estate is made directly by the estates owner. As part of the agreement, Extractora El Roble is offered advices to the estates on the technical and agronomic aspects. However, the estate's owners do not have capacity and resources for getting certification, therefore, Extractora El Roble is fully responsible to support all estates to implement RSPO Certification.

The budgeted crop yields from each estate is listed in Table 2 below. Due to fact that this is Initial Certification Assessment, therefore only projection of 2017 were presented. The Estimation and Actual from previous year will be provided during subsequent surveillance visits.

**Table 2: Actual and Projected FFB from Supply Base (Financial Year)**

Estates/Smallholders	FFBs (Tonnage)		
	Estimation (2016)	Actual (2016)	Projection (2017)
Ecuador/Montecarmelo	0	0	5,802.91
Guayabos	0	0	6,635.96
Suramérica	0	0	17,078.76
La Cabana	0	0	1,436.51
El Roble	0	0	1,443.16
La Gloria	0	0	706.35
Palmares Tehobromina	0	0	513.07
Polo Norte	0	0	1,501.83
Ginebra	0	0	508.99
La Reneta	0	0	750.13
Juan Jose	0	0	401.01
Abepon (La Patricia)	0	0	1,609.43
El Corozo S.A	0	0	367
La Gabriela.	0	0	484.11

Las Flores	0	0	3,408.53
El Reposo	0	0	1,033.99
Pepilla - El Corozo	0	0	1,449.29
Pie de Cuesta	0	0	1,802.71
Avil	0	0	1,601.58
La Luisa	0	0	368.77
Subtotal tonnage claimed for RSPO certified supply base	0	0	48,904.09
<b>Certified FFB received from other RSPO certification scope within adjacent estates (if any)</b> a. XXXX Estate b. XXXX Estate	None	None	None
Subtotal (other RSPO certified supply base)	0	0	0
<b>Grand Total</b>	0	0	48,904.09

**Table 3: Actual and Projected Mill Processing Data**

Mill Name	Mill Production Figures (MT) Claimed for Certification					
	Estimation (2016)		Actual (2016)		Projection (2017)	
	CPO	PK	CPO	PK	CPO	PK
Extractora El Roble	0	0	0	0	10,348	938
Extraction Rate	0 %	0 %	0 %	0 %	21.16%	1.91 %



## 1.5 Area of Plantation

The areas of supplying estates for this operating unit are listed in Table 4. Details of planted area (mature/immature), total land area, conservation, HCV and others are also listed.

**Table 4: Area Statement of the Supplying Estates**

Name of Estates	Planted Area		Conservation Area (Ha)	HCV Area (Ha)	Others use	Total Land Lease Area (Ha)
	Immature Area (Ha)	Mature Area (Ha)				
Tehobromina	0.00	72.70	0.00	0.00	0.05	72.75
Ecuador y Montecarmelo	0.00	180	0.00	22.44	0.66	203.1
Guayabos	162	313	0.00	0.00	48	523
Suramérica	0.00	923.07	0.00	24.04	80	1027
El Roble	0.00	51.04	0.00	0.00	0,00	51.04
La Cabaña	0.00	52.69	0.00	0.00	0.01	52.7
La Gloria	0.00	60.1	0.00	0,00	0.27	60.37
Polo Norte	0.00	75.1	0.00	8.73	0.17	84
Ginebra	0.00	26	0.00	0.00	0.00	26
La Reneta	0.00	28.08	0.00	3.92	0.00	32
La Gabriela	0.00	18	0.00	0.00	0.00	18
El Reposo	0.00	41	0.00	0.00	0.00	41
Pepilla	22.59	52.41	0.00	1.45	0.00	76.45
Pie de Cuesta	0.00	81.6	0.00	5.2	0.2	87
Las Flores	30	104.8	0.00	0.00	0.2	135
El Corozo	0.00	16.4	0.00	0.00	0.00	16.40
La Patricia	0.00	71.74	0.00	4.26	0.00	76
Juan José	0.00	12.7	0.00	0.00	0.00	12.7
Palmares Tehobromina	0.00	20	0.00	0.00	0.00	20
La Luisa	0.00	20.3	0.00	0.7	0.00	21
<b>Total</b>	214.59	2,220.72	0.00	70.82	129.83	2,635.51

## 1.6 Date of Planting and Cycle

The Extractora El Roble S.A.S own estates were planted between 1986 and 2013. The palms were considered matured when approaching 5 years after planting and productive until the age of 25 years. A replanting program for all estates involved are available and being projected for the next five (5) financial years fy 2022 to fy 2040. The age profiles for all the estates are simplified in Table 3 below.

**Table 5: Planting Age Profiles for all Estates Supply Base**

Name of supplying Estates	Planting Age (Ha)			
	Immature	>4 - 14 years	>14 - 25 years	>25 years
Tehobromina	0.00	0.00	72.7	0.00
Ecuador y Montecarmelo	0.00	100	80	0.00
Guayabos	162	122	12	179
Suramérica	0.00	593.31	329.76	0.00
El Roble	0.00	0.00	51	0.00
La Cabaña	0.00	33.3	19.39	0.00
La Gloria	0.00	11.66	48.46	0.00
Polo Norte	0.00	0.00	0.00	75.1
Ginebra	0.00	0.00	26	0.00
La Reneta	0.00	0.00	28.08	0.00
La Gabriela	0.00	0.00	18	0.00
El Reposo	0.00	41	0,00	0.00
Pepilla	22.59	21.1	31.31	0.00
Pie de Cuesta	0.00	81.6	0.00	0.00
Las Flores	30	96.6	8.2	0.00
El Corozo	0.00	16.4	0.00	0.00
La Patricia	0.00	6	65.7	0.00
Juan José	0.00	6	6.7	0.00
Palmares Tehobromina	0.00	0.00	0.00	20
La Luisa	0.00	10.9	9.4	0,00
<b>Total</b>	214.59	1,139.87	806.70	274.10

### 1.7 Other Certification Held

Certification ISO 9001: 2008, scope: Extraction of Palm Oil and Palm Kernel Oil and Provision Of Technical Assistance. Effective date: 02/19/2014 - 18/02/2018

### 1.8 Organizational Information and Contact Person

The company contact person details are as follows:

<b>Name:</b>	<b>María Alejandra Cortina Jimenez</b>
<b>Designation:</b>	<b>Director of corporate relationships.</b>
<b>Address:</b>	<b>Calle 23 No 4-27 Oficina 802</b>
<b>Contact No.:</b>	<b>316 3344575</b>
<b>Email address:</b>	<b>relacionescorporativas@extractoraelroble.com</b>

### 1.9 Time-bound Plan for Other Management Units

EXTRACTORA EL ROBLE S.A.S is a member of RSPO and has been involved in the certification since 03 November 2014; the membership number with RSPO is 1-0170-14-000-00

EXTRACTORA EL ROBLE S.A.S owns and operates 1 mill and 20 oil palms estates, together with other operating unit (Mills, Estates, and smallholders) covering approximately 8,773.88 ha in Colombia. Extractora El Roble S.A.S has developed a time-bound plan (**Appendix C**) for the phased implementation of the RSPO P&C, consists of number of mills and estates. Extractora El Roble S.A.S will use the experience gained from achieving certification of the first 1 mill and 20 estates to implement the RSPO P&C in parallel with the remain of its operations. The SGS assessment team considers that Extractora El Roble S.A.S is on the right track which is reasonable and challenging, given the widespread geographic locations of its properties, the resources required and the numbers of smallholders involved.

#### Auditor Finding on the Time Bound Plan and Partial Certification

Time Bound Plan		
Requirement	Findings and any action required	Compliance
Does the plan include all subsidiaries, estates and mills?	Extractor El Roble presents a 3 year Certification Plan, which includes all of its supply base. This is 168 plantations which total 8,773.88 hectares to be certified.	Yes
Is the time bound plan challenging? <ul style="list-style-type: none"> <li>• Age of plantations.</li> <li>• Location.</li> <li>• POM development</li> <li>• Infrastructure.</li> <li>• Compliance with applicable law.</li> </ul>	Once analyzed and checked the 3 year certification plan of Extractor El Roble can be concluded that it is a challenging plan for the number of plantations, has a work plan that takes into account how they will realize the infrastructure that is required, compliance of the regulations of the country, they take into account the geographical location of its supply base and the age of plantings.	Yes
Have there been any changes since the last audit? Are they justified?	Does not apply. This is first time bound plan	Yes
If there have been changes, what circumstances have occurred?	Does not apply. This is first time bound plan	Yes

Have there been any stakeholder comments?	There is no evidence of any comments from stakeholder regarding the certification plan.	Yes
Have there been any newly acquired subsidiaries?	No new subsidiaries at the moment of initial certification assessment.	Yes
Have there been any isolated lapses in implementation of the plan?	Does not apply. This is first time bound plan	Yes
<b>Un-Certified Units or Holdings</b>		
<b>Note:</b> Companies may demonstrate compliance by clear evidence of a self-audit (i.e. an internal audit for all subsidiaries, estates and Palm Oil Mills)		
<b>Requirement</b>	<b>Findings and any action required</b>	<b>Compliance</b>
Did the company conduct an internal audit? If so, has a positive assurance statement been produced?	The company performed an internal audit to review the compliance with all principles and criteria of the mills and plantations, and according to the results they established an action plan to close the gaps found in that audit.	Yes
No replacement after dates defined in NIs Criteria 7.3: <ul style="list-style-type: none"> <li>• Primary forest.</li> <li>• Any area identified as containing High Conservation Values (HCVs).</li> <li>• Any area required to maintain or enhance HCVs in accordance with RSPO criteria 7.3.</li> </ul>	It is evidenced the "Land Use Change Study" (LUCA) for the plantations of Extractora el Roble S.A.S developed by the company "Biologia Aplicada S.A.S." on December of 2015. The results and methodology of the analysis were reviewed and approved by the RSPO Compensation Panel in January 2017 and is evidenced a copy of the verification report Version 2 22-11-2016 developed by the company Daemeter. The study presents as a management unit 4467 ha. which includes the area of the 20 estates in the process of certification, with monitoring periods of the years 2005, 2007, 2009, 2014 and 2015; It is evidenced that the company does not require a compensation plan.	Yes
Any new plantings since January 1 <sup>st</sup> 2010 must comply with the RSPO New Plantings Procedure.	There were some areas planted after January 1 of 2010, of the plantations presented for certification.  However, those new planted was done prior company getting RSPO membership. Compensation mechanism and liability disclosure have been followed by the company, and RSPO has formally approved.	Yes
Any Land conflicts are being resolved through a mutually agreed process, e.g. RSPO Grievance procedure or Dispute Settlement Facility, in accordance with RSPO criteria 6.4, 7.5 and 7.6.	The company does not show conflicts of land or demands for acquisition of land, legal documents as certificates of freedom and tradition appear in the name of the companies or	Yes

	their owners.	
Any Labor disputes are being resolved through a mutually agreed process, in accordance with RSPO criteria 6.3.	The client does not present any labor dispute currently, there is a procedure for labor or other agreements.	Yes
Did the company conduct an internal audit? If so, has a positive assurance statement been produced?	The company performed an internal audit to review compliance with all principles and criteria of the plant and plantations and according to the results they established an action plan to close the gaps found in that audit.	Yes
Any Legal non- compliance is being resolved in accordance with the legal requirements, with reference to RSPO criteria 2.1 and 2.2.	There is evidence of a procedure called "Procedure for the resolution of conviction against conflict of code" (EXAR-RSE-D-187), which stipulates all legal processes that would be carried out in the case a conflict of any kind is presented.	Yes

## 2. ASSESSMENT PROCESS

### 2.1 Certification Body

SGS is the world's leading inspection, verification, testing and certification company. SGS is recognized as the global benchmark for quality and integrity. With more than 80,000 employees, SGS operates a network of over 1,650 offices and laboratories around the world.

The RSPO Programme is the SGS Group's RSPO Certification Programme internationally accredited by the ASI to carry out oil palm plantation and supply chain certification for global RSPO certification.

### 2.2 Assessment Methodology, Programme, Site Visits

The assessment was conducted in **20** audit days and involving 20 Estates of Extractora El Roble The audit covers documentation review, internal procedures, management system, field inspection as well as identification of any significant issues for both environment or social issues. A sample of stakeholders was consulted during the assessment to get their feedback on the management practices.

The assessment was conducted based on random samples and therefore nonconformities may exist which have not been identified. The methodology for objective evidence collection included physical site inspection, observation of tasks and processes, interview with workers, families and stakeholders, documentation review and monitoring data.

The assessment program is included as shown in Table 6 below.

**Table 6: Assessment Program**

<i>Date</i>	<i>Time</i>	<i>Organisational and Functional Units/ Processes and Activities</i>
23 January 2017		Day of travel, from Bucaramanga City to Santamarta City, then

(Monday)		Santamarta city and Fundación municipality (POM).
24 January 2017 (Tuesday)	7:30 – 8:30 a.m.  8:30 – 12:00 m.	<p><b>Opening meeting with General Manager</b></p> <ul style="list-style-type: none"> <li>• Office: Extractora El Roble City Santamarta</li> <li>• Introduction by team leader</li> </ul> <p><b>Document review</b></p> <p>Principle 3: Commitment to long-term economic and financial viability (Business Plan).</p> <p>Principle 2: Compliance with applicable laws and regulations, except criteria 2.2</p>
24 January 2017 (Tuesday)	12:00 – 1:00 p.m.  1:00 – 2:30 p.m.	<p><b>Lunch &amp; Rest</b></p> <p><b>Travel plantation</b></p>
24 January 2017 (Tuesday)	2:30 – 3:30 p.m.  3:30 – 5:30 p.m.	<p><b>Opening Meeting</b></p> <p>Chaired by the audit team leader</p> <ul style="list-style-type: none"> <li>• Introduction by team leader</li> <li>• Presentation by respective managers</li> <li>• Presentation of Palm Oil Mill source of FFB by respective managers.</li> </ul> <p><b>Document review</b></p> <ul style="list-style-type: none"> <li>• Document review [EIA, SIA, CIP]</li> <li>• Complaint mechanism / Request &amp; respond</li> </ul> <p><b>Document review</b> <input type="checkbox"/> <b>Supply Chain Certification Assessment of the POM (RSPO SCCS)</b> <input type="checkbox"/></p> <ul style="list-style-type: none"> <li>• Demonstration of legal entity <input type="checkbox"/></li> <li>• Roles and responsibility <input type="checkbox"/></li> <li>• Procedures/manual/SOP <input type="checkbox"/></li> <li>• Record of purchase – RSPO certified product</li> <li>• Record of sales– RSPO certified product <input type="checkbox"/></li> <li>• RSPO logo &amp; claims</li> </ul>
25 January 2017 Wednesday	7:30 – 10:00 a.m.	<p><b>SPO P&amp;C Document Review Mill inspection</b></p> <ul style="list-style-type: none"> <li>• Workshops <input type="checkbox"/></li> <li>• Stores <input type="checkbox"/></li> </ul>

	<p>12:30 – 1:30 pm</p> <p>1:30 p.m – 2:00 p.m</p>	<ul style="list-style-type: none"> <li>• POM application <input type="checkbox"/></li> <li>• Document review <input type="checkbox"/></li> <li>• Mill inspection <input type="checkbox"/></li> <li>• POM application <input type="checkbox"/></li> <li>• Safety and Health / PPE / Signage <input type="checkbox"/></li> <li>• Waste Management / Environment <input type="checkbox"/></li> <li>• Workers interview <input type="checkbox"/></li> <li>• Stakeholder consultation if required.</li> </ul> <p><b>Lunch &amp; Rest</b></p> <p>Travel plantation</p>
25 January 2017 Wednesday	2:00 – 6:00 p.m	<p><b>RSPO P&amp;C Document Review</b></p> <ul style="list-style-type: none"> <li>• Best agricultural practices <input type="checkbox"/></li> <li>• Manuring, Spraying, Harvesting, <input type="checkbox"/></li> <li>• HCV / Conservation Area <input type="checkbox"/></li> <li>• Safety and Health <input type="checkbox"/></li> <li>• Waste Management / Environment <input type="checkbox"/></li> <li>• Legal compliance / boundary <input type="checkbox"/></li> <li>• Chemical / Pesticide / Fertilizer Stores <input type="checkbox"/></li> <li>• Document review [EIA, SIA, CIP, Business Plan] <input type="checkbox"/></li> <li>• Complaint mechanism / Request &amp; respond <input type="checkbox"/></li> <li>• Worker interviews / Human Rights / Transparency <input type="checkbox"/></li> <li>• Worker's facilities (housing, pay, etc) <input type="checkbox"/></li> <li>• Stakeholder consultation</li> </ul>
26 January 2017 Thursday	7:30 – 12:00 p.m	<p><b>RSPO P&amp;C Document Review</b></p> <ul style="list-style-type: none"> <li>• Best agricultural practices <input type="checkbox"/></li> <li>• Manuring, Spraying, Harvesting, <input type="checkbox"/></li> <li>• HCV / Conservation Area <input type="checkbox"/></li> <li>• Safety and Health <input type="checkbox"/></li> <li>• Waste Management / Environment <input type="checkbox"/></li> <li>• Legal compliance / boundary <input type="checkbox"/></li> </ul>

	<p>12:30 p.m – 1:30 p.m</p> <p>1:30 p.m – 6:00 p.m</p>	<ul style="list-style-type: none"> <li>• Chemical / Pesticide / Fertilizer Stores <input type="checkbox"/></li> <li>• Document review [EIA, SIA, CIP, Business Plan] <input type="checkbox"/></li> <li>• Complaint mechanism / Request &amp; respond <input type="checkbox"/></li> <li>• Worker interviews / Human Rights / Transparency <input type="checkbox"/></li> <li>• Worker’s facilities (housing, pay, etc) <input type="checkbox"/></li> <li>• Stakeholder consultation <input type="checkbox"/></li> </ul> <p><b>Lunch &amp; Rest</b></p> <p><b>RSPO P&amp;C Document Review</b></p> <ul style="list-style-type: none"> <li>• Best agricultural practices <input type="checkbox"/></li> <li>• Manuring, Spraying, Harvesting, <input type="checkbox"/></li> <li>• HCV / Conservation Area <input type="checkbox"/></li> <li>• Safety and Health <input type="checkbox"/></li> <li>• Waste Management / Environment <input type="checkbox"/></li> <li>• Legal compliance / boundary <input type="checkbox"/></li> <li>• Chemical / Pesticide / Fertilizer Stores <input type="checkbox"/></li> <li>• Document review [EIA, SIA, CIP, Business Plan] <input type="checkbox"/></li> <li>• Complaint mechanism / Request &amp; respond <input type="checkbox"/></li> <li>• Worker interviews / Human Rights / Transparency <input type="checkbox"/></li> <li>• Worker’s facilities (housing, pay, etc) <input type="checkbox"/></li> <li>• Stakeholder consultation <input type="checkbox"/></li> </ul>
27 January 2017 Friday	8:00 – 10:00 a.m	<p>Stakeholders Consultation</p> <ul style="list-style-type: none"> <li>• Will lead by Mrs. Rhuth Tenjo <input type="checkbox"/></li> <li>• Assist by Mrs. Adriana Tenjo</li> </ul>
27 January 2017 Friday	<p>10:00 – 12:00 m</p> <p>2:00 – 4:00 p.m</p>	<p><b>Auditor Discussion</b> <input type="checkbox"/></p> <p>Preparation for Closing Meeting <input type="checkbox"/> Additional field visits and meetings with managers as necessary</p> <p>Closing Meeting <input type="checkbox"/> Chaired by the audit team leader</p> <ul style="list-style-type: none"> <li>• Welcome and introduction by the team leader <input type="checkbox"/></li> <li>• Presentation of findings by the audit team <input type="checkbox"/></li> <li>• Questions and answers <input type="checkbox"/></li> <li>• Final summary by team leader <input type="checkbox"/></li> </ul>



		• End of Assessment
27 January 2017 Friday	4:00 p.m	All auditors travelling back to respective location.

### 2.3 Qualification of Lead Assessor and Assessment Team

PT SGS Indonesia holds copies of educational qualifications, certificates and audit logs for each of the audit team members. SGS has evaluated the qualifications and experience of each audit team member and has registered the following designations for conducting RSPO Assessment. Summary of auditors' profiles are listed in Table 7 below.

**Table 7: Auditors Profile**

Assessment Team	Notes
<b>Adriana Fuentes – Team Leader</b>	Lead Auditor; auditing on Socio-economic & Labor aspects, Supply Chain for CPO Mill, and fluent in local language.
<b>Katherin Ibarra</b>	Auditor, auditing HCV Management, environment aspects, environmental impact studies and fluent in local language
<b>Nury Perilla</b>	Auditor; auditing on Occupationally Health & Safety, legal aspects, and fluent in local language.
<b>Liliana Garcia</b>	Auditor; auditing on Good Agriculture Practices, integrated Pest Management, legal aspects, welfare aspects and fluent in local language.
<b>Rhuth Elena Tenjo Fuentes</b>	Auditor; auditing on stakeholder consultation, social aspects and fluent in local language.

### 2.4 Stakeholder Consultation and List of Stakeholders Contacted

Public Stakeholder Notification was made on 24/12/2016, 30 days prior to the initial certification assessment. There is No written feedback received at the end of the 30 days period. Stakeholder consultation involved internal and external stakeholders. External stakeholders were contacted by telephone to arrange meetings at a location convenient to them to discuss Extractora El Roble Certification Unit's environmental and social performance.

Meetings were held with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made, These included environmental interest groups, local government agencies and relevant authorities, social groups, and workers' unions etc. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and these have been incorporated into the assessment findings. Total stakeholder contacted during the audit was more than 26 stakeholders from various background such local communities, company employees, school master surrounding estates, contractors, etc

Structured worker interviews with male and female workers and staff were held in private at the workplace in the mill and the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or

external stakeholder interviews. A list of Stakeholders contacted with detail comments is included as **Appendix D**.

### 3. ASSESSMENT FINDINGS

#### 3.1 Summary of Findings

##### 3.1.1 Principles & Criteria

As outlined, objective evidence was obtained separately for each of the RSPO Principles, Criteria and Indicators for the mill and Estates. The results for each indicator from each of the operational areas were evaluated to provide an assessment of conformity. A statement is provided for each of the RSPO indicators in order to support the findings of the assessment team.

There is 9 Major Non-conformities and 7 Minor Non-conformities identified during this assessment. Some areas identified with potential areas for improvement has led into 16 Observations raised. Details for each Non-conformities and observations are given in **Appendix C**. Major Non-conformities has been closed out within the period of 90 days after the Main assessment. Minor Non-compliances and Observations will be followed up during the next Annual Surveillance Audit which is scheduled to be conducted within the period of twelve months after Issuance date of certificate.

<b>Principle 1: Commitment to Transparency</b>					
<b>Criteria 1.1: Growers and millers provide adequate information to relevant stakeholders on environmental, social and legal issues relevant to RSPO Criteria, in appropriate languages and forms to allow for effective participation in decision making.</b>					
<b>1.1.1</b>	<b>There shall be evidence that growers and millers provide adequate information on (environmental, social and/or legal) issues relevant to RSPO Criteria to relevant stakeholders for effective participation in decision making.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>It is evidenced in Mill and Estates a document called communication, participation and planting consultation procedure, code ERA-GI-D-05 dated on January 24 of 2017 (version 2) where it is stipulated as means of communication a bulletin called Roble Social of frequency every 6 months, information billboards and memorandums.</p> <p>Socialization of this procedure is evidenced with the interested parties as workers and communities of influence as Tierra Nueva. Activities known as social mapping were generated with communities of influence and other stakeholders. Socialization was carried out with the workers of the species identification record.</p> <p>EXTRACTOR: Procedures are evidenced "For the handling of Complaints, claims and suggestions from interested parties EXAR-GH-D-96 Version 3.</p> <p>A response within 15 working days is defined within the procedure.</p>				
<b>1.1.2</b>	<b>Records of requests for information and responses shall be maintained.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>It is evidenced a document identified as Procedure for the handling of complaints, complaints and / or suggestions of stakeholders of code ERA-GI-D-06 dated on June 30 of 2016, however, the records of the requests are not evidenced nor of their answers, it is argued that only 4 applications have been submitted in a year, in a plantation that has more than 180 workers and 4 communities of influence. It is verified that the procedure was socialized with the labor force. This was found in Sumarice Estate.</p> <p>In the La Gloria Estate, the procedure for the handling of complaints, claims and / or suggestions is evidenced, however its implementation is not evidenced, there is no documented evidence of follow-up or responses.</p> <p>In the AVIL SAS estate is evidenced a document called Procedure for the handling of complaints, complaints and / or suggestions of stakeholders of code EXAR-GH-D-96 dated March 28 of 2016, however the records of the requests are not evidenced nor of their answers, the PQRS or its answers are not followed up.</p> <p>In the Extractor Plant is evidenced the PQRS Consolidated format, code EXAR-GH-R-209 version</p>				

	1, in which 18 manifestations since June 2016 are evidenced, two are requests in an "open" state. <i>CAR was raised and closed – see <b>Appendix A</b> for detail</i>				
<b>Criteria 1.2: Management documents are publicly available, except where this is prevented by commercial confidentiality or where disclosure of information would result in negative environmental or social outcomes.</b>					
<b>1.2.1</b>	<b>Publicly available and accessible documents shall include at least:</b>				<i>Major</i>
<b>1.2.1 (a)</b>	<b>Land titles / user rights (Criteria 2.2);</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The procedure of Communication, Participation and Consultation EXAR-PL-D-13 version 3, paragraph 8 describes the way in which it will be possible to carry out the consultation of documentation related to environmental, social and legal issues, in the same it is indicated that internal stakeholders have the Google Drive folder and external stakeholders must request written documents to be delivered.  The titles of property of the premises are in the administrative office of the city of Santa Marta and are delivered at the request of the interested party.				
<b>1.2.1 (b)</b>	<b>Occupational health and safety plans (Criteria 4.7);</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The documents related to health and safety at work are located in the folder of Google Drive called "Integrated Management System of Sustainability" with access to the internal public and delivered on request to the external public.				
<b>1.2.1 (c)</b>	<b>Improvement plans and impact studies relating to environmental and social impacts (Criteria 5.1, 6.1, 7.1 and 7.8);</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The social and environmental impact studies and their improvement plans are published on the website of the organization <a href="http://www.extractoraelroble.com">www.extractoraelroble.com</a>				
<b>1.2.1(d)</b>	<b>HCV documentation summary (Criteria 5.2 and 7.3);</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The HCV study is located in the folder of Google Drive called "Integrated Management System of Sustainability" with access to the internal public and delivered on request to the external public.				
<b>1.2.1 (e)</b>	<b>Pollution prevention and reduction plans (Criteria 5.6);</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	Plans for prevention and reduce pollution are located in the folder of Google Drive called "System of Integrated Management of Sustainability" with access to the internal public and delivered on request to the external public.				
<b>1.2.1 (f)</b>	<b>Details of complaints and grievances (Criteria 6.3);</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The details of the complaints and claims are located in the folder of Google Drive called "Integrated Management System of Sustainability" with access to the internal public and delivered on request to the external public.				
<b>1.2.1 (g)</b>	<b>Negotiation procedures (Criteria 6.4);</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The negotiation procedures are located in the folder of Google Drive called "Integrated Management System of Sustainability" with access to the internal public and delivered on request to the external public.				
<b>1.2.1 (h)</b>	<b>Continual improvement plans (Criteria 8.1);</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The plans for continuous improvement are located in the folder of Google Drive called "Integrated Management System of Sustainability" with access to the internal public and delivered on request				

	to the external public.				
<b>1.2.1 (i)</b>	<b>Public summary of certification assessment report;</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	Company will maintain Public SUMmary report once it is approved and final.				
<b>1.2.1 (j)</b>	<b>Human Rights Policy (Criteria 6.13)</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The human rights policy is published on the website of the organization <a href="http://www.extractoraelroble.com">www.extractoraelroble.com</a>				
<b>Criteria 1.3: Growers and millers commit to ethical conduct in all business operations and transactions.</b>					
<b>1.3.1</b>	<b>There shall be a written policy committing to the application of a code of ethical conduct and integrity and the respect of human rights in all operations and transactions, which shall be documented and communicated to all levels of the workforce and shall be available for all stakeholders.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>Extractora Mill has a document called Code of Good Governance dated on July 11 of 2015 signed by the legal representative of the company.</p> <p>It is not evidenced that the totality of the workforce was socialized, in a review of the evidence, the worker Elias Torres was not socialized, equally, in the case of Mr. Wilman Fontalvo the list of training assistance mentions Re induction of security, no more Specific socialization of the code of good Governance.</p> <p>In Suramérica Estate, has a Code of Ethics and Good Governance with code ERA-PE.D-07 dated on January 24 of 2017 (version 2) approved by the General Manager, contemplating the issues of respect for Human rights, prohibition of tax evasion, fair conduct of business, among others.</p> <p>In the AVIL S.A.S estate has a document called Code of Good Governance dated on 11 July 2015 signed by the legal representative of the company. However, the subjects of respect for human rights, prohibition of tax evasion, and respect for the fair conduct of business are not considered.</p> <p>The socialization of the code of ethics is verified to the workers Blanca Cecilia Ruiz, Estivinson Julio, Edinson Paternina, Alberto Lacouture and Abel Antonio Marimon finding that the socializations of practical form have been performed due to the educative level of the workers.</p>				
<b>Principle 2: Compliance with Applicable Laws and Regulation</b>					
<b>Criteria 2.1: There is compliance with all applicable local, national and ratified international laws and regulations.</b>					
<b>2.1.1</b>	<b>Evidence of compliance with relevant legal requirements shall be available.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In review of legal compliance in the Extractora Mill and Estates, it was found that:</p> <p>During the visit to the extraction plant, it was evidenced that it does not have containment dams to control emergencies of spills in the storage of finished product.</p> <p>In La Gloria and Avil estates there was no treatment system for wastewater with traces of agrochemicals.</p> <p>In the Suramérica estate, there is no evidence of efficient control of the risk of heights in the fuel zone.</p> <p>The road safety plan approved by a competent entity is not evidenced.</p> <p>In the extraction plant there is no adequate temporary storage of RESPEL, as well as efficient storage of chemical products by deficient ventilation for hexane; in the Suramérica and Avil plantations no hazardous waste characterization is presented for final disposal, no Traceability is evidenced with the final disposal certificate presented in the audit.</p> <p>The Extractor Plant shows lack of implementation of the order and cleanliness program, as well as improvements in the infrastructure of the roof of the facilities.</p> <p><i>CAR was raised and closed – see Appendix A for detail</i></p>				
<b>2.1.2</b>	<b>A documented system, which includes written information on legal requirements, shall be maintained.</b>				<i>Minor</i>

<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>For all the companies, legal matrices are evidenced with the compendium of all the Colombian normative in legal subjects like labor, health and safety in the work, environmental and tributary, nevertheless, they are not totally updated in the last regulation approved in the country like for example the decree 583 of outsourcing.</p> <p>Observation: The legal monitoring and compliance mechanism presented in Plant and Plantations is out of date with the latest legislation in the country.</p>				
<b>2.1.3</b>	<b>A mechanism for ensuring compliance with legal requirements shall be implemented.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:		No:	X
<b>Objective evidence:</b>	<p>In plant and plantation there was no efficient mechanism to demonstrate the control, follow-up and compliance of the legal stipulations established in the legal matrix (normative compilation).</p> <p><i>CAR was raised remain Open. Corrective action plan is available and will be further verified during next surveillance visits – see <b>Appendix A</b> for detail</i></p>				
<b>2.1.4</b>	<b>A system for tracking any changes in the law shall be implemented.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>For all the companies is evidenced a document called procedure of identification of legal requirements and others of code EXAR-PL-D.14 of date 20 of May of 2016 that stipulates all the forms and means that are contemplated for the update of the regulations in the country, in the legal aspects: labor, health and safety, tax and environmental.</p>				
<b>Criteria 2.2: The right to use the land is demonstrated, and is not legitimately contested by local people who can demonstrate that they have legal, customary or user rights.</b>					
<b>2.2.1</b>	<b>Documents showing legal ownership or lease, history of land tenure and the actual legal use of the land shall be available</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	

<p><b>Objective evidence:</b></p>	<p>The Extractora Mill has a public deed number 14, apart from the rest of properties, has an extension of 1 hectare plus 5,736 meters, called Lot Extractor in the name of Extractor El Roble SAS, is evidenced the certificate of freedom of date tradition January 18 of 2017, with an area equal to the one stipulated in public deed No. 14 in the name of Extractora El Roble SAS dated January 9 of 2014. It is evidenced the payment of land property tax, however there is a difference in the hectare of what is paid for tax since it appears in the tax: 1 hectare plus 8,660 meters. There is a certificate of land use of that land with date of November 2017, however it is not stipulated the use of specific soil approved, same certificate was sent to Alfredo Dangond being the owner of Extractora El Roble S.A.S</p> <p>The company El Roble Agrícola SA (Estate Suramérica) has 34 public deeds, the Suramérica Plantation specifically has 19 public deeds, evidenced in the property known as "La Esperanza" of public deed number 181 and real estate registration number 222-6188 that counts with 65 hectares, in its certificate of freedom and tradition that appears with a precautionary measure of the Special Administrative Unit of management of restitution of stripped land UAEGRTD. Additionally, this property appears with a precautionary measure of executive embargo with real action of Banco Agrario de Colombia on debt, dated July 22 of 2016. Two lands were evidenced with no cadastral cedula or real estate registration, the possession of the property is counted, these are: Estate La Lucha with an area of 35 hectares with writing number 3385 and the estate Estate El Papayo of 12 hectares and with public deed number 3492. Also the tax payment of La Esperanza estate was checked and a difference was found with the hectare of 53.12 in payment of property. The public deed that appears with 65 hectares. Equally, differences were found in the hectare of El Paraiso estate between the area stipulated in the public deed, which appears in the certificate of freedom and tradition and the area for which the property tax is being paid, recognized in the public deed number 181.</p> <p>It was not evidenced the public deed of the property La Gloria of real estate registration number 222-944, corresponding to La Gloria Plantation, whose hectareage in the certificate of freedom and tradition appears with 63.40. It was not possible to prove and verify the legal ownership of the land.</p> <p>In the AVIL SAS estate was evidenced a public deed number 1397 with a total area of 79 hectares and whose owner appears Mr. Julio Mario Ibarra Lacouture, in the certificate of freedom and tradition is not evidenced registered public deed No 1397, it was not possible to compare the hectares, was not evidenced the payment of property tax, was not presented.</p> <p><i>CAR was raised and closed – see <b>Appendix A</b> for detail</i></p>				
<p><b>2.2.2</b></p>	<p><b>Legal boundaries shall be clearly demarcated and visibly maintained.</b></p>				<p><i>Minor</i></p>
<p><b>Findings</b></p>	<p>In compliance:</p>	<p>Yes:</p>	<p>X</p>	<p>No:</p>	
<p><b>Objective evidence:</b></p>	<p>In the Suramérica estate there are clearly demarcated the legal limits of property separated by roads, drainage and fences, evidenced in the route to the planting facilities.</p> <p>In the Avil S.A.S estate are evidenced in the visual path the boundaries marked by tracks, drainage and fences, which separates them from neighboring or adjacent ones.</p>				
<p><b>2.2.3</b></p>	<p><b>Where there are or have been disputes, additional proof of legal acquisition of title and evidence that fair compensation has been made to previous owners and occupants shall be available, and that these have been accepted with free, prior and informed consent (FPIC).</b></p>				<p><i>Minor</i></p>
<p><b>Findings</b></p>	<p>In compliance:</p>	<p>Yes:</p>	<p>X</p>	<p>No:</p>	
<p><b>Objective evidence:</b></p>	<p>Extractor El Roble S.A.S does not present any conflict or demand for land ownership or restitution.</p> <p>In the properties La Gloria and the property AVIL S.A.S does not show land conflicts or demands for acquisition of land, legal documents as certificates of freedom and tradition appear in the name of the companies or their owners.</p> <p>In the Estate Suramérica, a precautionary measure is presented in the certificate of freedom and tradition of the Special Administrative Unit for the management of land restitution UAEGRTD, but this is in process.</p>				
<p><b>2.2.4</b></p>	<p><b>There shall be no significant land conflict, and if it presents a legally acceptable conflict resolution process (see Criteria 6.3 and 6.4) shall be undertaken and accepted by the parties involved.</b></p>				<p><i>Major</i></p>
<p><b>Findings</b></p>	<p>In compliance:</p>	<p>Yes:</p>	<p>X</p>	<p>No:</p>	
<p><b>Objective evidence:</b></p>	<p>Extractor El Roble S.A.S does not present any conflict or demand for land ownership or restitution.</p> <p>In the company El Roble Agrícola SA (estate Suramérica) is evidenced in the property known as "La Esperanza" of public deed number 181 and real estate registration number 222-6188 which in its certificate of freedom and tradition appears with a precautionary measure of the Administrative</p>				

	<p>Unit Special management of land restitution UAEGRTD, but this is in process.</p> <p>The properties La Gloria and the AVIL S.A.S estate do not show land conflicts or demands for acquisition of land, legal documents as certificates of freedom and tradition appear in the name of the companies or their owners.</p>					
<b>2.2.5</b>	<p><b>For any conflict or dispute over the land, the extent of the disputed area shall be mapped out in a participatory way with involvement of affected parties (including neighboring communities and relevant authorities where applicable).</b></p>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>Extractor El Roble S.A.S does not present any conflict or demand for land ownership or restitution.</p> <p>In the company El Roble Agrícola SA (estate Suramérica) is evidenced in the property known as "La Esperanza" of public deed number 181 and real estate registration number 222-6188 which in its certificate of freedom and tradition appears with a precautionary measure of the Administrative Unit Special management of land restitution UAEGRTD, but this is in process.</p> <p>The properties La Gloria and the AVIL S.A.S estate, do not show land conflicts or demands for acquisition of land, legal documents as certificates of freedom and tradition appear in the name of the companies or their owners.</p>					
<b>2.2.6</b>	<p><b>To avoid escalation of conflict, there shall be no evidence that palm oil operations have instigated violence.</b></p>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>It is not evidenced that the operations of the Extractive Plant or its Plantations have instigated violence.</p>					
<p><b>Criteria 2.3: Use of the land for oil palm does not diminish the legal, customary or users rights of other users without their free, prior and informed consent.</b></p>						
<b>2.3.1</b>	<p><b>Maps of an appropriate scale showing the extent of recognized legal, customary or user rights (or in recognition process) (Criteria 2.2, 7.5 and 7.6) shall be developed through participatory mapping involving affected parties (including neighboring communities where applicable, and relevant authorities).</b></p>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>There are evidenced the plantations audited maps at a scale of 1: 20000 where the customary right for use or habit customary, these maps indicate the extension or recognition as for example the easement ways.</p>					
<b>2.3.2</b>	<p><b>Copies of negotiated agreements detailing the process of free, prior and informed consent (FPIC) (Criteria 2.2, 7.5 and 7.6) shall be available. Documentation shall include:</b></p> <p><b>a) Evidence that a plan has been developed and has reached consensus through consultation and discussion with all affected groups in the communities, and that information has been provided to all affected groups, including information on the steps that shall be taken to involve them in decision making;</b></p> <p><b>b) Evidence that the company has respected communities' decisions to give or withhold their consent to the operation at the time that this decision was taken;</b></p> <p><b>c) Evidence that the legal, economic, environmental and social implications for permitting operations on their land have been understood and accepted by affected communities, including the implications for the legal status of their land at the expiry of the company's title, concession or lease on the land.</b></p> <p><b>d) Evidence of monitoring and compliance of the commitments and parameters established in the agreements.</b></p>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>There is no evidence of any conflict with communities or stakeholders in the palm project, these projects were developed many years ago in private lands and owned by companies, therefore no prior, free and informed consent was given.</p>					

<b>2.3.3</b>	<b>All relevant information shall be available in appropriate forms and languages, including social impact assessments, and environmental impact diagnostics, proposed benefit sharing, and legal arrangements.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>	
<b>Objective evidence:</b>	All information was found and has been socialized in Spanish language, no interested party was found to require or speak another language.	
<b>2.3.4</b>	<b>Evidence shall be available to show that communities are represented through institutions or representatives of their own choosing, including legal counsel.</b>	<i>Major</i>
<b>Findings</b>	In compliance: Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>It is evidenced that each community or ethnic group influenced by the plantations is represented by an institution chosen by them, as follows:</p> <p>Estate Suramérica: The communities of Tierra Nueva and Aracataca are represented by the Communal Action Boards (JAC), community councils, young leaders and peasant association.</p> <p>Estate La Gloria: The community of Tucurínca is represented by the Community Action Boards (JAC) and community council of black communities,</p> <p>Estate La Pepilla: The community of Aracataca is represented by the Communal Action Boards (JAC) and community mothers.</p> <p>Estate Avil: The community of Cauca village are represented by community mothers and Communal Action Boards (JAC).</p>	

<b>Principle 3: Commitment to Long-Term Economic and Financial Viability</b>		
<b>Criteria 3.1: There is an implemented management plan that aims to achieve long-term economic and financial viability.</b>		
<b>3.1.1</b>	<b>A business or management plan (minimum three years) shall be documented that includes, where appropriate, a business case for scheme smallholders.</b>	<i>Major</i>
<b>Findings</b>	In compliance: Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>It has a document called Business Plan for Extractor El Roble S.A.S., stipulating results until 2022, with a managerial vision of growth to 200,000 tons of RFF, with an extension to 45 tons, hour of processing in an extractora plant, it is not updated the results on year 2016, the financial indicators were stipulated for the review of compliance with targets. There is no evidence of a review and control of risks that may lead to a change in the management plan in its figures. There is a general balance sheet to 2022, as well as the statement of profit and loss and future cash flows up to 2022, which were corroborated with the investments and RFF's production expansion target.</p> <p>The business plan for the trade name El Roble Agrícola (estate Suramérica) is evidenced. It has a total area of 1,600 hectares with an annual production of 17,166 tons of RFF, Suramérica, covering 200 hectares to be renewed in 2019. Business plan is stipulated until the year 2021, it is stipulated an investment of irrigation that generates the compensation of production of RFF in the year in which is stipulated the renewal of the 200 has.</p> <p>Productivity increases of tons per hectare are stipulated due to investment in irrigation. The figures for the year 2016 have not yet been updated, but still appear with projected figures. Four financial indicators are considered for the review of results. Financial statements are considered but not a formal business plan document. There is no assessment of environmental, social or economic risks that may impact the business plan.</p>	
<b>3.1.2</b>	<b>An annual replanting programme projected for a minimum of five years (but longer where necessary to reflect the management of fragile soils, see Criteria 4.3), with yearly review, shall be available.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>The Extractora El Roble S.A.S own estates were planted between 1986 and 2013. The palms were considered matured when approaching 5 years after planting and productive until the age of 25 years. A replanting program for all estates involved are available and being projected for the next five (5) financial years fy 2022 to fy 2040.</p> <p>Annual replanting plan in the Suramérica estate does not apply because the years of planting were from 2001 to 2005.</p>	



	Annual replanting plan on the estate AVIL S.A.S does not apply because the years of planting were 2000 and 2008.
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<b>Principle 4: Use of Appropriate Best Practices by Growers and Millers</b>						
<b>Criteria 4.1: Operating procedures are appropriately documented, consistently implemented and monitored.</b>						
<b>4.1.1</b>	<p><b>Standard Operating Procedures (SOPs) for plantations and mills shall be documented and implemented, and should include guidelines for Good Agricultural Practices (GAP). To ensure the implementation and monitoring of GAP on the premises of suppliers (be they partners, independent, permanent or temporary), the administrator of the Nucleous Oil palm estate to be certified shall set mechanisms for enterprise collaboration that guarantee (whether free of cost or not) suitable technical assistance, and the registration of producers nationwide. Monitoring the implementation of GAP is the responsibility of the administrator of the Nucleous Oil palm estate to be certified, unless the provider demonstrates the ability of doing it, directly and on its own. The administrator of the nucleous is required to verify if such.</b></p>					
<b>Findings</b>	<table border="1" style="width: 100%;"> <tr> <td style="width: 20%;">In compliance:</td> <td style="width: 10%;">Yes:</td> <td style="width: 10%; text-align: center;">X</td> <td style="width: 10%;">No:</td> <td style="width: 10%;"></td> </tr> </table>	In compliance:	Yes:	X	No:	
In compliance:	Yes:	X	No:			
<b>Objective evidence:</b>	<p>In the Mill, operational procedures (SOPs) are evidenced: collection of RFF (EXAR-OP-D-27), methods of operation (EXAR-PD-18) instruction of fruit calification (EXAR-LB-D-49), verification instruments verification instruction (EXAR-LB-D-81), laboratory manual (EXAR-LB-D-86), among others.</p> <p>In the Suramérica estate, the operating procedures (SOPs) provided by the extractor plant are evidenced as internal documents. The Extractor Plant as administrator of the nucleus gives technical assistance through the helpers who delivered and socialized POES.</p> <p>An interview was made to Mrs. Berenice Trillos Estrada. Director of Human Management and Sustainability</p> <p>It was evidenced that Extractor El Roble gave to each one of the estates the MANUAL OF GOOD AGRICULTURAL PRACTICES IN THE NUCLEAR EXTRACTOR EL ROBLE (EXAR-GA-D-67) V.3 by the UNIT OF ASSISTANCE AND AUDIT, TECHNICAL, ENVIRONMENTAL AND SOCIAL (UAATAS), updated in October 2016, which includes guidelines for the implementation of good agricultural practices and standard procedures, which are listed below:</p> <ul style="list-style-type: none"> <li>- Standard Operating Procedure for Establishing New Plantings Prior Authorization of Requirements (EXAR-GA-D-67-A04 Version: 01).</li> <li>- Standard Operating Procedure FOR THE HYDRAULIC BALANCE (EXAR-GA-D-67-A04 Version: 01).</li> <li>- Standard Operating Procedure for FLOOD IRRIGATION (EXAR-GA-D-67-A04 Version: 01).</li> <li>- Standard Operating Procedure for WATERING BY WAVES OR WIDTH SURROUNDS (EXAR-GA-D-67-A04 Version: 01).</li> <li>- Standard Operating Procedure for AGRICULTURAL APPLICATION (EXAR-GA-D-67-A04 Version: 01).</li> <li>- Standard Operating Procedure FOR THE PLATEING WORK (EXAR-GA-D-67-A04 Version: 01).</li> <li>- DESPALILLE Standard Operating Procedure (EXAR-GA-D-67-A04 Version: 01).</li> <li>- HARVEST Standard Operating Procedure (EXAR-GA-D-67-A04 Version: 01).</li> <li>- PRUNING Standard Operating Procedure (EXAR-GA-D-67-A04 Version: 01)</li> <li>- FERTILIZATION Standard Operating Procedure (EXAR-GA-D-67-A04 Version: 01).</li> </ul> <p>Recommendations and follow-up to the implementation of good agricultural practices and standard procedures are carried out through the UNIT OF ASSISTANCE AND AUDIT, TECHNICAL, ENVIRONMENTAL AND SOCIAL (UAATAS), with biweekly visits to each of the estates.</p> <p>Regarding the land record before the Colombian Agriculture Institute (ICA) the following evidences were taken:</p> <p>Estate Avil. Registration as a producer of oil palm to the premises Theobromine- AVIL S.A.S, under Resolution ICA number 13653, 05.10.2016. Registration number no. 47-053-0267 with indefinite validity. Representative Julio Mario Ibarra Lacouture. From the 05 of October of 2016.</p> <p>Estate La Gloria. Registration as an oil palm producer to the La Gloria estate under Resolution ICA no. 1855 of 26.02.2016, located in the village "Ciudad Perdida" of the municipality of Banana Zone, Magdalena.</p>					

*Major*

	<p>Estate La Pepilla Registration as an oil palm producer at La Pepilla under ICA Resolution number 9999069, which is in the process of being updated.</p> <p>Estate Suramérica Registration as an oil palm producer under ICA Resolution number 00004771 of April 29, 2016.</p> <p>Observation: Training records related to SOP should specify the training given in each of the activities and not just name the training in general.</p>				
<b>4.1.2</b>	<b>A mechanism to check consistent implementation of procedures shall be in place.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the Mill there is a supervisor who verifies the implementation and control of the operations and through the records of each area the traceability of the activities is carried out.</p> <p>In the La Pepilla estate, socialization training of the SOPs is carried out to all the collaborators.</p> <p>The guidelines of Good Agricultural Practices (GAP) are given by the UNIT OF ASSISTANCE AND AUDIT, TECHNICAL, ENVIRONMENTAL AND SOCIAL (UAATAS) and by FEDEPALMA.</p> <p>The UAATAS are formed by technical team of two Agronomist Engineers who make the visits to the plantations, additionally they are the ones in charge to carry out the audits to verify the fulfillment of the standard.</p> <p>Each estate has a plantation administrator, who is in charge of implementation and compliance follow-up, oriented to sanitation, irrigation, cultural activities and harvesting.</p> <p>At the level of the extractor, there is an Agronomic Director (agricultural engineer Alberto Méndez G.) and a technical team made up of two field technicians, a plant health specialist and an irrigation specialist, who are in charge of six supervisors of field.</p> <p>Example: Trade name: El Roble Agrícola S.A. Estate Suramérica It has a technical team formed by an Agricultural Engineer, two Agronomist Engineers and a plantation administrator.</p>				
<b>4.1.3</b>	<b>Records of monitoring and any actions taken shall be maintained and available, as appropriate.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the Mill there is a supervisor who verifies the implementation and control of the operations and through the records of each area the traceability of the activities is carried out.</p> <p>In La Pepilla Estate, socialization training of SOP is carried out to all collaborators.</p> <p>As an external evaluation tool, each of the estates have visits from UAATAS, and at the internal level, monthly meetings are held by the Technical Committee, in order to follow up on the implementation of good agronomic practices and to evaluate the level of compliance.</p> <p>In addition, the UAATAS periodically audits the estates that are part of El Roble Extractor.</p> <p>Suramérica Estate: The following minutes of the meetings of the technical committee were reviewed: Agriculture Meeting Act 16-001 of January 20 of 2016 and Agriculture Meeting Act 16-0010 of November 17, 2016.</p> <p>The records of visits by the UAATAS in the format "Report of technical visit (EXAR-GA-R-30)". The following minutes of the visit were taken at random: Visit number 3517 of January 11 of 2017, Visit no. 3517 of January 12 of 2017.</p> <p>In addition, the Payroll Software "El Gran Palmero" is used to record the execution of cultural work.</p>				
<b>4.1.4</b>	<b>The mill shall record the origins of all third-party sourced Fresh Fruit Bunches (FFB).</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>It is evidenced a record of the origin of all the fruit entered to the Mill through the DFUSION System which gives the report "Entry of Classified Raw Material by type of supplier", validation is the month of January of 2017 that gives the data of Code, plantation, owner, type of provider and date (day by day of the month).</p>				

<b>Criteria 4.2: Practices maintain soil fertility at, or where possible improve soil fertility to, a level that ensures optimal and sustained yield.</b>						
<b>4.2.1</b>	<b>There shall be evidence that good agriculture practices, as contained in Standard Operating Procedures (SOPs), are followed to manage soil fertility to a level that ensures optimal and sustained yield, where possible.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>During the visit to the estates, it was verified that good agriculture practices have been implemented, associated with the conservation of soil fertility.</p> <p>Practices such as utilization of organic matter, management of alive fences, planting of species, use of coverings in areas susceptible to erosion such as slopes and drainage canals, green coverings within plantation, etc. were observed.</p> <p>It was observed that the estates perform analysis of soils two years and foil analysis once a year, based on the results and the needs of the crop, the solid fertilization plan is determined and adjusted by the technical team.</p> <p>In the Estate Suramérica the results of the last foliar analysis performed on May 20, 2016 are verified. 12911 by the Cenipalma Laboratory.</p> <p>The results of the last soil analysis are verified on January 15, 2015. Report number 11282 by the Cenipalma Lab.</p> <p>Fertilization monitoring plan 2016 is observed, with a compliance of 79%, due to Mathew Hurricane.</p> <p>At Estate Avi, the results of the last foliar analysis performed on April 14, 2016 by Agrilab Laboratory are verified.</p> <p>The last shipment of soil and foliar samples on 01/19/2017 to the laboratory of the Tadeo Lozano University.</p> <p>Fertilization Plan number 16-006 of March 27, 2016.</p>					
<b>4.2.2</b>	<b>Records of fertiliser inputs shall be maintained.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>There are records of the applications of fertilizers made to the soil, which includes information related to the specific site of application, date of application, hectares to be fertilized, type of product, dose and responsible for the application. Actions recorded in the format "Application of fertilizers - organic matter" (EXAR-GA-R 96),</p> <p>Ex: Suramérica estate</p> <p>Application made on 09.09.2016 / Lot 1 / 18.6 has. to fertilize / product applied Azutek / dose 700 gr / plant / Responsible for application Jorge Almarales.</p>					
<b>4.2.3</b>	<b>There shall be evidence of periodic tissue and soil sampling to monitor changes in nutrient status.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>It was evidenced that the estates have a plan to send samples of soils and foliars to the laboratory, in order to meet the needs of the crop and thus minimize nutrient losses</p> <p>Soil analysis are carried out every two years and foci analysis, once a year, based on the results and needs of the crop, determines and adjusts the solid fertilization plan, by the technical team, who have the Academic training and experience to make estimates of quantity and type of fertilizer to apply.</p> <p>In the estate Suramérica the results of the last foliar analysis performed the 20 of May of 2016 are verified. Report number 12911 by the Cenipalma Laboratory.</p> <p>The results of the last soil analysis are verified on January 15, 2015. Report number 11282 by the Cenipalma Laboratory.</p> <p>The 2016 fertilization monitoring plan is observed, with a compliance of 79% due to the Mathew hurricane.</p> <p>In the Estate Avil, the results of the last foliar analysis carried out on April 14, 2016 by Agrilab Laboratory are verified.</p> <p>Last submission of samples of soils and foliars 19.01.2017 to the laboratory of the University Tadeo Lozano.</p>					

	<p>Fertilization Plan number 16-006 of March 27, 2016.</p> <p>At La Gloria Estate, the Quality Control Report number 12917 of May 17, 2016 is presented by the Cenipalma laboratory.</p> <p>The Fertilization Plan 2016 is observed by Agricultural Eng. Adalberto Mendez.</p>					
<b>4.2.4</b>	<b>A nutrient recycling strategy shall be in place, and may include use of Empty Fruit Bunches (EFB), Palm Oil Mill Effluent (POME), and palm residues after replanting and other organic products.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>It was evidenced during the tour by the field, that have been implemented practices of waste utilization such as plant material, crop residues, pruning residues and the incorporation of by-products such as rachis, among others.</p> <p>Observation: The presentation of the evidences of the implementation of the recycling plan in each of the plantations should be improved. Have the implementation schedule and the definition of goals for each of the estates.</p>					
<b>Criteria 4.3: Practices minimize and control erosion and degradation of soils.</b>						
<b>4.3.1</b>	<b>Maps of any fragile/marginal soils shall be available.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>The fragile soil maps for each of the estates were verified with the "Identification of fragile or vulnerable soils", prepared by the company "Biologia Aplicada" (BIOAP), at a scale of 1: 30.000, updated in 2017. It was evidenced the capacity of land use, according to the cartographic map "Study of soils of the Magdalena performed by the IGAC, in which it is identified that the estates have a capacity of use in the classes 3-5 and 4-5, with slopes Less than 25% and it is concluded that they are suitable for palm crop.</p>					
<b>4.3.2</b>	<b>A management strategy shall be in place for plantings on slopes above a certain limit (this needs to be soil, climate and zone specific).</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>Soil use capacity was evidenced according to the cartographic map "Study of soils of the Magdalena performed by the IGAC, in which it is identified that the estates have a capacity of use in the classes 3-5 and 4-5, with slopes Less than 25% and it is concluded that they are suitable for palm crop.</p>					
<b>4.3.3</b>	<b>A road maintenance programme shall be in place.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:		No:	X	
<b>Objective evidence:</b>	<p>The following documents were verified:</p> <p>At the Estate Suramérica, the Road Maintenance Instruction (ERA-GA-I-02) was presented.</p> <p>Related Documents:</p> <p>Road Maintenance Plan 2017 (ERA-GA-V.01). It was verified that as of October 2016, the document management system is implemented and the formats are started starting from January 2017.</p> <p>Track Maintenance Record (ERA-GA-R-36).</p> <p>At La Pepilla Estate, the Annual Maintenance Plan for Internal and Cableways is verified, which includes maintenance of internal roads, maintenance of primary irrigation channels, maintenance of secondary irrigation channels, maintenance of primary drainage channels and maintenance of primary drainage channels .</p> <p>In La Gloria and Avil Estates, no program document or similar road maintenance was presented, as established by the RSPO standard.</p> <p><i>CAR was raised and remain Open. Corrective action plan is available and will be further verified during next surveillance visits – see Appendix A for detail</i></p>					
<b>4.3.4</b>	<b>Subsidence of peat soils shall be minimised and monitored. A documented water and ground cover management programme shall be in place.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>Does not apply. Estates are not located on peat soils.</p>					
<b>4.3.5</b>	<b>Drainability assessments shall be required prior to replanting on peat to determine the long-term viability of the necessary drainage for oil palm</b>					<i>Minor</i>

	<b>growing.</b>	
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>	
<b>Objective evidence:</b>	Does not apply. Estates are not located on peat soils.	
<b>4.3.6</b>	<b>A management strategy shall be in place for other fragile and problem soils (e.g. sandy, low organic matter, acid sulphate soils).</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>	
<b>Objective evidence:</b>	Does not apply. Estates are not located on peat soils.	
<b>Criteria 4.4: Practices maintain the quality and availability of surface and ground water.</b>		
<b>4.4.1</b>	<b>A water management plan shall be implemented.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input type="checkbox"/> No: <input checked="" type="checkbox"/>	
<b>Objective evidence:</b>	<p>It is evidenced the existence of the plan of management and efficient use of the Hydric resource, presented in the document Environmental Impact of the Activities of Extraction of Palm Oil of the Extractive Organization El Roble S.A.S. Elaborated by the company Biogeoeco S.A.S in June 2016. The plan does not show the schedule of the activities that allow to evidence the measures of reduction and efficient use of water. In addition, the environmental management plan for plantations does not show specific activities for saving water use in plantations (where the greatest consumption of water resources is generated).</p> <p>During the ocular visit evidences of flood irrigation practices in lots 12-13 of the estate Suramérica with percentages of water use less than 50%.</p> <p>It was evidenced that the estates have a system of irrigation by flood and in process of implementation the system of irrigation by plug.</p> <p>The permits issued by the environmental authority for the use of the water resource were followed up:</p> <p>In the Estate Avil, the water for agricultural irrigation is being used in the irrigation district "Association of Users of the Aracataca Land Adjustment District" (USOARACATACA).</p> <p>A folder with water supply orders for irrigation is presented. Ex. Number 5646 of January 20, 2017 and number 5645 of January 19, 2017.</p> <p>Estate La Gloria has not established water use plan, therefore actions have not been implemented, and can not evidence the effectiveness in the rational use of water in the different uses.</p> <p>In the Suramérica Estate the water for the agricultural irrigation is taking it from the irrigation district "Association of Users of the District of Adequacy of land of Aracataca" (USOARACATACA).</p> <p>The certification of use is verified on January 26, 2015. Flow rate approved: 1 lps / ha benefited, with waters conducted by the irrigation channel Tolima. USOARACATACA has water concession by CORPOMAG.</p> <p>Associated records:</p> <p>Water evaporation record (ERA-GA-R-21).</p> <p>Freatimetros reading format (ERA-GA-R-22).</p> <p><i>CAR was raised and remain Open. Corrective action plan is available and will be further verified during next surveillance visits – see <b>Appendix A</b> for detail</i></p>	
<b>4.4.2</b>	<b>Protection of water courses and wetlands, including maintaining and restoring appropriate riparian and other buffer zones (refer to national best practice and national guidelines) shall be demonstrated.</b>	<i>Major</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>Evidence of the delimitation and demarcation of the riparian zones of the water sources during the visit to the Suramérica and Pepilla plantations (Monitoring visit to the Aracataca River in both estates) and the protective strips of secondary drainage in the Avil plantation are presented.</p> <p>Observations:</p> <ul style="list-style-type: none"> <li>- The schedule of the reforestation activities of the riparian zones stipulated in the water resource management plan stipulated in the presented Environmental Impact Assessment should be defined.</li> <li>- The zoning and recommendations stipulated in the final version of the POMCA (Aracataca-Tucurinca River Basin Planning and Management Plan) should be included in the planning and</li> </ul>	

	<p>management of the plantation areas included in the certification .</p> <p>It was evidenced that the estates have the procedure of handling of water rounds (EXAR-GA-D-179).</p> <p>During the tour of the field, it was evidenced that the conservation practices are implemented, such as manual weed control in plantations located within the margins of 30 meters in the rounds of water sources and water bodies.</p>	
<b>4.4.3</b>	<b>Appropriate treatment of mill effluent to required levels and regular monitoring of discharge quality, especially Biochemical Oxygen Demand (BOD), shall be in compliance with national regulations (Criteria 2.1 and 5.6).</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	Physical and chemical monitoring of effluent from the oak extractor plant for semesters I and II of the year 2016. The last monitoring carried out at the shed Caño Robayo December 2016 - Laboratory Nancy Florez (authorized by IDEAM). Exit flow 0.941 l / s (Conforms the concided flow of 4.4 liters / s), BOD Parameters: 471 mg / l and DQO: 1425 mg / l (They comply with the concentrations established in Res 601 of 2015).	
<b>4.4.4</b>	<b>Mill water use per tonne of Fresh Fruit Bunches (FFB) (see Criteria 5.6) shall be monitored.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	The benefit plan shows the water consumption monitoring record for the same per ton of RFF, published in the environmental management report year 2016. The figures reported in three months of the year 2016 are reported: February: 0.95 m3 / Ton of fresh fruit bunch August: 1.39 m3 / Ton of fresh fruit bunch December: 1.25 m3 / Ton of fresh fruit bunch	
<b>Criteria 4.5: Pests, diseases, weeds and invasive introduced species are effectively managed using appropriate Integrated Pest and Disease Management techniques.</b>		
<b>4.5.1</b>	<b>An Integrated Pest and Disease Management (IPDM) plan shall be implemented, which includes a prevention and control program with a monitoring and internal audit mechanism to ensure its implementation throughout the mill's area of influence (own plantations and suppliers).</b>	<i>Major</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>In Estate Suramérica they were identified as responsible for the preparation, coordination and assistance of the Integrated Pest and Disease Management (IPDM): Sergio González. Agronomic Coordinator, Cristian Ramírez. Coordinator irrigation and drainage, Fabio Santos. Director of plantations, Tadeo Ariza. Plantation Manager and Juan Carlos Lara G. Plantation Manager.</p> <p>In the UAATAS, It is counted as responsible to: Nelson Caicedo C. Technical assistant UAATAS and Leidy Montiel.Ing. Agricultural. Technical assistant UAATS.</p> <p>The estates have the technical assistance of Agricultural Agronomist/ Agricultural Engineers, whether they integrate the UAATAS or are hired directly by them.</p> <p>In each of the estates there are monitors, who are responsible for weekly monitoring of pests and diseases directly to the crop and fruit.</p> <p>The critical indexes for each of the pests have been defined.</p> <p>Within the practices it has been established to remove the material that presents damage and the intervention is made, based on the technological package of the palm crop.</p> <p>As a planning tool, the Integrated Pest and Disease Management Plan (IPM) (EXAR-GA-R-210) V.01</p> <p>The associated records found are:</p> <p>Monthly Control of Health Care (EXAR-GA-R-168) V.01</p> <p>Eradication of palms (EXAR-GA-R-129)</p> <p>Pest Evaluation (EXAR-GA-R-145)</p> <p>Disease Assessment (EXAR-GA-R-171)</p> <p>Evaluation of traps for the capture of insect transmitters (EXAR-GA-R-173)</p> <p>There is a monitoring and pest management plan for the main pests and diseases, such as: Rhynchophorus palmarum (L), Marchitez surprise (MS), Pudrición del cogollo (PC), Chlorophyll</p>	

	<p>leaf and a program of cultural works, Which are established and implemented. The updated records for each of the cultural activities were evidenced.</p> <p>An example is the management plan for Pudrición de cogollo (CP)</p> <p>Monitoring activities:</p> <p>Direct monitoring is performed every 20 days.</p> <p>Prevention activities:</p> <ul style="list-style-type: none"> <li>- Review to the batches every 8 and 20 days, observing damage in the arrow, taking into account if there is damage by Cephaloleia, reporting the cases in the PC format.</li> <li>- The affected palms in grade 1 and 2 are practiced removal of tissue, cutting the initial arrows to the disease.</li> <li>- Apply the healing paste based on Dhitane 40 gr + Derosal 30 gr + Kasumin 30 cc + Cypermethrin 20 cc, apply areas where the tissue was removed.</li> </ul> <p>Through the interview with the staff in charge of the recommendations and the field workers, an extensive knowledge about the standards was demonstrated.</p>			
<b>4.5.2</b>	<b>Training of those involved in IPM implementation shall be demonstrated.</b>			<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:
<b>Objective evidence:</b>	<p>Each of the estates has the annual Training Plan, which includes the updating issues required by the standard, directed to the personnel in charge of the IPM.</p> <p>Example: Estate Suramérica:</p> <p>The training matrix 2016 (ERA-GH-D-05), which includes the subjects of Pinsat samples, closing gaps, calibration of spray equipment, fumigation equipment, SOPs disclosure</p> <p>The following training records were verified:</p> <p>Training on sampling - Pinsat on January 25 of 2016 (25 participants), Use of coded formats on April 26 of 2016 (4 participants), Workshop calibration of spray equipment on October 11 of 2016 (13 participants), POES on 12.01.2017 (20 p), disclosure of SOPs on January 4 of 2017 (12 participants), POES on 16.01.2017 (21 participants), Manual of plant health procedures on January 16 of 2016 (20 participants) , Calibration of fumigation equipment on January 4 of 2016 (14 participants).</p> <p>Actions recorded in the "Personnel training" format (ERA-GH-R-29 v. 02).</p>			
<b>Criteria 4.6: Pesticides are used in ways that do not enahazard health or the environment.</b>				
<b>4.6.1</b>	<b>Justification of all pesticides used shall be demonstrated. The use of selective products that are specific to the target pest, weed or disease and which have minimal effect on non-target species shall be used where available.</b>			<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:
<b>Objective evidence:</b>	<p>It was evidenced that the rotation program is based on the biological target. Specific controls are available when pest levels can cause economic damage to the crop. It was verified that the rotation program is carried out with technical criteria to justify the use of agrochemicals.</p>			
<b>4.6.2</b>	<b>Records of pesticides use (including active ingredients used and their LD50, area treated, amount of active ingredients applied per ha and number of applications) shall be provided.</b>			<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:
<b>Objective evidence:</b>	<p>It was evidenced that pesticide application records do not include the information required by the RSPO standard in 4.6.2 which states that the information should include the name of the active ingredient and its LD50, area treated and quantity applied per hectare and number of applications.</p> <p><i>CAR was raised and closed – see <b>Appendix A</b> for detail</i></p>			
<b>4.6.3</b>	<b>Any use of pesticides shall be minimised as part of a plan, and in accordance with Integrated Pest Management (IPM) plans. There shall be no prophylactic use of pesticides, except in specific situations identified in inational Best Practice guidelines.</b>			<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:
<b>Objective evidence:</b>	<p>It was evidenced that priority is given to non-chemical intervention measures, such as cultural, physical or biological controls. When you have the intervention with agrochemicals, it is done with</p>			

	<p>technical criteria.</p> <p>Example in the Estate Avil technical recommendations are given by Eng. Agr. Camilo Enrique Quevedo G. Professional card number 15.915. The estate has an equivalence of biological control against the 70/30 chemical. Technical Visit Report No. 3344 of November 22, 2016 is presented.</p> <p>Report:</p> <p>High incidence of <i>Spaethiella</i> (10 to 15 insects per palm). Recommended control: <i>Bacillus thuringiensis</i>. Register of "Application of Agrochemicals - Biological product for phytosanitary management" (EXAR-GA-R-100). Application in lots 2 and 3, on November 23 of 2016. Product: Bacillus (2 liters / ha) applied by Omar Palacio.</p> <p>Observation: The use of pesticides is being minimized with the use of biologicals; however, the evidence presented regarding the implementation of such control should be improved, specifically establishing a biological control plan and its monitoring and improvement indicators.</p>						
<b>4.6.4</b>	<p><b>Pesticides that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, and paraquat, are not used. The use of such pesticides shall be minimised and eliminated as part of a plan, and shall only be used in exceptional circumstances.</b></p>	<i>Minor</i>					
<b>Findings</b>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td></td> <td>No:</td> <td>X</td> </tr> </table>	In compliance:	Yes:		No:	X	
In compliance:	Yes:		No:	X			
<b>Objective evidence:</b>	<p>The use of the herbicide Gramuron with active ingredient Paraquat was evidenced in Estate Suramérica. It was applied on 15.11.2016 in lots 1 and 3 in bands 1,2,3 and 4 and 17.11.2016 in lot 16, in the Bands 1 and 2. The justification of the application and the plan for the minimization of its use were not evidenced, which presents non-compliance, since the RSOP standard in indicator 4.6.4 states that the use of this pesticide should be minimized and removed as part of a plan, and should only be used in exceptional circumstances.</p> <p><i>CAR was raised and remain Open. Corrective action plan is available and will be further verified during next surveillance visits – see <b>Appendix A</b> for detail</i></p>						
<b>4.6.5</b>	<p><b>Pesticides shall only be handled, used or applied by persons who have completed the necessary training and shall always be applied in accordance with the product label. Appropriate safety and application equipment shall be provided and used. All precautions attached to the products shall be properly observed, applied, and understood by workers (see Criteria 4.7).</b></p>	<i>Major</i>					
<b>Findings</b>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td>X</td> <td>No:</td> <td></td> </tr> </table>	In compliance:	Yes:	X	No:		
In compliance:	Yes:	X	No:				
<b>Objective evidence:</b>	<p>During the visit to the production sites, it was evidenced that the personnel responsible for the preparation, mixing and application of phytosanitary products, know and apply knowledge related to the safe use of phytosanitary products. As an example, what to do in case of an intoxication or a spill, make the applications with the doses according to the label of the product, prepare the application mixes, handling the triple wash, final disposal of empty containers of agrochemicals, among others .</p>						
<b>4.6.6</b>	<p><b>Storage of all pesticides shall be according to recognized best practices. All pesticide containers shall be properly disposed of and not used for other purposes (see Criteria 5.3).</b></p>	<i>Major</i>					
<b>Findings</b>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td></td> <td>No:</td> <td>X</td> </tr> </table>	In compliance:	Yes:		No:	X	
In compliance:	Yes:		No:	X			
<b>Objective evidence:</b>	<p>At the Estate Suramérica it was not possible to evidence the remission of empty agrochemical containers to the temporary collection center located in the Guayabos estate.</p> <p>At the Estate Avil during the visit to the pesticide storage site, it was evidenced the reuse of 3 containers of herbicides in other uses, such as transportation of products to the field, which is contrary to the standard RSPO in indicator 4.6. 6, which states that pesticide containers must be disposed of properly and not used for other purposes (See Criteria 5.3).</p> <p>In addition, it was not possible to evidence the shipment remissions of the empty agrochemical containers from the estate, to the temporary collection center located in the Guayabos estate and El Roble extraction plant.</p> <p>At the Estate La Gloria during the tour of the site of storage of phytosanitary products, it was evidenced that it is complied with established by Decree 1843/91 issued by the Ministry of</p>						



	Agriculture. <i>CAR was raised and closed – see <b>Appendix A</b> for detail</i>				
<b>4.6.7</b>	<b>Application of pesticides shall be by proven methods that minimise risk and impacts o soil, water and human health.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>It was evidenced that the estates have established the following measures to minimize the environmental impact and the impact on the people:</p> <ul style="list-style-type: none"> <li>- Recommendations given by agricultural engineers or agricultural engineers, as recommended by the label of plant protection products.</li> <li>- At the time of the audit, the estates that are part of El Roble Extractor receive bi-weekly technical assistance, by agronomists Nelson Giovanni Caicedo C, with professional registration number 0821-026899 issued by COPNIA and Adalberto Méndez González .</li> <li>- Plan of maintenance and verification of calibration of the application equipment. Associated format: Calibration Back Pump (ERA-GA-R-35 V.01).</li> <li>- Training plan for personnel working with phytosanitary products on the subject of agrochemical insurance management.</li> <li>- Digital scales and test pieces are available to measure phytosanitaries.</li> <li>- Verified and calibrated measuring equipment.</li> <li>- Only chemical pesticides and bioinsumers are used that have an ICA sales record and are approved for the pest and disease.</li> <li>- The following lists are available and valid for the selection of the plant protection products to be used: List of hazardous substances included in the Stockholm Convention, list of hazardous substances included in the Rotterdam Convention and the list of hazardous substances issued by the World Health Organization (WHO).</li> <li>- Use and application of bio-inputs such as entomopathogenic fungi Trichoderma, Paecilomyces and Bacillus thuringiensis.</li> <li>- The entomopathogenic fungi are produced by the laboratory of Extractor El Roble.</li> </ul> <p>Aerial fumigation is not performed.</p>				
<b>4.6.8</b>	<b>Pesticides shall be applied aerially only where there is documented justification. Communities shall be informed of impending aerial pesticide applications with all relevant information within reasonable time prior to application.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>Does not apply</p> <p>No aerial applications are performed.</p>				
<b>4.6.9</b>	<b>Maintenance of employee and associated smallholder knowledge and skills on pesticide handling shall be demonstrated, including provision of appropriate information materials (see Criteria 4.8).</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>During the visit to the production sites, it was evidenced that the personnel responsible for the preparation, mixing and application of phytosanitary products, know and apply knowledge related to the safe use of phytosanitary products. As an example, what to do in case of an intoxication or a spill, make the applications with the doses according to the label of the product, prepare the application mixes, handling the triple wash, final disposal of empty containers of agrochemicals, among others .</p>				
<b>4.6.10</b>	<b>Proper disposal of waste material, according to procedureds that are fully understood by workers and managers shall be demonstrated (see Criteria 5.3).</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>During the tour of the facilities, specific sites were shown for the preparation of the agrochemical mixture, these sites have biological beds or pits to deactivate traces generated by the use of pesticides.</p>				
<b>4.6.11</b>	<b>Specific annual medical surveillance for pesticide operators, and documented action to treat related health conditions, shall be demonstrated.</b>				<i>Major</i>

<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	Cholinesterase exams are evidenced for all those who have contact or are at risk for the application of agrochemicals with organ phosphorous substances, the last test of cholestases was carried out in an extractive plant in May 2016 and in Plantations in November 2016, the results were verified.					
<b>4.6.12</b>	<b>No work with pesticides shall be undertaken by children, or by pregnant or breast-feeding women (see criteria 6.7).</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>During the field visit, women and children were not shown applying phytosanitary products.</p> <p>Each of the estates establishes in the Internal Regulations of work, the prohibition of hazardous work, such as the manipulation of agrochemicals by minors or women. Example in the Estate Suramérica. Chapter XI. Prohibited work by women and children under 18 years. Arts. 40 and 41 and in the "General Policies" (ERA-PD-07) Item 4.</p>					
<b>Criteria 4.7: An occupational health and safety plan is documented, effectively communicated and implemented.</b>						
	The health and safety plan shall cover the following:					
<b>4.7.1</b>	<b>A health and safety policy shall be in place. A health and safety plan covering all activities shall be documented and implemented, and its effectiveness monitored.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>In the Oil extractor el Roble S.A.S. The Occupational Safety and Health Policy, version 6, code EXAR-GH-D-87, approved on June 2, 2016 by the Management, published in the Administrative Office and at the Extractor Plant, additionally, there is a reinduction to all the personnel of October 18, 2016, which includes the health and safety at work policy.</p> <p>There is an Occupational Health and Safety Management System (SGSST) code EXAR-SSM-D-31 version 3 of July 2016, which is reviewed by the ARL dated 10 / Nov / 2016, To Decree 1072 of 2015 of 77%. Due to the above, there is open corrective action number 172 of November 24, 2016, which identifies among other things that the objectives of the SMSMS should be reviewed and adjusted. In compliance with the annual plan derived from the SGSST of 2016, compliance with the programs activities of 73%.</p> <p>It shows evidence of non-alcohol and drug policies implemented in the Organization.</p> <p>A certificate of medical aptitude is evidenced that includes (cholinesterase test) of the stockists Joaquín Caballero and Luis Eduardo Marriaga of July 22, 2016.</p> <p>Evidence of Work Coexistence established on January 27, 2015 and meeting minutes scheduled for December 15, 2016.</p> <p>In the estates El Roble Agrícola S.A. Estate Suramérica evidences policy of Safety and Health at Work code ERA-GH-D-003 version 1 of 05/15/2016 published.</p> <p>The Safety and Health at Work System (SGSST) of June 1, 2016, code ERA-GH-D09 version1, which presents an initial diagnosis of compliance with Decree 1072 of 2015 by the ARL of Colmena with a 33% compliance 22/03/2016 and compliance is 92%. Compliance with the annual plan derived from the SGSST of the period of 2016.</p> <p>Evidence of constitution of the 03/03/2015 to 03/03/2017 of the Committee on coexistence of labor, minutes of the last planned meeting of 12/20/2016 and video of the workshop of January 18, 2017 with issues of labor discrimination And workplace harassment.</p> <p>In the Estate Hernando Lacouture, estate La Gloria documents documents of the SGSSST of May of 2016 code M01-SGSST-V02 of Hernando Lacouture Dangond.</p> <p>It is evidenced Occupational safety and health policy code D03-SGSSTV-01 implemented.</p> <p>It is evidenced Regulation of Hygiene and Industrial Safety D02-SGSST-V01 published.</p> <p>It is evidenced the formation and minutes of the planned meeting of the work coexistence committee of 09/16/2016 with their respective follow-up to the commitments.</p> <p>In the Estate Avil S.A.S. SGSST of May 2016 code M01-SGSST-V01 with 88% compliance evaluation of 12/12/2016 and published safety and health policy at work.</p> <p>It has the Labor Coexistence Committee with the formation act of 19/05/2016 to 05/19/2018 and the planned meeting minutes of 10/11/2016.</p>					

<b>4.7.2</b>	<b>All operations where health and safety is an issue shall be risk assessed, and procedures and actions shall be documented and implemented to address the identified issues. All precautions attached to products shall be properly observed and applied to the workers.</b>	<i>Major</i>					
<b>Findings</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;">In compliance:</td> <td style="width: 10%;">Yes:</td> <td style="width: 10%; text-align: center;">X</td> <td style="width: 10%;">No:</td> <td style="width: 45%;"></td> </tr> </table>	In compliance:	Yes:	X	No:		
In compliance:	Yes:	X	No:				
<b>Objective evidence:</b>	<p>In the oil extractor Roble S.A.S. Is demonstrated matrix of hazards to June 2016 is evidenced procedure implemented for works at heights code EXAR-SSM-D-178 version 1 of March 6, 2016, verifies certificate of heights of Danilo Javier Torres performed on October 7, 2015 Who joined the organization on January 2, 2017 therefore has included the reinforcement of the course in height in the training plan from April to November 2017, additionally, evidence of delivery of this procedure is evidenced to the Chief of quality control and environment , Plant manager, production manager, production coordinator and production supervisor on March 4, 2016.</p> <p>Procedures for hazardous energies for self-contained maintenance EXAR-SS code: -D-99 version 1 socialized to maintenance and production personnel on 9/11/2016 and permit issued on January 24, 2017.</p> <p>It is evidenced psychosocial diagnosis with coverage of 57.50% by the company PRAX ONE identifies as high priority Environmental demand and work day and its repective plan of action from June 17, 2016 to December 30, 2016 which is reprogrammed to carry out a review and approval of the contributions submitted to develop this action plan, there is a quote from 12/12/2016 of CyC Ingenieros to implement the battery of psychosocial risk.</p> <p>It is evidenced permission for more than two hours overtime work from the Ministry of Labor from July 26 of 2016 to July 26 of 2017.</p> <p>There is a safety manual for contractors code EXAR-SSM-D-57 version 6 on January 10 of 2017 which includes in item 12 reference the follow-up to contractors against compliance with the Occupational Safety and Health System required According to decree 1072 of 2015.</p> <p>At Estate El Roble Agricola S.A. Estate Suramérica evidences permission for more than two hours overtime work from the Ministry of labor from October 6 of 2016 to October 2017.</p> <p>It is evidenced Alcohol and drug prevention policy without 2009 management system code exposed in plantation.</p> <p>The hazard matrix is presented with update to June 2016.</p> <p>Standard operational procedure for the safe handling of external agrochemicals and training against the importance of showering at the end of the day is evidenced.</p> <p>Training against biomechanical risk from December 20 of 2010 to 22 workers is evidenced.</p> <p>In the Estate Avil S.A.S. Is shown matrix of hazards of June 2016 where the mechanical risk is considered unacceptable.</p> <p>Hernan Gutierrez entry test of 5/11/2016 and certificate of aptitude of 10/12/2016 of the worker Omar Palacios where cholinesterase is included.</p> <p>Observations:</p> <p>In Oil extractors el Roble S.A.S. There is a procedure for confined spaces without their respective implementation.</p> <p>The Road Safety Plan (PESV) has been received by the Ministry of Transport on June 1, 2016, even without its approval. Within certain controls, the maximum speeds have been defined: (10 km / h in plant, 20 Km / h in populations and maximum 30 km / h, it is important to define a single maximum speed and risk assessment for high dusty roads.</p> <p>In the Estates El Roble Agricola S.A. Estate Suramérica and Avil S.A. It is important to include the risk of heights and electric in the matrix of hazards with their respective controls, additionally, implement rules against sharp tools.</p>						
<b>4.7.3</b>	<b>All workers involved in the operation shall be adequately trained in safe working practices (see Criteria 4.8). Adequate and appropriate protective equipment shall be available to all workers at the place of work to cover all potentially hazardous operations, such as pesticide application, machine operations, and land preparation, harvesting and, if it is used, burning.</b>	<i>Major</i>					
<b>Findings</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;">In compliance:</td> <td style="width: 10%;">Yes:</td> <td style="width: 10%; text-align: center;">X</td> <td style="width: 10%;">No:</td> <td style="width: 45%;"></td> </tr> </table>	In compliance:	Yes:	X	No:		
In compliance:	Yes:	X	No:				
<b>Objective evidence:</b>	<p>In the Oil extractors el Roble S.A.S. It is evidenced record of delivery of elements of personal protection of José Matto and Juan David Romero, in addition, in an interview with workers it is expressed that at the moment that deteriorates the element of individual protection the</p>						

	<p>organization makes the corresponding change.</p> <p>It is evidenced delivery of full endowment to all the workers in December of 2016, record of inspection of state and adequate use of the elements of individual protection of 12/12/16 to 20 workers and it is corroborated in interviews with the workers their respective delivery and utilization.</p> <p>Training against mechanical risk of 10/01/2016 (31 attendees), practice of use of fire extinguishers of 15/2/2016 (24 attendants) and training before first aid of 29/2/2016 (58 attendees).</p> <p>On the estate El Roble Agrícola S.A. Estate Suramérica has a registration of delivery of Bayron Berdugo envelope of December 23 of 2016, of individual protection elements (PPE) of January 25 of 2017 and verification of the use and status of individual protection elements of December 15 of 2016.</p> <p>It is evidenced in the CV a certificate of training of safe handling of agrochemicals for 60 hours of Prisciliano Guerrero of 29/06/2010 and periodic exams on July 14 of 2016.</p> <p>It is evidenced training of biomechanical risk prevention on December 20 of 2010 with the participation of 22 workers.</p> <p>On the estate Avil S.A.S. Is evidenced delivery of respirator with filters of 03/06/2016 to Omar Palacios.</p> <p>It is evidenced training of safe handling of agrochemicals for 60 hours of Omar Palacios, dictated by SENA on 8/01/2015, on 31/03/2016 against to hazards and risks in the work and tripel-wash of the containers of 18/06/2016.</p>					
<p><b>4.7.4</b></p>	<p><b>The responsible person/persons for the health and safety plan shall be identified. There shall be records of regular meetings between the responsible person/s and workers. Concerns of all parties about health, safety and welfare shall be discussed at these meetings, and any issues raised shall be recorded.</b></p>	<p><i>Major</i></p>				
<p><b>Findings</b></p>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td>X</td> <td>No:</td> <td></td> </tr> </table>	In compliance:	Yes:	X	No:	
In compliance:	Yes:	X	No:			
<p><b>Objective evidence:</b></p>	<p>It is evidenced constitution of the COPASST of January 27 of 2015 and minutes of meeting planned of December 28.</p> <p>The position of Coordinator of HSQ who is Labor Technician in Occupational Health and is in the process of graduation of Occupational Health Administrator with their respective functions and responsibilities described in their profile of the position code EXAR-GH-D-78 version 2.</p> <p>On the estate El Roble Agrícola S.A. in Estate Suramérica is evidenced a planned meeting of the COPASST of 22/12/2016 and conformation of 22/04/2016 with evidence of compliance with the training commitment of December 14 of 2016.</p> <p>The position of Occupational Safety and Health Assistant, who is a technologist in occupational health with roles and responsibilities defined in the ERA-GH-M-02 code profile.</p> <p>On the estate Hernando Lacouture La Gloria estate is evidenced by the constitution of the COPASST of 30/08/2016 and its respective minutes of meetings scheduled monthly.</p> <p>On the estate Avil S.A.S. There is a charge of Administrator, who is in charge of the System of Health and Safety in the work with the certificate of the training of the 50 hours dictated by SENA on 08/08/2016.</p> <p>Planned meeting of the Occupational Safety and Health Watch of 29/12/2016 is evidenced.</p>					
<p><b>4.7.5</b></p>	<p><b>Accident and emergency procedures shall exist and instructions shall be clearly understood by all workers. Accident procedures shall be available in the appropriate language of the workforce. Assigned operatives trained in First Aid should be present in both field and other operations, and first aid equipment shall be available at worksites. Records of all accidents shall be kept and periodically reviewed.</b></p>	<p><i>Minor</i></p>				
<p><b>Findings</b></p>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td>X</td> <td>No:</td> <td></td> </tr> </table>	In compliance:	Yes:	X	No:	
In compliance:	Yes:	X	No:			
<p><b>Objective evidence:</b></p>	<p>In the Oil extractors el Roble S.A.S. Is evidenced the Emergency Plan of July 2015 carried out by the ARL SURA with training plan of 2016 which evidence training before first aid of February 29 of 2016, report of the drill performed on November 28 of 2016 and assistance to feedback of the simulacrum to (24 attendees).</p> <p>It is evidenced exposed the Regulation of Hygiene and Industrial Safety in Extractor Plant.</p> <p>It is evidenced the proof of the work-related accident report (AT) of Yuber Salas Gonzales of January 13, 2017 with a fourth-week action plan procedure code EXAR-GH-D51 version 4 of</p>					

	<p>December 23, 2015 for report of work accident From January to February 11, 2017 and the serious accident of Maria Puentes of January 20 in the process of investigation according to the times established in the procedure.</p> <p>At Estate El Roble Agrícola S.A. Estate Suramérica is evidenced document of the Plan of Emergencies performed by The Labor Risk Insurance (ARL) Colmena of August of 2015, act of constitution of the brigdes of 10/07/2015 with 36 brigdes of which there are 16 for the Suramérica estate and training to the brigdes of 5/11/2016 "experiential meeting - training of brigades by the ARL Hive with first aid, rescue and evacuation, safe work at heights, extinction of fire cones, prevention and attention public risk.</p> <p>It is evidenced instructive report and investigations of accidents and work incidents code ERA-GH-09 dated 01/06/2016 and evidences investigation of the accident occurred on 13/12/2016 of Mr. Osnaider Alfonso Olaya according to procedure.</p> <p>The Industrial Hygiene and Safety Regulations are evidenced in offices and in plantation.</p> <p>In the estate Hernando Lacouture estate La Gloria is evidenced training before first aid 21/01/2017 directed to the brigdes.</p> <p>On the estate Avil S.A.S. Is evidenced Plan of prevention, preparation and emergency care code DOC10-SGSST-V01 of September 2016 and act of conformation of the Brigade of Emergency of 13/04/2016.</p> <p>A document with some information for the report of accidents and incidents of published work is evidenced.</p>				
<b>4.7.6</b>	<b>All workers shall be provided with medical care, and covered by accident insurance.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the Oil extractor el Roble S.A.S. Proof of payment of social security of January 24 of 2017 by all 176 workers with 0 days of arrears and their respective institutions providing medical services in case of work accident, occupational disease or general illness.</p> <p>On the estate El Roble Agrícola S.A. Estate Suramérica is evidenced payment to the social security of 18/01/2017 with 0 days of arrears of the totality of the 299 workers and in proximity to Zona bananera it is a hospital Zona Bananera.</p> <p>On the property Avil S.A.S Social security payment is evidenced on 27/12/2016 by all 13 workers.</p>				
<b>4.7.7</b>	<b>Occupational injuries shall be recorded using Disabling Injury Rates (DIR).</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the oil extractor el Roble S.A.S. Indicators such as frequency index (IF), severity index (IS) and incapacitating injury index (ILI) are presented.</p> <p>In the Suramérica estate, the index of incapacitating lesions (ILI), frequency index (IF) and severity index (SI) are taken, which by the end of 2016 show a total of 132 work accidents.</p> <p>In the Estate Avil S.A.S are evidenced the indicators of frequency index and index severity of work accidents of the company.</p>				
<b>Criteria 4.8: All staffs, workers, smallholders and contract workers are appropriately trained.</b>					
<b>4.8.1</b>	<b>A formal training programme shall be in place that covers all aspects of the RSPO Principles and Criteria, and that includes regular assessments of training needs and documentation of the programme.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the extraction plant and the plantations visited, the document "Supplier training program 2017" is evidenced, showing the schedules in aspects of the RSPO standard.</p> <p>observation: It must be ensured that 100% of the topics requested by the RSPO standard are included in the training plan that is established for the year 2017.</p>				
<b>4.8.2</b>	<b>Records of training for each employee shall be maintained.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the Extractor Plant is evidenced trainings by area and according to the needs of training according to the works performed.</p> <p>The training records of the workers Jorge Cantillo and Arnaldo Jimenez during the year 2016 are</p>				

evidenced.
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<b>Principle 5: Environmental Responsibility and Conservation of Natural Resources and Biodiversity</b>					
<b>Criteria 5.1: Aspects of plantation and mill management, including replanting, that have environmental impacts are identified and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.</b>					
<b>5.1.1</b>	<b>An environmental impact diagnostic (EID) shall be documented.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>Evidence is presented of the Environmental Impact Study of the Palm Oil extraction activities of the Extractive Organization El Roble S.A.S. (Profit Plant) and Environmental Impact Study for oil palm plantation companies that supply the Extractor Organization El Roble S.A.S. Developed by the company BIOGEOECO SAS in June 2016. Study developed with the general methodology for the Presentation of Environmental Studies of the Ministry of Environment, Housing and Territorial Development Colombia - 2010 and the methodology of CONESSA for the evaluation of environmental impacts.</p> <p>The study contemplates the identification and management of impacts generated in the activities of roads, beneficiation plant, infrastructure and management operations in plants and plantations.</p> <p>Observation: Some inconsistencies are presented in the impact identification matrix related to the activities that originated the impact (eg, exogenous impacts), character and environmental importance defined in the matrix. Therefore, the document Environmental Impact Assessment of the Plant and Plantations should be reviewed to improve inconsistencies mentioned above.</p>				
<b>5.1.2</b>	<b>Where the identification of impacts requires changes in current practices, in order to mitigate negative effects, a timetable for change shall be developed and implemented within a comprehensive management plan. The management plan shall identify the responsible person/persons.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:		No:	X
<b>Objective evidence:</b>	<p>There is no evidence of the schedule and responsible for implementing the activities formulated in the management plan of the environmental impact study for the components of the water resource management, Biodiversity Management and Water Rounds Management.</p> <p>In addition, the Environmental Impact Study for oil palm plantation companies that supply the Extractor Organization El Roble S.A.S., (Version 2 - June 2016) does not show a management plan with specific activities, implementation schedule, responsible and monitoring indicators for the mitigation of the environmental impacts generated in the activities developed in the plantations.</p> <p><i>CAR was raised and remain Open. Corrective action plan is available and will be further verified during next surveillance visits – see <b>Appendix A</b> for detail</i></p>				
<b>5.1.3</b>	<b>This plan shall incorporate a monitoring protocol, adaptive to operational changes, which shall be implemented to monitor the effectiveness of the mitigation measures. The plan shall be reviewed as a minimum every two years to reflect the results of monitoring and where there are operational changes that may have positive and negative environmental impacts.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>The company presents evidence of the environmental monitoring protocol called "Legal Action Plan - Environmental Management 2017", which shows the monitoring actions for the components of atmospheric emissions, dumping, hazardous waste - RUA registration, energy management. The monitoring protocol includes the responsible, frequency and date of monitoring according to the obligations stipulated by the environmental authority Corpamag for the operational activities.</p>				
<b>Criteria 5.2: The status of rare, threatened or enzhazarded species and other High Conservation Value habitats, if any, that exist in the plantation or that could be affected by plantation or mill management, shall be identified and operations managed to best ensure that they are maintained and/or enhanced.</b>					
<b>5.2.1</b>	<b>Information shall be collated in a High Conservation Value (HCV) assessment that includes both the planted area itself and relevant wider landscape-level considerations (such as wildlife corridors).</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>It is evidenced the study of high conservation values in oil palm estates of group C.I. BIOCOSTA S.A in the Colombian Caribbean (Departments: Cesar, Bolívar, Magdalena) developed by the company BIOAP. Published in February 2016, which includes the direct and indirect area of</p>				

	<p>influence for the group of plantations and benefit plan of Extractora El Roble S.A.S.</p> <p>It is evidenced the identification of the following stroke for the area included in the certification:</p> <p>HCV 1.1: Indirect zone of influence of the Great Ciénaga of Santa Marta and Sierra Nevada of Santa Marta located in the area of indirect influence of the plantations. (5 km from the plantation Suramérica)</p> <p>HCV 1.2 and 1.3: The identification of 32 species of fauna and 15 species of flora categorized as rare, threatened and endemic are shown in Table 5-2 and Annex to 10.27 of the Stroke Study. Among the most important faunal species in these categories are: (mammals) Leopardus pardali, Cebus albifrons, Cebus capucinus, Pecari tajacu, Canidae Cerdocyon thous, Atelidae Alouatta seniculus, Coendou sanctaemartae, Amazona ochrocephala (Birds) Brotogeris jugularis, Eupsittula pertinax, Busarellus (Reptiles) Iguana iguana, Kinosternon scorpioides, Trachemys callirostris, Chelonoidis carbonaria, Caiman crocodilus and Tupinambis teguixis. Among the floristic species: Aspidosperma polyneuron, Bulnesia arborea, Pithecellobium saman, Cereus cf. Hexagonus, Pilosocereus cf. Lanuginosus, Tillandsia flexuosa and Elaeis oleifera.</p> <p>HCV 2: The Ecosystems of the Sierra Nevada de Santa Marta and the Complex Ciénaga Grande de Santa Marta were identified as strategic ecosystems of the Caribbean region within the area of indirect influence of the plantations.</p> <p>HCV 3. The identification of relics of tropical dry forest (endemic and highly enhanced ecosystem in Colombia) is evidenced and the Magdalena River Estuarine Delta System (RAMSAR Area) in which all the plantations included in the certification are located.</p> <p>HCV 4: The bodies of water identified by their provision of water resources in the area of direct influence are: the Rio Sevilla, Rio Tucurinca and Rio Aracataca. Additionally, the identification of secondary drains is evidenced during visits to La Pepilla and Avil estates.</p> <p>HCV 5: Areas specifically used by communities for their basic needs were not identified. However, the AVC study (Annex 10.36) includes the identification of some of the plant and animal species harvested by the community for consumption in the area such as Ceiba pentandra, Attalea butyracea and Iguana iguana.</p> <p>HCV 6: In the area of indirect influence of the study area, the "Black Line" was identified as a special and sacred area of protection. This line demarcates the sacred sites where the 4 indigenous peoples who inhabit the Sierra (Kogui, Arhuaco, Wiwa and Kankuamo) must perform a series of ceremonies and rituals that contribute to maintaining an ecological and environmental balance in nature. The estate La Pepilla is 200 meters from the sacred site "Kwarewmon" delimited on the black line.</p>			
<b>5.2.2</b>	<p><b>Where rare, threatened or enhanced (RTE) species, or HCVs, are present or are affected by plantation or mill operations, appropriate measures that are expected to maintain and/or enhance them shall be implemented through a management plan.</b></p>			<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:
<b>Objective evidence:</b>	<p>It is evidenced the formulation of the measures and plan of management of stroke in the study of high values of conservation in fields of cultivation of palm oil of group C.I. BIOCOSTA S.A in the Colombian Caribbean which includes management records for species of fauna and flora (Annex 10.41 of the study) and special management activities for each category of stroke.</p> <p>During the visit to the Estates Suramérica (Lots 12-3, Riparian Zone and buffer of the Aracataca River) La Pepilla (Lot 2-6, Rio Aracataca and management measures HCV 6 Black Line) and Avil (Lot 5 - Secondary drainage and wildlife management), the implementation of the riparian demarcation activities, buffer zones, HCV areas within the estates, measures of controlled management of fertilizers and agrochemicals, signaling prohibition of fishing and hunting, pastoral management of Riparian areas and environmental education plan of species of flora and fauna HCV stipulated in the management plan.</p> <p>Observation: An implementation plan, schedule and responsible for the execution of the management and monitoring activities proposed in the HCV identification program should be available.</p>			
<b>5.2.3</b>	<p><b>There shall be a programme to regularly educate the workforce about the status of these RTE species, and appropriate disciplinary measures shall be instigated in accordance with company rules and national law if any individual working for the company is found to capture, harm, collect or kill these species.</b></p>			<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:
<b>Objective evidence:</b>	<p>The content and lists of the training workshops on identification, management and conservation of stroke for the workforce are evidenced. Estate Suramérica (Training Register ERA GH R29), Estate La Pepilla (Training Register R01 SGSST V32) and extraction plant (EXAR GH R 57) carried out in December 2016. The content of the training included the identification and results of</p>			

	<p>the HCV study, species management measures and sustainability policy.</p> <p>The information was verified by interviewing two estate workers in the Suramérica estate (Lot 12-13), a worker engaged in various trades on La Pepilla estate (Lot 2) and a estate worker on the estate Avil (Lot 6) where the knowledge of the personnel, wildlife management, flore, non-hunting and fishing policy and the sanctions stipulated in case of non-compliance with these measures such as memorandum and temporary suspension of business.</p>						
<b>5.2.4</b>	<p><b>Where a management plan has been created there shall be ongoing monitoring:</b></p> <ul style="list-style-type: none"> <li>• <b>The status of HCV and RTE species that are affected by plantation or mill operations shall be documented and reported;</b></li> <li>• <b>Outcomes of monitoring shall be fed back into the management plan.</b></li> </ul>	<i>Minor</i>					
<b>Findings</b>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td><input type="checkbox"/></td> <td>No:</td> <td><input checked="" type="checkbox"/></td> </tr> </table>	In compliance:	Yes:	<input type="checkbox"/>	No:	<input checked="" type="checkbox"/>	
In compliance:	Yes:	<input type="checkbox"/>	No:	<input checked="" type="checkbox"/>			
<b>Objective evidence:</b>	<p>There is no evidence of a plan and / or schedule for the implementation of activities for the management and monitoring of HCVs such as reforestation of riparian areas, monitoring of species of flora and fauna, physicochemical and hydrobiological monitoring, stipulated in the Study of high Conservation values in oil palm cultivation plots of the C.I. Group BIOCOSTA S.A in the Colombian Caribbean and in the Environmental Management Plan for the mangement components of the biodiversity and water resource management of the Environmental Impact Study of the extraction activities of Palm Oil of the Extractive Organization El Roble S.A.S. Presented by the company.</p> <p><i>CAR was raised and remain Open. Corrective action plan is available and will be further verified during next surveillance visits – see <b>Appendix A</b> for detail</i></p>						
<b>5.2.5</b>	<p><b>Where HCV set-asides with existing rights of local communities have been identified, there shall be evidence of a negotiated agreement that optimally safeguards both the HCVs and these pre-acquired rights.</b></p>	<i>Minor</i>					
<b>Findings</b>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td><input checked="" type="checkbox"/></td> <td>No:</td> <td><input type="checkbox"/></td> </tr> </table>	In compliance:	Yes:	<input checked="" type="checkbox"/>	No:	<input type="checkbox"/>	
In compliance:	Yes:	<input checked="" type="checkbox"/>	No:	<input type="checkbox"/>			
<b>Objective evidence:</b>	<p>During the visit to the sacred site "Kwarewmon" of the Black Line located in the area adjacent to the property La Pepilla (Lot 2), was not evidenced vestiges and no knowledge of the sacred point or the route used by the natives during the interview the workers and the surrounding community, since the sacred point delimited and the route used by the community in this geogradic point is located within the urban area of the municipality of Aracataca (Specifically within the Departmental Educational Institution and District Cemetery).</p> <p>As evidence of the nearby distance between the company and the indigenous communities that can circulate in the area, the company evidences record of the project of approach and negotiation carried out by Fundepalma Foundation with the indigenous communities involved in the area of influence of El Roble group. The record, photographic record and attendance list of the last meeting is presented as evidence 003-004 Concertation of rapprochement with indigenous communities - Vereda La Fuente Aracataca held on January 17, 2017.</p>						
<b>Criteria 5.3: Waste is reduced, recycled, re-used and disposed of in an environmentally and socially responsible manner.</b>							
<b>5.3.1</b>	<p><b>All waste products and sources of pollution shall be identified and documented.</b></p>	<i>Major</i>					
<b>Findings</b>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td><input checked="" type="checkbox"/></td> <td>No:</td> <td><input type="checkbox"/></td> </tr> </table>	In compliance:	Yes:	<input checked="" type="checkbox"/>	No:	<input type="checkbox"/>	
In compliance:	Yes:	<input checked="" type="checkbox"/>	No:	<input type="checkbox"/>			
<b>Objective evidence:</b>	<p>It is evidenced the identification and documented management of the sources of pollution both in the benefit plant (atmospheric emissions, landfills, ordinary and hazardous waste) and in plantations. The Environmental Management Plan is presented as evidence - Management sheets: atmospheric emissions control, waste management and landfill of the Environmental Impact Study of the palm oil extraction activities of the Extractive Organization El Roble S.A.S. (June 2016-V2) and the Integral Waste Management Plan (PGIRS - Internal Document) filed with the environmental authority Corpamag (File CAR 4475).</p> <p>Observation: The identification and appropriate measures for the temporary storage of by-products (ash, rachis, fiber, POME and sludge) that avoid the infiltration of oils, POME, sludge and by-products to soils and water bodies must be included and implemented in different Environmental impact management plans.</p>						
<b>5.3.2</b>	<p><b>All chemicals and their containers shall be disposed of responsibly.</b></p>	<i>Major</i>					
<b>Findings</b>	<table border="1"> <tr> <td>In compliance:</td> <td>Yes:</td> <td><input checked="" type="checkbox"/></td> <td>No:</td> <td><input type="checkbox"/></td> </tr> </table>	In compliance:	Yes:	<input checked="" type="checkbox"/>	No:	<input type="checkbox"/>	
In compliance:	Yes:	<input checked="" type="checkbox"/>	No:	<input type="checkbox"/>			
<b>Objective evidence:</b>	<p>During the visit to the plantations of Suramérica, Pepilla and Avil, a single certificate of final disposal of pesticide containers of 190 kg is available for the plantations of the oak company issued by the company Tecniamsa on June 18 of 2016. Presents non-compliance since the</p>						



	<p>estates does not have a system that allows traceability of the containers and containers of all chemicals used by each estate have a correct final disposal; Additionally, the final disposal certificate presented does not allow verification of the weight and identification of containers broken down by each of the estates.</p> <p><i>CAR was raised and closed – see <b>Appendix A</b> for detail</i></p>					
<b>5.3.3</b>	<b>A waste management and disposal plan to avoid or reduce pollution shall be documented and implemented.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>It is evidenced the implementation of the management measures stipulated in the Integral Waste Management Plan (PGIRS) documented by the company. During the visit to the plant of benefit and plantations Suramérica, Pepilla and Avil was evidenced the implementation of:</p> <ul style="list-style-type: none"> <li>• Identification of hazardous and ordinary waste generated (Evidence: Record of movement of RESPEL EXAR SSM R113 - 2016).</li> <li>• Adequacy of routes for the collection of ordinary and hazardous waste (Evidence: Interview with the staff in charge of the management and collection of waste in the extraction plant - registration of RESPEL training for personnel in charge (February 10, 2016 - October 2016) Tecniansa)</li> <li>• Adaptation of the temporary storage site for the management of hazardous wastes and ordinary waste at the profit plant and final disposal certificate issued by the company Tecniamsa for the deliveries of containers and pesticides, filters, bottles of hexane in the months of June and October 2016). Photographic record:</li> </ul>					
<b>Criteria 5.4: Efficiency of energy use and use of renewable energy is maximized.</b>						
<b>5.4.1</b>	<b>A plan for improving efficiency of the use of fossil fuels and to optimize renewable energy shall be in place and monitored.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>It is evidenced the program of efficiency for the energetic consumption stipulated in study of environmental impact of the activities of extraction of palm oil of the Organization Extractor El Roble S.A.S. (June 2016-V2) and in the Efficiency plan in the use of fuels and electricity formulated in the final carbon footprint and mitigation plan Extractor El Roble (October 2016 - V2.0). During the visit to the plant of benefit it was evidenced the implementation of the following activities stipulated in the plan:</p> <ul style="list-style-type: none"> <li>• Use of biomass (Cuesco and fiber) for the generation of steam in the boiler system of the benefit plant.</li> <li>• Report of maintenance of equipment in plant of benefit and maintenance of vehicles (Record of maintenance evidenced in the report of environmental management 2016 - Internal document).</li> </ul> <p>Evidence of the Internal Document: Monthly Monitoring Matrix Dec 2016 - January 2017 of consumption of ACPM reported by lot, type of activity, consumption and responsible) is evidenced in the Suramérica plantation.</p> <p>Observation: The monitoring program for the use of fossil fuels in plantations and the activities implemented and to be implemented in the Extraction Plant are not documented in the plan of management and efficient use of fossil energies presented in the Environmental Management Plan.</p>					
<b>Criteria 5.5: Use of fire for preparing land or replanting is avoided, except in specific situations as identified in the ASEAN guidelines or other regional best practice.</b>						
<b>5.5.1</b>	<b>There shall be no land preparation by burning, other than in specific situations as those allowed in compliance with current national regulations.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>During the visit to the estates Suramérica, La Pepilla and Avil and extraction plant, there were no traces of burning for the preparation of land and / or solid waste burning. In the documents presented during the audit (PGIR - Internal Document filed with the environmental authority Corpamag, File 4475), in the integral policy of sustainability 09062016 and in the plan of environmental management of the document Environmental impact study of the activities of extraction of oil of the Extractor Organization El Roble S.A.S., (June 2016-V2) demonstrates the prohibition of burning for the preparation of soil and solid waste.</p>					
<b>5.5.2</b>	<b>Where fire has been used for preparing land for replanting, there shall be evidence of prior approval of the controlled burning by the environmental authority, according to applicable national regulations.</b>					<i>Minor</i>

<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	During the visit to the estates Suramérica, La Pepilla and Avil and extraction plant, there were no traces of burning for the preparation of land and / or burning of solid waste. In the documents presented during the audit (PGIRS - Internal Document filed with the environmental authority Corpamag, File 4475), in the integral sustainability policy 09062016 and in the environmental management plan of the document Environmental impact study of the activities of extraction of oil Of the Extractor Organization El Roble S.A.S., (June 2016-V2) demonstrates the prohibition of burning for the preparation of soil and solid waste.				
<b>Criteria 5.6: Plans to reduce pollution and emissions, including greenhouse gases are developed, implemented and monitored.</b>					
<b>5.6.1</b>	<b>An assessment of all polluting activities shall be conducted, including gaseous emissions, particulate/soot emissions and effluent (see Criteria 4.4).</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>The reports of identification, evaluation and monitoring of pollution sources for the components are evidenced:</p> <p>Discharges and POME:</p> <ul style="list-style-type: none"> <li>• Comprehensive Management of Shedding- Risk management plan for the management of landfills (filed No. 4995 of 2014 before the environmental authority Corpamag).</li> <li>• Monitoring of effluent period II-2016 by the company Laboratorio Nancy Florez (authorized by IDEAM). Exit flow 0.941 l / s (Conforms to 4.4 liters / s), BOD Parameters: 471 mg / l and COD: 1425 mg / l (They comply with the concentrations established in Res 601 of 2015).</li> </ul> <p>Atmospheric Emissions:</p> <ul style="list-style-type: none"> <li>• Atmospheric emissions management plan - External document Environmental impact study of the palm oil extraction activities of the Extractive Organization El Roble S.A.S. (June 2016-V2).</li> <li>• Analysis of isosinetic monitoring period II.2016 for the atmospheric emissions of chimney from boilers 2 and 3 - (Result MP 298,118 Mg / M3 submitted on June 21, 2016 to Corpamag).</li> <li>• Atmospheric emissions permit: Resolution 1682 of July 1, 2009 issued by Corpamag exempts the company from the emissions permit).</li> <li>• Evaluation of emissions generated by POME (0.21 tCO<sub>2</sub>e / tFFB).</li> </ul> <p>Solid and hazardous wastes:</p> <p>Evidence of the record of solid and hazardous waste reported for the year 2015-2016 in the Single Environmental Record (RUA) No 5000128279, RUA Code issued in filed 4542 of 2016 issued by Corpamag.</p>				
<b>5.6.2</b>	<b>Significant pollutants and greenhouse gas (GHG) emissions shall be identified, and plans to reduce or minimize them implemented.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>It is evidenced the identification, monitoring and management plan of the GHG emissions for plantations and beneficiation plant, documented in the Carbon Footprint Final Report and Extractor El Roble (October 2016 -V2.0) mitigation plan prepared by Ecosystem for The calculation of the emissions generated in the period 2013-2015. The document shows the Management Plan for the reduction of emissions for plantations and extraction plant. The PALMGHG CALCULATOR is used as a methodological tool for calculation.</p> <p>In the quantification of GHG carried out for the benefit plan and plantations of the group Extractora el Roble S.A.S. The final registration reports an emission of 0.12 CO<sub>2</sub>e / t CPO for the company and the following figures are recorded: Emissions generated by land conversion (14,654 tCO<sub>2</sub>e - 0.07 tCO<sub>2</sub>e / t FFB), Fuel consumption in plantation (1548 tCO<sub>2</sub>e) , Use of fertilizers (576,70 tCO<sub>2</sub>e), profit plant (28,329.96 tCO<sub>2</sub>e).</p> <p>Observation: The management measures for the emission of particulate matter in the roads of the Extractive Plant and Plantations are not identified as a source of emission generation and their measures do not have a plan that shows their implementation.</p>				
<b>5.6.3</b>	<b>A monitoring system shall be in place, with regular reporting on progress for these significant pollutants and emissions from estate and mill operations, using appropriate tools.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The monitoring measures for atmospheric emissions of benefit plant (Isocinetic Monitoring of June 2016 - filed before Corpamag - 298,118 Mg / M3) are evidenced. In addition, the GHG emission reduction and evidence from the manual and training records for the use of GHG emissions are shown in the Final Carbon Footprint and Extractive El Roble Mitigation Plan (October 2016 -V2.0).				

The GHG Palm monitoring and reporting tool tailored by the company to be employed in the 2016 period and following reports (Report 19-07-2016 training format COC16001).

<b>Principle 6: Responsible Consideration of Employees and of Individuals and Communities Affected by Growers and Mills</b>					
<b>Criteria 6.1: Aspects of plantation and mill management that have social impacts, including replanting, are identified in a participatory way, and plans to mitigate the negative impacts and promote the positive ones are made, implemented and monitored, to demonstrate continual improvement.</b>					
<b>6.1.1</b>	<b>A social impact assessment (SIA) including records of meetings shall be documented.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	It is evidenced a Social Impact Study for the Extractive Plant and Plantations carried out by the company Ecosocial in 2014 and was updated in 2016 by the FUNDEPALMA foundation through the methodology of social cartography.				
<b>6.1.2</b>	<b>There shall be evidence that the assessment has been done with the participation of affected parties.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The organization has a list of stakeholders from each municipality in the area of influence. The participation of the stakeholders of the Guacamayal community is reviewed and their participation is evidenced through attendance records and participatory social mapping.				
<b>6.1.3</b>	<b>Plans for avoidance or mitigation of negative impacts and promotion of the positive ones, and monitoring of impacts identified, shall be developed in consultation with the affected parties, documented and timetabled, including responsibilities for implementation.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	Is evidenced Document "Improvement Plan" of the Social Impact Study and Social Responsibility Planning document, showing the activities to be carried out during 2017.				
<b>6.1.4</b>	<b>The plans shall be reviewed as a minimum once every two years and updated as necessary, in those cases where the review has concluded that changes should be made to current practices. There shall be evidence that the review includes the participation of affected parties.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	It is evidenced Social Impact Study conducted by the company Ecosocial in 2014 and updated in 2016 through the methodology of social cartography by the foundation FUNDEPALMA. Note: the dates of compliance, dates of follow-up, follow-up observations and responsible for the activity must be established in the work plan of the Social Impact Study and Social Mapping.				
<b>6.1.5</b>	<b>Particular attention shall be paid to the impacts of smallholder schemes (where the plantation includes such a scheme)</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The organization has the area of attention to the supplier, through which technical assistance is provided to small suppliers. It shows a work plan to be developed with the suppliers through the "Viewing and Doing" strategy.				
<b>Criteria 6.2: There are open and transparent methods for communication and consultation between growers and/or millers, local communities and other affected or interested parties.</b>					
<b>6.2.1</b>	<b>Consultation and communication procedures shall be documented.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	Extractor El Roble demonstrates the procedure of Communication, participation and consultation EXAR-PL-D-13 version 3. It is evidenced document Communications Matrix which describes the way in which the communications will be made with the different interested parties (internal and external). For external parties there is a satisfaction survey of suppliers of fruit, website, facebook page, email and general correspondence. In the Plantation La Pepilla demonstrates procedure of communication, motivation, participation and consultation PRC03-SGSST-V01 where the way of communication with the interested parties				

	is described.					
	In the Suramérica Plantation there is evidence of communication, participation and consultation procedure ERA-GI-D-05 Version 01 dated 01-June-2016, where the media are defined for both internal and external audiences. The document defines how the media: the suggestion box, correspondence in general and e-mails, it is further defined that communication with the communities will be done through the FUNDEPALMA foundation and through the Social Welfare auxiliary who together with the social welfare coordinator of Extractora El Roble coordinate the activities to be developed with the community.					
<b>6.2.2</b>	<b>A management official responsible for these issues shall be nominated.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>In the Extractor El Roble, the Director of Corporate Relations (Maria Alejandra Cortina), who is described in the communication, participation and consultation procedure EXAR-PL-D-13 version 3, is responsible for the communication.</p> <p>In the Plantation La Pepilla it is evidenced that the person in charge of the communications is the Manager of the company, which is described in the procedure of communication, motivation, participation and consultation PRC03-SGSST-V01.</p> <p>In Estate Suramérica the person in charge of the communications is the Director of Human Management.</p>					
<b>6.2.3</b>	<b>A list of stakeholders, records of all communication, including confirmation of receipt and that efforts are made to ensure understanding by affected parties, and records of actions taken in response to input from stakeholders, shall be maintained. Communications about consultations and consultations themselves should also be conducted in the native language of the recipients, by request of those interested.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:		No:	X	
<b>Objective evidence:</b>	<p>The Extractor plant has a list of the interested parties of each municipality of the zone of influence; However, there is no evidence of agreement between the list of interested parties and the records of evidence of participation in social cartography. Checking the list of interested parties and evidence of participation in social cartography was found that participants did not match, specifically in the Guacamayal Community.</p> <p>Likewise, as a record of the communications made to the interested parties, news bulletins are evidenced, a satisfaction survey applied to suppliers on the 1st. Of January 2017, in addition communications are made through the FUNDEPALMA foundation.</p> <p>At La Pepilla Plantation there is a list of interested parties of the company El Corozo, also evidenced communications through information bulletins.</p> <p>In the Suramérica Plantation there is a list of interested parties (communities, workers and suppliers). Likewise, the informative newsletter of FLORA Y FAUNA ENCONTRADA (PMA &amp; AVC) is evidenced. In addition, it is evidenced communications made through information bulletins located in the administrative office of the plantation.</p> <p><i>CAR was raised and remain Open. Corrective action plan is available and will be further verified during next surveillance visits – see <b>Appendix A</b> for detail</i></p>					
<b>Criteria 6.3: There is a mutually agreed and documented system for dealing with complaints and grievances, which is implemented and accepted by all affected parties.</b>						
<b>6.3.1</b>	<b>The system, open to all affected parties, shall resolve disputes in an effective, timely and appropriate manner, ensuring anonymity of complainants and whistleblowers, where requested.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	Are evidenced procedures for the handling of Complaints, claims and suggestions from interested parties EXAR-GH-D-96 Version 3. It is defined within the procedure to respond within the following 15 working days.					
<b>6.3.2</b>	<b>Documentation of both the process by which a dispute was resolved and the outcome shall be available.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	In the Suramérica estate, a document called Procedure for the handling of complaints, claims and / or suggestions of stakeholders of ERA-GI-D-06 code dated June 30, 2016 is evidenced, however,					

	<p>the records of the requests are not evidenced nor of their answers, it is argued that only 4 applications have been submitted in a year, in a plantation that has more than 180 workers and 4 communities of influence. It is verified that the procedure was socialized with the labor force.</p> <p>In the La Gloria estate, the procedure for the handling of complaints, claims and / or suggestions is evidenced, however its implementation is not evidenced, there is no documented evidence of follow-up or responses.</p> <p>In the AVIL SAS estate, a document called Procedure for the handling of complaints, claims and / or suggestions of stakeholders EXAR-GH-D-96 dated March 28, 2016 is evidenced, however the records of the requests are not evidenced nor their answers, the PQRS or its answers are not followed up.</p> <p>In the Extractor Plant, the PQRS Consolidated format, code EXAR-GH-R-209 version 1, is evidenced in 18 manifestations since June 2016, of which there are two requests in an "open" state.</p>
<p><b>Criteria 6.4: Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables indigenous peoples, local communities and other stakeholders to express their views through their own representative institutions</b></p>	
6.4.1	<p><b>A procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, shall be in place.</b></p> <p style="text-align: right;"><i>Major</i></p>
Findings	<p>In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/></p>
Objective evidence:	<p>The Extractor Plant evidence procedure for the identification of customary rights EXAR-GA-D-94 version 3, which describes the criteria for the identification of customary rights and the right to compensation; However, there is no identification of customary, legal and use rights, for example: There is no certificate from the Ministry of the Interior that certifies the presence or not of ethnic groups in the area of influence, nor the map of customary rights identified.</p> <p>In the Suramérica Plantation, the external procedure (from Extractor El Roble) is available for the identification of customary rights EXAR-GA-D-94 version 3, which describes the criteria for the identification of customary rights and the right to compensation.</p> <p><i>CAR was raised and closed – see Appendix A for detail</i></p>
6.4.2	<p><b>A procedure for calculating and distributing fair compensation (monetary or otherwise) shall be established and implemented, monitored and evaluated in a participatory way, and corrective actions taken as a result of this evaluation. This procedure shall take into account: gender differences in the power to claim rights, ownership and access to land; differences of transmigrants and long-established communities; and differences in ethnic groups' proof of legal versus communal ownership of land.</b></p> <p style="text-align: right;"><i>Minor</i></p>
Findings	<p>In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/></p>
Objective evidence:	<p>In the extractive plant and the Suramérica plantation a procedure for the identification of customary rights is presented EXAR-GA-D-94 version 3, which describes the procedure for calculating compensation.</p>
6.4.3	<p><b>The process and outcome of any negotiated agreements and compensation claims shall be documented, with evidence of the participation of affected parties, and made publicly available.</b></p> <p style="text-align: right;"><i>Major</i></p>
Findings	<p>In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/></p>
Objective evidence:	<p>In the El Roble Extractor to this date, there has been no conflict of customary rights.</p> <p>In the Suramérica Estate, evidence of the Special Administrative Unit for the Management of the Restoration of Lands Deserted (UAEGRTD) is evidenced, through the certificate of freedom and tradition, but this process is in process.</p>
<p><b>Criteria 6.5: Pay and conditions for employees and for contract workers always meet at least legal or industry minimum standards and are sufficient to provide decent living wages.</b></p>	
6.5.1	<p><b>Documentation of pay and working conditions shall be available.</b></p> <p style="text-align: right;"><i>Major</i></p>
Findings	<p>In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/></p>
Objective evidence:	<p>In the Extractor Plant: are checked the resumes of:</p> <ul style="list-style-type: none"> <li>• Diamail Arrieta Quinto: A fixed-term contract of less than one year with automatic renewal is evidenced, which describes the working conditions and compliance with legal labor requirements, for example: social security affiliation, payment of benefits social.</li> <li>• Nolberto Miguel Contreras Orozco: A fixed-term contract of less than one year is evidenced, from June 16, 2016, which describes the working conditions, and compliance with legal labor</li> </ul>

	<p>requirements, for example: affiliation to Social security, payment of social benefits. .</p> <p>Plantation Suramérica: are reviewed resumes of:</p> <ul style="list-style-type: none"> <li>• Edinson Paternina López: A fixed-term employment contract is evidenced to be less than one year dated 24-Oct-2012, without new contracts. Affiliation formats of EPS, ARL and CAJAMAR are evidenced. Evidence of holiday vacation is evidenced from 20-12-2016 from 02-01-2017 to 20-01-2017. Payroll payment is reviewed for the first half of the month of December 2016, evidence of social security payment and transport assistance is evidenced; Discounts are deducted from the FONROBLE employee base and discount on loans through FONROBLE and another directly from the company.</li> <li>• Rafael Almanza Cárdenas: A fixed-term employment contract is evidenced to be less than one year, dated April 26, 2009. Social security affiliations are evidenced (EPS Coomeva, ARL Colmena, CAJAMAR family compensation fund. Holiday from 16-02-2016 to 04-03-2016.</li> </ul> <p>Plantation AVIL: are checked the resume of:</p> <ul style="list-style-type: none"> <li>• Hernán Gutierrez Calvo: A fixed-term employment contract of less than one year is evidenced, social security affiliations and income examination are evidenced.</li> <li>• Omar Palacio Miranda: A fixed-term contract of employment of less than one year is evidenced, social security affiliations are evidenced and entrance examination is carried out.</li> </ul>	
<b>6.5.2</b>	<b>Labour laws, union agreements or direct contracts of employment detailing payments and conditions of employment (e.g. working hours, deductions, overtime, sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice, etc.) shall be available in the languages understood by the workers or explained carefully to them by a management official.</b>	<i>Major</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>In the Extractor El Roble is evidenced through proof of payment the cancellation of social benefits of law, overtime, night charges, social security.</p> <p>Plantation Suramérica: It shows the payment of social benefits of law, overtime; The collective bargaining agreement filed with the Ministry of Labor is also evidenced on January 15, 2015, effective until December 31, 2017, and there is evidence of election of workers' representatives (Mr. Roberto Enrique Herrera).</p> <p>In the Plantation AVIL: It shows the payment of social benefits of workers: premium paid in the second fortnight of December 2016, social security payment, vacations.</p>	
<b>6.5.3</b>	<b>Growers and millers shall provide adequate housing, water supplies, medical, educational and welfare amenities to national standards or above, where no such public facilities are available or accessible.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>In the extraction plant inspection is carried out to the hausold inside of it and it is evidenced that they have adequate housing conditions and basic public services.</p> <p>In the Plantation Suramérica: There are no workers living inside the plantation.</p> <p>In the Avil Plantation: Inspection of the housing of the worker who is present in the plantation with his family (wife and children) is carried out, which has adequate housing conditions and basic public services.</p>	
<b>6.5.4</b>	<b>Growers and millers shall make demonstrable efforts to monitor and where able, improve workers' access to adequate, sufficient and affordable food.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>Inspection is done to the restaurant area of the extraction plant, and it is evidenced that they have adequate infrastructure and preparation conditions. Food is prepared by direct personnel and contractor personnel, both for administrative personnel and for operators.</p> <p>In the Plantation Suramérica: The organization does not supply food to its collaborators.</p> <p>In the Avil Plantation: The organization does not provide food to its collaborators, each employee brings their own food, which is consumed in the planting facilities.</p>	
<b>Criteria 6.6: The employer respects the rights of all personnel to form and join trade unions of their choice and to bargain collectively. Where the right to freedom of association and collective bargaining are restricted under law, the employer facilitates parallel means of independent and free association and bargaining for all such personnel.</b>		
<b>6.6.1</b>	<b>A published statement in local languages recognising freedom of association shall be available.</b>	<i>Major</i>

<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>The Extractor El Roble evidence the Human Management Policy which establishes the commitment to: "Respect the rights of employees and contractors to form associations and bargain collectively in accordance with ILO Conventions 87 and 89". Signed by the General Manager.</p> <p>In the Suramérica Plantation: Sustainability Policy is evidenced ERA-PL-D-08 version 1 where the promotion of free association is evidenced, which is published in the billboard of the company, in addition it is evidenced document "Internal Regulation of Work "Dated January 30, 2015 which describes freedom of association in Article 44 literal 4.</p>				
<b>6.6.2</b>	<b>Minutes of meetings with main trade unions or workers representatives shall be documented.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the Extractor Plant evidence of Meeting Collective Agreement dated November 16, 2016, meeting between the representatives of the workers and the employer where some points of interest for the workers are reviewed and commitments are made.</p> <p>In Suramérica Plantation: a collective bargaining agreement filed with the Ministry of Labor is evidenced on January 15, 2015, effective until December 31, 2017, and there is evidence of election of workers' representatives (Mr. Roberto Enrique Herrera). There have been no meetings between the representatives since the agreement was created.</p>				
<b>Criteria 6.7: Children are not employed or exploited.</b>					
<b>6.7.1</b>	<b>There shall be documentary evidence that minimum age requirements are met.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>Extractor El Roble has the Sustainability Policy which describes the policy of prohibiting child labor. It is validated through interviews with workers who do not have underage workers.</p> <p>In the Plantation Suramérica: Evidence document General Policies EPA-PL-D-07 version 1, where the policy that guarantees that the workers are of legal age is cleared; For which it does not use child labor. It is validated through interview of workers and review of resumes that do not count with children.</p>				
<b>6.7.2</b>	<b>Companies shall have evidence of a procedure to guide them in taking appropriate measures if they become aware of child and forced labor in their FFB supply chain.</b>				
<b>Objective evidence:</b>	<p>It is evidenced in Extractive Plant and the plantations visited the procedure called Protocol before the knowledge of child labor of code EXAR-GH-D-91 which stipulates the step by step to follow in the moment of identifying or knowing the presence of hand of child labor at their suppliers' facilities.</p>				
<b>6.7.3</b>	<b>Evidence that children working in smallholders' family estates are in the education system.</b>				
<b>Objective evidence:</b>	<p>This indicator does not apply to plantations since they do not correspond to small growers.</p>				
<b>Criteria 6.8: Any form of discrimination based on race, caste, national origin, religion, disability, gender, sexual orientation, union membership, political affiliation or age is prohibited.</b>					
<b>6.8.1</b>	<b>A publicly available equal opportunities policy including identification of relevant/affected groups in the local environment shall be documented.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the extraction plant, Human Management Policy is evidenced, which includes an equal opportunities policy that includes the identification of relevant groups in the local environment.</p> <p>In the Plantation Suramérica: is evidenced document General Policies EPA-PL-D-07 version 1, where the promotion of equal opportunities and non-discrimination is described, this policy is published on the organization's bulletin boards.</p>				
<b>6.8.2</b>	<b>Evidence shall be provided that employees and groups including local communities, women, and migrant workers have not been discriminated against.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In the extractive plant the human management policy is shown where it is described that it is promoted within the selection processes the recruitment of migrant workers without any discrimination provided that the required profiles and required documents are met. Currently the company does not count on its payroll with migrant personnel, but with women (in the</p>				

	administrative area) and personnel of the local communities. In the Plantation Suramérica: is evidenced document General Policies EPA-PL-D-07 version 1, where the promotion of equal opportunities and non-discrimination is disclosed, this policy is published on the organization's billboards, additionally described the policy of non-discrimination in the sustainability policy ERA-PL-D-08.	
<b>6.8.3</b>	<b>It shall be demonstrated that recruitment selection, hiring and promotion where relevant are based on skills, capabilities, qualities, and medical fitness necessary for the jobs available.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>Extractor El Roble evidence Human Management procedure EXAR-GH-D-22 version 7 which describes the recruitment, selection, contracting, induction, evaluation, training and training activities of the company's personnel.</p> <p>In Suramérica Plantation: Human Management procedure is evidenced. ERA-GH-D-01 version 01 dated 01-06-2016, which describes the activities from the selection of personnel to the separation of labor, an official document of functions and responsibilities ERA-GH-D-02 describing the profile of each position of the organization.</p> <p>In the Plantation Avil: It is evidenced procedure for Selection and Recruitment of personnel plantations EXAR-GH-D-92, is evidenced Manual of Functions M02-SGSST.V01 of all the existing positions in the company.</p>	
<b>Criteria 6.9: There is no harassment or abuse in the work place, and reproductive rights are protected.</b>		
<b>6.9.1</b>	<b>A policy to prevent sexual and all other forms of harassment and violence shall be implemented and communicated to all levels of the workforce.</b>	<i>Major</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>The Extractor plant is evidenced the human management policy where it is described that clear policies are developed to avoid workplace and sexual harassment within the organization. It is evidenced the document "Instructive Procedure for Workplace Harassment" EXAR-SSM-D-37 version 01 where the methodology for handling any possible case of workplace harassment (including sexual harassment) is described, however, it is not evidenced the communication at all levels of the labor force of a policy to prevent sexual harassment.</p> <p>In the Plantation Suramérica: Evidence document ERA-PL-D-07 version 01 is outlined that describes the policy of prohibiting sexual harassment and any type of harassment within the organization, however, there is no evidence of communication to all levels of the policy workforce to prevent sexual harassment.</p> <p>In the Plantation Avil: Evidence document Policy General, which describes the policy of prohibiting sexual harassment and any type of harassment within the organization, however, there is no evidence of communication at all levels of the labor force of the Policy to prevent sexual harassment.</p> <p><i>CAR was raised and closed – see Appendix A for detail</i></p>	
<b>6.9.2</b>	<b>A policy to protect the reproductive rights of all, especially of women, shall be implemented and communicated to all levels of the workforce.</b>	<i>Major</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	<p>Is evidenced n the extraction plant the sustainability policy, which describes the recognition of the basic right to procreate as well as the customs and cultures of its workers and contractors.</p> <p>In the Suramérica Plantation: Document ERA-PL-D-08 version 01 is evidenced that recognizes the basic right to procreate as well as the customs and cultures of its workers and contractors.</p>	
<b>6.9.3</b>	<b>A specific grievance mechanism, attended by trained personnel and of the same gender of the applicant, which respects anonymity and protects complainants where requested shall be established, implemented, and communicated to all levels of the workforce.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	Procedures are evidenced "For handling Complaints, claims and suggestions from interested parties EXAR-GH-D-96 Version 3.	
<b>Criteria 6.10: Growers and millers deal fairly and transparently with smallholders and other local businesses.</b>		
<b>6.10.1</b>	<b>Current and past prices paid for Fresh Fruit Bunches (FFB) shall be publicly available.</b>	<i>Minor</i>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	



<b>Objective evidence:</b>	It is evident the publication of the RFF price for the month of December 2016 and January 2017 inside the administrative offices of the extraction plant, also evidenced e-mail sent to the suppliers of fruit with the information of the prices of the fruit of each month on January 24, 2017, the publication of fruit prices is also shown on the billboards of small producers' associations.				
<b>6.10.2</b>	<b>Evidence shall be available that growers/millers have explained FFB pricing, and pricing mechanisms for FFB and inputs/services shall be documented (where these are under the control of the mill or plantation).</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The explanation of the prices of Fresh Fruit Cluster are presented through annex 1. The contract of sale of fruit which is signed with each of the suppliers of fruit. It evidences the contract of sale of fruit and its annex 1. From the supplier Ana Isabel Jimenez Garzón dated December 16, 2004.				
<b>6.10.3</b>	<b>Evidence shall be available that all parties understand the contractual agreements they enter into, and that contracts are fair, legal and transparent and include elements of business collaboration.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	It is evidenced that the contracts for the purchase of fruit meet the legal requirements and are understood and signed by the fruit producers, signed between the Extractive Plant and the suppliers of fruit.				
<b>6.10.4</b>	<b>Agreed payments shall be made in a timely manner.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The contract of sale of fruit describes the deadlines of payment each contract and these payments are made on the stipulated dates.				
<b>Criteria 6.11: Growers and millers contribute to local sustainable development where appropriate.</b>					
<b>6.11.1</b>	<b>Contributions to local development that are based on the results of consultation with local communities shall be demonstrated.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	It is evidenced contributions made to the community of Tucurínca (Trophies football awards); Also the extraction plant has the foundation FUNDEPALMA which is in charge of channeling all the contributions and programs of the social area with the community.  In the Plantation Suramérica: In the estate Los Guayabos is a school where about 20 to 25 children from the municipality of Pueblo Viejo study, of which the organization is in charge of the logistics and maintenance of the same. The company also has the foundation FUNDEPALMA which is in charge of channeling all the contributions and programs of the social area with the community.				
<b>6.11.2</b>	<b>Where there are scheme smallholders, there shall be evidence that efforts and/or resources have been allocated to improve smallholder productivity.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The Extractor plant has the area "Attention to the supplier" that is managed by the operational coordination of supply and through which the programs of attention to the suppliers are managed, for example "Viewing and Doing" program.				
<b>Criteria 6.12: No forms of forced or trafficked labour are used.</b>					
<b>6.12.1</b>	<b>There shall be evidence that no forms of forced or trafficked labour are used. This covers any kind of involuntary or compulsory labor, as that of a forced contract, in bondage labor or similar contractual arrangements.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	The extraction plant shows the sustainability policy, which describes the prohibition of child labor as well as forced labor in the company.  In Suramérica Plantation: The ERA-PL-D-08 version 1 sustainability policy is evidenced, which describes the prohibition of child labor as well as forced labor in the company.				
<b>6.12.2</b>	<b>Where applicable, it shall be demonstrated that no contract substitution has occurred.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	

<b>Objective evidence:</b>	The substitution of contract within the organizations is not evidenced.				
<b>6.12.3</b>	<b>Where temporary or migrant workers are employed, a special labour policy and procedures shall be established and implemented.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In Extractora El Roble is evidenced the Human Management Policy where it is described that it is promoted within the selection processes the recruitment of migrant workers without any type of discrimination provided allways that the required profiles and required documents are met. Currently the company does not count on its payroll with migrant personnel, but with women (in the administrative area) and personnel of the local communities.</p> <p>At Estate Suramérica: The organization currently has no temporary workers or migrants.</p> <p>Observation: Currently the organization does not have temporary or migrant personnel, however, it is important to have the policy and procedure to apply in the event that it is required in the future.</p>				
<b>Criteria 6.13: Growers and millers respect human rights</b>					
<b>6.13.1</b>	<b>A policy to respect human rights shall be documented and communicated to stakeholders and to all levels of the workforce and operations. This includes third parties hired (e.g., those involved in security). (see Criteria 1.2 and 2.1).</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In Extractora El Roble is evidenced the Sustainability Policy which includes the protection of human rights, communities and workers. The sustainability policy does not have a date of approval for the sake of making traceability of creation and updating.</p> <p>In the Plantation Suramérica: The ERA-PL-D-08 version 01 sustainability policy is evidenced which includes the protection of human rights, communities and workers. The sustainability policy does not have a date of approval for the sake of making traceability of creation and updating.</p> <p>observation: it should be ensured that documented policies such as: Comprehensive Sustainability Policy, which includes the policy of respect for human rights, has the date of approval and / or modifications.</p>				
<b>6.13.2</b>	<b>There shall be evidence of the progressive implementation of due diligence mechanisms to identify the level of adherence of their business practices to international human rights norms</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>In Extractora El Roble, is evidenced the Sustainability Policy, which includes the protection of human rights, communities and workers, which describes a declaration of the international human rights to be fulfilled ..</p> <p>In Suramérica Plantation: is evidenced The ERA-PL-D-08 version 01 sustainability policy which includes the protection of human rights, communities and workers, which describes a declaration of the international human rights to be fulfilled.</p>				
<b>6.13.3</b>	<b>There shall be evidence that measures were taken to ensure that hiring private security and surveillance services did not involve people with criminal records, criminal investigations in progress, or that have committed crimes against humanity.</b>				
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>The contractor company CAXAR, responsible for the security personnel, sends to Extractora El Roble the resumes of the security guards who work in the extractor together with the validation support of judicial records. The support of the security guard Jesús Carlos Sánchez Rangel is verified, and evidences of validation of judicial precedents are verified in the national police, general comptroller general, attorney general, all dated April 2015.</p> <p>In the Plantation Suramérica: The organization has a security area that includes 10 collaborators (one coordinator and 9 security assistants). Support for validation of judicial records is evidenced.</p>				

<b>Principle 7: Responsible Development of New Plantings</b>
<p>Note: This Principles are applicable for new area planted after November 2005</p> <p>There shall be evidence that no new plantings have replaced primary forest, or any area required to maintain or enhance one or more High Conservation Values (HCVs), since November 2005.</p> <p>Evidence should include historical remote sensing imagery which demonstrates that there has been no conversion of primary forest or any area required to maintain or enhance one or more HCV. Satellite or aerial</p>

<p>photographs, land use maps and vegetation maps should be used to inform the HCV assessment. Where land has been cleared since November 2005, and without a prior and adequate HCV assessment, it will be excluded from the RSPO certification programme until an adequate HCV compensation plan has been developed and accepted by the RSPO.</p>					
<p><b>Criteria 7.1: A comprehensive and participatory independent social and environmental impact assessment is undertaken prior to establishing new plantings or operations, or expanding existing ones, and the results incorporated into planning, management and operations.</b></p>					
7.1.1	<p><b>An independent social and environmental impact assessment (SEIA), undertaken through a participatory methodology including the relevant affected stakeholders, shall be documented.</b></p>				Major
Findings	In compliance:	Yes:	X	No:	
Objective evidence:	<p>It is evidenced the use of participatory methodology in the documents: Environmental impact study of the palm oil extraction activities of the Extractive Organization El Roble S.A.S. (June 2016-V2) and Social Impact Study: Social cartography for 6 populations of Magdalena belonging to the area of influence of the Extractor company EL ROBLO in the municipalities of Aracataca, Pueblo Viejo and Zona Bananera (Evidencia presents in criteria 5.1 This report)</p> <p>Attendance records of social mapping meetings and identification of the environmental impacts of the villages - Cauca, Aracataca, Tierra Nueva, Soplador, Tucurinca and Guayamacal municipalities were compiled in August 2016 with a total of 231 participants. The primary and secondary environmental impacts reported mainly identified were the integral management of solid waste, integrated management of water resources.</p>				
7.1.2	<p><b>Appropriate management planning and operational procedures shall be developed and implemented to avoid or mitigate identified potential negative impacts.</b></p>				Minor
Findings	In compliance:	Yes:	X	No:	
Objective evidence:	<p>It is presented evidence the management plans and measures of mitigation of environmental impacts stipulated in the studies: Environmental impact study for oil palm plantation companies that supply the Extractor Organization El Roble S.A.S., (Version 2 - June 2016), Study Of high conservation values (February 2016), Measurement Report and Greenhouse Gas Emission Mitigation Plan (October 2016), whose evidence was presented in Criteria 5 of this report, includes the analysis of the areas of planting considered as new plantations including certification.</p>				
7.1.3	<p><b>When oil palm developments include an outgrower scheme, special attention should be given to the impacts and implications of its management.</b></p>				Minor
Findings	In compliance:	Yes:	X	No:	
Objective evidence:	<p>It is evidenced that the analysis of impacts, evaluation and environmental management plan stipulated in the various environmental studies (Environmental Impact Study, High Conservation Values Study, Measurement Report and Greenhouse Gas Emission Mitigation Plan) Include within the analysis the estates Geneva, La Reneta, La Gabriela, El Reposo, El Corozo, Juan José, Palmares Tehobromina and La Luisa reported with areas under 50 hectares included in the certification.</p>				
<p><b>Criteria 7.2: Soil surveys and topographic information are used for site planning in the establishment of new plantings, and the results are incorporated into plans and operations.</b></p>					
7.2.1	<p><b>Soil suitability maps or soil surveys adequate to establish the long-term suitability of land for oil palm cultivation shall be available and taken into account in plans and operations.</b></p>				Major
Findings	In compliance:	Yes:	X	No:	
Objective evidence:	<p>It was evidenced that the estates have a plan to send samples of soils and foliars to the laboratory, in order to meet the needs of the crop and thus minimize nutrient losses</p> <p>Soil analysis are carried out every two years and foci analysis, once a year, based on the results and needs of the crop, determines and adjusts the solid fertilization plan, by the technical team, who have the Academic training and experience to make estimates of quantity and type of fertilizer to apply.</p> <p>In the estate Suramérica the results of the last foliar analysis performed the 20 of May of 2016 are verified. Report number 12911 by the Cenipalma Laboratory.</p> <p>The results of the last soil analysis are verified on January 15, 2015. Report number 11282 by the Cenipalma Laboratory.</p> <p>The 2016 fertilization monitoring plan is observed, with a compliance of 79%, due to the Mathew</p>				

	<p>Hurricane.</p> <p>In the Estate Avil, the results of the last foliar analysis carried out on April 14, 2016 by Agrilab Laboratory are verified.</p> <p>Last submission of samples of soils and foliars 19.01.2017 to the laboratory of the University Tadeo Lozano.</p> <p>Fertilization Plan number 16-006 of March 27, 2016.</p> <p>At La Gloria Estate, the Quality Control Report number 12917 of May 17, 2016 is presented by the Cenipalma laboratory.</p> <p>The Fertilization Plan 2016 is observed by Eng. Agr. Adalberto Mendez.</p>					
<b>7.2.2</b>	<b>Topographic information adequate to guide the planning of drainage and irrigation systems, roads and other infrastructure shall be available and taken into account in plans and operations.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>In the visits to the plantations, it was possible to show that all the topographic information of each one of the estates has been identified, where all the drainage and irrigation systems, roads and infrastructure have been identified. In addition, an Annual Maintenance Plan of internal roads and cableways, which includes the maintenance of internal roads, maintenance of primary irrigation channels, maintenance of secondary irrigation channels, maintenance of primary drainage channels and maintenance of primary drainage channels.</p>					
<b>Criteria 7.3: New plantings since November 2005, have not replaced primary forest or any area required to maintain or enhance one or more High Conservation Values.</b>						
<b>7.3.1</b>	<b>There shall be evidence that no new plantings have replaced primary forest, or any area required to maintain or enhance one or more High Conservation Values (HCVs), since November 2005. New plantings shall be planned and managed to best ensure the HCVs identified are maintained and/or enhanced (see Criteria 5.2).</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>Differences are evidenced between the total areas of the estates reported in the document "Plantation information to certify 2016" presented by the company during the audit and the area of the estates reported in the HCV and LUCA Study reviewed and accepted by the compensation panel of the RSPO.</p> <p>During the visit to the Avil estate, it was evidenced that the polygon of the exposed area in the estate does not coincide with the polygon reported in the study HCV and LUCA. In addition, there were differences in the area reported in the register of planted area (74.83 Ha) evidenced in the estate and the area reported in the plantation report to certify 2016 (72,75 Ha).</p> <p><i>CAR was raised and closed – see <b>Appendix A</b> for detail</i></p>					
<b>7.3.2</b>	<b>A comprehensive HCV assessment, including stakeholder consultation, shall be conducted prior to any conversion or new planting. This shall include a land use change analysis to determine changes to the vegetation since November 2005. This analysis shall be used, with proxies, to indicate changes to HCV status.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>It is evidenced the study of high conservation values in oil palm estates of group C.I. Biocosta S.A in the Colombian Caribbean, developed by the company Biologia Aplicada S.A.S. The study developed covers the estates of the Extractive Organization EL Roble S.A.S included in the certification. Evidence of stroke reported in the area is specified in Criteria 5.2 of this report).</p> <p>Additionally, the Land Use Change Study (LUCA) is evidenced for the plantations of Extractora el Roble S.A.S developed by the company Biologia Aplicada S.A.S. In December 2015. The results and methodology of the analysis were reviewed and approved by the RSPO Compensation Panel in January 2017 and a copy of the verification report Version 2 22-11-2016 developed by the company Daemeter is evidenced. The study presents as a unit of management 4467 ha which includes the area of the 20 estates in the process of certification, with monitoring periods of the years 2005, 2007, 2009, 2014 and 2015; It is evidenced that the company does not require a compensation plan.</p>					
<b>7.3.3</b>	<b>Dates of land preparation and commencement shall be recorded.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	<p>Is presented evidence of the list of plantations to certify 2016 presented by Extractora el Roble S.A.S, which contains the total area of the estates, area of plantations and years of planting from</p>					

	2005 to 2016. Additionally, the information is verified during the visit to the Suramérica and Avil estates where the document "Sowing Area Register" is evidenced which includes for both plantations the area of planting by lot, year of planting and variety.					
<b>7.3.4</b>	<b>An action plan shall be developed that describes operational actions consequent to the findings of the HCV assessment, and that references the grower's relevant operational procedures (see Criteria 5.2).</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	It is evidenced in the Study of high conservation values in estates of oil palm cultivation of group C.I. Biocosta S.A in the Colombian Caribbean, developed by the company Biología Aplicada S.A.S. The management and monitoring plan for the identified strokes. Evidence of the implementation of the plan is presented in criteria 5.2 of this report.					
<b>7.3.5</b>	<b>Areas required by affected communities to meet their basic needs, taking into account potential positive and negative changes in livelihood resulting from proposed operations, shall be identified in consultation with the communities and incorporated into HCV assessments and management plans (see Criteria 5.2).</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	It is evidenced in the Study of high conservation values in estates of oil palm cultivation of group C.I. Biocosta S.A in the Colombian Caribbean, developed by the company Biología Aplicada S.A.S. That within the area of direct influence of the estates contemplated for this certification there are no reported HCV5 and other services that represent changes in the livelihoods of the local communities. The report evidences the inclusion of the stakeholder consultation for the identification of stroke with participatory methodologies such as historical calendar, interviews, participatory cartography used with communities. In addition, it is presented in the attendance list to the EXAR GH R57 consultation meeting (25-9-2015) and Annex 10.39 of the study presents the list of institutional actors participating in the consultation.					
<b>7.3.6</b>	<b>There shall be evidence of socializing and training to employees about rare, threatened or enahazarded species present in the region and their management plan within the plantation.</b>					<i>Minor</i>
<b>Findings</b>	In Compliance	Yes:	X	No:		
<b>Objective evidence</b>	During the visit to the Suramérica plant and plantation, La Pepilla and Avil is evidenced by interviews with workers and review of training formats, socialization and training regarding the species included in the HCV and their management measures. Evidence is recorded in criteria 5.2.3 of this report.					
<b>Criteria 7.4: Extensive planting on steep terrain, and/or on marginal and fragile soils, including peat, is avoided.</b>						
<b>7.4.1</b>	<b>Maps identifying marginal and fragile soils, including excessive gradients and peat soils, shall be available and used to identify areas to be avoided.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	The fragile soil maps for each of the estates were verified with the "Identification of fragile or vulnerable soils", prepared by the company "Applied Biology" (BIOAP), at a scale of 1: 30,000, updated in 2017. It was evidenced that the capacity of land use, according to the cartographic map "Study of soils of the Magdalena performed by the IGAC, in which it is identified that the estates have a capacity of use in the classes 3-5 and 4-5, with slopes Less than 25% and it is concluded that they are suitable for palm crop.					
<b>7.4.2</b>	<b>Where limited planting on fragile and marginal soils, including peat, is proposed, plans shall be developed and implemented to protect them without incurring adverse impacts.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	Soil use capacity was evidenced according to the cartographic map "Study of soils of the Magdalena performed by the IGAC, in which it is identified that the estates have a capacity of use in the classes 3-5 and 4-5, with slopes less than 25% and it is concluded that they are suitable for palm crop.					

<b>Criteria 7.5 No new plantings are established on local peoples' land where it can be demonstrated that there are legal, customary or user rights, without their free, prior and informed consent. This is dealt with through a documented system that enables these and other stakeholders to express their views through their own representative institutions.</b>						
7.5.1	<b>Evidence shall be available that affected local peoples* understand they have the right to say 'no' to operations planned on their lands before and during initial discussions, during the stage of information gathering and associated consultations, during negotiations, and up until an agreement with the grower/miller is signed and ratified by these local peoples.</b>					<i>Major</i>
	<b>*Affected local peoples, refer to those that demonstrate they have legal, customary or use rights.</b>					
<b>Findings</b>	In compliance	<b>Yes:</b>	X	<b>No:</b>		
<b>Objective evidence:</b>	<p>The company evidences the Environmental Impact Study with a participatory methodology, whose analysis of positive and negative impacts links the participation of the existing communities in the direct areas of influence of the plantations and the benefit plan were participants (evidence presented in indicator 7.1 and 5.1 of this report), it is necessary to include it that was evidenced in the social studies.</p> <p>It is evidenced the use of participatory methodology in the documents: Environmental impact study of the palm oil extraction activities of the Extractive Organization El Roble S.A.S. (June 2016-V2) and Social impact study: Social cartography for 6 populations of Magdalena belonging to the area of influence of the Extractor company EL ROBLE in the municipalities of Aracataca, Pueblo Viejo and Zona Bananera (Evidencia presents in criteria 5.1 This report)</p> <p>It is important to note that it is corroborated that the plantations were planted on privately owned estates reviewed in the light of legal property documents such as public deeds and certificates of freedom and tradition.</p>					
7.5.2	<b>The company, the medium or small owner who will acquire a new property shall apply due diligence to verify the legal status of the land to be acquired, so that the property is free of any legal issues.</b>					
<b>Findings</b>	In compliance	<b>Yes:</b>	X	<b>No:</b>		
<b>Objective evidence:</b>	The company has a procedure for the planting of new plantations where it has stipulated the due diligence for the verification of the legality of the property to buy this is through the analysis of legal documents that in Colombia are requested for the verification of land without any difficulty of possession or displacement.					
<b>Criteria 7.6: Where it can be demonstrated that local peoples have legal, customary or user rights, they are compensated for any agreed land acquisitions and relinquishment of rights, subject to their free, prior and informed consent and negotiated agreements.</b>						
7.6.1	<b>Documented identification and assessment of demonstrable legal, customary and user rights shall be available.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	EXAR-GA-D-94 version 3, which describes the procedure for the identification and evaluation of customary, legal or use rights presented in the extractive plant and plantations, evidence the procedure for the identification of customary rights. .					
7.6.2	<b>A system for identifying people entitled to compensation shall be in place.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	The procedure for calculating fair compensation is described in the EXAR-GA-D-94 version 3 procedure for the identification of customary rights in the extraction plant and plantations.					
7.6.3	<b>A system for calculating and distributing fair compensation (monetary or otherwise) shall be in place.</b>					<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		
<b>Objective evidence:</b>	The procedure for calculating fair compensation is described in the EXAR-GA-D-94 version 3 procedure for the identification of customary rights in the extraction plant and plantations.					
7.6.4	<b>Communities that have lost access and rights to land for plantation expansion shall be given opportunities to benefit from plantation development.</b>					<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:		

<b>Objective evidence:</b>	Does not apply. There is no evidence of communities that have lost access and rights to land for expansion of crops.				
<b>7.6.5</b>	<b>The process and outcome of any compensation claims shall be documented and made publicly available.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	Does not apply. There is no evidence of compensation claims processes either in the Extractive Plant or in the plantations.				
<b>7.6.6</b>	<b>Evidence shall be available that the affected communities and rights holders have access to information and advice, that is independent of the project proponent, concerning the legal, economic, environmental and social implications of the proposed operations on their lands.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	There is no evidence of communities affected by the operations proposed by the plantations.				
<b>Criteria 7.7: No use of fire in the preparation of new plantings other than in specific situations, as identified in the ASEAN guidelines or other regional best practice.</b>					
<b>7.7.1</b>	<b>There shall be no land preparation by burning, other than in specific situations, as those allowed in the current national regulation.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	During the visit to the lots of the properties Suramérica, La Pepilla and Avil and extraction plant, traces of burnings for the preparation of land and / or solid waste burning were not evidenced. (Evidence presented in criteria 5.5.1 and 5.5.2 of this report)				
<b>7.7.2</b>	<b>In exceptional cases where fire has to be used for preparing land for planting, there shall be evidence of prior approval of the controlled burning by the environmental authority, according to the current national regulation.</b>				<b>Specific Guidance:</b> For 7.7.2: This activity shall be carried out in a way that minimizes its environmental impact as stated in 7.1. <b>Guidance:</b> Fire should be used only when it is demonstrated that it is the most environmentally damaging option available.
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	During the visit to the lots of the properties Suramérica, La Pepilla and Avil and extraction plant, traces of burnings for the preparation of land and / or solid waste burning were not evidenced. (Evidence presented in criteria 5.5.1 and 5.5.2 of this report)				
<b>Criteria 7.8: New plantation developments are designed to minimize net greenhouse gas emissions.</b>					
<b>7.8.1</b>	<b>The carbon stock of the proposed development area and major potential sources of emissions that may result directly from the development shall be identified and estimated.</b>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	Is evidenced the estimation of carbon emissions and stock generated in the areas established as new plantation within the Carbon Footprint Final Report and the El Roble Extractive Mitigation Plan (October 2016 -V2.0) prepared by the company EcosSistema for the calculation of The emissions generated in the period 2013- 2015. The document shows the Management Plan for the reduction of emissions for plantations and extraction plant. The PALMGHG CALCULATOR is used as a methodological tool for calculation.				
<b>7.8.2</b>	<b>There shall be a plan to minimise net GHG emissions which takes into account avoidance of land areas with high carbon stocks and/or sequestration options.</b>				<i>Minor</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	Are evidenced the identification, monitoring and management plan of the GHG emissions for plantations and beneficiation plant, documented in the Carbon Footprint Final Report and the Extractor El Roble Mitigation Plan (October 2016 -V2.0). Evidence of plan recorded in Criteria 5.6.2 of this report.				

<b>Principle 8: Commitment to Continual Improvement in Key Areas of Activity</b>					
<b>Criteria 8.1: Growers and millers regularly monitor and review their activities and develop and implement action plans that allow demonstrable continual improvement in key operations.</b>					
<b>8.1.1</b>	<p>The action plan for continual improvement shall be implemented, based on a consideration of the main social and environmental impacts and opportunities of the grower/mill, and shall include a range of Indicators covered by these Principles and Criteria.</p> <p>As a minimum, these shall include, but are not necessarily be limited to:</p> <ul style="list-style-type: none"> <li>• Reduction in use of pesticides(Criteria 4.6);</li> <li>• Environmental impacts (Criteria 4.3, 5.1 and 5.2);</li> <li>• Waste reduction (Criteria 5.3);</li> <li>• Pollution and greenhouse gas (GHG) emissions (Criteria 5.6 and 7.8);</li> <li>• Social impacts (Criteria 6.1);</li> <li>• Optimising the yield of the supply base.</li> </ul>				<i>Major</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	It is evidenced in Extractive Plant and Plantations that the companies have plans for continuous improvement for all studies carried out such as: Environmental impact study, social impact study, identification of high conservation values and analysis of greenhouse gases, there are programs for the reduction in the application of agrochemicals and reduction of environmental pollution. In the same way, the companies hold meetings of a management type that stipulate commitments to the presented difficulties and their respective action plans, in the social and environmental areas and of productivity.				

### 3.1.2 Supply Chain (delete either Module D or Module E used by the mill)

For supply chain, the Extractora El Roble S.A.S Palm Oil Mill has decided to use Module E in this assessment. The findings and objective evidence find during the assessment are outlined in the table below. The results for each indicator from each of the operational areas were evaluated to provide an assessment of conformity. A statement is provided for each of the RSPO indicators in order to support the findings of the assessment team.

#### **Module E – CPO Mills: Mass Balance**

**Note: Subsections below shall be deleted if it is not applicable. Do not delete main heading.**

<b>Module E – CPO Mills: Mass Balance</b>					
<b>E.1: Definition</b>					
<b>E.1.1</b>	Certification for CPO mills is necessary to verify the volumes of certified and uncertified FFB entering the mill and volume sales of RSPO certified producers. A mill may be taking delivery of FFB from uncertified growers, in addition to those from its own certified land base. In that scenario, the mill can claim only the volume of oil palm products produced from processing of the certified FFB as MB.				
<b>E.2: Explanation</b>					
<b>E.2.1</b>	The estimated tonnage of CPO and PK products that could potentially be produced by the certified mill must be recorded by the CB in the public summary of the P&C certification report. This figure represents the total volume of certified palm oil product (CPO and PK) that the certified mill is allowed to deliver in a year. The actual tonnage produced should then be recorded in each subsequent annual surveillance report.				<i>MAJOR</i>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	It is evidenced a budget of tons to produce certified by RSPO like this: 1. 2017 Tons of Crude Palm Oil CPO: 10,348 2. 2017 Tons of Crude Palm Oil CPKO: 938				
<b>E.2.2</b>	The mill must also meet all registration and reporting requirements for the				<i>MAJOR</i>



	appropriate supply chain through the RSPO supply chain managing organization (RSPO IT platform or book and claim).	
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input checked="" type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	Due to the fact that the first certified product transaction has not yet been performed, since it is not yet a certified company, it does not have registration and reporting for the supply chain through the computer platform or registration and claim	
<b>E.3: Documented Procedures</b>		
<b>E.3.1</b>	The site shall have written procedures and/or work instructions to ensure the implementation of all the elements specified in these requirements. This shall include at minimum the following:  a) Complete and up to date procedures covering the implementation of all the elements in these requirements;  b) The role of the person having overall responsibility for and authority over the implementation of these requirements and compliance with all applicable requirements. This person shall be able to demonstrate awareness of the site's procedures for the implementation of this standard.	<b>MAJOR</b>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	The company has a Manual of Chain of Custody Extractor Plant El Roble SAS identified with code EXAR-PD-100 which was prepared on November 29, 2016 and reviewed by the head of Supply Chain, in that document identify the points Control checks, verification and information to the certifying body on greater or lesser productivity and the entire process of chain of custody within the extraction plant.	
<b>E.3.2</b>	The site shall have documented procedures for receiving and processing certified and non-certified FFBs.	<b>MAJOR</b>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	The company has a Manual of Chain of Custody Extractor Plant El Roble S.A.S. identified with code EXAR-PD-100 which was prepared on November 29, 2016 and reviewed by the head of Supply Chain, in that document identify the points Verification and information to the certification body on more or less productivity and the entire process of chain of custody within the extraction plant in item 4.3 of documented procedures stipulates the procedure for receipt and processing of certified and non-certified RFF Certificate identified that document with the code EXAR - OP-D-27.	
<b>E.4: Purchasing and goods in</b>		
<b>E.4.1</b>	The site shall verify and document the volumes of certified and non-certified FFBs received.	<b>MAJOR</b>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	The company has a Manual of Chain of Custody Extractor Plant El Roble S.A.S. identified with code EXAR-PD-100 which was prepared on November 29, 2016 and reviewed by the head of Supply Chain, in that document identify the points Verification and information to the certification body on more or less productivity and the entire process of chain of custody within the extraction plant in item 4.3 of documented procedures stipulates the procedure for receipt and processing of certified and non-certified RFF Certificate (Volumes) identified this document with the code EXAR - OP-D-27.  In the Manual of Chain of Custody of Extractive Plant El Roble SAS in its numeral 4.9 report of production of oil and certified and conventional byproducts stipulates the daily report of processed of fruit and almond certified and / or conventional, which stipulates that daily Documents the inventory system through process records, identifying certified or non-certified RFF volumes in the D-FUSION system.	
<b>E.4.2</b>	The site shall inform the CB immediately if there is a projected overproduction of certified tonnage.	<b>MAJOR</b>
<b>Findings</b>	In compliance: <input type="checkbox"/> Yes: <input type="checkbox"/> X No: <input type="checkbox"/>	
<b>Objective evidence:</b>	The company has a Manual of Chain of Custody Extractor Plant El Roble SAS identified with code EXAR-PD-100 which was prepared on November 29, 2016 and reviewed by the head of Supply Chain, in that document identify the points Verification and information to the certification body on more or less productivity and the entire process of chain of custody within the extraction plant in	

	<p>item 4.3 of documented procedures stipulates the procedure for receipt and processing of certified and non-certified RFF Certificate (Volumes) identified this document with the code EXAR - OP-D-27.</p> <p>In the Manual of Chain of Custody of Extractive Plant El Roble SAS in its numeral 4.9 report of production of oil and certified and conventional byproducts stipulates the daily report of processed of fruit and almond certified and / or conventional, which stipulates that daily Documents the inventory system through process records, identifying certified or non-certified RFF volumes in the D-FUSION system.</p>				
<b>E.5: Record keeping</b>					
<b>E.5.1</b>	<p>a. The site shall record and balance all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a three-monthly basis.</p> <p>b. All volumes of palm oil and palm kernel oil that are delivered are deducted from the material accounting system according to conversion ratios stated by RSPO.</p> <p>c. The site can only deliver Mass Balance sales from a positive stock. Positive stock can include product ordered for delivery within three months. However, a site is allowed to sell short.(ie product can be sold before it is in stock.)</p> <p>For further details refer to Module C.</p>				<b>MAJOR</b>
<b>Findings</b>	In compliance:	Yes:	X	No:	
<b>Objective evidence:</b>	<p>The company has a Manual of Chain of Custody Extractor Plant El Roble SAS identified with code EXAR-PD-100 which was prepared on November 29, 2016 and checked by the Supply Chain Manager, in that document in item 5.2 Definition of the time frame for the balance (period) stipulates the periodicity to record, report and balance the reception of RFF with a margin of 3 months.</p> <p>Item 5.3 Methodology of the amount of credit stipulates the sale of certified product defined as positive credit will be made within a process of inventory management of the company balanced in periods of three months.</p>				
<b>E.5.2</b>	<p>In cases where a mill outsources activities to an independent (not owned by the same organization) palm kernel crush, the crush still falls under the responsibility of the mill and does not need to be separately certified. The mill has to ensure that the crush is covered through a signed and enforceable agreement.</p>				<b>MAJOR</b>
<b>Findings</b>	In compliance:	Yes:		No:	
<b>Objective evidence:</b>	Does not apply				

**3.2 Corrective Action Request (CAR)**

There are total of 9 Major and 7 Minor CAR were raised. Please refer to the **Appendix A** for the details findings and relevant correction actions have been taken.

**3.3 Noteworthy Positive Components**

The company Extractora El Roble SAS presents a positive balance within the audit to comply with the Principles and Criteria of the RSPO and the supply chain system, due to the fact that it has a stipulated team work to fulfill all the requirements And sustainability work between stakeholders and the company. It also has the commitment of the top management to demonstrate that the oil palm business is carried out in a sustainable way in its social, environmental and economic pillars and this allows to verify that also its entire labor force is committed to the fulfillment of the principles and the RSPO criteria.

**3.4 Status of Non-Conformities Previously Identified**

Please refer to **Appendix B** for the previous audit.

**3.5 Issues Raised by Stakeholders and Findings**

A list of stakeholders contacted with detail issue raised is included as **Appendix C**.

**4. ACKNOWLEDGEMENT OF ORGANIZATION INTERNAL RESPONSIBILITY**

**4.1 Conclusion**

The audit team concludes that the organization  has  has not established and maintained its management system in line with the RSPO P&C and Supply Chain requirements of the standard and demonstrated the ability of the system to systematically achieve agreed criteria & requirements.

**4.2 Date of Next Surveillance Visit**

The next surveillance audit is planned within 9 to 12 months from the date of issuance certificate.

**4.3 Date of Closing Non-Conformities**

REFERENCE NUMBER	CATEGORY (Major/Minor)	ISSUED DATE	CLOSE OUT DATE
1.1.2	Major	27/01/2017	17-04-2017
2.1.1	Major	27/01/2017	17-04-2017
2.1.3	Minor	27/01/2017	“Open”
2.2.1	Major	27/01/2017	17-04-2017
4.3.3	Minor	27/01/2017	“Open”
4.4.1	Minor	27/01/2017	“Open”
4.6.2	Major	27/01/2017	17-04-2017
4.6.4	Minor	27/01/2017	“Open”
4.6.6	Major	27/01/2017	17-04-2017
5.1.2	Minor	27/01/2017	“Open”
5.2.4	Minor	27/01/2017	“Open”
5.3.2	Major	27/01/2017	17-04-2017
6.2.3	Minor	27/01/2017	“Open”
6.4.1	Major	27/01/2017	17-04-2017
6.9.1	Major	27/01/2017	17-04-2017
7.3.1	Major	27/01/2017	17-04-2017

**4.4 Acknowledgement of Internal Responsibility and Formal Sign-off Assessment Findings**

PT SGS Indonesia and Client acknowledge and confirms acceptance of the Report contents and including the assessment findings. PT SGS Indonesia and Client accept the responsibility for addressing the opportunities of improvement detailed in this report.

Signed on behalf of Extractora EI Roble SAS	Signed on behalf of PT SGS Indonesia

## APPENDIX A: CORRECTIVE ACTION REQUEST &amp; OBSERVATION

CAR #	Indicator	CAR Detail					
1	1.1.2	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b>	17/04/2017
<b>Normative reference and requirements:</b>							
Records of requests for information and responses shall be maintained.							
<b>Statement of Non-Conformance:</b>							
There are no records of requests for information and their responses							
<b>Objective Evidence:</b>							
In the audit of the La Gloria, Avil and Suramérica plantations there was no follow-up and registration of the requests and their answers, there is a procedure for the handling of complaints, claims and / or suggestions from stakeholders, but it was not possible to corroborate their effectiveness.							
<b>Root cause analysis to be completed by Organization:</b>							
Tool: Brainstorming							
1. The procedure was not adjusted and aligned to the needs of the plantation; Was a robust procedure associated to the management and methodology of the Mill.							
2. Lack of knowledge and management of the procedure by plantation staff; They were not clear on which complaints they should report.							
3. Lack of follow-up to the implementation of the procedure.							
<b>Corrective Action to be completed by Organization:</b>							
The following corrective actions will be taken:							
1. Review and adjustment of the PQRS management procedure of plantations.							
2. Socialization of the procedure to plantation staff.							
3. filling and formalization of complaints in the record stipulated by the plantations (if they are presented).							
View evidence in the Nonconformance folder 1.							
<b>Preventative Action to be completed by Organization:</b>							
Preventive actions:							
1. Follow-up audit by the Mill to the plantations the first week of March, and subsequent to this quarterly audits to verify compliance with the procedure.							
2. Advertising campaign focused on the theme of communication (This activity will be carried out throughout 2017).							
View evidence in the Nonconformance folder 1.							
<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>							
Application records and the responses given to these manifestations are presented, as well as records of the disclosure of the PQRS procedure to all employees of the organizations.							
2	2.1.1	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b>	17/04/2017
<b>Normative reference and requirements:</b>							
Evidence of compliance with relevant legal requirements shall be available.							
<b>Statement of Non-Conformance:</b>							
It is not complied the legal regulations regarding safe storage of materials, waste water treatment with agrochemicals, road safety plan, safe work of Alturas, storage plan and final disposal of hazardous waste.							
<b>Objective Evidence:</b>							

CAR #	Indicator	CAR Detail
		<p>During the visit to the extraction plant, it was evidenced that it does not have containment dams to control emergencies of spills in the storage of finished product.</p> <p>In La Gloria and Avil estates there was no treatment system for wastewater with traces of agrochemicals</p> <p>In the Suramérica estate, there is no evidence of efficient control of the risk of heights in the fuel zone.</p> <p>The road safety plan approved by a competent entity is not evidenced</p> <p>In the extraction plant there is no adequate temporary storage of RESPEL, as well as efficient storage of chemical products by deficient ventilation for hexane; in the Suramérica and Avil plantations no hazardous waste characterization is presented for final disposal, no Traceability is evidenced with the final disposal certificate presented in the audit.</p> <p>The Extractor Plant shows lack of implementation of the order and cleanliness program, as well as improvements in the infrastructure of the roof of the facilities.</p> <p><b>Root cause analysis to be completed by Organization:</b></p>

CAR #	Indicator	CAR Detail
		<p>The tool for analysis of cause is Brainstorming, which will be done for each breach stipulated in non-compliance:</p> <p><b>1. Safe storage of materials (retaining walls).</b></p> <ul style="list-style-type: none"> <li>• Lack of project management scheme for the execution of infrastructure improvement project.</li> <li>• Lack of allocation of economic resources by the extractor for the realization of the containment dikes.</li> <li>• Expected execution of expansion projects of the extraction plant.</li> </ul> <p><b>2. Efficient management for agrochemical water management</b></p> <ul style="list-style-type: none"> <li>• The procedure for conducting filter construction was not standardized.</li> <li>• Lack of technical knowledge by plantation staff in the design of the filter.</li> <li>• Lack of support and supervision during filter construction.</li> </ul> <p><b>3. Road Safety Plan</b></p> <p>* The document was not approved, due to delay in the response of the competent entity; The extractor sent her document for review and approval in August 2015.</p> <p><b>4. Safe work in height</b></p> <p>* The loading point was not considered as a work activity in height, therefore it was not included the personnel that carries out this work.</p> <p><b>3. Plan of storage and final disposal of hazardous waste</b></p> <ul style="list-style-type: none"> <li>• No specific procedure to indicate the guidelines for the storage and disposal of hazardous waste, so that the Extractor plant and plantations have a greater clarity in the management of these.</li> <li>• Lack of clarity in chemical storage compatibility in the Warehouse area.</li> </ul> <p><i>(For the closure of this non-conformity see detail in non-compliance No. 12).</i></p> <p><b>4. Infrastructure, order and toilet extraction plant.</b></p> <ul style="list-style-type: none"> <li>• There was no formal establishment of an order and cleaning program in the Extractor plant</li> <li>• Despite training on proper waste management (where emphasis is given to the cleanliness of each job), there were no awareness days for plant personnel on order and cleanliness</li> <li>• The action plans and schedule for the execution of the order and cleanliness program had not been established</li> <li>• Lack of culture and awareness of all personnel of the extractive plant</li> <li>• There have been no improvements in infrastructure such as the change of ceilings of the industrial plant (project to be implemented)</li> <li>• The main roads are not paved, generating excess dust</li> </ul>
		<b>Corrective Action to be completed by Organization:</b>

CAR #	Indicator	CAR Detail
		<p>Corrective actions to be taken are detailed for each of the nonconformities detected:</p> <p><b>1. Safe storage of materials (retaining walls).</b></p> <ul style="list-style-type: none"> <li>• Definition of administrative scheme for the execution of civil works (leasing projects) in Planta Beneficio.</li> <li>• Planning of the construction of the walls of quotation.</li> <li>• Definition of terms of reference for the lifting of retaining walls in oil storage area.</li> <li>• Comparison of proposals for contracting suppliers for the execution of the project.</li> <li>• Approval of the quotation for the realization of the walls</li> <li>• Execution of a project to lift retaining walls in an oil storage area.</li> </ul> <p><b>2. Efficient treatment for water management of agrochemicals</b></p> <ul style="list-style-type: none"> <li>• Documentation of a procedure for the construction of filters or biological beds.</li> <li>• Socialization of the procedure to plantation staff.</li> <li>• Inspection of filters or biological beds by the extractor to the plantations</li> <li>• Improvements and construction of filters or biological beds in those plantations that presented deficiencies in the adequacy.</li> </ul> <p><b>3. Road Safety Plan</b></p> <ul style="list-style-type: none"> <li>• Send a letter to the transport ministry requesting a response to the approval of the document.</li> </ul> <p><b>4. Safe work in height</b></p> <ul style="list-style-type: none"> <li>• Audit the plantations to identify the points where work is carried out in height, comparing with the risk matrix.</li> <li>• Adjust risk matrix, including non-contemplated height work activities.</li> <li>• Ask the plantation for a list of personnel who work at height and verify that they have the respective supports.</li> <li>• Schedule work in height for staff who do not hold the certificate</li> </ul> <p><b>5. Plan of storage and final disposal of hazardous waste</b></p> <p>See details of the actions of this non-conformity in the non-conformity N °9.</p> <p><b>6. Infrastructure, order and toilet extraction plant.</b></p> <ul style="list-style-type: none"> <li>• Perform a day of organization and cleaning of the extraction plant.</li> <li>• Implement order and cleaning program in the Extractor plant.</li> <li>• Change of ceilings of the industrial plant.</li> </ul> <p><b>Preventative Action to be completed by Organization:</b></p> <ul style="list-style-type: none"> <li>• Quarterly audit by the team of sustainability of the extractor in the field to verify the points referenced as nonconformity in the legal issue, in order to follow up on compliance with them.</li> <li>• Take an annual sample of the waste water from the filters in order to verify their performance.</li> <li>• Periodic cleaning of the extraction plant.</li> </ul> <p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p>



CAR #	Indicator	CAR Detail					
		<p>There are evidences necessary for the closure of Non-Conformity, which are described below:</p> <ul style="list-style-type: none"> <li>• Civil Works Contract for the manufacture of a retaining wall for storage tanks of Crude Oil of Palma with PROARC S.A.S. Dated March 1, 2017.</li> <li>• Training records of the procedure for the design of filters - biological area, to the collaborators of the plant health area.</li> <li>• Procedure Construction of filter or biological bed.</li> <li>• Photographic records of the construction of the biological bed of Estate Avil.</li> <li>• Inspection formats to each estate for verification of work in heights, proper handling of agrochemicals.</li> <li>• Letter of reference to the Control, Surveillance and Traffic and Transport Regulation Unit dated February 2, 2017, requesting a response to the approval of the Road Safety Strategic Plan of the El Roble Extractor.</li> <li>• Supports of the Technological Transfer Project - El Roble Extractor Ceilings Project, together with the cleaning plans.</li> <li>• Inspection formats of the 5'S Program in each of the El Roble Extractor areas.</li> <li>• Document Order and Cleaning Program based on the 5'S methodology.</li> <li>• List of Workers pending for realization of Heights course.</li> <li>• Programming for the completion of the Alturas course for all outstanding workers, which were scheduled for March 16 and 30, 2017.</li> <li>• Hazard Identification Matrix of the company El Roble Agrícola, where the positions exposed to risk for work in Alturas are identified.</li> <li>• Procedure for the Safe Handling of Chemicals.</li> <li>• Records of socialization of the Procedure for the Safe Management of Chemicals to the involved collaborators and leaders of process.</li> <li>• Hazardous Waste Referral Records of all Plantations (EXAR-SSM-R-211).</li> <li>• Order of Service No. 12144 for the company JL Ingeniería S.A.S., for the manufacture of a collection center in the El Roble Extractor Plant.</li> <li>• • Sample manifests of hazardous waste loading of the company ALBEDO, as evidence of hazardous waste collection of each plantation.</li> </ul>					
3	2.1.3	<b>Date Recorded&gt;</b> 27/01/2017	<b>Due Date&gt;</b>	Next Surveillance	<b>Date Closed&gt;</b>	Open	
		<b>Normative reference and requirements:</b>					
		A mechanism for ensuring compliance with legal requirements shall be implemented.					
		<b>Statement of Non-Conformance:</b>					
		There is no evidence of a mechanism to ensure compliance with legal requirements					
		<b>Objective Evidence:</b>					
		An efficient mechanism that demonstrates the control, monitoring and compliance of the legal stipulations (normative compilation) was not found in plants or plantations.					
		<b>Root cause analysis to be completed by Organization:</b>					
		The tool used for the analysis of the cause will be brainstorming: <ul style="list-style-type: none"> <li>• A mechanism for control in legal matrices was not standardized.</li> <li>• There was no clear understanding of the mechanism to be implemented for the assessment of compliance with standards.</li> </ul>					
		<b>Corrective Action to be completed by Organization:</b>					
		Corrective actions to be taken: <ul style="list-style-type: none"> <li>• Review and adjustment of the procedure for identifying legal requirements for the extraction plant and plantations.</li> <li>• Socialization of the changes of the procedure in extraction plant and plantations.</li> <li>• Review of legal matrices.</li> <li>• Definition and implementation of the tracking mechanism of legal matrices.</li> </ul>					
		<b>Preventative Action to be completed by Organization:</b>					

CAR #	Indicator	CAR Detail				
		<ul style="list-style-type: none"> <li>The extractor will assign a person responsible for the monitoring of compliance with the laws applicable to the organization.</li> <li>Quarterly audits to verify the progress of compliance with legal requirements.</li> </ul>				
		<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>				
		An action plan is accepted, this will be further verified during subsequent surveillance visit.				
4	2.2.1	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b> 17/04/2017
		<b>Normative reference and requirements:</b>				
		Documents showing legal ownership or lease, history of land tenure and the actual legal use of the land shall be available				
		<b>Statement of Non-Conformance:</b>				
		Not all legal documents were evidenced as public deeds				
		<b>Objective Evidence:</b>				
		In the estate La Gloria was not evidenced the public deed that demonstrates the legal ownership of the property.				
		<b>Root cause analysis to be completed by Organization:</b>				
		The tool used for the analysis of the cause will be brainstorming:				
		There is no provision of the document by the person in charge of the plantation at the time of submitting the audit.				
		<b>Corrective Action to be completed by Organization:</b>				
		Review of deeds of the plantations that entered the first phase of certification, in order to ensure that all have this document.				
		<b>Preventative Action to be completed by Organization:</b>				
		<ul style="list-style-type: none"> <li>Semi-annual reviews of the certificates of freedom and tradition of plantations, in order to have security of the legal status of the property and to review possible changes in the deeds.</li> <li>When including new plantations, thoroughly review your legal documents.</li> </ul>				
		<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>				
		It is evidenced Public Deed No. 875 owned by Hermanos Alvarez Celedon Ltda - Alfredo and Hernando Lacouture Dangond and Certificate of Freedom and Tradition No. 222-994, which evidences the legality of the property of the estate La Gloria.				
5	4.3.3	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	Next Surveillance visit	<b>Date Closed&gt;</b> Open
		<b>Normative reference and requirements:</b>				
		A road maintenance programme shall be in place.				
		<b>Statement of Non-Conformance:</b>				
		No road maintenance program is evidenced.				
		<b>Objective Evidence:</b>				
		In La Gloria and Avil estates no document or similar road maintenance was presented.				
		<b>Root cause analysis to be completed by Organization:</b>				
		The tool used for the analysis of the cause will be brainstorming:				
		<ul style="list-style-type: none"> <li>The road maintenance plan was not formalized, the plantations were performing maintenance but did not document them.</li> <li>Lack of understanding and clarity of compliance with this indicator.</li> </ul>				

CAR #	Indicator	CAR Detail			
		<p><b>Corrective Action to be completed by Organization:</b></p> <ul style="list-style-type: none"> <li>Socialize the procedure of maintenance of roads in the plantations by the technical assistance unit, to dispel doubts to those responsible for this work.</li> <li>Design and documentation of the road maintenance program on plantations.</li> <li>Follow-up to the implementation of the pathway program.</li> </ul>			
		<p><b>Preventative Action to be completed by Organization:</b></p> <p>Semi-annual audit of the extractor to monitor the compliance and execution of the road maintenance plan.</p>			
		<p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p> <p>An action plan is accepted, this will be further verified during subsequent surveillance visit.</p>			
6	4.4.1	<p><b>Date Recorded&gt;</b></p> <p>27/01/2017</p>	<p><b>Due Date&gt;</b></p>	<p>Next Surveillance visit</p>	<p><b>Date Closed&gt;</b></p> <p>Open</p>
		<p>Normative reference and requirements:</p> <p>A water management plan shall be implemented.</p>			
		<p><b>Statement of Non-Conformance:</b></p> <p>There is no evidence of implementation of a water management plan</p>			
		<p><b>Objective Evidence:</b></p> <p>In the visit to the plantations, there is no evidence of an efficient system of the water management plan, finding that the flood irrigation system used presents a low percentage of efficiency in the use of the water resource.</p>			
		<p><b>Root cause analysis to be completed by Organization:</b></p>			
		<p><b>Brainstorm:</b></p> <ul style="list-style-type: none"> <li>The plan of management of the water that was given to the plantations was performed focused for the extraction plant and not specific by plantation.</li> <li>Lack of engagement of the water management plan delivered to the plantations with the actions taken with the agronomic department.</li> <li>The actions taken by UAATAS, in the rational management of water resources (projects, implemented actions, diagnoses, etc.) were not taken into account.</li> <li>Lack of clarity in the focus of this indicator.</li> </ul>			
		<p><b>Corrective Action to be completed by Organization:</b></p> <p>Corrective actions:</p> <ul style="list-style-type: none"> <li>Build in the company of the UAATAs and the producer the water management plans, to establish the actions according to the diagnosis already delivered by the UAATAS. Establishing the schedule and future projects to be carried out in the area of irrigation and drainage. Along with the care of the water rounds. In conjunction with the UAATAS and the environmental area of the Estates</li> <li>Socialize the actions to be taken from any area from the plantation, for water management.</li> <li>Commit the producer in the continuity, implementation and monitoring of the water management plan.</li> <li>Accompany the plantations in their implementation, continuity and registration of the implemented actions.</li> <li>Bring the records from plantation to plantation reporting progress to senior management to take action on non-compliance with the water management plan.</li> <li>The works, evaluations, designs and other in performed, in the plantations in a single file will be shaped so that the unified evidences of the works can be had</li> </ul>			
		<p><b>Preventative Action to be completed by Organization:</b></p>			

CAR #	Indicator	CAR Detail					
		<p>Preventive actions:</p> <ul style="list-style-type: none"> <li>Establish the monitoring plan and audit by the UAATAS and the Sustainability area, to the actions reflected in the schedule of the water management plan. With periodicity of 6 months.</li> <li>Plan according to the initial diagnosis made by UAATAS, by plantation, the water management plan, with its respective schedule and actions to be implemented. With the commitment of the producers.</li> <li>Establish progress and carry out the respective assessments of reduction of consumption and use of water resources.</li> </ul> <p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p> <p>An action plan is accepted, this will be further verified during subsequent surveillance visit.</p>					
7	4.6.2	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b>	17/04/2017
		<b>Normative reference and requirements:</b>					
		Records of pesticides use (including active ingredients used and their LD50, area treated, amount of active ingredients applied per ha and number of applications) shall be provided.					
		<b>Statement of Non-Conformance:</b>					
		Pesticide application records do not contain the required information					
		<b>Objective Evidence:</b>					
		In the plantations it was found that pesticide application records do not include the following information: name of the active ingredient, LD50, area treated, amount applied per hectare and number of applications.					
		<b>Root cause analysis to be completed by Organization:</b>					
		The tool used for the analysis of the cause will be brainstorming:					
		<ul style="list-style-type: none"> <li>Frequent changes in records.</li> <li>Lack of the format review, verifying that it complies with the RSPO standard.</li> </ul>					
		<b>Corrective Action to be completed by Organization:</b>					
		<ul style="list-style-type: none"> <li>Record modification.</li> <li>socialization of the change made to the plantations.</li> <li>Monitoring of the implementation of the record, verification in the audit in March.</li> </ul>					
		<b>Preventative Action to be completed by Organization:</b>					
		<ul style="list-style-type: none"> <li>Before implementing a format, conduct review meetings between the technical assistance unit and the sustainability team to make it feasible to use the record.</li> </ul>					
		<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>					
		The Agrochemical Use format (EXAR-GA-R-100) is evidenced, and socialization records are also available to those involved in the new registration format for agrochemicals use on February 13, 2017. Likewise, Applications of the Suramérica plantation during the month of February 2017.					
8	4.6.4	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	Next Surveillance visit	<b>Date Closed&gt;</b>	Open
		<b>Normative reference and requirements:</b>					
		Pesticides that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, and paraquat, are not used. The use of such pesticides shall be minimised and eliminated as part of a plan, and shall only be used in exceptional circumstances.					
		<b>Statement of Non-Conformance:</b>					
		No justification for the use of herbicide Gramuron with Paraquat ingredient					
		<b>Objective Evidence:</b>					

CAR #	Indicator	CAR Detail					
		<p>The use of the herbicide Gramuron with active ingredient Paraquat is evidenced in the Suramérica estate, without the proper justification of the application nor the plan for the minimization of its use.</p> <p><b>Root cause analysis to be completed by Organization:</b></p> <p>Brainstorming:</p> <ul style="list-style-type: none"> <li>• Lack of knowledge of paraquat trade name personnel.</li> <li>• Lack of knowledge of the shopping area staff from the list of Prohibited products.</li> </ul> <p><b>Corrective Action to be completed by Organization:</b></p> <p><b>Corrective actions:</b></p> <ol style="list-style-type: none"> <li>1. Develop a document with the commercial name of prohibited products</li> <li>2. Socialize the document of banned products to Administrators and purchasing staff of the company.</li> <li>3. Monitoring inventories of plantations.</li> </ol> <p><b>Preventative Action to be completed by Organization:</b></p> <p><b>Preventive actions:</b></p> <ul style="list-style-type: none"> <li>• Perform the Procedure Reinduction</li> <li>• Create a banned product alert in the warehouse system.</li> <li>• Review the product document with the trade name and the active ingredient, prior to the purchase of agrochemicals.</li> </ul> <p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p> <p>An action plan is accepted, this will be further verified during subsequent surveillance visit.</p>					
9	4.6.6	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b>	17/04/2017
		<b>Normative reference and requirements:</b>					
		Storage of all pesticides shall be according to recognized best practices. All pesticide containers shall be properly disposed of and not used for other purposes (see Criteria 5.3).					
		<b>Statement of Non-Conformance:</b>					
		There is no evidence of traceability of the handling and final disposal of hazardous waste					
		<b>Objective Evidence:</b>					
		On the estates, it was not possible to show an adequate traceability between the generation, characterization and final disposal of hazardous waste, the certificate of final disposal presented during the audit does not specify the source emitting the waste.					
		<b>Root cause analysis to be completed by Organization:</b>					
		<b>Brainstorm:</b>					
		<ul style="list-style-type: none"> <li>• No specific procedure to indicate the guidelines for the storage and disposal of hazardous waste, so that the Extractor plant and plantations have a greater clarity in the management of these.</li> <li>• Lack of clarity in storage compatibility of chemicals in the area of Extractor plant.</li> <li>• Lack of clarity in the agreement with the company that disposes the waste in the name of who should leave the certificate.</li> </ul>					
		<b>Corrective Action to be completed by Organization:</b>					

CAR #	Indicator	CAR Detail					
		<p><b>Corrective actions:</b></p> <ul style="list-style-type: none"> <li>Document an Instructive for the management of hazardous waste generated in plantations and extraction plant.</li> <li>Socialization and implementation of the instructive.</li> <li>Extension of the Respel booth in an extractive plant, in order to temporarily store the waste sent by the plantations.</li> <li>Collection of hazardous waste, where compliance with the instructions can be evidenced.</li> </ul>					
		<p><b>Preventative Action to be completed by Organization:</b></p> <p>Semi-annual audit of the extractor to monitor compliance with the solid waste management procedure.</p>					
		<p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p> <p>Are evidenced the following supports, which demonstrate the closure of Non-Conformity:</p> <ul style="list-style-type: none"> <li>Procedure for the Safe Handling of Chemicals.</li> <li>Records of socialization of the Procedure for the Safe Management of Chemicals to the involved collaborators and leaders of process.</li> <li>Hazardous Waste Referral Records of all Plantations (EXAR-SSM-R-211).</li> <li>Order of Service No. 12144 for the company JL Ingeniería SAS for the manufacture of a collection center in the El Roble Extractor Plant.</li> <li>Sample manifests of hazardous waste loading of the company ALBEDO, as evidence of hazardous waste collection of each plantation.</li> </ul>					
10	5.1.2	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	Next Surveillanve visit	<b>Date Closed&gt;</b>	Open
		<p><b>Normative reference and requirements:</b></p> <p>Where the identification of impacts requires changes in current practices, in order to mitigate negative effects, a timetable for change shall be developed and implemented within a comprehensive management plan. The management plan shall identify the responsible person/persons.</p>					
		<p><b>Statement of Non-Conformance:</b></p> <p>There is no evidence of an implementation schedule for the activities of the environmental management plan presented.</p>					
		<p><b>Objective Evidence:</b></p> <p>There is no evidence of a timetable with responsibility for implementing the activities formulated in the management plan of: Areas of high Conservation Value, Integral management of the dumping and reduction of atmospheric emissions, to verify its implementation.</p>					
		<p><b>Root cause analysis to be completed by Organization:</b></p> <p>Brainstorm:</p> <ul style="list-style-type: none"> <li>The document had approval date of 2016, the extractor was in the phase of socialization with the staff, carrying out specific actions without having defined a schedule.</li> <li>Activities were initiated, but monitoring of these activities was not formalized.</li> </ul>					
		<p><b>Corrective Action to be completed by Organization:</b></p> <p>Corrective actions:</p> <ul style="list-style-type: none"> <li>Review and adjustment of environmental management plan documents.</li> <li>Document the execution schedule of activities for the monitoring of areas of high conservation value, integral management of dumping, reduction of atmospheric emissions.</li> <li>Minutes of follow-up to the scheduled activities.</li> </ul>					
		<p><b>Preventative Action to be completed by Organization:</b></p>					

CAR #	Indicator	CAR Detail				
		Semiannual audit by the sustainability team to review the execution of the activities contemplated in the different schedules.				
		<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>				
		An action plan is accepted, this will be further verified during subsequent surveillance visit.				
11	5.2.4	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	Next Surveillance visit	<b>Date Closed&gt;</b> Open
		<b>Normative reference and requirements:</b>				
		Where a management plan has been created there shall be ongoing monitoring: <ul style="list-style-type: none"> <li>The status of HCV and RTE species that are affected by plantation or mill operations shall be documented and reported;</li> </ul> Outcomes of monitoring shall be fed back into the management plan.				
		<b>Statement of Non-Conformance:</b>				
		There is no evidence of a schedule of activities that guarantee the implementation and follow-up of the management measures established in the study of High Conservation Values.				
		<b>Objective Evidence:</b>				
		There is no evidence of a schedule of activities that guarantee the implementation and follow-up of the management measures established in the study of High Conservation Values, regarding: reforestation plan of water rounds, management plan and monitoring of flora and fauna, physico-chemical analysis of bodies of water.				
		<b>Root cause analysis to be completed by Organization:</b>				
		Brainstorm: <ul style="list-style-type: none"> <li>The document had a date of recent approval, the extractor and plantations were in their phase of socialization and analysis of the actions to be developed in 2017.</li> <li>The methodology for monitoring and monitoring the activities to be developed had not been defined.</li> <li>Actions were taken, but were not contemplated under a schedule.</li> </ul>				
		<b>Corrective Action to be completed by Organization:</b>				
		Corrective actions: <ul style="list-style-type: none"> <li>Document the schedules and action plans for the management of the reforestation plan of water rounds, plan of monitoring of flora and fauna.</li> <li>Carry out follow-up records with evidence of the execution of the plans.</li> </ul>				
		<b>Preventative Action to be completed by Organization:</b>				
		Semiannual audit by the sustainability team to review the execution of the activities contemplated in the different schedules.				
		<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>				
		An action plan is accepted, this will be further verified during subsequent surveillance visit.				
12	5.3.2	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b> 17/04/2017
		<b>Normative reference and requirements:</b>				
		All chemicals and their containers shall be disposed of responsibly.				
		<b>Statement of Non-Conformance:</b>				
		There is no evidence of traceability of the handling and final disposal of hazardous waste				
		<b>Objective Evidence:</b>				
		On the estates, it was not possible to show an adequate traceability between the generation, characterization and final disposal of hazardous waste, the certificate of final disposal presented during the audit does not specify the source emitting the waste.				
		<b>Root cause analysis to be completed by Organization:</b>				

CAR #	Indicator	CAR Detail					
		<p><b>See Non-Conformity 9.</b></p> <p><b>Corrective Action to be completed by Organization:</b></p> <p><b>See Non-Conformity 9.</b></p> <p><b>Preventative Action to be completed by Organization:</b></p> <p><b>See Non-Conformity 9.</b></p> <p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p> <p>The following supports are evidenced, which demonstrate the closure of Non-Conformity:</p> <ul style="list-style-type: none"> <li>• Procedure for the Safe Handling of Chemicals.</li> <li>• Records of socialization of the Procedure for the Safe Management of Chemicals to the involved collaborators and leaders of process.</li> <li>• Hazardous Waste Referral Records of all Plantations (EXAR-SSM-R-211).</li> <li>• Order of Service No. 12144 for the company JL Ingeniería SAS for the manufacture of a collection center in the El Roble Extractor Plant.</li> <li>• Sample formats for the loading of hazardous waste from the company ALBEDO, as evidence of the collection of hazardous waste from each plantation.</li> </ul>					
13	6.2.3	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	Next Surveillance visit	<b>Date Closed&gt;</b>	Open
		<b>Normative reference and requirements:</b>					
		<p>A list of stakeholders, records of all communication, including confirmation of receipt and that efforts are made to ensure understanding by affected parties, and records of actions taken in response to input from stakeholders, shall be maintained.</p> <p>Communications about consultations and consultations themselves should also be conducted in the native language of the recipients, by request of those interested.</p>					
		<b>Statement of Non-Conformance:</b>					
		There is no evidence of agreement between the list of interested parties and the records of evidence of participation in social cartography.					
		<b>Objective Evidence:</b>					
		Checked the list of stakeholders and evidence of participation in social cartography was found that participants did not match, specifically in the Guacamayal community.					
		<b>Root cause analysis to be completed by Organization:</b>					
		<b>Brainstorm:</b>					
		<ul style="list-style-type: none"> <li>• The list of interested parties did not reach all stakeholders of the extraction plant and plantations</li> <li>• Some invitations were made verbally and no records were kept of this.</li> <li>• There was no defined procedure for the identification of stakeholders.</li> </ul>					
		<b>Corrective Action to be completed by Organization:</b>					
		<b>Corrective actions:</b>					
		<ul style="list-style-type: none"> <li>• Document a procedure for the identification of stakeholders.</li> <li>• Approval and socialization of the procedure.</li> <li>• Update the list of interested parties</li> <li>• Meeting of socialization of the results of the social cartography with the interested parties, reaching the stakeholders that were not covered in the first phase.</li> </ul>					
		<b>Preventative Action to be completed by Organization:</b>					
		Annual review of the list of interested parties.					
		<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>					
		An action plan is accepted, this will be further verified during subsequent surveillance visit.					
14	6.4.1	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b>	17/04/2017



CAR #	Indicator	CAR Detail					
		<p><b>Normative reference and requirements:</b></p> <p>A procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, shall be in place.</p> <p><b>Statement of Non-Conformance:</b></p> <p>There is no identification of customary, legal and use rights.</p> <p><b>Objective Evidence:</b></p> <p>There is no identification of customary, legal and use rights. For example: there is no certificate of the Ministry of Interior or the map of customary rights.</p> <p><b>Root cause analysis to be completed by Organization:</b></p> <p><b>Brainstorm:</b></p> <ul style="list-style-type: none"> <li>Lack of clarity in the fulfillment of this indicator, it was interpreted that with the results of the cartography was reached.</li> <li>Lack of clarity of procedure in the identification of customary rights.</li> </ul> <p><b>Corrective Action to be completed by Organization:</b></p> <ul style="list-style-type: none"> <li>Review and adjustment of the procedure for the identification of customary rights.</li> <li>To manage before the Ministry of the interior the certificate of the presence of ethnic groups.</li> </ul> <p><b>Preventative Action to be completed by Organization:</b></p> <ul style="list-style-type: none"> <li>At the time of including a new plantation in the certification process ensure the process to the interior ministry of the certificate.</li> </ul> <p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p> <p>The following supports that demonstrate the closure of Non-Conformity are evidenced:</p> <ul style="list-style-type: none"> <li>Procedure for the Identification of Customary Rights (EXAR-GA-D-94) with modification date March 28, 2017 which added methods of customary rights identification.</li> <li>Identification Map of the Ethnic Groups closest to the El Roble Extractor plant and its supply base.</li> <li>Notices filed requesting Certification of Presence or not with the Ministry of the Interior, dated February 22, 2017, of all plantations.</li> </ul>					
15	6.9.1	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b>	17/04/2017
		<p><b>Normative reference and requirements:</b></p> <p>A policy to prevent sexual and all other forms of harassment and violence shall be implemented and communicated to all levels of the workforce.</p> <p><b>Statement of Non-Conformance:</b></p> <p>There is no evidence of communication at all levels of the workforce of a policy to prevent sexual harassment.</p> <p><b>Objective Evidence:</b></p> <p>Communication to all levels of the labor force of a policy that prevents sexual harassment is not evidenced, specifically in the AVIL estate of the Human Management policy.</p> <p><b>Root cause analysis to be completed by Organization:</b></p> <p><b>Brainstorm:</b></p> <ul style="list-style-type: none"> <li>Lack of willingness of staff to attend socializations</li> <li>The extraction plant presented drawbacks in the production process in 2016, which led to delays in the processes of socialization and training.</li> </ul> <p><b>Corrective Action to be completed by Organization:</b></p>					

CAR #	Indicator	CAR Detail					
		<p>Corrective actions:</p> <ul style="list-style-type: none"> <li>Review and adjustment of the policy of sustainability and the instruction of labor and sexual harassment.</li> <li>Socialization of politics and instruction.</li> <li>Carry out a chart of traceability to ensure that all staff attended socialization.</li> </ul> <p><b>Preventative Action to be completed by Organization:</b></p> <ul style="list-style-type: none"> <li>Periodic reviews by human management of the traceability chart of training, in order to monitor staff who have not attended socializations and thus reschedule the activity.</li> <li>Issue a mandatory communiqué to attend talks, socializations and trainings.</li> </ul> <p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p> <p>. The following supports that demonstrate the closure of Non-Conformity are evidenced:</p> <ul style="list-style-type: none"> <li>Comprehensive Sustainability Policy document which includes the prohibition of any type of violence, harassment and / or physical, sexual or psychological intimidation.</li> <li>Instructions for Work Harassment Procedure (EXAR-GH-D-37) which includes Sexual Harassment.</li> <li>Listing of Assistance to the socialization of the Integrated Policy of Sustainability of the Extractor Plant El Roble and plantations, as follows: <ul style="list-style-type: none"> <li>Company El Corozo S.A. Socializations during the days 22 and 23 of February of 2017.</li> <li>Company El Roble Agrícola socializations during the days 2, 6, 7, 8 and 9 February 2017.</li> <li>Empresa Extractora El Roble socializations during the days 13 and 20 of February of 2017.</li> </ul> </li> <li>Traceability file of the training, which shows the workers who received the training and the reprogramming for the pending personnel.</li> </ul>					
16	7.3.1	<b>Date Recorded&gt;</b>	27/01/2017	<b>Due Date&gt;</b>	26/04/2017	<b>Date Closed&gt;</b>	07/04/2017
<b>Normative reference and requirements:</b>							
There shall be evidence that no new plantings have replaced primary forest, or any area required to maintain or enhance one or more High Conservation Values (HCVs), since November 2005. New plantings shall be planned and managed to best ensure the HCVs identified are maintained and/or enhanced (see Criteria 5.2).							
<b>Statement of Non-Conformance:</b>							
The hectare presented as real information of each plantation does not coincide with the hectare presented for the analysis of change in land use.							
<b>Objective Evidence:</b>							
The information of plantation areas included in the different documents presented in the audit in many cases do not coincide for example between: information managed by the plantations, topographic survey presented in the LUC analysis and plans of the lots and areas planted on estates.							
<b>Root cause analysis to be completed by Organization:</b>							
<b>Brainstorm:</b>							
<ul style="list-style-type: none"> <li>Lack of organization and clarity on the part of plantation staff in the management of information.</li> <li>Duplication of cartographic information by the plantations.</li> <li>Lack of knowledge of plantation management personnel on the estate.</li> </ul>							
<b>Corrective Action to be completed by Organization:</b>							

CAR #	Indicator	CAR Detail
		<p><b>Corrective actions:</b></p> <ul style="list-style-type: none"> <li>Review of the writing and information provided by the plantations and the LUC.</li> <li>Determine the correct cartographic information and the necessary adjustments to unify it.</li> <li>Publish the rectified plans of the plantations.</li> </ul>
		<p><b>Preventative Action to be completed by Organization:</b></p>
		<p><b>Preventive actions:</b></p> <ul style="list-style-type: none"> <li>Require the new producers to start the certification process with the topographic, altimetric and planimetric surveys with the respective Magna tow coordinates, carrying out the respective field verification of the information provided.</li> <li>Comprehensive review of the legal ownership of the property.</li> </ul>
		<p><b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b></p>
		<p>The following supports that demonstrate the closure of Non-Conformity are evidenced:</p> <ul style="list-style-type: none"> <li>Plans of the audited estates where corroborated the sown hectares.</li> <li>Satellite images where it is evident that these lands had oil palm planted before November 2005, therefore, these lands did not require LUC analysis.</li> <li>Given the above, the differences found in the plantation areas versus the LUC analysis reports should not exist since these estates did not require LUC analysis.</li> <li>The plans presented already coincide with the reality of topographic survey of the properties.</li> </ul>

**OBSERVATIONS**

OBS #	Indicator	Observation/Opportunity for Improvement					
		Date Recorded>	27/01/2017	Due Date>		Date Closed>	
		<b>Details</b>					

OBS #	Indicator	Observation/Opportunity for Improvement
1	2.1.2	The mechanism of monitoring and legal compliance presented in plant and plantations is outdated with the latest regulations of the country, are not evidenced
2	4.1.1	In the training records related to the POES specify the training given in each of the activities and not only to name the training in a general way.
3	4.2.4	The presentation of the evidences of the implementation of the recycling plan in each of the Plantations should be improved. Have the implementation schedule and the definition of goals for each of the estates.
4	4.4.2	The inclusion of the management and zoning measures stipulated in the Tucurinca River POMCA should be included in the management plan of the protected areas and Reforestation of plantations.
5	4.5.1	The statistics of consumption of active ingredient / ha must be maintained, in which the reduction of consumption can be determined.
6	4.6.3	The use of pesticides is being minimized with the use of biologicals; however, the evidence presented regarding the implementation of such control should be improved, specifically establishing a biological control plan and its monitoring and improvement indicators.
7	4.7.2	Preventive measures against the electric risk in plantations presented by power lines should be considered, as well as to generate an efficient control of the locative risk in the press area in the extraction plant. There is no control of the hours of use of the filters of the masks used for the control of risk by application of agrochemicals.
8	4.8.1	It must be ensured that 100% of the issues requested by the RSPO are included in the Training plan for 2017.
9	5.1.1	The Document of Diagnosis of environmental impacts of the plant and plantations should be reviewed to improve inconsistencies found in the matrix of environmental impacts.
10	5.2.2	An implementation plan, schedule and responsible for the execution of the management and monitoring activities proposed in the VCA identification program
11	5.3.1	In identifying contaminant sources and missing wastes include those generated by inadequate storage and handling of by-products.
12	5.4.1	The monitoring program for the use of fossil fuels in plantation and the activities implemented and to be implemented in the benefit plant are not documented in the plan of management and efficient use of fossil energies presented in the WFP.
13	5.6.2	The management measures for the emission of particulate matter in the roads of the benefit plant and the plantations are not identified as a source of emission generation and their measures do not have a plan that shows their implementation.
14	6.1.4	It should be established in the work plan of the Social Impact Study and Social Mapping The dates of compliance, dates of follow-up, follow-up observations and responsible for the activity
15	6.12.3	Currently the organization does not have temporary staff or migrants, however, it is important to have the policy and procedure to apply in case of requiring it in the future.
16	6.13.1	It should be ensured that documentary policies (Eg. Comprehensive Sustainability Policy Which includes the Human Rights Policy) have the date of approval and / or modifications.

**APPENDIX B: NON-CONFORMITIES PREVIOUSLY IDENTIFIED**

CAR #	Indicator	CAR Detail					
		Date Recorded>	dd mm yy	Due Date>	dd mm yy	Date Closed>	dd mm yy
	.	<b>Normative reference and requirements:</b>					
		Does not apply					
		<b>Statement of Non-Conformance:</b>					
		<b>Objective Evidence:</b>					
		<b>Root cause analysis to be completed by Organization:</b>					
		<b>Corrective Action to be completed by Organization:</b>					
		<b>Preventative Action to be completed by Organization:</b>					
<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>							
	.	<b>Normative reference and requirements:</b>					
		<b>Statement of Non-Conformance:</b>					
		<b>Objective Evidence:</b>					
		<b>Root cause analysis to be completed by Organization:</b>					
		<b>Corrective Action to be completed by Organization:</b>					
		<b>Preventative Action to be completed by Organization:</b>					
<b>Close-out evidence/Planned Actions to be completed by Lead assessor:</b>							

**APPENDIX C: TIMEBOUND PLAN**

PLANTATION	OWNER	TYPE OF PROVIDER	MUNICIPALITY	SIDEWALK	No HAS	CERTIFICATION PHASE.	CERTIFICATION YEAR
LA VITORIA E.A.D	AARON MEJIA ENRIQUE	ACCIONISTA	ARACATACA	TEOROMINA	13,10	FASE II	2018
LOS KIKES	AARON MEJIA ENRIQUE	ACCIONISTA	ARACATACA	TEOROMINA	13,50	FASE II	2018
LA RASQUINITA	AARON MEJIA ENRIQUE	ACCIONISTA	ARACATACA	TEOROMINA	20,04	FASE II	2018
JUAN JOSE	ABEPON S.A.S	ACCIONISTA	ZONA BANANERA	TUCURINCA	13,0	FASE I	2017
PATRICIA	ABEPON S.A.S	ACCIONISTA	ARACATACA	TEOROMINA	74,1	FASE I	2017
LA LUISA	LAUDA S.A.S.	ACCIONISTA	ARACATACA	TEOROMINA	21,0	FASE I	2017
MOSACA	AGRICOLA MOSACA S.A.S	ACCIONISTA	ZONA BANANERA	GUACAMAYAL	62,98	FASE II	2018
AVIL	AVIL S.A.S	ACCIONISTA	ARACATACA	TEOROMINA	79,7	FASE I	2017
EL COROZO S.A	EL COROZO S.A	ACCIONISTA	ZONA BANANERA	SEVILLA	16,4	FASE I	2017
EL REPOSO	EL COROZO S.A	ACCIONISTA	ZONA BANANERA	SEVILLA	41,0	FASE I	2017
LA GABRIELA	EL COROZO S.A	ACCIONISTA	ZONA BANANERA	SEVILLA	18,0	FASE I	2017
LAS FLORES	EL COROZO S.A	ACCIONISTA	ZONA BANANERA	LA COLOMBIA	135,0	FASE I	2017
PEPILLA	EL COROZO S.A	ACCIONISTA	ARACATACA	ARACATACA	79,9	FASE I	2017
PIE DE CUESTA	EL COROZO S.A	ACCIONISTA	ZONA BANANERA	ARACATACA	77,0	FASE I	2017
ECUADOR Y MONTECARMELO	EL ROBLE AGRICOLA S.A.	ACCIONISTA	ZONA BANANERA	TUCURINCA	226,0	FASE I	2017
FINCA SURAMERICA	EL ROBLE AGRICOLA S.A.	ACCIONISTA	PUEBLO VIEJO	TIERRA NUEVA	1.125,0	FASE I	2017
GUAYABOS	EL ROBLE AGRICOLA S.A.	ACCIONISTA	PUEBLO VIEJO	TIERRA NUEVA	523,0	FASE I	2017
LA GABRIELA	EL ROBLE AGRICOLA S.A.	ACCIONISTA	ZONA BANANERA	SEVILLA	76,00	FASE II	2018
ARROCERA	EMMA PERFECTA LACOUTURE	ACCIONISTA	ZONA BANANERA	TUCURINCA	66,06	FASE II	2018
CANDELARIA	EMMA PERFECTA LACOUTURE	ACCIONISTA	ZONA BANANERA	TUCURINCA	74,97	FASE II	2018
EL ROBLE	HERNANDO LACOUTURE	ACCIONISTA	ZONA BANANERA	TUCURINCA	51,4	FASE I	2017
LA CABANA	HERNANDO LACOUTURE	ACCIONISTA	ZONA BANANERA	TUCURINCA	52,7	FASE I	2017
LA GLORIA	HERNANDO LACOUTURE	ACCIONISTA	ZONA BANANERA	TUCURINCA	60,4	FASE I	2017
LA GROSERIA	INVERPALM	ACCIONISTA	CURUMANI	CURUMANI	116,95	FASE II	2018
GARRAPATA	INVERSIONES EL CARMEN S.A	ACCIONISTA	ARACATACA	TEOROMINA	72,2	FASE II	2018
EL CARMEN	INVERSIONES EL CARMEN S.A	ACCIONISTA	ZONA BANANERA	TUCURINCA	38,38	FASE II	2018
EL ROSARIO	INVERSIONES EL ROSARIO S.A.S	ACCIONISTA	ZONA BANANERA	TUCURINCA	235,38	FASE II	2018
FINCA OLGA LUCIA	INVERSIONES J.O.C.E	ACCIONISTA	ARACATACA	TEOROMINA	414,43	FASE II	2018
FINCA LA SORAIDA	INVERSIONES SANTA INES S.A	ACCIONISTA	ZONA BANANERA	TUCURINCA	57,66	FASE II	2018
GARRAPATA	INVERSIONES SANTA INES S.A	ACCIONISTA	ARACATACA	TEOROMINA	106,37	FASE II	2018
GINEBRA	VC & CIA S EN CA	ACCIONISTA	ARACATACA	TEOROMINA	26,0	FASE I	2017
LA RENETA	VC & CIA S EN CA	ACCIONISTA	ARACATACA	TEOROMINA	32,0	FASE I	2017
SANTA INES	INVERSIONES SANTA INES S.A	ACCIONISTA	ZONA BANANERA	TUCURINCA	121,46	FASE II	2018
NUEVA ESTRELLA	INVERSIONES SANTA PALMA S.A.S	ACCIONISTA	ZONA BANANERA	TUCURINCA	16,67	FASE III	2019
LA ECONOMIA	ISMAEL VIVES LACOUTURE	ACCIONISTA	ZONA BANANERA	TUCURINCA	11,49	FASE III	2019
SAN MIGUEL 1	JOSE FRANCISCO VIVES	ACCIONISTA	ZONA BANANERA	GUACAMAYAL	196,28	FASE II	2018
LA MONTAÑITA	JUAN MANUEL FERNANDEZ DE CASTRO	ACCIONISTA	ZONA BANANERA	ORIHUECA	85,69	FASE II	2018
EL EDEN YAYITO	LUZ MARIA BRUJES & CIA	ACCIONISTA	ZONA BANANERA	GUACAMAYAL	10,95	FASE II	2018

LA MOSCA	PALMERAS LA ESPERANZA	ACCIONISTA	CURUMANI	CURUMANI	86,22	FASE II	2018
ALEJANDRIA I	SANCHEZ BRUJES DAVID ANTONIO	ACCIONISTA	ZONA BANANERA	GUACAMAYAL	10,16	FASE II	2018
YANETH CRISTINA	SANCHEZ PINEDO EDUARDO	ACCIONISTA	ZONA BANANERA	GUACAMAYAL	4,34	FASE II	2018
LA DIVISION	V.F.S.A	ACCIONISTA	ZONA BANANERA	GUACAMAYAL	105,0	FASE II	2018
INV. PALO ALTO	INTERNATIONAL AFFAIRS ENTERPRISE S.A.S.	ACCIONISTA	PUEBLO VIEJO	LA MONTAÑA	1.178,0	FASE II	2018
AGRICOLA SANTA ROSA	AGRICOLA SANTA ROSA	ASOPALMAG I	ZONA BANANERA	LA AGUJA	54	FASE II	2018
AMAZONA	REYES DELGADO LINA MARIA	ASOPALMAG I	ZONA BANANERA	SEVILLA	9	FASE III	2019
AMAZONA DELGADO	DELGADO ACOSTA AMPARO	ASOPALMAG I	ZONA BANANERA	SEVILLA	9	FASE III	2019
ARENERO Y EL POLVILLO	APARICIO VILLALBA JOSE	ASOPALMAG I	PUEBLO VIEJO	TUCURINCA	18	FASE III	2019
DIOS ES GRANDE	LAN RODRIGUEZ JOSE DEL CARMEN	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	7	FASE II	2018
EL RECUERDO	CHARRIS DE LARA SIXTA	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	13	FASE III	2019
EL SUSPIRO	ORTIZ DE MACHADO JUANA	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	14	FASE III	2019
EL TRIANGULO	CASTILLO PORTO AURA	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	10	FASE II	2018
EL ZAPOTE	BORNACELLY M. CARLOS J.	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	8	FASE III	2019
FINCA LA ISABEL (2)	AVENDAÑO JOSE RAMON	ASOPALMAG I	RIO FRIO	SEVILLANO	8	FASE III	2019
FINCA SAN JORGE	ARMANDO GUTIERREZ POLO (SAN JO	ASOPALMAG I	ARACATACA	TEOROMINA	10	FASE II	2018
KATY Y LA CASONA	GUERRERO MONTERO JESUS MANUEL	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	5	FASE III	2019
LA BAUDILIA	DE SILVESTRI RODRIGUEZ CARLOS	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	17	FASE II	2018
LA DICHA	RAMIREZ BOTERO MIGUEL ALEJANDRO	ASOPALMAG I	ZONA BANANERA	TUCURINCA	6	FASE III	2019
LA ELDA	BUSTAMANTE TETE ELDA MARIA	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	8	FASE II	2018
LA ESPERANZA	RODRIGUEZ RUEDA YUDI (LA ESPE	ASOPALMAG I	ZONA BANANERA	TUCURINCA	6	FASE II	2018
LA ESPERANZA #3	ARRIETA BOLAÑOS HENRY JAVIER(L	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	8	FASE III	2019
LA ESPERANZA (LEAL )	LEAL MOLINA URBANO (ESPERANZA)	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	13	FASE III	2019
LA ESTRELLITA	LAUDA S.A.S.	ACCIONISTA	ZONA BANANERA	TUCURINCA	13	FASE II	2018
LA JOSEFA	MARCO TULIO MOLINA ABATT	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	16	FASE III	2019
LA VICTORIA ALIANZA	ORTIZ SANCHEZ NICOLAS JOSE	ASOPALMAG I	ZONA BANANERA	PALOMAR	6	FASE III	2019
LA VIRGINIA (1)	DIAZGRANADOS ALZAMORA OSWALDO	ASOPALMAG I	ZONA BANANERA	SEVILLANO	38	FASE II	2018
LA YUDI JOSE POLO	POLO MUÑOZ JOSE AGUSTIN	ASOPALMAG I	ZONA BANANERA	TUCURINCA	14	FASE II	2018
LAS DELICIAS ALIANZA	MEJIA MURGAS JULIO (ALIANZA)	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	8	FASE III	2019
LOS EMPALME	DANGOND OLIVELLA & CIA	ASOPALMAG I	ZONA BANANERA	RIO FRIO	25	FASE III	2019
LOTE #4	OCAMPO GLORIA ESTELA	ASOPALMAG I	ZONA BANANERA	SEVILLA	7	FASE III	2019
MAROMA	LAUDA S.A.S.	ACCIONISTA	ZONA BANANERA	TUCURINCA	24	FASE II	2018
MATECAÑA Y TRINIDAD	ARAQUE DE PEREZ SARA	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	18	FASE III	2019
MILAGRO DEL ROSARIO	MENDIVIL JARABA RAFAEL (MILAGR	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	6	FASE III	2019
PARCELA #18	PALLARES BROCHERO CATALINO (PA	ASOPALMAG I	ZONA BANANERA	SEVILLA	5	FASE III	2019
PARCELA #2	BLAS RODRIGUEZ	ASOPALMAG I	ZONA BANANERA	SEVILLA	6	FASE III	2019
SAN MARTIN L.G	GOMEZ GUERRERO LEONCIO - GUERRERO PEREZ MEVIS MARINA	ASOPALMAG I	ZONA BANANERA	TUCURINCA	20	FASE III	2019

SANTA ISABEL	PEREZ PATERNINA EDUARDO(SANTA	ASOPALMAG I	ZONA BANANERA	GUACAMAYAL	5	FASE III	2019
TODOS NO VAN	JIMENEZ DURAN MIGUEL	ASOPALMAG I	ZONA BANANERA	PALOMAR	10	FASE III	2019
FINCA LA FRANCIA No.1	MANGA ACOSTA UBALDO	ASOPALMAG II	ZONA BANANERA	SEVILLA	5	FASE II	2018
LA BALSITA	AGUILAR M. JORGE ELIECER	ASOPALMAG II	ZONA BANANERA	GUACAMAYAL	4	FASE III	2019
LA BENDICION	RETAMOZO DE MARQUEZ MARIA E	ASOPALMAG II	ZONA BANANERA	PALOMAR	11	FASE III	2019
LA EVITA	LOPEZ SERGE CARLOS IVAN	ASOPALMAG II	ZONA BANANERA	GUACAMAYAL	11	FASE III	2019
LA FRANCIA #2	MACIAS AMADOR MANUEL G.(FRANCI	ASOPALMAG II	ZONA BANANERA	SEVILLA	12	FASE II	2018
LA SABANA	ACOSTA MARTA SOFIA(LA SABANA)	ASOPALMAG II	ZONA BANANERA	GUACAMAYAL	8	FASE III	2019
LOS RECUERDOS	HERNANDEZ MATURANA ANA	ASOPALMAG II	ZONA BANANERA	PALOMAR	5	FASE III	2019
LOS TORMENTOS	DURAN ANGEL (LOS TORMENTOS)	ASOPALMAG II	ZONA BANANERA	ORIHUECA	10	FASE III	2019
POR FIN	JIMENEZ SALAZAR ANGEL M. (POR	ASOPALMAG II	ZONA BANANERA	GUACAMAYAL	12	FASE III	2019
PORVENIR	CERVANTES RODRIGUEZ FRANCISCO	ASOPALMAG II	ZONA BANANERA	GUACAMAYAL	8	FASE II	2018
PUERTO RICO	RETAMOZO CASTILLO EDER ENRIQUE	ASOPALMAG II	ZONA BANANERA	PALOMAR	5	FASE II	2018
SAN MIGUEL ALIANZA 2	RETAMOZO DE ORELLANO RUTH MARIA	ASOPALMAG II	ZONA BANANERA	PALOMAR	5	FASE III	2019
BUENA FE	ROMERO PAEZ ULCINIO	ASOPALMAG III	PUEBLO VIEJO	ORIHUECA	30	FASE II	2018
CHILE GAIRA	DIAZ GRANADOS MUÑOZ JOSE	ASOPALMAG III	ZONA BANANERA	TUCURINCA	25	FASE III	2019
EL SINAI	LLAÑEZ MUÑOZ ABRAHAM EMILIO	ASOPALMAG III	ZONA BANANERA	SEVILLA	10	FASE III	2019
EL AMPARO #2	BOSSA CHARRIS DAYLOR JOSE	ASOPALMAG III	ARACATACA	TEOROMINA	6	FASE III	2019
EL COMIENZO (BOSSA)	BOSSA CORTINA AURELIO	ASOPALMAG III	ARACATACA	TEOROMINA	10	FASE III	2019
EL CONSUELO	VASQUEZ B. CARLOS ADOLFO	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	6	FASE III	2019
EL EDEN (ENRIQUE BERNUIS)	BERNUIS MONTES ENRIQUE DOMINGO	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	6	FASE III	2019
EL LIMONCITO	MANJARRES SOLANO CELSO	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	10	FASE III	2019
EL TRIANGULO ALIANZA 3	CASTILLO BORNACELLY LEONARDO	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	4	FASE III	2019
ESMERALDA Y ZOCAPITA	POLO CAMARGO JOSE AGUSTIN	ASOPALMAG III	ARACATACA	ARACATACA	10	FASE III	2019
LA LUCHA REYES	REYES ROBERTO	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	9	FASE III	2019
LA MARIA 2	AVENDAÑO MIRANDA JUAN RAFAEL	ASOPALMAG III	ZONA BANANERA	RIO FRIO	10	FASE III	2019
LA NIÑA BETTY Y MANIZALES	AREVALO SUAREZ HILARIA	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	6	FASE III	2019
LA SEVILLANA	VIVES PINEDO JUAN JOSE	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	21	FASE III	2019
LA VIRGILIA	GUERRA TONCEL HIPOLITO	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	5	FASE II	2018
LAS DELICIAS (ORTEGA)	ORTEGA RUIZ DAVID	ASOPALMAG III	ZONA BANANERA	TUCURINCA	15	FASE III	2019
LAS TRES PALMAS	JIMENEZ GARZON ANA ISABEL	ASOPALMAG III	ZONA BANANERA	TUCURINCA	13	FASE III	2019
LUISA ISABEL	BARROS BARROS JOSE A	ASOPALMAG III	ZONA BANANERA	ORIHUECA	7	FASE III	2019
MIDALIDES	BOLAÑOS BARROS FELIX - BOLAÑO BARROS GUILLERMO RAFAEL	ASOPALMAG III	ZONA BANANERA	SEVILLA	18	FASE III	2019
NO HAY COMO DIOS (2)	VILLAMIL ZUÑIGA VICTOR	ASOPALMAG III	ZONA BANANERA	GUACAMAYAL	11	FASE III	2019
SAN MARTIN (GRAN VIA)	GUSTAVO SANCHEZ VARON	ASOPALMAG III	ZONA BANANERA	GRAN VIA	14	FASE III	2019
SAN MARTIN DE LOBA	CARRILLO MEJIA LUIS ALONSO	ASOPALMAG III	ZONA BANANERA	TUCURINCA	2	FASE III	2019
SAN PEDRO (ALIANZA)	SAAVEDRA PEDROZO JAIRO	ASOPALMAG III	FUNDACIÓN	FUNDACIÓN	10	FASE III	2019



CAMPO ALEGRE	CHARRIS FONTALVO HERMENEGILDO	ASOPALMAG IV	ZONA BANANERA	TUCURINCA	11	FASE III	2019
EL COMIENZO #1	MORENO GUARNIZO OFELIA M.	ASOPALMAG IV	ZONA BANANERA	TUCURINCA	11	FASE III	2019
EL COMIENZO.	BLANCO PATERNINA LEOPOLDO	ASOPALMAG IV	ZONA BANANERA	TUCURINCA	10	FASE III	2019
EL MAGAYAL	CARRILLO MEJIA LUIS ALONSO	ASOPALMAG IV	PUEBLO VIEJO	TIERRA NUEVA	5	FASE III	2019
EL MANANTIAL.	CASTRO TORRES JUAN F	ASOPALMAG IV	ZONA BANANERA	TUCURINCA	10	FASE III	2019
EL MILAGRO (APARICIO)	APARICIO VILLALBA RAFAEL	ASOPALMAG IV	PUEBLO VIEJO	TIERRA NUEVA	11	FASE III	2019
LA ARGELIA.	VILORIA BOLAÑO RAFAEL A.	ASOPALMAG IV	ZONA BANANERA	PALOMAR	6	FASE III	2019
LA ESPERANZA (CHARRIS)	CHARRIS PEÑA HERNANDO	ASOPALMAG IV	ZONA BANANERA	TUCURINCA	11	FASE III	2019
LA ESPERANZA #2 - SOLEDAD	SERRANO BERMUDEZ MARGARITA	ASOPALMAG IV	PUEBLO VIEJO	TIERRA NUEVA	22	FASE III	2019
LA GARE	CARMEN JIMENEZ	ASOPALMAG IV	ZONA BANANERA	TUCURINCA	11	FASE III	2019
LA GOLLA	BERDUGO CANTILLO MANUEL	ASOPALMAG IV	ZONA BANANERA	GUACAMAYAL	4	FASE III	2019
LA INESITA	CORREA CERVANTES MARCOS	ASOPALMAG IV	ZONA BANANERA	GUACAMAYAL	5	FASE III	2019
LA LOLA.	MARTINEZ PEÑA JOSÉ ANTONIO	ASOPALMAG IV	ZONA BANANERA	GUACAMAYAL	5	FASE III	2019
LA PLAYITA	DELGADO RODRIGUEZ ROGER E.	ASOPALMAG IV	ZONA BANANERA	TUCURINCA	10	FASE III	2019
LA RACION (ALVARO BOSSA)	BOSSA CHARRIS ALVARO DE JESUS	ASOPALMAG IV	ZONA BANANERA	TUCURINCA	6	FASE III	2019
LA SARA # 2	VICTOR EDUARDO DANGOND NOGUERA	ASOPALMAG IV	ZONA BANANERA	ORIHUECA	70	FASE III	2019
LA VIRGINIA (2) VIVES	VIVES HENRIQUEZ SAHARA CECILIA	ASOPALMAG IV	ZONA BANANERA	GUACAMAYAL	6	FASE III	2019
LAS MARGARITAS	CAMPO VIVES HERNANDO RAFAEL	ASOPALMAG IV	ZONA BANANERA	RIO FRIO	25	FASE II	2018
LOTE # 2 (SAN JOSE)	CABALLERO JARABA ABRAHAM	ASOPALMAG IV	ZONA BANANERA	SEVILLA	4	FASE III	2019
LOTE # 3.	PATIÑO RIVERA ISIDORO ANTONIO	ASOPALMAG IV	ZONA BANANERA	SEVILLA	4	FASE III	2019
LOTE #7	JULIO SOLANO FABIOLA ANTONIA	ASOPALMAG IV	ZONA BANANERA	SEVILLA	4	FASE III	2019
MONTEORLANDO	ESTRADA FLORES ORLANDO	ASOPALMAG IV	ZONA BANANERA	RIO FRIO	11	FASE III	2019
NAPOLES.	CASTILLO BUJATO DENIS MARIA	ASOPALMAG IV	ZONA BANANERA	PALOMAR	7	FASE II	2018
OLIVA MARIA.	VIVES HENRIQUEZ MARIA ELENA	ASOPALMAG IV	ZONA BANANERA	PALOMAR	6	FASE III	2019
SACRAMENTO (el chino)	GUETTE MONTENEGRO EDELMIRA	ASOPALMAG IV	ZONA BANANERA	SEVILLA	6	FASE III	2019
SAN MARTIN	ROMERO RONCO FREDYS	ASOPALMAG IV	PUEBLO VIEJO	TIERRA NUEVA	5	FASE III	2019
SAN MIGUEL IV	RETAMOZO RIASCO ERIDIS	ASOPALMAG IV	ZONA BANANERA	PALOMAR	6	FASE III	2019
SAN PEDRO (JOSE CANDELAR)	DIAZ JULIO JOSÉ CANDELARIO	ASOPALMAG IV	PUEBLO VIEJO	TUCURINCA	4	FASE III	2019
SHEILA #3	CAMARGO SANTIAGO ANA	ASOPALMAG IV	ZONA BANANERA	RIO FRIO	68	FASE III	2019
CASA AMARILLA	GILMA SARMIENTO JUVINAO	ASOPALMAG V	ZONA BANANERA	RIO FRIO	11	FASE III	2019
CASA BRAVA	GAMEZ POLO MANUEL JOSE	ASOPALMAG V	ZONA BANANERA	ORIHUECA	6	FASE III	2019
CHILE GAIRA DOS	DIAZGRANADOS MUÑOZ AURISTELA	ASOPALMAG V	ZONA BANANERA	TUCURINCA	9	FASE III	2019
EL ANTOJO	CANTILLO TORRES RAFAEL GUSTAVO	ASOPALMAG V	ZONA BANANERA	SEVILLANO	5	FASE III	2019
EL GUAYABAL (ASOP 5)	BOLAÑO MONTERO LUCELYS B	ASOPALMAG V	ZONA BANANERA	SEVILLANO	5	FASE III	2019
EL RUBY	AVENDAÑO AREVALO YAMILE	ASOPALMAG V	ZONA BANANERA	RIO FRIO	9	FASE III	2019
HATONUEVO	BOLAÑO DE HERNANDEZ NULFA C	ASOPALMAG V	ZONA BANANERA	SEVILLANO	5	FASE III	2019
LA ADELIA	RETAMOZO ESQUEA PEDRO	ASOPALMAG V	ZONA BANANERA	PALOMAR	21	FASE III	2019

LA CARMEN	HERNANDEZ SANDOVAL AQUILES H	ASOPALMAG V	CIENAGA	SEVILLANO	11	FASE III	2019
LA FE DE DIOS	BARCENA VILLAREAL PIO ADOLFO	ASOPALMAG V	ZONA BANANERA	GUAMACHITO	63	FASE III	2019
LA HORTENCIA	FONTALVO ROMERO YANETH ESTHER	ASOPALMAG V	ZONA BANANERA	PALOMAR	5	FASE III	2019
LA JOSEFINA	CORREA FERNANDEZ ISABEL JOSEFINA	ASOPALMAG V	ZONA BANANERA	SEVILLA	8	FASE III	2019
LA LETICIA	TORRES CHARRIS DOLORES	ASOPALMAG V	ZONA BANANERA	SEVILLANO	5	FASE III	2019
LA MARIA (NELSY GARCIA)	GARCIA ARIAS NELSY DEL SOCORRO	ASOPALMAG V	ZONA BANANERA	SEVILLA	7	FASE III	2019
LA MILAGROSA MALVINILLA	CHIQUELLO PITALUA NARCILA	ASOPALMAG V	PUEBLO VIEJO	TIERRA NUEVA	12	FASE III	2019
LA PLAYITA	MELO BOLAÑO EFRAIN ALBERTO	ASOPALMAG V	ZONA BANANERA	VARELA	9	FASE III	2019
LA UNION 2	FORNARIS REALES ALFONSO	ASOPALMAG V	ZONA BANANERA	SANTA ROSALIA	25	FASE III	2019
LEPANTO	PATIÑO RETAMOZO LUIS HAROLD	ASOPALMAG V	ZONA BANANERA	PALOMAR	6	FASE III	2019
LINDA LILIANA (ALIANZA 5)	ORTEGA PEREZ LUIS CARLOS	ASOPALMAG V	ZONA BANANERA	GUACAMAYAL	5	FASE III	2019
LOS SABANALES	BOLAÑO TORRES NORIS DEL CARMEN	ASOPALMAG V	ZONA BANANERA	SEVILLANO	5	FASE III	2019
LOTE # 10.	IGLESIAS PAEZ MILCIADES A.	ASOPALMAG V	ZONA BANANERA	SEVILLA	11	FASE III	2019
LOTE PARAISO (ASOP 5)	DIAZ CAMARGO ALBERTO JOSE	ASOPALMAG V	ZONA BANANERA	GRAN VIA	25	FASE III	2019
MACONDAL	DURAN VIVES DUVIS	ASOPALMAG V	ZONA BANANERA	GUACAMAYAL	7	FASE II	2018
MIS RECUERDOS	TEJEDA SILVA YEIS ANTONIO	ASOPALMAG V	ZONA BANANERA	SEVILLA	4	FASE III	2019
MONTEVIDEO	BOLAÑO TORRES MERCEDES ELENA	ASOPALMAG V	ZONA BANANERA	SEVILLANO	5	FASE III	2019
NAPOLES (ASOP 5)	RETAMOZO CASTILLO VERONICA DEL CARMEN	ASOPALMAG V	ZONA BANANERA	PALOMAR	3	FASE III	2019
PUERTO LIMON	CHARRIS HERRERA EMPERATRIZ MARIA	ASOPALMAG V	CIENAGA	SEVILLANO	5	FASE III	2019
SAN MIGUEL (J. PATIÑO)	PATIÑO RETAMOZO JORGE ISSAC	ASOPALMAG V	ZONA BANANERA	PALOMAR	6	FASE III	2019
YOFENEL	TORRES SOTO EFRAIN ALBERTO	ASOPALMAG V	ZONA BANANERA	SEVILLANO	8	FASE III	2019
LA ESPERANZA COMES SI LLEVAS	MORENO CHARRIS LUIS MIGUEL	ASOPALMAG VI	PUEBLO VIEJO	TIERRA NUEVA	11	FASE III	2019
LA ESPERANZA - MARTIN	CUENTA SANTANDER MARTIN	ASOPALMAG VI	PUEBLO VIEJO	TIERRA NUEVA	22	FASE III	2019
LA GRANIZADA	BLANCO JIMENEZ ROSA	ASOPALMAG VI	ZONA BANANERA	TUCURINCA	9	FASE III	2019
LA KATYA	CORREA MANGA LUIS DOROTEO	ASOPALMAG VI	ZONA BANANERA	SEVILLANO	4	FASE III	2019
LA SABANA VIVES	VIVES HENRIQUEZ SAHARA CECILIA	ASOPALMAG VI	ZONA BANANERA	SEVILLA	3	FASE III	2019
LA TERESA	MARTINEZ BONET JORGE	ASOPALMAG VI	ZONA BANANERA	GUACAMAYAL	7	FASE III	2019
LA VEGA	AVILA BROCHERO ANGELI DEL CARMEN	ASOPALMAG VI	ZONA BANANERA	ORIHUECA	8	FASE III	2019
LOS OLIVOS	RODRIGUEZ RUEDA YUDI (LA ESPE	ASOPALMAG VI	ZONA BANANERA	SEVILLANO	6	FASE III	2019
LOTE PARAISO (ASOP 6)	ESTRADA DURAN JUAN EVANGELISTA	ASOPALMAG VI	ZONA BANANERA	GRAN VIA	33	FASE III	2019
LOTE TIERRA GRATA	OSPINA RODRIGUEZ FRANKLIN JOSE	ASOPALMAG VI	PUEBLO VIEJO	TIERRA NUEVA	11	FASE III	2019
MIS CONSUELOS	IBAÑEZ SILVA FREDYS ALFONSO	ASOPALMAG VI	ZONA BANANERA	SEVILLANO	5	FASE III	2019
PARCELA 5	CASTRO VERGARA JOSE	ASOPALMAG VI	ZONA BANANERA	SEVILLA	5	FASE III	2019
QUEBRADA LINDA	MUÑOZ CABANA ARGEMIRO ANTONIO	ASOPALMAG VI	ZONA BANANERA	SANTA ROSALIA	8	FASE III	2019
SANTA FE	JUVINAO CRUZ LEONEL JOAQUIN	ASOPALMAG VI	ZONA BANANERA	SEVILLANO	11	FASE III	2019
POLO NORTE	VC & CIA S EN CA	ACCIONISTA	ARACATACA	TEOROMINA	84,0	FASE I	2017
PALMARES TEHOBROMINA	VICTOR MANUEL ABELLO LACOUTURE	ACCIONISTA	ARACATACA	TEOROMINA	20,0	FASE I	2017
AGRICOLA LA ESPAÑOLA	EXTRACTORA LA BELLA S.A.S	ACCIONISTA	ZONA BANANERA	LA BELLA	637	FASE II	2018

AGRICOLA DEL NORTE	OLARTE ORTIZ MARCO AURELIO	ACCIONISTA	ZONA BANANERA	LA BELLA	576	FASE II	2018
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**ACTION PLAN TO CERTIFY THE NUCLEUS**

PHASE	# PLANTATIONS	HECTARES	ACTIONS
Fase II y Fase III	168	6107	<p>Advice and accompaniment support in the implementation of RSPO standard.</p> <p>Facilities of plots types and demonstratives of small producers, in order to work under a methodology of "<b>watching and doing</b>", that allows to replicate the improvements and actions in the rest of estates.</p> <p>Structuring of projects through Bicosta, which allow the achievement of resources to improve the infrastructure of plantations.</p> <p>Agreements with entities such as SENA, to guarantee the training and training required.</p> <p>Destination of annual resources in the budget of the company to carry out activities focused on the fulfillment of sustainability indicators.</p>

**APPENDIX D: LIST OF STAKEHOLDERS CONTACTED**

ISSUE RAISED BY STAKEHOLDER			COMPANY RESPONSE	AUDITOR FINDINGS
Community	Positive aspects	Negative aspects		
COMMUNITY COUNCIL AFRO-DESCENDENT	Employment opportunities in the region is positive	Bad state of roads	The company is working on a roads project with Fundepalma, however it is a problem of the government.	It is a problem to solve hand in hand with the National Government
COMMUNITY TUCURINCA OF THE ZONA BANANERA	Strategic alliance of 235 producers (5 alliances) served as an endorsement for Palmicultor projects.	Comunity el Soplador Environmental Problematic	The RSE 2017 plan contemplates performing some environmental activities in the Soplador community.	It is important to generate action plans and monitor activities.
COMMUNITY CAUCA DE ARACATACA	<p>-Fundepalma (ICBF operator) offers important social programs for the family.</p> <p>- The population of Aracataca corroborates that cartography was carried out, where the environmental impacts were evidenced.</p> <p>-It is recognized that the company will contribute to the construction of 5k of the adjacent road to the benefit plant.</p>	<p>- roads riders pass at high speed, generating pollution</p> <p>Presence of an indigenous shelter in the Verca Casamanilla Aracataca (Not found in the area of direct influence (dust) presence children (road safety)</p> <p>- There is no efficient irrigation due to lack of resources, it is planted without planning. When the palm needs water there is not. You see the need to form an irrigation district. The irrigation district is charged but there is no management. Irrigation districts favor big estateers and producers, not communities, so districts dock and there is no flow of water.</p>	<p>The company has implemented speed controls on vehicles to monitor fruit transport vehicles. There is a road safety plan.</p> <p>It is a problem of the department, of public character.</p>	
PUEBLO VIEJO, RINCON GUAPO DE TIERRA NUEVA	<p>- Social mapping.</p> <p>-It is identified that the extractor emits spills in the same body of water that people use for washing clothes, however no complaints are made regarding the</p>	<p>"In winter they release the water and flood the grounds.</p> <p>-In the Nueva Tierra and Pueblo Viejo population, adjacent to the community,</p>	<p>The plantations are implementing new irrigation systems (Melgas), for an efficient use of water resources.</p> <p>To date, no formal communication has been received from the</p>	Important to check that it is being applied good practices in the irrigation system.

	<p>quality of the water affected by the dumping.</p> <p>-Benefits of the social enterprise with children and community, took them to the plant to know the process. They are aware of the workforce given to the population. Everyone recognizes the plantation.</p> <p>-The company facilitated the strategic alliance for palm planting in the period after the banana crisis suffered in the region. The company participated in the generation of agrarian bank credit and strategic alliance to grant loans to small producers. The alliance was established through a fruit contract with the extractor.</p>	<p>they indicate that there is contamination of the Pajarito River due to the palm plantations in the region. This area is bordered by the property of Suramérica.</p> <p>- There is evidence of a conflict between communities in general and the availability of water resources. The irrigation districts suspend the service to the populations in order to have availability for the crops giving them this priority for the provision of the service. Consequently, the population uses the water from the plantations for domestic use during the summer periods. The community estimates that it is a problem of CAR, irrigation districts and plantations.</p> <p>- It is evidenced that in summer there is problem because the plantations and other uses are privileged with the use of the water; and in winter due to the water used in the plantations is one of the causes of flooding and overflow of drainage and water bodies due to the "trinchos" that are handled in the plantations.</p> <p>- TREE LOGGING - MANAGEMENT OF FAUNA (logging in previous years, the palm came to the region many years ago more than 40 and there is no longer any forest, evidencing that the last forest was cut 15 years ago). Non-hunting policies in some estates, however it is difficult to control that with the community and the young people bordering the plantations that use the fauna as a protein source in their consumption.</p>	<p>community. This problem is not clear.</p> <p>It is a problem of the department, of public character.</p> <p>The plantations are implementing new irrigation systems (Melgas), for an efficient use of water resources.</p> <p>The extraction plant and plantations have been working on a day of awareness related to the management of the Fauna for its workers and community.</p>
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SERVICE PROVIDER	Vocational guidance students eleven grade.	the moment they irrigate the water goes on tierra nueva, the triunfo village, a community of Afro-descendants.	Problems of the department, of public character.	It is a problem to solve hand in hand with the National Government.
TREASURER ASOPALMAR 2,4	Health journeys	Public entities have no presence in the regions in their basic needs.	Problems of public order, however through Fundepalma, efforts are made to make active participation in RSE activities.	It is a problem to solve hand in hand with the National Government
GUACAMAYAL	Contribution to religious activities	Asoturinca, Corpamac (no presence) remove the water for irrigation, old town does not get water and is forgotten.	In town we have a liaison center with the support of Fundepalma, where we carry out social activities with the community.	
ASOPALMAG	5 or 6 communication centers with communities.	Social productive projects, housing subsidies (29 housing units with the agrarian bank) did not materialize.	Social activities are planned with small producers.	
RECTOR OF COLLEGE	Positive changes have been seen with the RSPO Standard.	Guacamayal 14 thousand people (less social contribution) and Tucurinca 10 thousand (there is more social contribution) because Guacamayal is more distant to EL ROBLE.		
COMMUNITY ACTION BOARD	-Agronomists support visits to suppliers and technical assistance (training and watching).	-Do not continue to support productive projects if they did when there was nothing	Work is being done to strengthen the smallholder estates, through improvement plans focused on increasing productivity.  Within the RSE plan there is an entrepreneurship program to support the informal businesses of our community.	
NATIONAL POLICE	- The channels of communication have been improved directly with the executives, by Fundepalma, through the associations.	-The RSPO requires legal compliance and unfortunately small producers do not have for such fixed costs.	By 2017 it is planned to carry out a program to guide small producers with the issue of formalization of labor.	
COMMUNITY EL SOPLADOR	Prioritized Education for 2017 courses.  - Roads, January 30 begins construction of the Y-hill to the Palo Alto Bridge.	-it does not have water for the "trinchos" that make for the irrigations and when there is winter they flood.  -government did not support the need to implement projects for the required reservoirs.  - There is no support to develop projects to	The plantations are working to optimize their irrigation system.  Management of public order.	

		implement good practices and present in entities such as FEDEPALMA.	We support the technical assistance projects led by FEDEPALMA for the small producer.	
SEVERAL	<p>Irrigation districts now works.</p> <ul style="list-style-type: none"> <li>- Indigenous refuge in yellow house by the torito return.</li> <li>-The palm arrived in 1945 Palmeras Santa Lucia.</li> <li>- Trees Ubito Guazo.</li> <li>- San Juaquin with forest.</li> </ul>	<p>-Providers have problems in front of irrigation needs, owners of advanced age have difficulties to apply the Good agricultural practices and we do not participate in the training that el Roble imparts.</p> <p><b>- Needings felt</b></p> <ul style="list-style-type: none"> <li>- Water</li> <li>- Sewerage</li> <li>- Improve health posts and implement health center</li> <li>- Conventions with SENA</li> <li>- Training Environmental Protection</li> <li>- Job opportunities</li> <li>- Higher education</li> <li>- Improvement of the Roads.</li> <li>- Access to the internet to access public resources</li> <li>- Programs to offer spaces of recreation and to avoid that the children are lost in the vices.</li> </ul>	<p>The company for 2017 is working on a productivity enhancement program for its suppliers.</p> <p>Problem of public order.</p> <p>It is projected to make donations for health center.</p> <p>With the SENA for the development of programs contemplated in our RSE.</p> <p>Component to work on our RSE 2017 plan.</p> <p>We generate direct and indirect employment in our areas of influence.</p> <p>Problem of public order.</p> <p>Within our RSE plan we have a program called reading promotion, focused on children, where educational and recreational activities are carried out.</p>	