

RSPO CB INTERPRETATION FORUM

30th May – 01st Jun 2023
Hotel Kimpton EPIC Miami,
Florida, U.S.A



www.rspo.org





AGENDAS

31 May 2023 (Wednesday)

Time	Agenda
9.00 - 9.30 am	RSPO Certification Updates (P&C) <i>Head of Certification - Shazaley</i>
9.30 - 10.15 am	Highlights on Reporting and Group Certification Requirement <i>Certification Manager - Amirul Ariff</i>
10.15 - 10.30 am	Coffee Break
10.30 - 11.00 am	Assurance Gaps - Expectations for RSPO auditors <i>Head of Integrity - Wan Muqtadir</i>
11.00 - 12.00 am	RSPO New Planting Procedure (NPP) <i>Manager Integrity - Zaidee Tahir</i>
12.00 - 12.30 pm	RSPO PalmTrace: Common issues identified during licence Submission <i>Certification Manager - Amirul Ariff</i>
12.30 - 2.00 pm	Lunch Break
2.00 - 3.00 pm	Remediation and Compensation Procedure (RaCP) <i>Head of Integrity - Wan Muqtadir</i>
3.00 - 4.00 pm	Updates from Assurance Services International (ASI) <i>RSPO Program Manager - Jan Pierre</i>
4.00 p	END OF DAY 2
4.30 - 6.30 pm	Assurance Forum



RSPO CB INTERPRETATION FORUM

UPDATES: CERTIFICATION UNIT

Shazaley Abdullah
Head, Certification



www.rspo.org



**“ RSPO Interpretation on
Requirements 5.7.3
(RSPO P&C Certification System) ”**

Sampling Requirements (5.7.3)



- Interpretation has been published in the RSPO Interpretation Forum (RIF) Portal (<https://rif.rspo.org/interpretations/350/details>)
- ***“5.7.3 Where sampling is required for a certification assessment, the sampling design shall include all mills and be based on a minimum sample of x estates, where $x = (\sqrt{y}) \times (z)$, where y is the number of estates and where z is the multiplier defined by the risk assessment. In the event the result of the calculation is less than four (4) estates, the minimum number of estates to be audited is four (4).”***

Calculation based on Risk Assessment

Sampling methodology ($x = (vy) \times (z)$)

Unit of Certification with **Low Risk**

No of Estates (y)	Risk Level Multiplier (z)	Samples to be Audited (x)
5	0.8	2.00
6	0.8	2.19
7	0.8	2.37
8	0.8	2.53
9	0.8	2.68
10	0.8	2.83
11	0.8	2.97
12	0.8	3.10
13	0.8	3.22
14	0.8	3.35
15	0.8	3.46
16	0.8	3.58
17	0.8	3.69
18	0.8	3.79
19	0.8	3.90
20	0.8	4.00

Low Risk - UoC may have up to 12 to 20 supply bases

Unit of Certification with **Medium Risk**

No of Estates (y)	Risk Level Multiplier (z)	Samples to be Audited (x)
5	1.0	2.24
6	1.0	2.45
7	1.0	2.65
8	1.0	2.83
9	1.0	3.00
10	1.0	3.16
11	1.0	3.32
12	1.0	3.46
13	1.0	3.61
14	1.0	3.74
15	1.0	3.87
16	1.0	4.00
17	1.0	4.12
18	1.0	4.24
19	1.0	4.36
20	1.0	4.47

Medium Risk - UoC may have up to 10 to 16 estates

Note: always round **UP** to the next number

Unit of Certification with **High Risk**

No of Estates (y)	Risk Level Multiplier (z)	Samples to be Audited (x)
5	1.2	2.45
6	1.2	2.68
7	1.2	2.90
8	1.2	3.10
9	1.2	3.29
10	1.2	3.46
11	1.2	3.63
12	1.2	3.79
13	1.2	3.95
14	1.2	4.10
15	1.2	4.24
16	1.2	4.38
17	1.2	4.52
18	1.2	4.65
19	1.2	4.77
20	1.2	4.90

for the case of High Risk, up to 8 to 13 estates



“


RSPO Time Bound Plan Revision

”



ANNOUNCEMENTS

RSPO ANNOUNCEMENT FOR TIME BOUND PLAN REVISION

 20 DECEMBER 2021

SHARE     

The RSPO Certification Systems for Principles and Criteria (P&C) require RSPO members to provide the Time Bound Plan (TBP) for certifying all its management units and/or entities, including the units where the organisation has management control and/or minor shareholding.

As mentioned in Clause 4.5.3 of the P&C Certification System (14 June 2017) and recently revised with Clause 5.5.2 of the P&C Certification System (12 November 2020), the TBP shall contain a current list of all estates and mills and as a minimum, all estates and mills shall be certified within five (5) years after obtaining RSPO membership. Any new acquisitions shall be certified within a three-year time frame. **Any deviations from these maximum periods require approval by the RSPO Secretariat.**

In order to help RSPO members comply with the above aforementioned requirements, the RSPO Secretariat requires members to download and fill up the attached [Time Bound Plan Revision template](#) when requesting approval for any deviation from the maximum periods. The request shall be directed to the RSPO Secretariat (Certification Unit) via email to certification@rspo.org with the completed template as an attachment. The Certification Unit in the RSPO Secretariat will then review the request and provide approval upon a satisfactory review. Please ensure that the revision of the TBP is supported with a strong justification from the management unit.

Kindly refer to the attached **Time Bound Plan Revision template** and also the detailed **Flowchart** for RSPO members when making approval requests for TBP revision.

The implementation of this new process flow is effective as per date of announcement. For any further questions and assistance, please contact certification@rspo.org

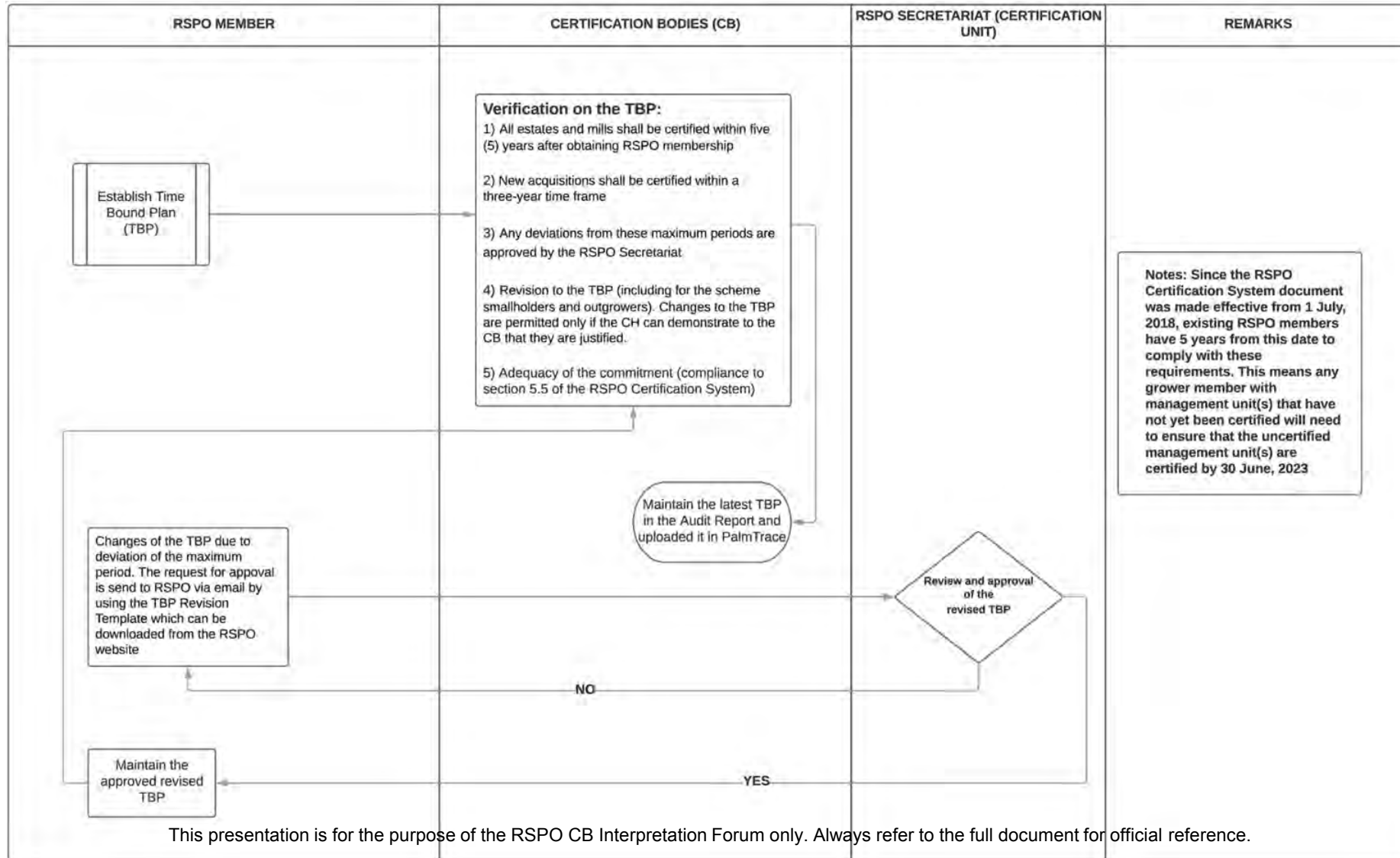
<https://rspo.org/rspo-announcement-for-time-bound-plan-revision/>

RSPO Announcement for TBP Revision



- Clause **5.5.2** of the P&C Certification System (12 November 2020):
 - TBP shall contain a current **list of all estates and mills**.
 - All estates and mills shall be **certified within five (5) years** after obtaining RSPO membership.
 - Any new acquisitions shall be **certified within a three (3) years** time frame.
 - Any deviations from these maximum periods **require approval by the RSPO Secretariat**.
- Use Time Bound Plan Revision template when requesting approval for any deviation from the maximum periods (up to **30 June 2023**).
- The request are directed to the RSPO Secretariat (Certification Unit) via email (certification@rspo.org)
- The revision of the TBP is supported with a strong justification from the management unit.

RSPO Announcement for TBP Revision



This presentation is for the purpose of the RSPO CB Interpretation Forum only. Always refer to the full document for official reference.

RSPO Announcement for TBP Revision



TIME BOUND PLAN (TBP)														
Country	Name of the Mills and Supply Bases	Location Address	GPS Coordinates (in decimal degree)		Total Managed Area (Ha)	Certification Status (Certified / Not certified)	Plan Year for Certification	Actual Certification Year	Date of Last TBP Verified and Approved by C	REVISION OF THE TBP <i>(Only applicable when revision is made)</i>				
			Latitude	Longitude						Any revision from the last approved TBP?	New Proposed Year for Certification	Justification of changes for each UoC	Date of approval from RSPO	
Indonesia	Mill A													
Indonesia	Estate 1													
Indonesia	Estate 2													
Indonesia	Estate 3													
Indonesia	KUD XXX													

RSPO Announcement for TBP Revision



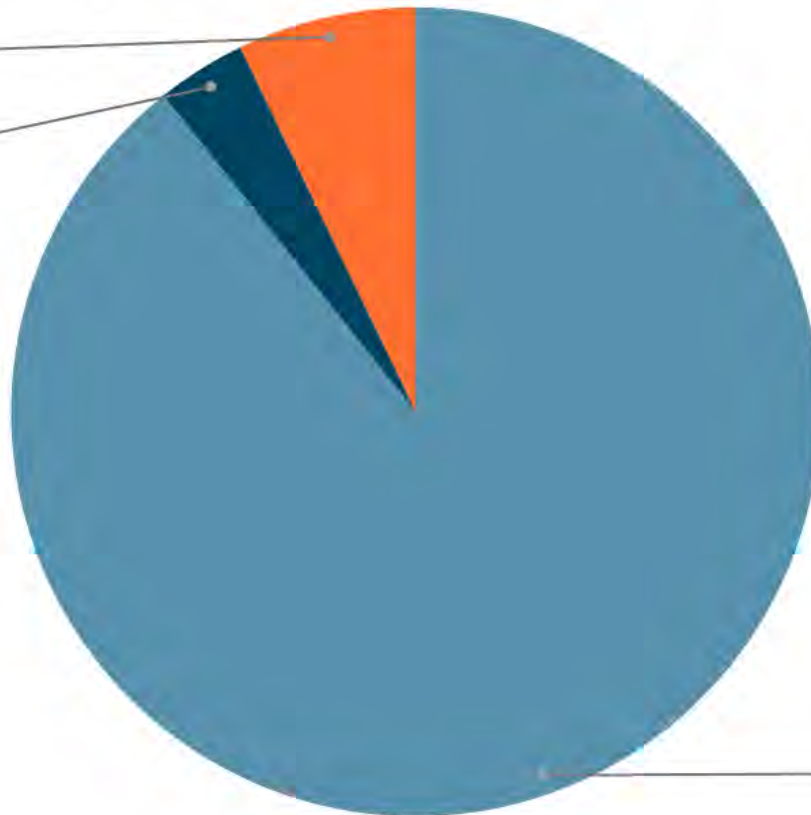
TBP Deviation Request

Pending Updates

7.1%

Not Applicable

3.6%



Total Approved

89.3%

- Total Request Received by RSPO Secretariat: **28 Members**
- Total Approved: **25 Members**
- Pending Updates: **2 Members**
- Not Applicable: **1 Member**
- Maximum Years Approved: 2027

Let's check if we are still aware!



1. Who is responsible to verify the progress of RSPO Time Bound Plan?
2. How will the CB report the progress of Certificate Holder's on their TBP?
3. What element to check when verifying the Uncertified Management Unit?
4. What is the meaning of "Positive Assurance Statement" as required in the requirement 5.5.2?



**“ RSPO Interim Measure in Indicator
2.3.2 (RSPO P&C 2018) ”**



ANNOUNCEMENTS

INTERIM MEASURE FOR FULFILMENT OF INDICATOR 2.3.2 OF THE 2018 RSPO PRINCIPLES & CRITERIA — ON LEGALITY OF INDIRECT FFB SUPPLIES

🕒 14 FEBRUARY 2022

SHARE     

It has come to the RSPO Secretariat’s attention that some certified members have been unable to meet the stipulated November 2021 deadline to fulfil the requirement of **Indicator 2.3.2 of the 2018 RSPO Principles & Criteria (P&C)**, which states:

“For all indirectly sourced FFB, the unit of certification obtains from the collection centres, agents or other intermediaries, the evidence as listed in Indicator 2.3.1:

- Information on geo-location of FFB origins
- Proof of the ownership status or the right/claim to the land by the grower/smallholder
- Where applicable, valid planting/operating/trading license, or is part of a cooperative which allows the buying and selling of FFB.”

In relation to this, Annex 4 of the 2018 RSPO P&C states:

“Where the unit of certification has smallholder suppliers, for existing RSPO certified mills, the time requirement to fulfil this Criterion for all their smallholder suppliers is by November 2021*. For mills that are not yet certified/mills going for the first year of certification, the time requirement is three years from initial point of certification for their smallholder suppliers.”

<https://rspo.org/interim-measure-for-fulfilment-of-indicator-232-of-the-2018-rspo-principles-and-criteria--on-legality-of-indirect-ffb-supplies/>

Indicator 2.3.2



- Some RSPO certified members having **difficulties to meet the November 2021 deadline** to fulfil the requirement of Indicator 2.3.2 of the 2018 RSPO Principles & Criteria (P&C):
“For all indirectly sourced FFB, the unit of certification obtains from the collection centres, agents or other intermediaries, the evidence as listed in Indicator 2.3.1:
 - *Information on geo-location of FFB origins*
 - *Proof of the ownership status or the right/claim to the land by the grower/smallholder*
 - *Where applicable, valid planting/operating/trading license, or is part of a cooperative which allows the buying and selling of FFB.”*
- **Annex 4 of the 2018 RSPO P&C** states - Where the unit of certification **has smallholder suppliers**:
 - **For existing RSPO certified mills:** the time requirement to fulfil this Criterion for all their smallholder suppliers is by **November 2021***.
 - **For mills that are not yet certified/mills going for the first certification:** the time requirement is **three (3) years from initial point of certification** for their smallholder suppliers.

Indicator 2.3.2



- The time requirement for the following National Interpretations (NI) varies **according to the endorsement date by the BoG.**
 - **Malaysia:** 3 years from 15 November 2019 (i.e. 15 Nov 2022)
 - **Indonesia:** 3 years from 15 November 2018 (i.e. 15 Nov 2021)
 - **Sierra Leone:** 2 years from November 2021 (i.e. Nov 2023)
 - **Nicaragua:** 3 years from November 2021 (i.e. Nov 2024)
 - **Ecuador:** 3 years from 15 November 2021 (i.e. 15 Nov 2024)
- RSPO SSC and ASC have deliberated and provided **Interim Measure** which **effective immediately (15 Feb 2022) until the next revision of the P&C is endorsed** and adopted at 20th General Assembly in November 2023.

Interim Measure on Indicator 2.3.2



- All RSPO certified companies who were **unable to fulfil the requirement by November 2021** must **REGISTER THEIR CASE with the RSPO Certification Unit** by sending an email to certification@rspo.org by **31 March 2022**** with the Subject: “Indicator 2.3.2 Case Register [company name]”.
- The **email must include** the following information:
 - Name of parent company holding the RSPO membership number
 - RSPO membership number
 - Name of certified units
 - RSPO PO_ID number

**Note:

- The **31 March 2022** deadline applies to all growers’ **members who have been implementing the generic 2018 P&C** in 2020/2021 and have been **unable to meet the November 2021** deadline.
- For the **NIs**, the deadline to register the case is the same for the transition period (e.g., for the Malaysia NI, the deadline to register is **15 November 2022**).

Interim Measure on Indicator 2.3.2



- Once the case is registered, **companies must submit the data and plan to the Certification Bodies (CBs)** prior to the upcoming assessment:
 - **Implementation progress and challenges:** report with evidence the status of compliance detailing the total number of indirect FFB suppliers with the number of indirect FFB suppliers whose evidence (as per Indicator 2.3.1) obtained and those yet to be obtained.
 - **A stepwise plan** that outlines the actions and projected timeline towards complete fulfilment of the Indicator 2.3.2 requirement. This final deadline for 100% compliance of Indicator 2.3.2 as stated in the **plan must not go beyond November 2023.**
- The CBs shall then use the submitted data and plan to evaluate the companies' progress in meeting the requirement of Indicator 2.3.2 during the upcoming Surveillance or Recertification Audits.
- **Failure to fulfil the requirement of Indicator 2.3.2 by November 2023** for registered cases will result in a major non-compliance raised by the CBs, which may lead to suspension of the certificate.

Interim Measure on Indicator 2.3.2



Registered cases UoC for 2.3.2



RSPO Members



“

RSPO Management System Requirements for Group Certification

”

RSPO Group Certification 2022



- Endorsement by the Board of Governors on 18 May 2022.
- Will replace the previous version of the RSPO Management System Requirements and Guidance for Group Certification of FFB Production 2018; effective as of 18 November 2022.
- **Key Changes:**
 - Clarified its applicability to smallholders (Independent and/or Scheme) and medium growers.
 - Clarified the scope of Unit of Certification to include areas set aside for HCV, HCS and livelihoods.
 - Provide guidance under Annex on certification options.





“

Labour Auditing Guidance for CB

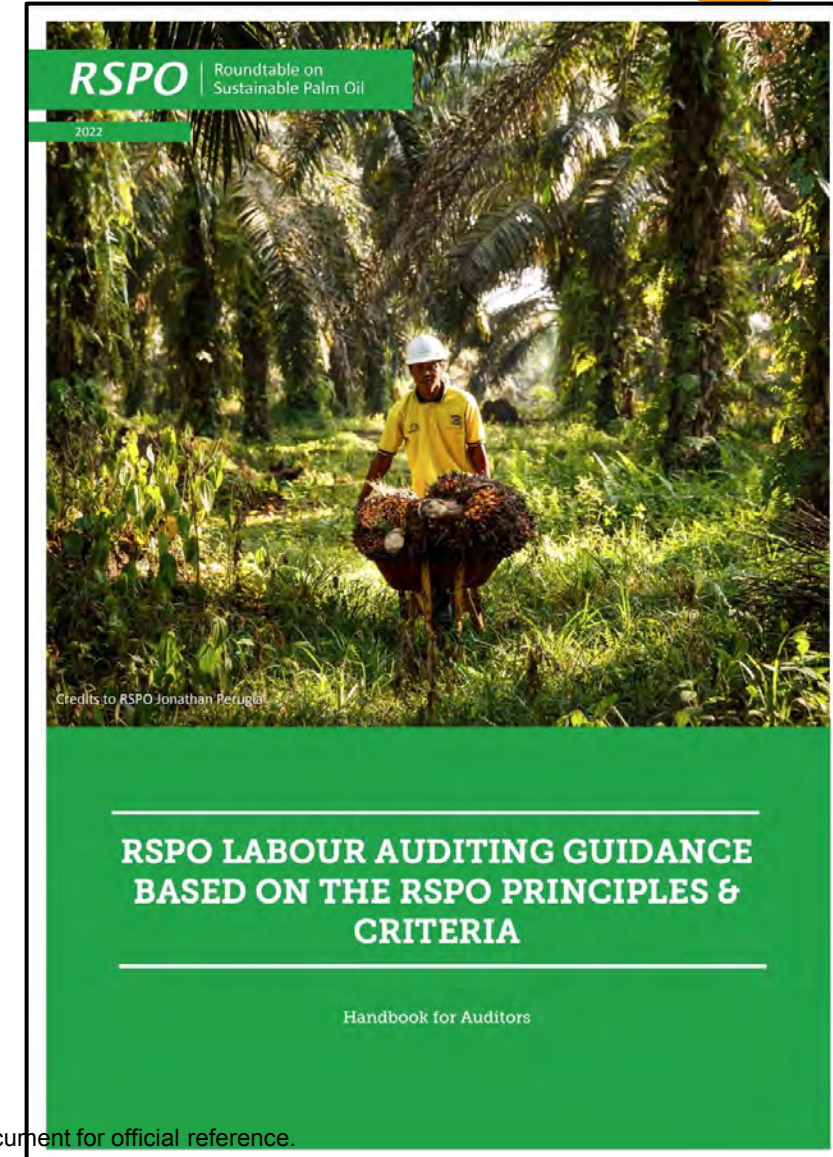
”

RSPO Labour Auditing Guidance



- Endorsed by the Assurance Standing Committee (ASC) on **22 September 2022**
- Develop as a guidance documents for RSPO Auditors in strengthening the labour elements during the RSPO P&C audit.
- To improve the auditability of the labour requirements of the RSPO P&C and provide Certification Bodies (CBs) with a clear methodology that ensures a consistent system and approach to plan and execute RSPO P&C audits.
- This document shall be used as a **voluntary guidance for a trial period of eighteen (18) months**, effective from the date of announcement (21 November 2022).

This presentation is for the purpose of the RSPO CB Interpretation Forum only. Always refer to the full document for official reference.





Question & Answer

This presentation is for the purpose of the RSPO CB Interpretation Forum only. Always refer to the full document for official reference.

RSPO CB INTERPRETATION FORUM

Certification Systems Document 2020 & Group
Certification of FFB Production 2022

Amirul Ariff
Manager, Certification (P&C)



www.rspo.org

Reporting requirements



Peer Review

- Mandatory for IC and RC
- Peer Reviewer shall follow the guidelines in Annex 4

Annex 3

Describes the minimum information or contents that is required in the Audit Report

Submission

- 30 days from the closing meeting (No Major NC Case)
 - 2 weeks after last Major NC
- Additional 3 weeks for IC & RC

What to Submit?

- Submission on RSPO IT Platform
- 7 days after certificate issuance
- Audit Report
- Metrics Template
- Certificate

Annex 3 : Audit Report

ANNEX 3 : AUDIT REPORT

- A.3.1 The audit report shall include the following information:
- a. Name of the mill and its supply base included in the scope of certification;
 - b. Details description of the certification unit that include:
 - i. Location of mills and estates, including address and the GPS coordinates (latitude and longitude);
 - ii. Maps of acceptable quality;
 - iii. Supply base composition, including hectares (total certified and production area, breakdown of mature and immature area, HCV/HCS area and conservation area), age profile and actual production of FFB during the last licence year;
 - iv. Name of other supply base (non-certified) and the FFB received by the mill;
 - v. Mill's information as below:
 - Mill's capacity
 - Supply chain model of certification (IP and/or MB)
 - Last licence year's certified FFB processed
 - Last licence year's certified CSPO and CSPK produced
 - Last licence year's actual sold volume CSPO and CSPK
 - Last licence year's actual sold volume PO and PK under other schemes
 - Last licence year's actual sold volume PO and PK as conventional
 - Last licence year's actual sold CSPO credits (where applicable)
 - New licence year's certified volume CSPO and CSPK
 - c. Assessment/audit process
 - i. Composition of the audit team
 - ii. Brief CV demonstrating competency of
 - Lead auditor
 - Audit team members
 - Local experts
 - Other audit team members
 - iii. Name of peer reviewer
 - iv. Audit date and the detail plan
 - d. Stakeholder consultation process
 - i. Date of public announcement made
 - ii. List of stakeholders consulted
 - iii. Issues raised by the stakeholders and the respective responses (if any).
 - e. Time-bound plan (TBP) and adequacy of the commitment (i.e. compliance to section 5.5 of the RSPO Certification System);

ANNEX 3

- f. Results/assessment findings shall cover compliance to each indicator (refer to audit checklist). Non-compliances raised refer to specific indicators as listed under the P&C or under the specific NI;
- g. List of all NCs raised and for major NCs shall include the root cause, corrective actions and closure of the NCs;
- h. List of previous year's audit findings, including the corrective actions and closure of the NCs;
- i. Date of audit report and signed off by the company's management and the CB's audit team leader.



**Annex 3
Requirements**



Overview of Annex 3



Basic Information

Name of the POM and its Supply Bases included in the scope of certification

Description of UoC

- Location (including GPS)
- Maps (acceptable quality)
- Supply base information (Certified and Non-Certified)
- POM Information

Assessment Process

- Audit Team composition
- Competency of Assessor
- Peer Reviewer Name
- Audit Plan/Program

Stakeholders Consultation

- Date of Public Announcement
- List of Stakeholders Consulted
- Issues and responses by CB

Time-bound Plan

- 5 years after Membership
- 3 years after new acquisition
- Positive assurance statement
- All mills & estates

Findings

- Against each indicators!
- Compliance status
- List of NCs with RCA, CA and Closure of NC

Previous Audit Findings

List of previous year's audit findings, including the corrective actions and closure of the NCs

Sign-Off

Date of audit report and counter-signed by the company's management and CBs team leader



“

RSPO Metrics Template

”

RSPO Metrics Template



RSPO Metrics Template v2.1

Terjemahan untuk teks dan nota disediakan di tab 'Translations'. Les traductions des informations presentees ici ainsi que leurs notes respectives sont disponibles
 Terjemahan untuk teks dan catatan ini tersedia di tab 'Translations'. La traducción de los textos y las notas están disponibles en la pestaña "Traducciones".

Certification Details	
Name of RSPO Member	
RSPO Membership Number	
Name of Certified Unit	
Name of Certification Body	
RSPO PalmTrace ID Number	
Supply Chain Model	
Assessment Type	
Start Date of Audit (mm/yyyy)	
Contact Information	
Name of contact person	
Email address	
Information of Unit of Certification	
Number of Mill(s)	
Number of Certified Estate(s)	
Total Production Area (ha) for Certified Estate(s)	
Total Certified Area (ha) for Certified Estate(s)	
Biodiversity	
High Conservation Value (HCV) Area (ha)	
High Carbon Stock (HCS) Area (ha)	
HCV-HCS Area (ha)	
Additional set aside river buffer (ha) that are not part of the above HCV areas.	
Peatlands (Total area of all certified estate(s) within the unit of certification)	
Planted Peatlands (ha)	
Unplanted and Conserved Peatlands (ha)	
Unplanted and Rehabilitated Peatlands (ha)	

Guidance | Walk-Me | Translations | Summary | **1.0 Member Details** | 2.0 Annual - Mill | 3.0 Annual

Data accuracy!!



- **Data completeness and accuracy submitted via the Metrics Template is the key to have accurate information demonstrated**
- **It is CB's responsibility to check the accuracy and completeness**
- **Several Common issues identified through review process**

Reminder!



Double check the data submitted to ensure accuracy

- Check the indicator box colour to identify potential error

PK.1.0 Production - Palm Kernel	Annual Data (Jan-Dec of Previous Calendar Year)		12-month period counting up to two months before audit month	
	Start	End	Start	End
	Jan-20	Dec-20	Oct-20	Sep-21
Certified PK Production (MT)	4499.35		4456.35	
Non-Certified PK Production (MT)	0		0	
Total PK Production (MT)	4,499.35		4,456.35	
Certified PK Sales - RSPO (MT)	477.1		4395.28	
Certified PK Sales - Other Schemes (MT)	0		0	
Certified PK Sales - Conventional (MT)	4103.04		174.77	
% Certified PK Sales - RSPO	11%		99%	
% Certified PK Sales - Other Schemes	0%		0%	
% Certified PK Sales - Conventional	91%		4%	
Variance	-80.79		-113.70	

- Read the side notes provided

Training (Jan - Dec of previous calendar year)			
T.1.0 - How many RSPO-related training have been organised in the last year?			
T.1.1 How many full-time employees (permanent and contract) attended the training?			
	Male	Female	Total
Management			0
Non-Management			0
Total	0	0	0

Note:
The numbers required refer to the total number of individuals who have attended training, regardless of the number of training that individual has attended.
E.g. worker A has attended a total of 3 training sessions but will only be recorded as 1 headcount.

Operations Input (Jan - Dec of previous calendar year)



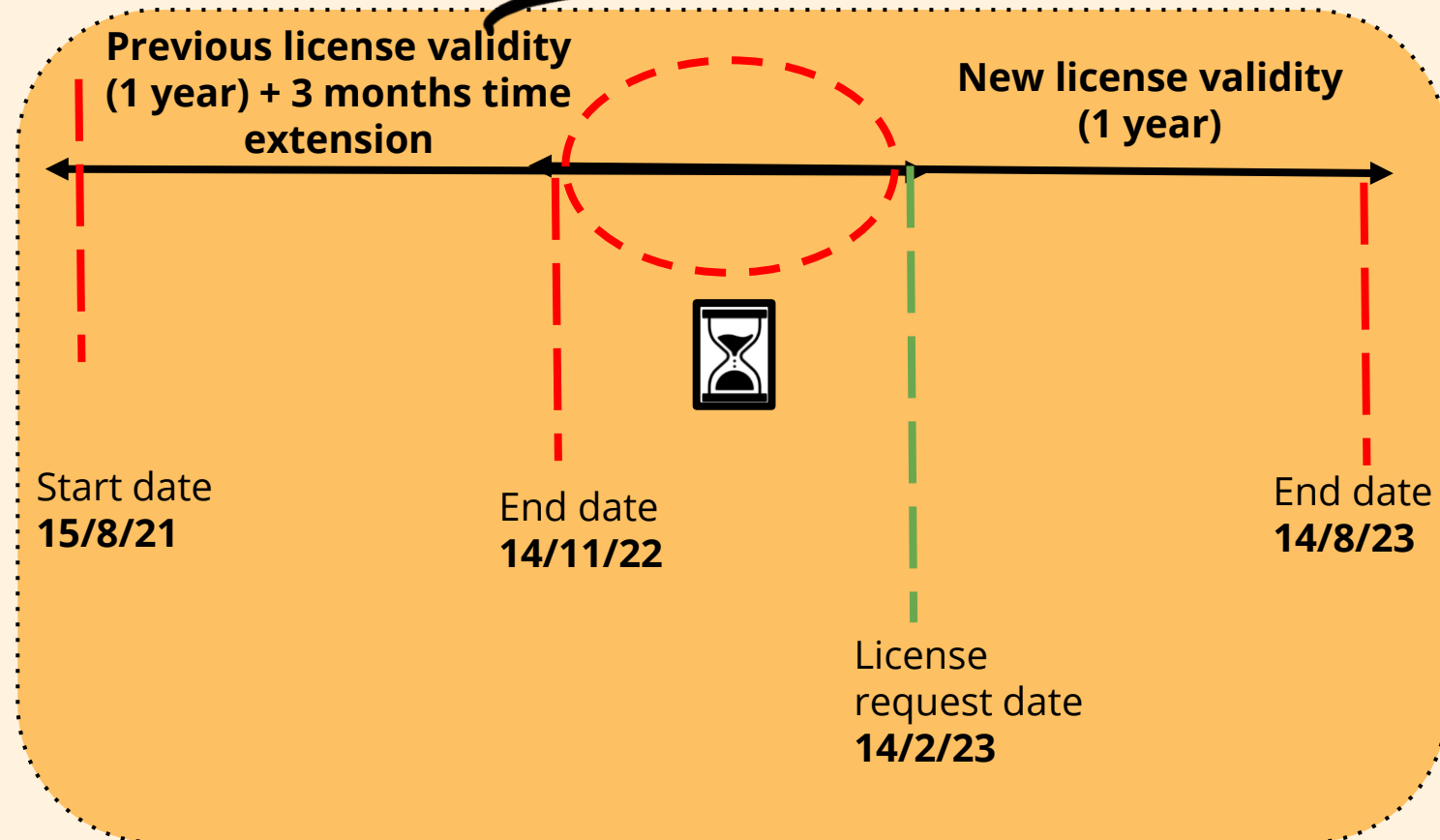
“

**Late Submission of new license on
Palm Trace**

”

License Lapses!

- When there is a **lapses** between old license and a new license validity
- **License lapses will result in loss of opportunity for trading of certified products**





**“ RSPO Management System
Requirements for Group
Certification of FFB Production 2022 ”**

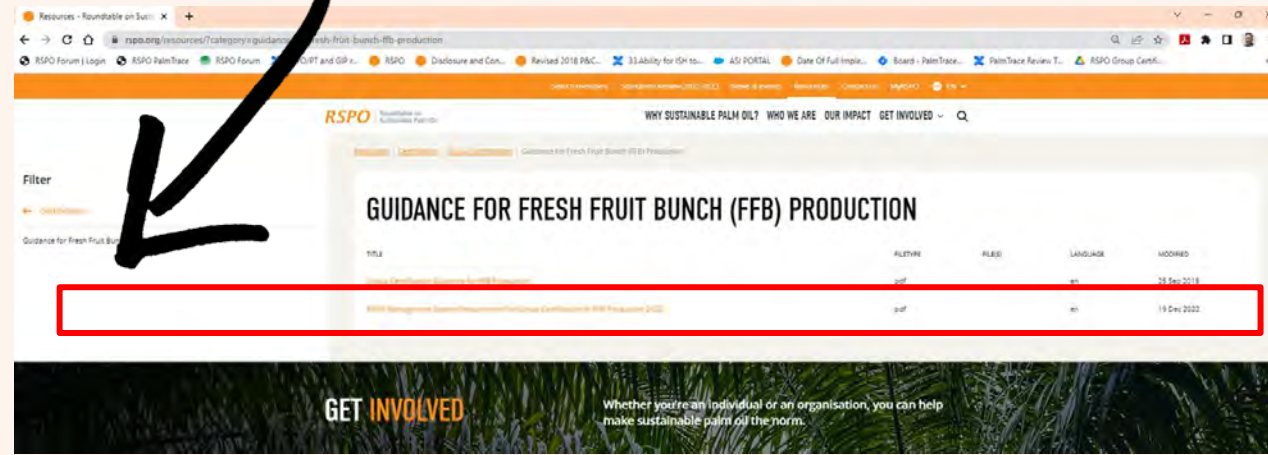
GROUP CERTIFICATION 2022



RSPO
Roundtable on Sustainable Palm Oil

RSPO Management System Requirements Guidance for Group Certification of FFB Production

Revised Version
as endorsed by the Board of Governors on 8th March 2018



- Replaces the previous version of RSPO Management Systems Requirements and Guidance for Group Certification of FFB Production 2018
- Effective as of **18 November 2022**



KEY UPDATES IN GROUP CERTIFICATION 2022

- Clarification on its applicability to smallholders (Independent and/or Scheme) and medium growers
- Clarification on the scope of UoC to include areas set aside for HCV, HCS and livelihoods
- Guidance under Annex II on Certification Options

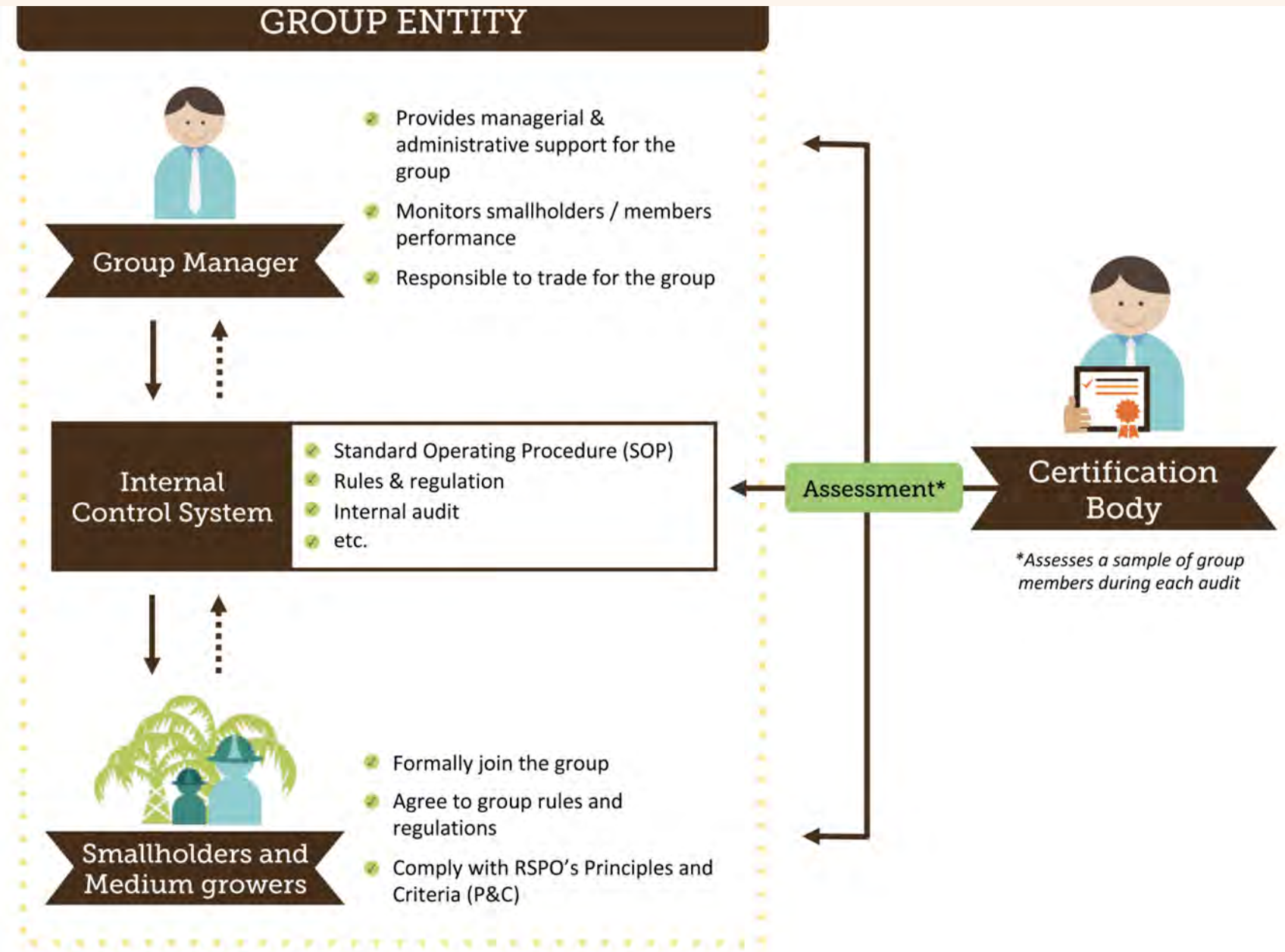
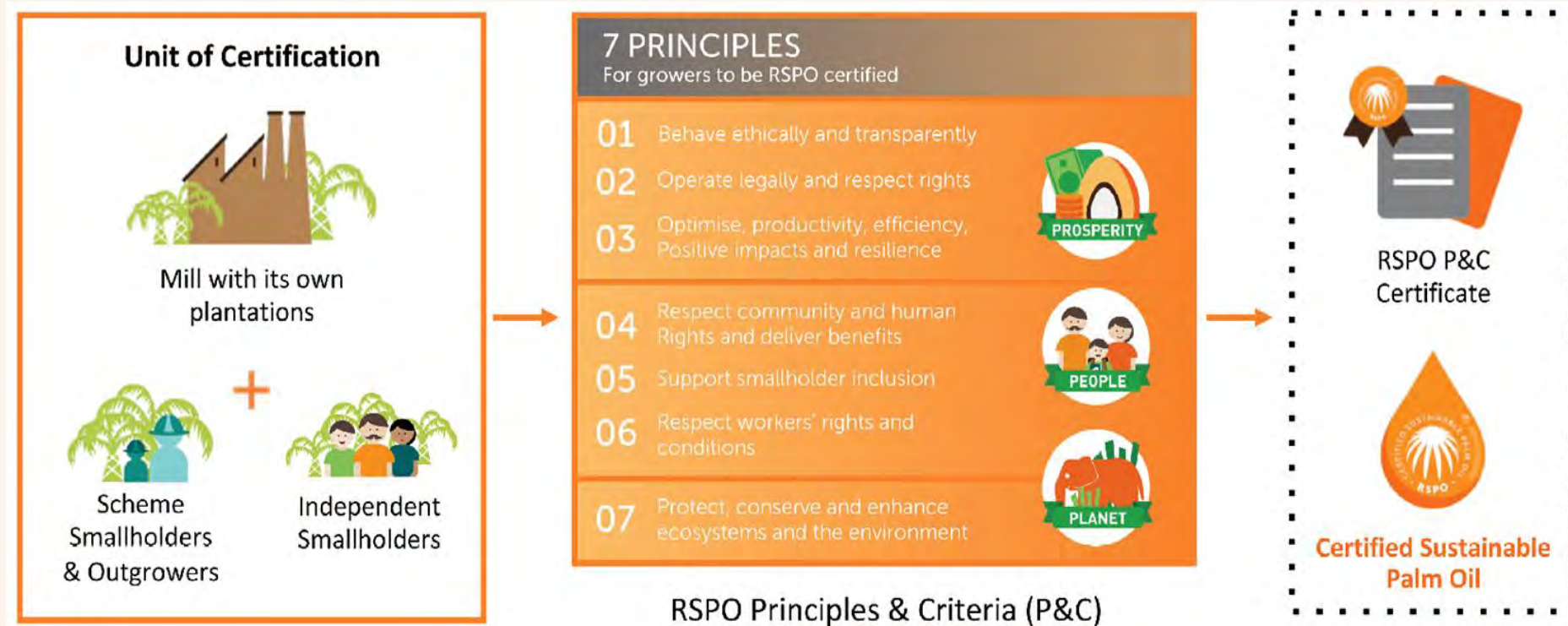


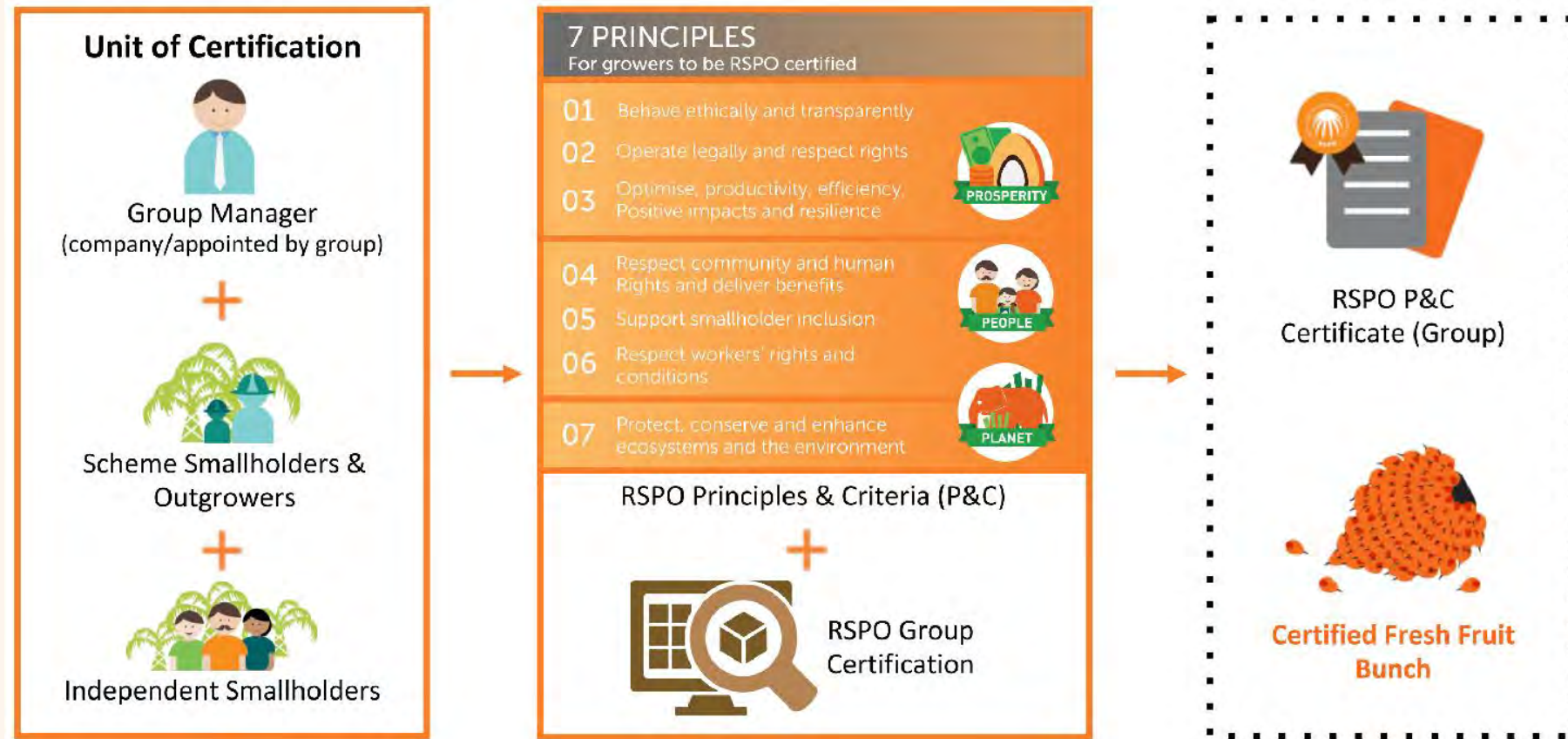
Figure 1. Group Certification Structure (Simplified Illustration)

Inclusion into Mill's Supply Base



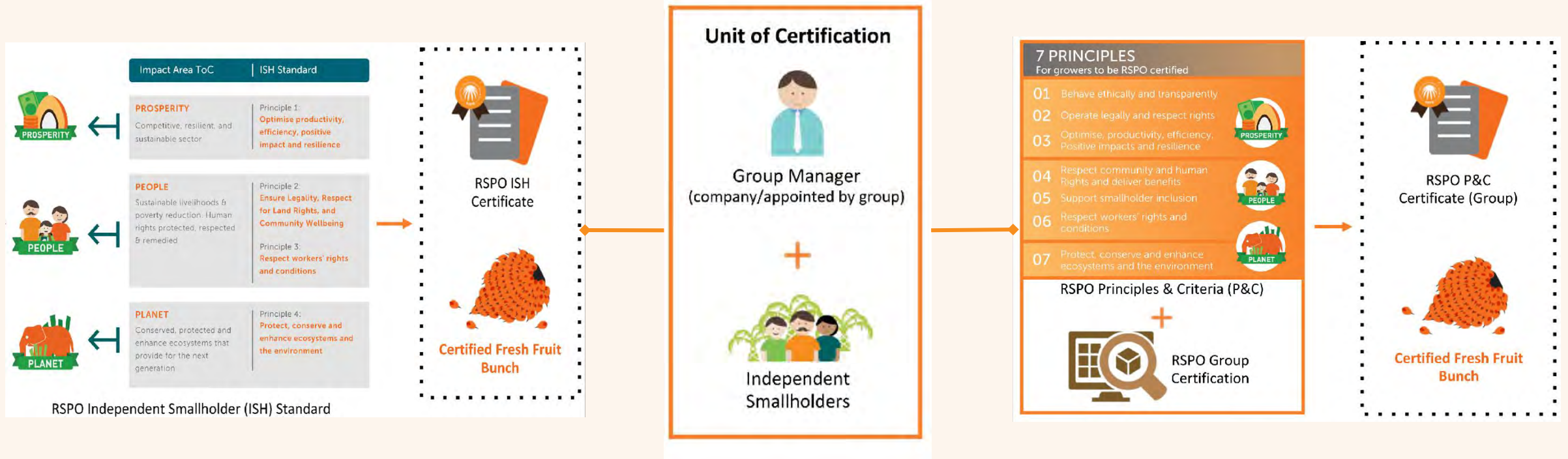
ONE (1) Single P&C Certificate, awarded to company

Group with others SH and MG



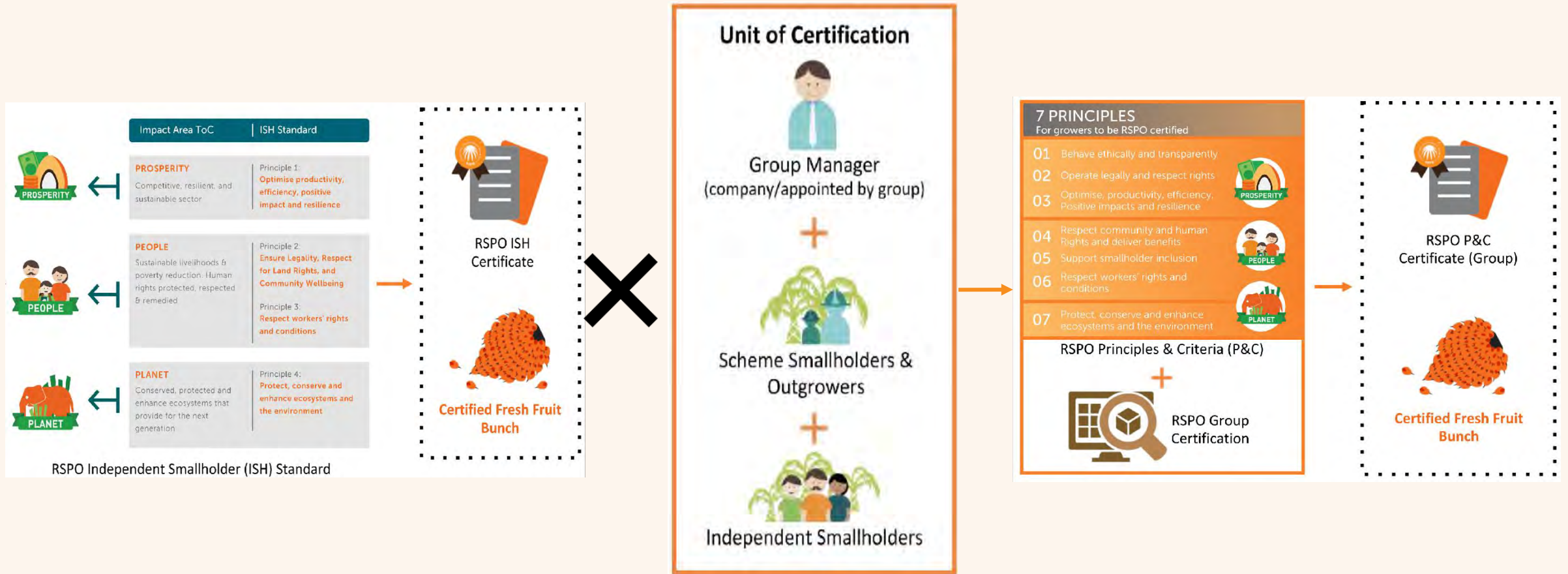
ONE (1) Single P&C Certificate, awarded to Group Manager

Group with ONLY ISH



ONE (1) Single ISH OR(/) P&C Certificate, awarded to Group Manager

Group with ISH & Scheme SH



ONE (1) Single P&C (ONLY) Certificate, awarded to Group Manager



Find out more at
www.rspo.org

RSPO CB INTERPRETATION FORUM

30 May - 1 Jun 2023

We will be back in

15:00



www.rspo.org



RSPO CB INTERPRETATION FORUM

Assurance Gaps - Expectations for RSPO Auditors

Wan Muqtadir
Head, Integrity

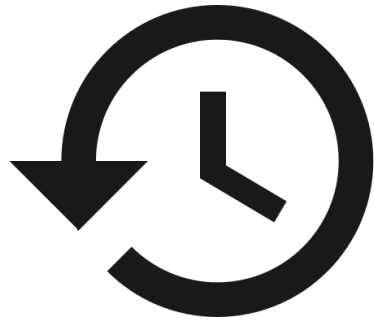


www.rspo.org

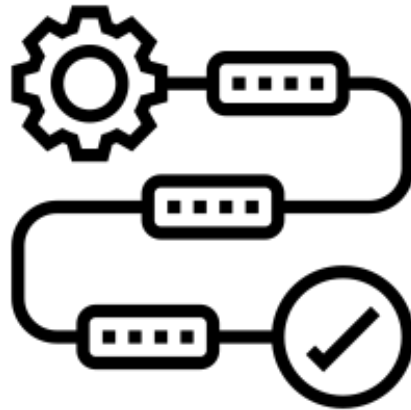
Compliance Analysis and What's Next?

RSPO Integrity Unit





History
(15 mins)

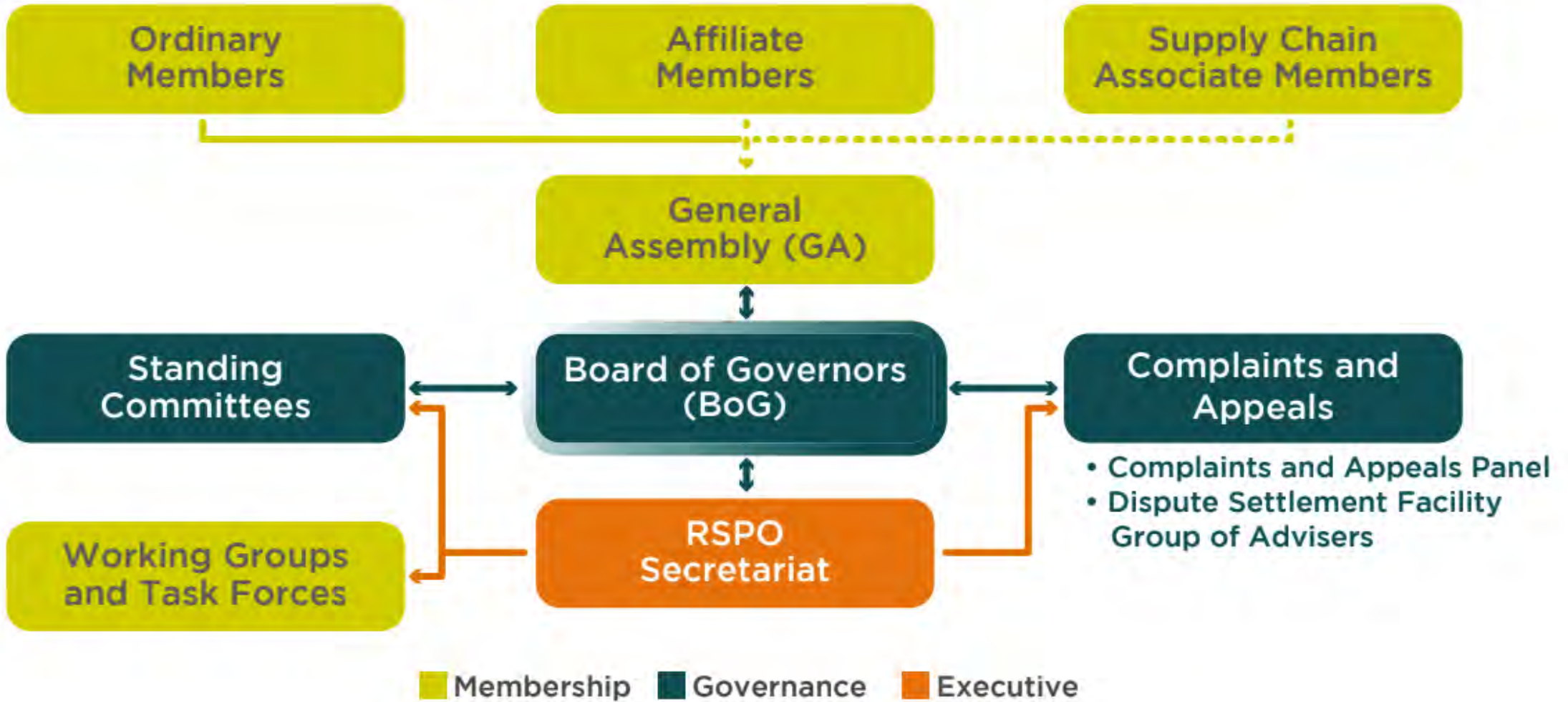


Methodology
and Findings
(35 mins)



Ideas for
improvement
(30 mins)

Governance Structure



Resolution 6h



THIS RESOLUTION PROPOSES:

To mandate the Secretariat, acting in coordination with members and in accordance with ISEAL procedures, to:

1. Develop clear, mandatory guidelines on the minimum acceptable quality of HCV assessments;
1. Develop clear, mandatory guidelines on assessments of FPIC in the New Planting Procedure;
1. Develop and institute a transparent and robust system for monitoring the quality of assessments;
1. Monitor the quality and performance of Auditors and **pursue suspensions or sanctions against underperforming or persistent offenders;**
1. **Monitor RSPO members' adherence to required procedures** and report all members that omit submitting NPP notifications before clearing lands to the Complaints Panel.

Resolution 6h

Proposed Resolution to be adopted at the 12th General Assembly of the Roundtable on Sustainable Palm Oil (RSPO)

19th of November 2015

TITLE: ENSURING QUALITY, OVERSIGHT AND CREDIBILITY OF RSPO ASSESSMENTS

Submitted By: Forest Peoples Programme

Co-signed By: Sumatran Orangutan Society, Aidenvironment



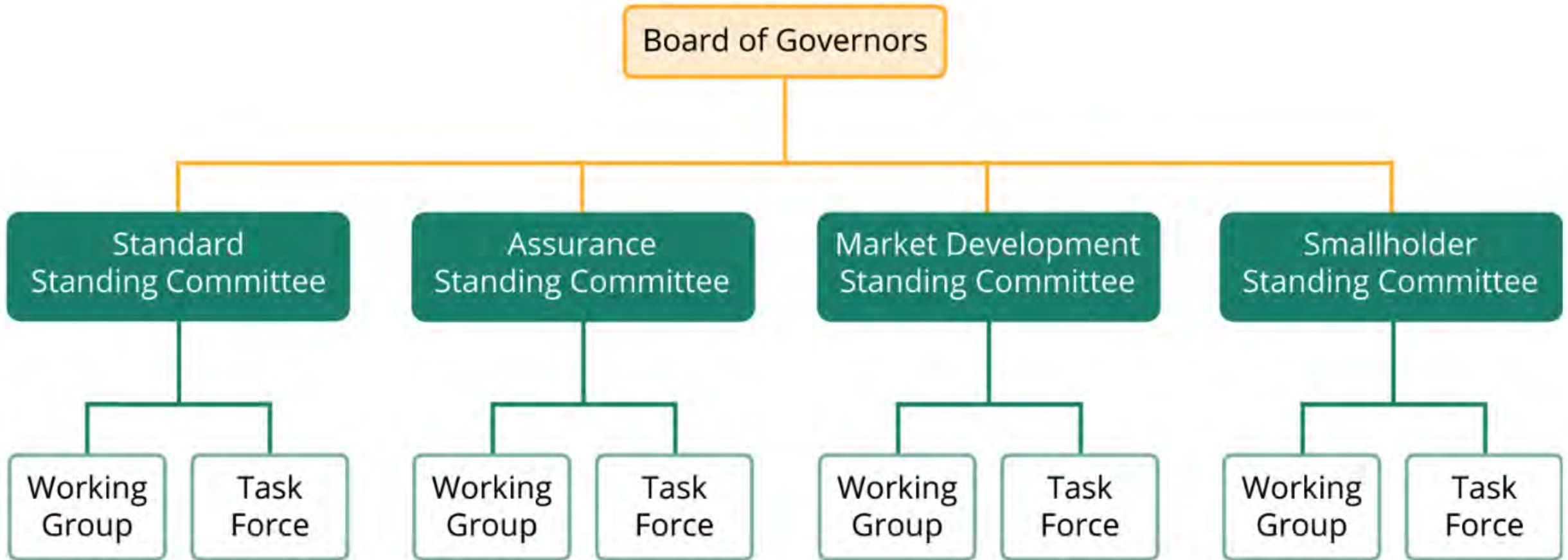
Governance Review

Assurance Standing Committee is established to replace the previous function of Assurance Task Force

Standing Committees are established on a permanent basis to analyse issues within their areas of jurisdiction and make recommendations to the BoG

In March 2019, the RSPO BoG endorsed the RSPO Governance Review for 'Reorganisation of Standing Committees, Task Forces and Working Groups'.

🕒 RSPO Standing Committees





Criticism



THE GREAT PALM OIL SCANDAL

LABOUR ABUSED BEHIND BIG BRAND NAMES - EXECUTIVE SUMMARY



CERTIFYING DESTRUCTION
Why consumer companies need to go beyond the RSPO to stop forest destruction.



Voices of the Ind Merauk

Forest Peoples Programme PLUS

Palming Off Responsibility

Labour rights violations in the Indonesian palm oil sector



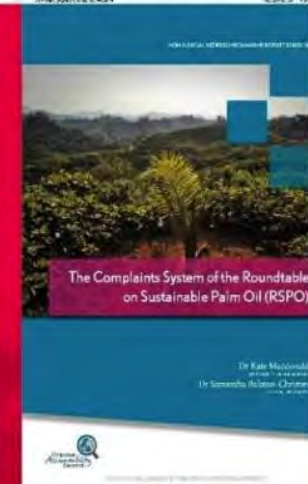
THE HUMAN COST OF CONFLICT PALM OIL REVISITED

How PepsiCo, Banks, and the Roundtable on Sustainable Palm Oil Perpetrate Indonesian Worker Exploitation

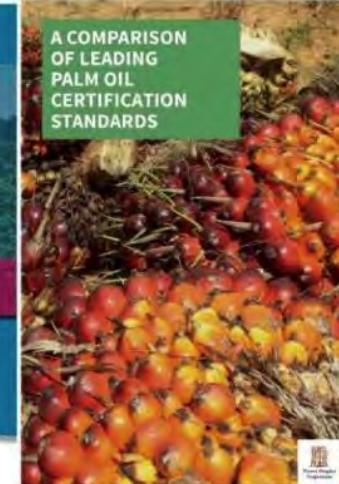


WHO WATCHES THE WATCHMEN?

Auditors and the breakdown of oversight in the RSPO



The Complaints System of the Roundtable on Sustainable Palm Oil (RSPO)



A COMPARISON OF LEADING PALM OIL CERTIFICATION STANDARDS



Forest Watch Indonesia

Monitoring and reporting on RSPO compliance



PT Mustika Sembuluh and the Dayak Temuan of Central Kalimantan



WORLD RAINFOREST MOVEMENT

Why the RSPO facilitates land grabs for palm oil



NGOs Call For Systemic Reforms To RSPO Certification Scheme Beyond Standards Review



Sustainable palm oil as a public responsibility? On the governance capacity of Indonesian Standard for Sustainable Palm Oil (RSPO)



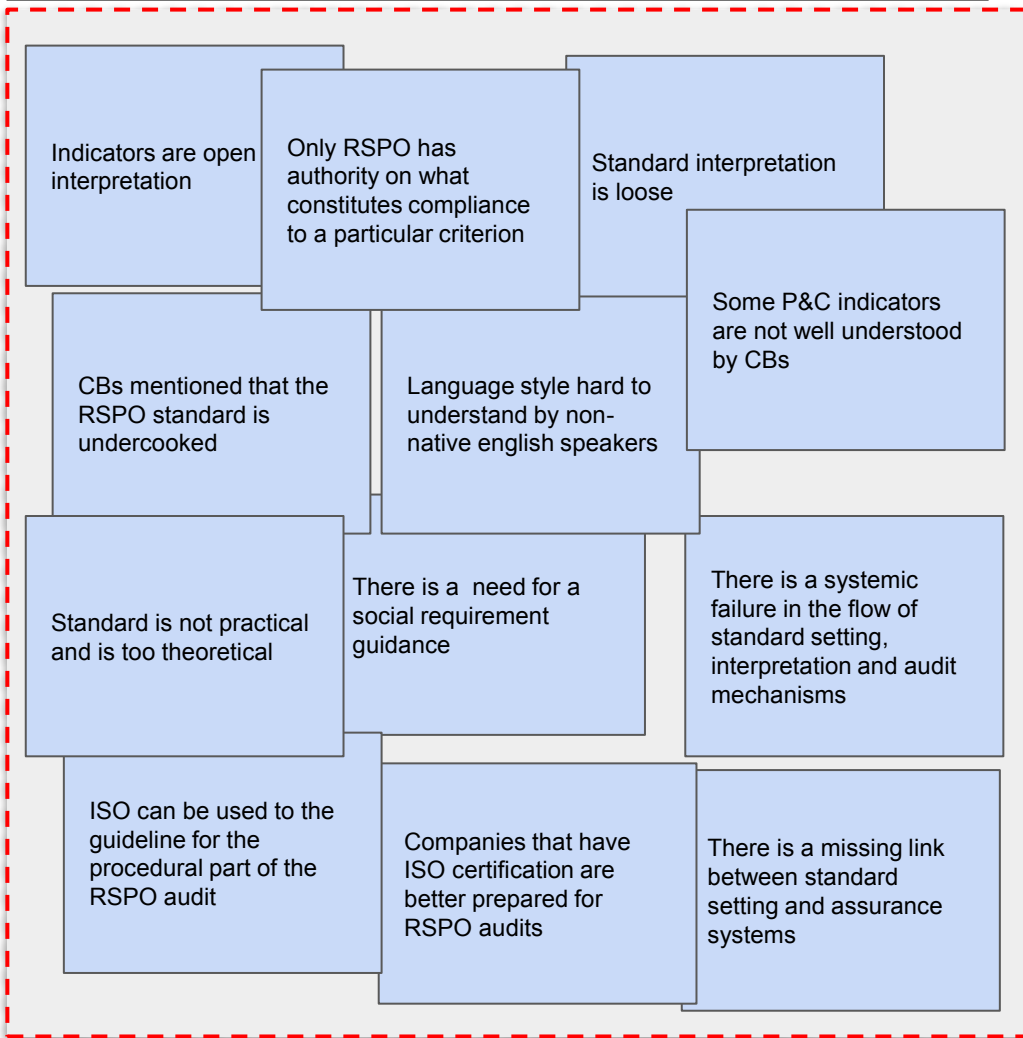
EIA still watching the RSPO's palm oil audit



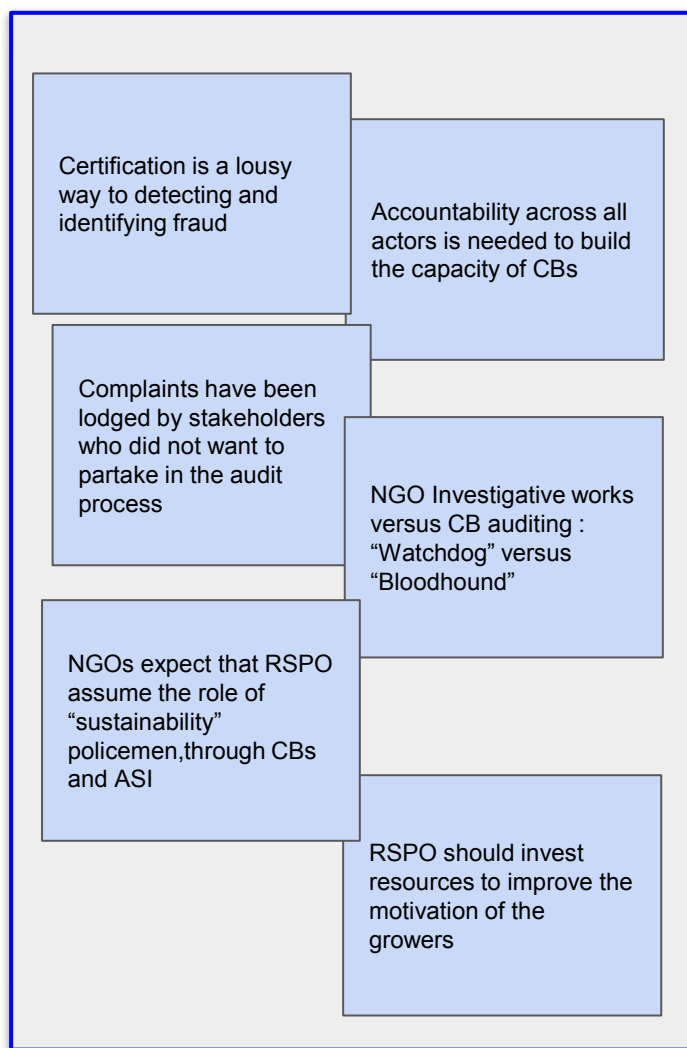
What We Uncovered



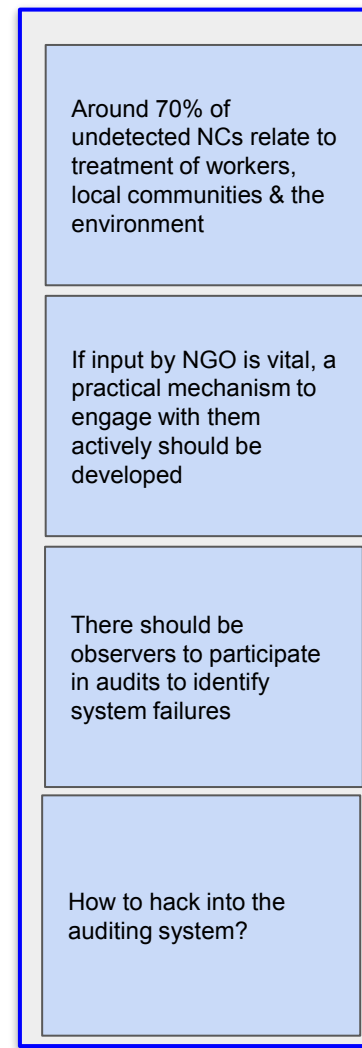
Standard Setting & Interpretation



Certification



Compliance



Setting the base



RSPO Roundtable on Sustainable Palm Oil
Assurance Gap Analysis 2021

GAP ANALYSIS OF THE RSPO ASSURANCE SYSTEM:
Key Issues, Root Causes and Proposed Actions

Photo credit: RSPO and Jonathan Peruga

53 detailed activities

- Completed: 23
- On-going: 22
- To begin as planned: 8

"The RSPO Rules on Market Communications and Claims 2022 document was approved by the RSPO Board of Governors on 3 October 2022 and two socialisation webinars was held on 16 and 17 November 2022."

10 detailed activities

- Completed: 2
- On-going: 5
- To begin as planned: 2
- Not started: 1

"Labour Auditing Guidance was published to improve the auditability of the labour requirements of the RSPO P&C and provide CBs with a clear methodology that ensures a consistent system and execute RSPO P&C audits."

20 detailed activities

- Completed: 8
- On-going: 9
- To begin as planned: 3

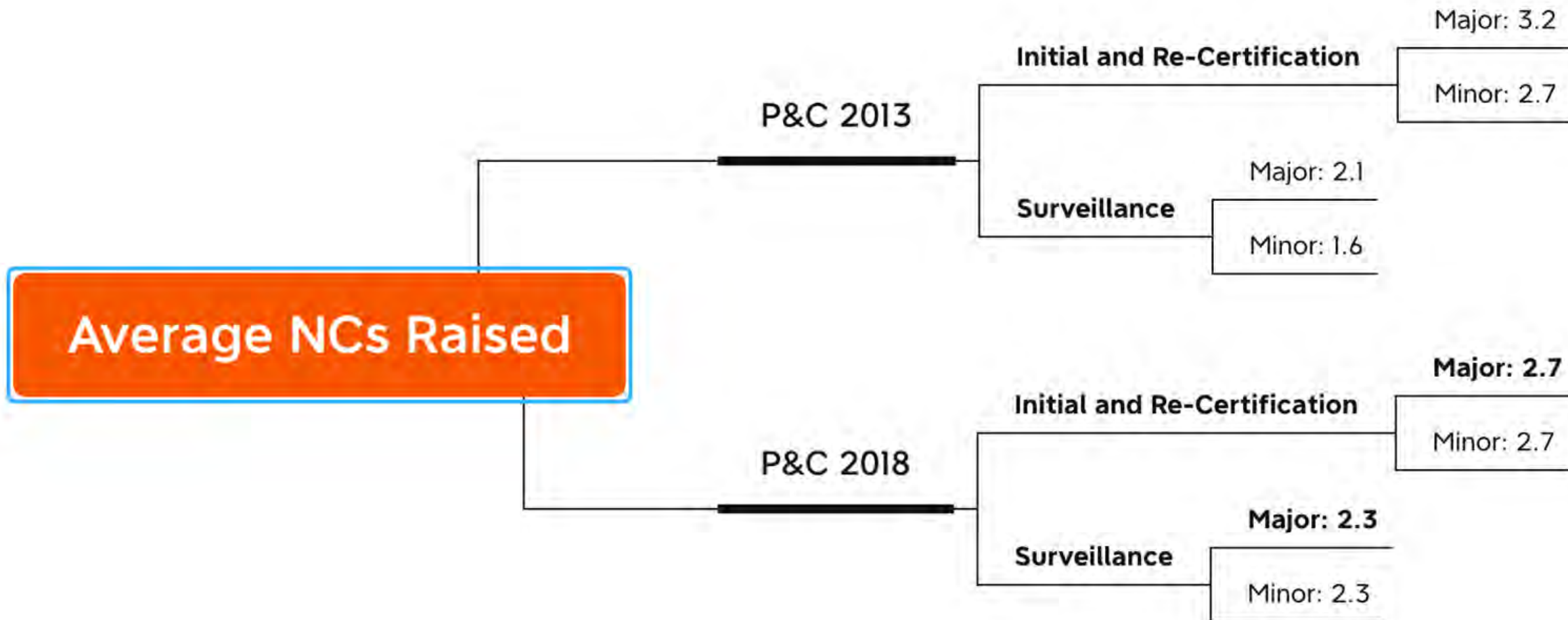
"Total of 180 participants attended For ISH Group Manager Training for RaCP & LUCA in Surat Thani, Thailand."



Looking Deeper



To understand this, we decided to look at the public summary report that was uploaded in RSPO Website





Our Analysis



- RSPO members are better prepared for audits
- Continuous audits makes UoC prepared
- Repeating NCs at indicator level are reduced with 2018, but other NCs in the same principle/criteria
- There are still issues on how far the indicators can be interpreted



What else?



The 10 most prevalent identified NCs from the P&C 2018

Rank	Number	Indicator Text	Total count of NCs	% of total count of NCs
1	6.7.3	(C) Workers use appropriate personal protective equipment (PPE), which is provided free of charge to all workers at the place of work to cover all potentially hazardous operations, such as pesticide application, machine operations, land preparation, and harvesting. Sanitation facilities for those applying pesticides are available, so that workers can change out of PPE, wash and put on their personal clothing.	104	3.85%
2	2.2.2	All contracts, including those for FFB supply, contain specific clauses on meeting applicable legal requirements, and this can be demonstrated by the third party.	100	3.70%
3	3.6.1	(C) All operations are risk assessed to identify H&S issues. Mitigation plans and procedures are documented and implemented.	93	3.44%
4	7.3.2	Proper disposal of waste material, according to procedures that are fully understood by workers and managers, is demonstrated.	91	3.37%
5	6.7.2	Accident and emergency procedures are in place and instructions are clearly understood by all workers. Accident procedures are available in the appropriate language of the workforce. Assigned operatives trained in first aid are present in both field and other operations, and first aid equipment is available at worksites. Records of all accidents are kept and periodically reviewed.	87	3.22%
6	2.1.1	(C) The unit of certification complies with applicable legal requirements.	86	3.18%
7	2.2.3	All contracts, including those for FFB supply, contain clauses disallowing child, forced and trafficked labour. Where young workers are employed, the contracts include a clause for their protection.	75	2.77%
8	3.3.2	A mechanism to check consistent implementation of procedures is in place.	69	2.55%
9	3.4.3	(C) The social and environmental management and monitoring plan is implemented, reviewed and updated regularly in a participatory way.	69	2.55%
10	7.3.1	A waste management plan which includes reduction, recycling, reusing, and disposal based on toxicity and hazardous characteristics, is documented and implemented.	64	2.37%

Source: ASI Blog



Surely there's good news...



18 Indicators with no NCs being raised

No.	Indicator Text
3.8.11	The mill shall inform its CB in advance prior to conduct of its next audit of the names and contact details of any new contractor used for the physical handling of RSPO certified oil palm products.
3.8.14	Extraction rates shall be updated periodically to ensure accuracy against actual performance or industry average if appropriate.
4.5.5	Evidence is available that the affected communities and rights holders have had the option to access to information and advice, that is independent of the project proponent, concerning the legal, economic, environmental and social implications of the proposed operations on their lands.
4.5.6	Evidence is available that the communities (or their representatives) gave consent to the initial planning phases of the operations prior to the issuance of a new concession or land title to the operator.
4.5.7	New lands will not be acquired for plantations and mills after 15 November 2018 as a result of recent (2005 or later) expropriations in the national interest without consent (eminent domain), except in cases of smallholders benefitting from agrarian reform or anti-drug programmes.
4.5.8	(C) New lands are not acquired in areas inhabited by communities in voluntary isolation.
6.4.3	(C) Young persons may be employed only for non-hazardous work, with protective restrictions in place for that work.
7.1.3	There is no use of fire for pest control unless in exceptional circumstances, i.e. where no other effective methods exist, and with ap prior approval of government authorities. [For NI to define process]
7.11.1	(C) Land for new planting or replanting is not prepared by burning.
7.12.3	(C) In High Forest Cover Landscapes (HFCLs) within HFCCs, a specific procedure will apply for legacy cases and development by indigenous peoples and local communities with legal or customary rights, taking into consideration regional and national multi-stakeholder processes. Until this procedure is developed and endorsed, 7.12.2 applies.
7.4.4	Records of fertiliser inputs are maintained.
7.5.2	There is no extensive replanting of oil palm on steep terrain.
7.5.3	There is no new planting of oil palm on steep terrain.
7.6.2	Extensive planting on marginal and fragile soils, is avoided, or, if necessary, done in accordance with the soil management plan for best practices.
7.7.1	(C) There is no new planting on peat regardless of depth after 15 November 2018 in existing and new development areas.
7.7.7	(C) All areas of unplanted and set-aside peatlands in the managed area (regardless of depth) are protected as "peatland conservation areas"; new drainage, road building and power lines by the unit of certification on peat soils is prohibited; peatlands are managed in accordance with the 'RSPO BMPs for Management and Rehabilitation of Natural Vegetation Associated with Oil Palm Cultivation on Peat', version 2 (2018) and associated audit guidance.
7.8.1a	The unit of certification does not restrict access to clean water or contribute to pollution of water used by communities.
7.8.1b	Workers have adequate access to clean water.

Source: ASI Blog



No NCs? Any Reason?

- An indicator may have been set at a level which every operation easily achieves (one could consider removing it)
- An indicator may also overlap with another like-minded indicator, or it could relate to aspects of P&C management which actually occur prior to certification and thus are not of relevance within the actual certification cycle
- An indicator could relate to matters which auditors are challenged to detect, raise or do not have an understanding of the evaluated concept

What do you think?



Let's go deeper!



Seriously after 15 years the CBs still not align! (sigh)

Why the CBs is inconsistent?

What is RSPO doing? They keep getting bombarded



How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran

The problem faced

- Arises varying difference in reporting depending on CBs
- Quality of reports may vary depending on CBs and Auditors
- Potential difficulty in identifying discrepancies
- General Checklist provided by RSPO for Audit reporting, however, not compulsory for CBs to follow
- Lack of predetermined template provides freedom for CBs to report

How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran

Criteria	Indicator	ToC Outcome
<p>4.3 The unit of certification contributes to local sustainable development as agreed by local communities.</p> 	<p>4.3.1 Contributions to community development that are based on the results of consultation with local communities are demonstrated.</p> <p>Checklist</p> <p>a. Have the local development needs been identified in consultation with local communities?</p> <hr/> <p>b. Are there any contributions made to the local development? If yes, are they in accordance with what have been agreed during the consultation?</p>	<p>Human rights upheld</p>

How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran

RSPO Public Summary Report (Control Union, 2022)

4.3.1	<p>The contribution to community development was made by the mill with the consultation either through stakeholders' consultation and request.</p> <p>The consultation with local community has been conducted through the stakeholder consultation that was conducted on 18th Oct 2021. As sighted in the minute of meeting, no request made by the local communities during the meeting.</p> <p>Only sighted the request from internal workers as sample below: Application to stay at Workers' housing Requested by: Worker 1</p>	Yes
-------	---	-----

RSPO Public Summary Report (Control Union, 2022)

How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran

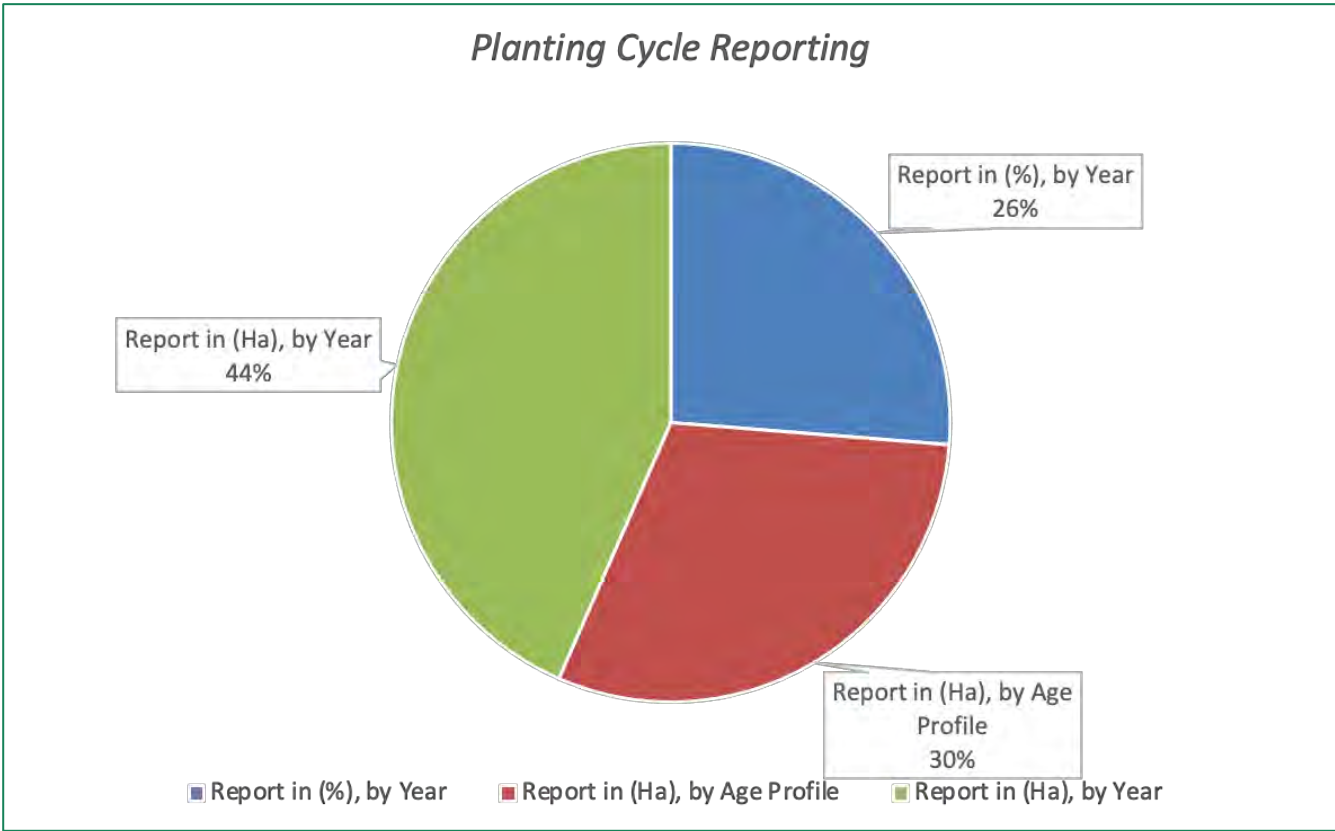
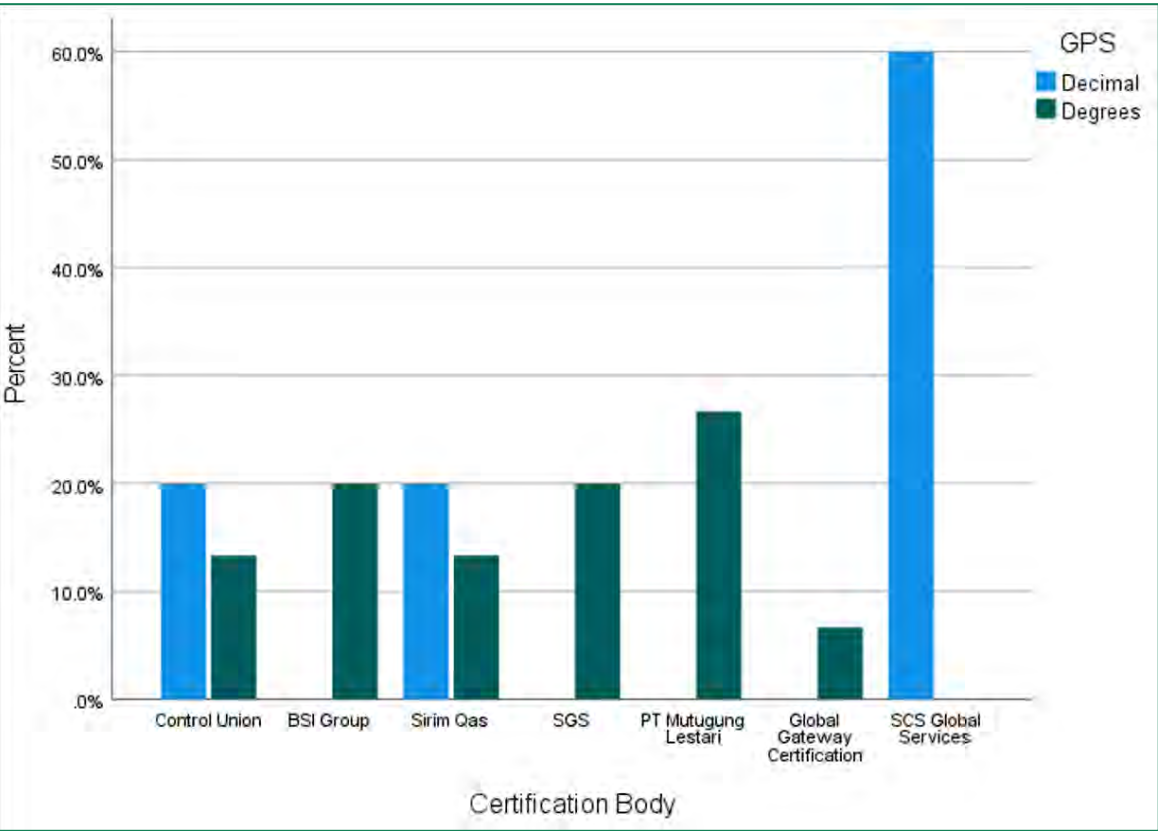
Methodology

- Audit reports extracted are for P&C Certification from RSPO website available to access by public
- As control, only Audit reports from Sime Darby are sampled - expected to have similar policies across units; thus, expected to have similar reporting across CBs
- Sime Darby one of the few members utilizing the greatest number of different CBs (~7)
- 3 - 4 Audit reports randomly sampled from each CBs, totals to 20 reports
- Only currently active status were selected

Location	Total
Malaysia	8
Indonesia	8
Papua New Guinea	3
Solomon Islands	1
Total	20

How different are P&C reporting between CBs

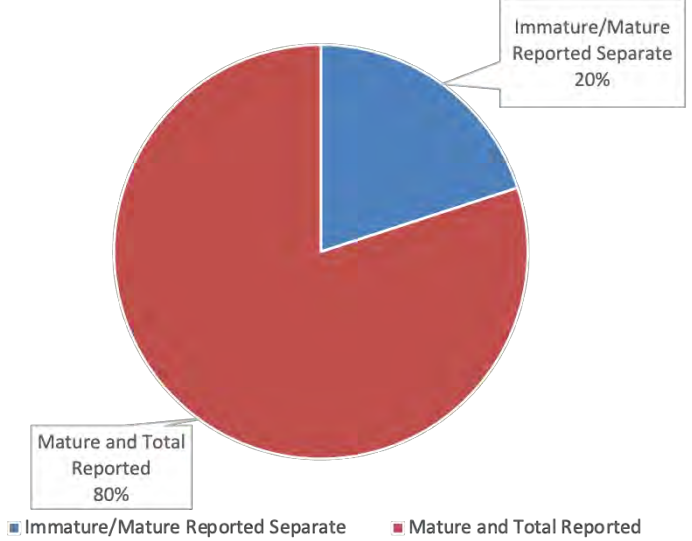
The study was carried out by Fathan Anandadzikra Muharraran



How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran

Planting Area Reporting



RSPO Public Summary Report (BSI, 2022)

6. Plantings & Cycle							
Estate / Smallholders	Age (Years)					Mature	Immature
	0 - 3	4 - 10	11 - 20	21 - 25	26 - 30		
Kulai Estate	300.58	1056.84	937.49	73.18	284.37	2,351.79	300.58
Layang Estate	68.24	542.14	2091.27	118.07	0	2,751.48	68.24
Seri Pulai Estate	293.43	668.59	377.19	571.90	69.65	1,687.33	293.43
CEP Rengam Estate	428.82	585.98	965.21	704.86	184.45	2,533.75	335.57
Total (ha)	1,091.07	2,853.55	4,371.16	1,468.01	538.47	9,324.35	997.82

RSPO Public Summary Report (Control Union, 2022)

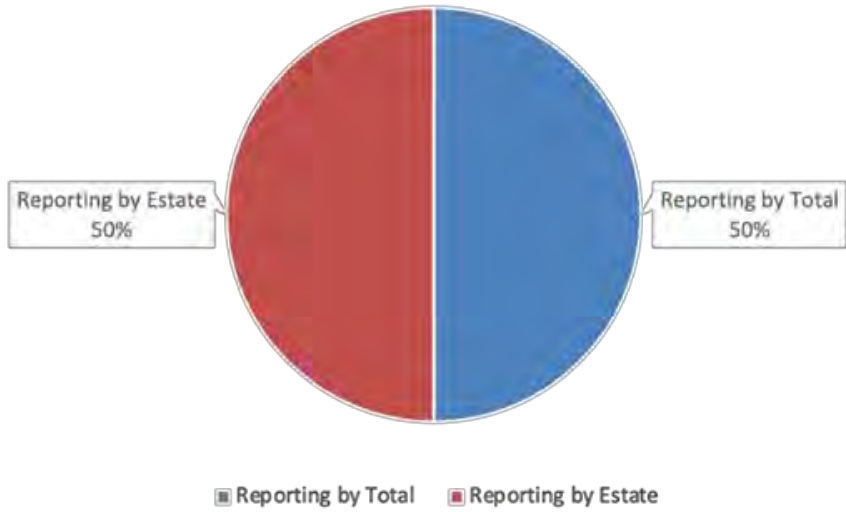
1.7.1 Location of the Supply Base							
OPP	Oil Palm Plantation	Location	GPS reference		Area Summary (Ha)		
	Name	Address	Longitude (E/S)	Latitude (N/S)	Mature	Planted	Total
POM 1: KKS SUA BETONG MILL							
OPP 1	Ldg PD Lukut	Ldg PD Lukut, Bt.2½, Jln Pantai, 71000 PD, NS	E 101° 50' 17"	N 2° 31' 42"	1288.47	1288.47	1523.79
OPP 2	Ldg Salak	Ldg Salak, 71100 Siliau, NS	E 101° 53' 38"	N 2° 30' 59"	2949.95	2949.95	3868.86

This presentation is intended for Members interpretation forum any interpretation is based on own judgement and data at the present time. Viewers discretion is advised

How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran

HCV Reporting



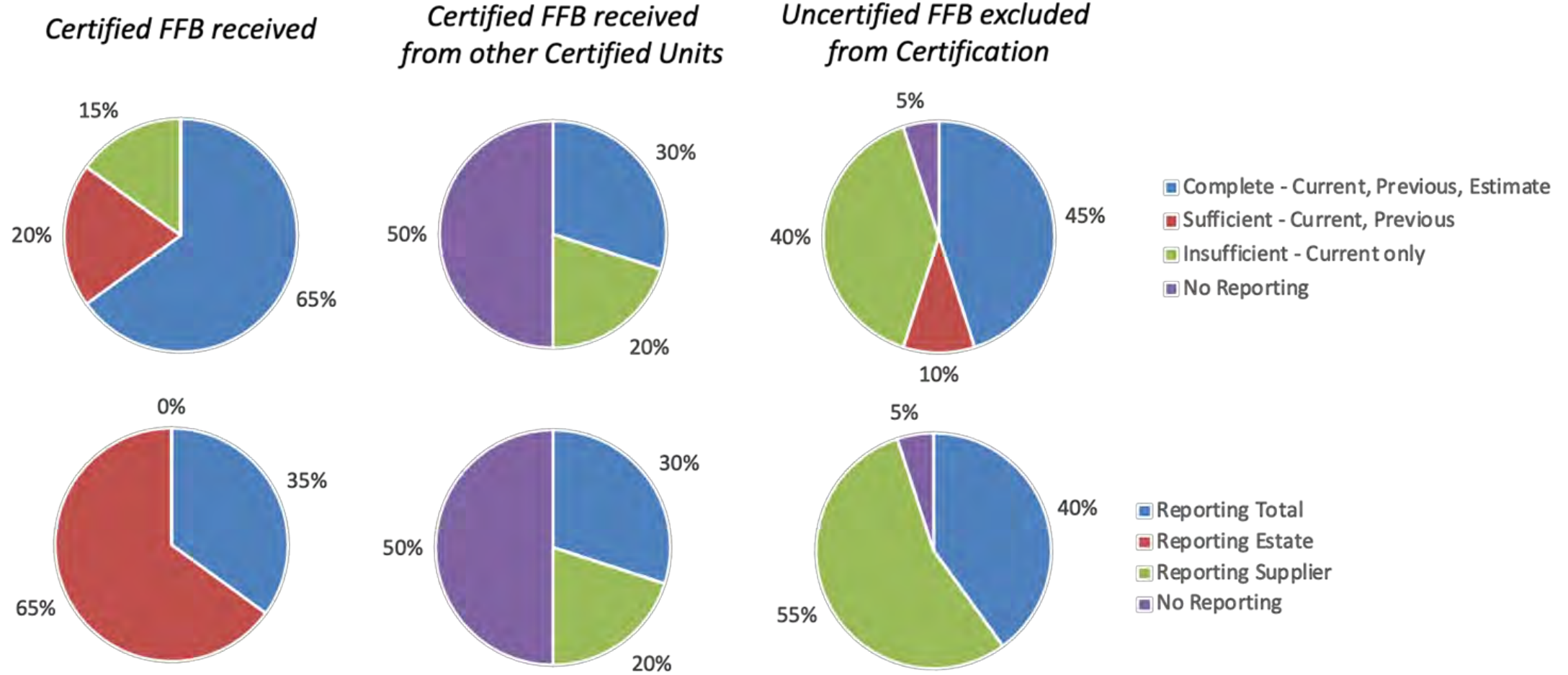
Different Reporting of HCV/Conservation Area for Certification Body

Certification Body	No Reporting	HCV & Conservation Separate	HCV & Conservation Combine	HCV Only
Control Union	Not Reported	Reported	Not Reported	Not Reported
SGS	Not Reported	Reported	Not Reported	Not Reported
Global Gateway Certification	Not Reported	Reported	Not Reported	Not Reported
Sirim Qas	Not Reported	Not Reported	Reported	Not Reported
SCS Global Services	Not Reported	Not Reported	Reported	Not Reported
BSI Group	Not Reported	Not Reported	Not Reported	Reported
PT Mutugung Lestari	Reported	Not Reported	Reported	Reported



How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran



How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran

RSPO Public Summary Report (SCS, 2022)

C. Projected summary of fruit supply base								
Name of Plantation	Location District	Size (ha)	Planted Area (ha)	HVC Area (ha)	Latitude (decimal) e.g. 14.7103889	Longitude (decimal) e.g. -90.291125	FFB Production ² (MT)	Status (Certified or Non-certified)
Giligili Estate	Giligili, Alotau, Milne Bay Province 211, Papua New Guinea	1,095.47	618.58	-	S 10°18'0.5"	E 150°21'30.1"	19,131	Certified

RSPO Public Summary Report (Sirim Qas, 2022)

Supply Based	FFB Production (Oct. 2019 to Sep. 2020)		FFB Production (Oct. 2020 to Oct. 2021)		Certifying CB
	Total Mt	Percentage (%)	Total Mt	Percentage (%)	
Rajawali Estate	40,872.51	28.13	37,686.58	26.02	SIRIM
Semarak Estate	29,580.89	20.35	31,908.75	22.03	SIRIM
Samudera Estate	35,347.53	24.32	35,336.42	24.40	SIRIM
Bayu Estate	33,994.07	23.40	36,904.01	25.47	SIRIM

RSPO Public Summary Report (Control Union, 2022)

Projected Production from the last 12 Months (MT)			Actual Production for this Audit Year 2020/2021 (MT)			Projected 12 Months (MT) Forecast Volume in this Report		
FFB	CPO	PK	FFB	CPO	PK	FFB	CPO	PK
POM 1: KKS SUA BETONG MILL								
325,914.80	71,701.25	17,925.31	220,985.95	47,632.02	11,389.81	257,985.95	54,177.05	13,486.06

1.7.3 Statistics of the Supply Base and Estimated Tonnes of FFB produced per year				
OPP	Oil Palm Plantation	Projected FFB/Year (MT)	Planting Years	Cycle (Years)
POM 1: Sua Betong POM				
OPP 1	BRADWALL	34,284.88	2000-2016	2
OPP 2	SALAK ESTATE	35,010.00	1997 - 2018	2
OPP 3	PD LUKUT	20,771.00	1999-2018	2
OPP 4	SG BAHRU	24,470.00	2003-2018	2
OPP 5	SUA BETONG	60,592.00	1999-2018	2
OPP 6	TAMPIN LINGGI	15,534.36	1994-2020	2
OPP 7	SENGKANG	67,323.71	1999-2015	2
TOTAL		257,985.95		

How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran

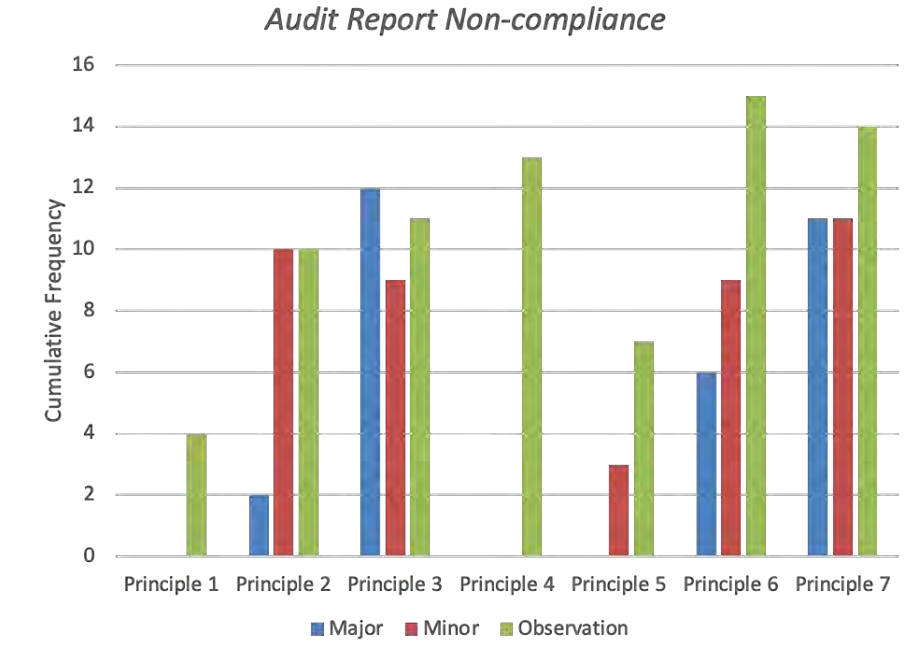
		Multiple Management Unit										
Certification Body		Parent organisation an RSPO Membership	Company management structure	Time-Bound Plan available for Certifying all units	All Estates/Mills certified within 5 years	New Acquisitions certified within 3 years	Progress of Time-Bound Plan	Revisions of Time-Bound Plan	Isolated Lapses in Implementation of Time-Bound Plan	Fundamental Failures in Implementation of Time-Bound Plan	Stakeholder Consultation during Time-Bound Plan	Schemeholder and Outgrower in Supply Base
Certification Body	Control Union	Reported	Not Reported	Reported	Reported	Reported	Reported	Reported	Not Reported	Reported	Not Reported	Not Reported
	BSI Group	Not Reported	Not Reported	Reported	Reported	Reported	Reported	Reported	Reported	Reported	Not Reported	Not Reported
	Sirim Qas	Not Reported	Not Reported	Reported	Reported	Reported	Not Reported	Reported	Reported	Reported	Not Reported	Not Reported
	SGS	Not Reported	Reported	Not Reported	Reported	Reported	Reported	Reported	Reported	Reported	Reported	Reported
	PT Mutugung Lestari	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported
	Global Gateway Certification	Reported	Reported	Reported	Reported	Reported	Reported	Reported	Reported	Reported	Reported	Reported
	SCS Global Services	Reported	Not Reported	Reported	Reported	Reported	Reported	Not Reported	Not Reported	Not Reported	Reported	Reported

■ - Reported
■ - Not Reported

		Uncertified Management Unit									
Certification Body		No Replacement of Primary Forest/HCV	No Land Conflicts	No Labour Disputes	No Legal Non-Compliance	No Critical Non-Compliance of specific criteria	Positive Assurances	Stakeholder Consultation	Desktop Study	Conducted Internal Audit	No New Planting Since Jan 1st 2010
Certification Body	Control Union	Reported	Reported	Reported	Reported	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported	Not Reported
	BSI Group	Reported	Reported	Reported	Reported	Reported	Not Reported	Reported	Reported	Not Reported	Reported
	Sirim Qas	Reported	Reported	Reported	Reported	Reported	Not Reported	Reported	Reported	Not Reported	Not Reported
	SGS	Reported	Reported	Reported	Reported	Reported	Not Reported	Reported	Not Reported	Reported	Reported
	PT Mutugung Lestari	Reported	Reported	Reported	Reported	Not Reported	Not Reported	Not Reported	Reported	Not Reported	Reported
	Global Gateway Certification	Reported	Reported	Reported	Reported	Not Reported	Reported	Reported	Reported	Not Reported	Not Reported
	SCS Global Services	Reported	Reported	Reported	Reported	Not Reported	Not Reported	Reported	Not Reported	Reported	Not Reported

How different are P&C reporting between CBs

The study was carried out by Fathan Anandadzikra Muharraran





Some of the weakest link in RSPO assurance

Open to interpretation by auditors creates difference in method of audit reporting

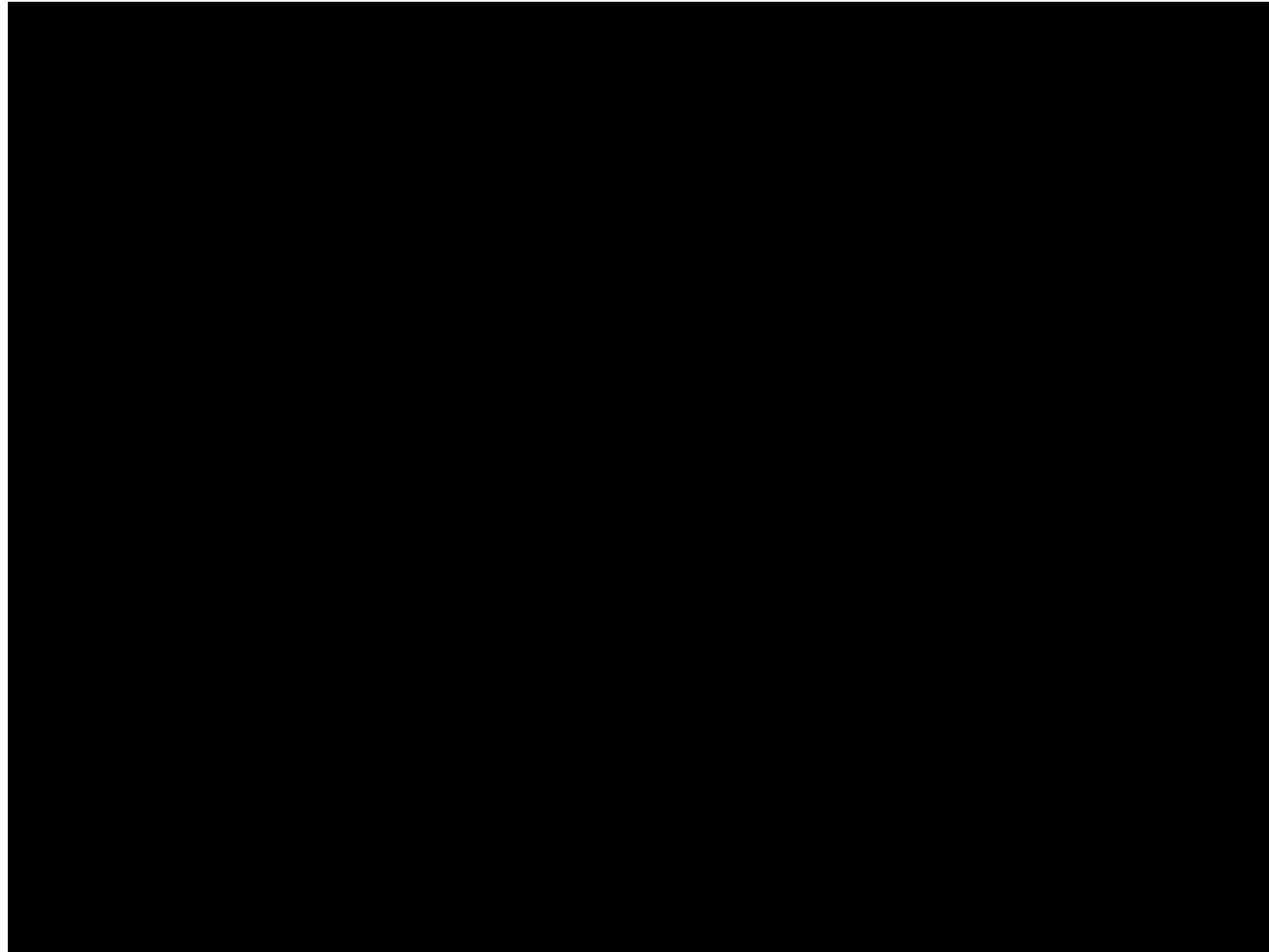
Difficulty in identifying potential risks immediately e.g. if no reporting, unknown if nil, or not reported



Assumptions to be made could have different interpretation

Extensive knowledge required to understand audit reporting

A good presentation needs a video



This presentation is intended for Members interpretation forum any interpretation is based on own judgement and data at the present time. Viewers discretion is advised

RSPO Current

Certification

- **Non-unified**
(e.g. each CB uses individual and independent audit report formats)
- **Minimal digital elements**
Creating challenges for aggregation of data and gaps in analysis
- **Disparate and Manual**
Developed in silos, with non-integrated procedures requiring manual processes

Trading & Traceability

- **Manual references**
(e.g. P&C certification status through manual lookup on RSPO website)
- **Missing data elements**
(e.g. Supply base data only available in non-digital format in audit reports without unique identification)
- **Traceability by Supply Chain Model**
IP, SG, MB, RSPO Credits
- **Limited traceability**
Mill and first buyer (refinery) only



RSPO Digital Framework

Certification

- **Unified**
Single digital platform used by CBs and members, overseen by RSPO
- **Digitised and digitalised**
Coherent data/system architecture for analysis and monitoring of integrity issues
- **Integrated**
Single digital platform for all existing pre-certification and certification requirements (e.g. RaCP, PalmGHG, Peatland Inventory)

Trading & Traceability

- **Digital references**
P&C certification status digitised for automated lookup and check
- **Due Diligence assessment**
Verified through geospatial analysis tools, digitally attached to P&C certificate
- **Data Robustness**
Missing data populated with available standard data structures and identifiers
- **Digital traceability to Plantation and Mill**
First & last mile traceability (by source); traceability by trade in consideration



Digital Framework Concept – Certification



Concept:

A single, unified digital platform for RSPO incorporating all pre-Certification and Certification procedures and requirements, to be used by all parties (Members, CBs & Auditors, Accreditation Bodies [ASI], RSPO), and serves as a one-stop-shop and one-single-data-source for P&C, ISH and SCC certification activities

Divided into two (2) phases

Phase 1

Consolidation – unifying critical elements necessary for P&C, ISH and SCC reporting of audits and certification; providing access and understanding for members and CBs

Replication – reproducing the current critical functions necessary for P&C, ISH and SCC certification



Phase 2

Integration – expanding the scope and systems of the digital platform to include other pre-Certification and Certification requirements, e.g. Disclosure/RaCP, PalmGHG, NPP, Peatland Inventory, Drainability Assessment, etc.



Digital Framework Concept – Traceability & Trading



Concept:

A unified platform with high integration with the RSPO Certification platform to facilitate seamless trading of RSPO certified palm oil products (physical, RSPO Credits) and provide enhanced traceability by transmission of key due diligence assessments upstream to downstream as an addition to the RSPO standards in order to meet current regulatory requirements and expected new regulations

Divided into three (3) phases

Phase 1

Traceability to Mill (TTM)

Replication – reproduce the current critical trading (reporting of mill to first buyer, RSPO Credits trading platform) functions necessary for traceability

Stitching – connect certified RSPO members in the downstream supply chain to create a supply chain map linked back to mill level



Phase 2

Traceability to Plantation (TTP)

Extension – extend the scope of the traceability system upstream to plantation/supply base level, including elements of Due Diligence assessment

Integration – develop traceability mechanism for non-certified RSPO downstream members to complete the supply chain map



Phase 3

TTP by trade/batch

Expansion – expand the scope of the traceability system for downstream members to move from traceability by source/supplier to traceability by individual trade/batch
TBC or Optional

- Digitisation of P&C audit reports started in Feb 2023

- *Initial focus on digitising historical P&C 2018 audit reports*
- *Estimated ~2,000 audit reports to be digitised*
- *2x contract staff responsible, overseen by Assurance and Impacts/MEL*
- *Digitisation also planned for ISH and SCC audits*

- Digitisation results

- *Interim update of results, preliminary analysis expected end- June 2023*
- *Framework and analysis of digitisation to be used as foundation for Digital Framework (Certification), risk assessment matrix, guidance for CBs, etc.*

Example of analysis possible

Non-Conformities - Scale

of NCs by Principle, Criteria and Risk

by location (state, province, domestic region)

by country

by region (ID, MY, Latam, Africa, ROW)

by membership

Non-Conformities - Correlations

of NCs by Principle, Criteria and Risk

by CB

by size of CB team

by # of man days of audit

by scale of assessment (all units or sample audits)

by total area of supply bases

by type of supply bases

by ratio of certified to uncertified supply bases

by size of mill (total production of CSPO)

by level of contracted/outsourced activities

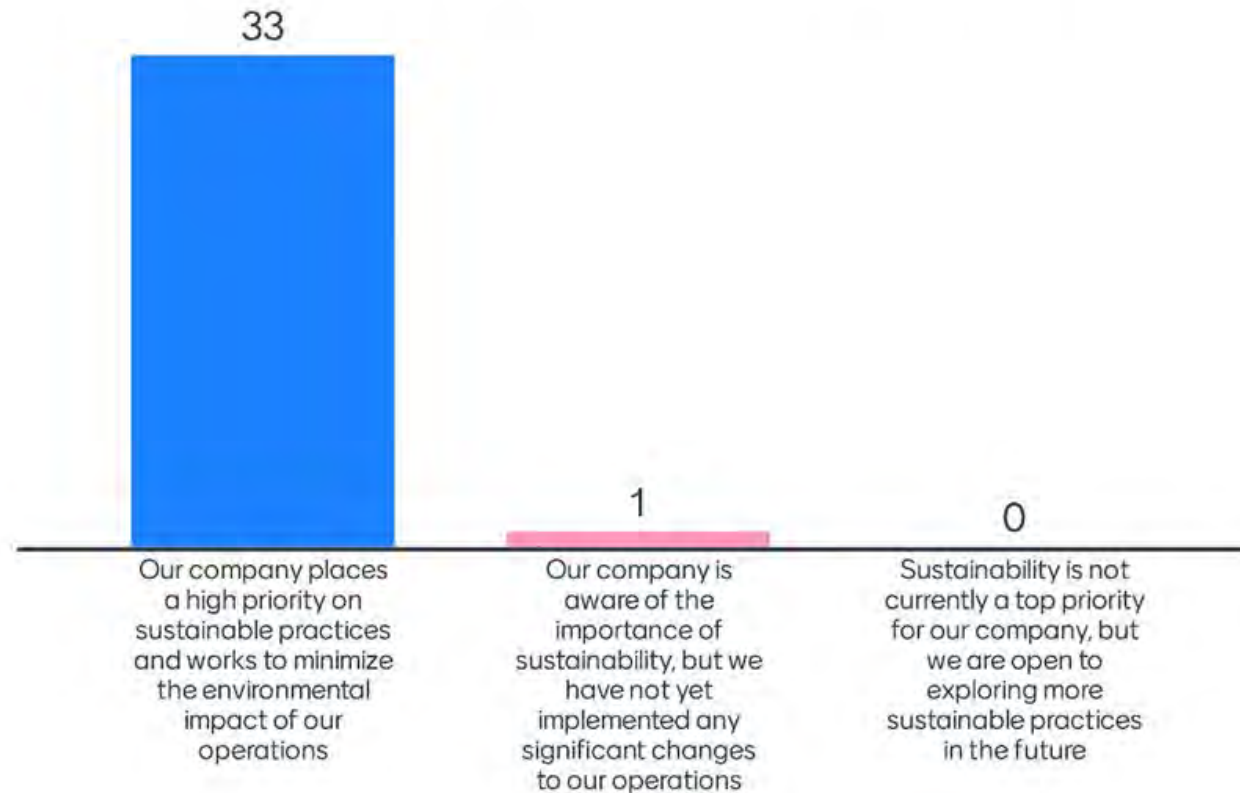
In Conclusion...



<i>Knowns</i>	<i>Known Knowns</i> <i>Things we are aware of and understand.</i>	<i>Known Unknowns</i> <i>Things we are aware of but don't understand.</i>
	<i>Unknown Knowns</i> <i>Things we understand but are not aware of.</i>	<i>Unknown Unknowns</i> <i>Things we are neither aware of nor understand.</i>
	<i>Knowns</i>	<i>Unknowns</i>

You can't build a great building on a weak foundation. You must have a solid foundation if you're going to have a strong superstructure

Which of the following statements best describes your company's current approach to sustainability in relation to oil palm production?



Describe how you see audits in 3 words



Which of the following steps is your company currently taking or considering taking to address these concerns?



In your opinion, what are the potential benefits of adopting and following RSPO standards in the oil palm industry?

27 Answers

Mentimeter

Trust in a global standard for certification

Better governance

Having sustainable industry.

Can meet buyer needs, environmental save.

Premium price

Transparency

Globally accepted standards

Better market access

Recognised and accepted global standard, ease for business development

24



In your opinion, what are the potential benefits of adopting and following RSPO standards in the oil palm industry?

27 Answers

Mentimeter

A credible global standard

Market trend

To address current sustainability issues and concerns

Meet global sustainability expectation

Branding and image

Market realibility, premium price

Enhancing the people, planet and prosperity.

A standard to follow and reference

Demand of rspo volumes with high premium

24



In your opinion, what are the potential benefits of adopting and following RSPO standards in the oil palm industry?

27 Answers

Mentimeter

There is 3rd party who check on the struggle of what a company doing on the sustainability.

Sustainable company

Having green industry

Premier price

,reduced usage of pesticides, compliance with legal, improve production

Sustainability practice become a norm

Recognized standards for good agri practices resulting in more profitability, at the same time protecting environment, n profitting people

Sustainable culture

Reduction of greenhouse gas

What are some specific actions that you could take to improve implementation of RSPO standards?



What are some key words or phrases that come to mind when you think about the need for you to step up the game in implementing RSPO standards?





Thank you

RSPO CB INTERPRETATION FORUM

RSPO New Planting Procedure

Zaidee Tahir
Manager, Integrity



www.rspo.org

RSPO CB Interpretation Forum

New Planting Procedure (NPP) 2021

Miami, Florida
May 2023



AGENDA

New Planting Procedure 2021 Socialisation



**NPP 2021:
Overview**



**NPP COMPLETENESS
CHECKLIST** by RSPO



**INTERPRETATION OF
INDICATOR 7.12.2
& ANNEX 5 P&C 2018**



**SCENARIOS &
EXERCISES**

How well do you know about NPP?

Let's test your
knowledge about NPP.

Scan this QR code or
go to menti.com, key
in code **8524 1315**
and answer 5 simple
questions.





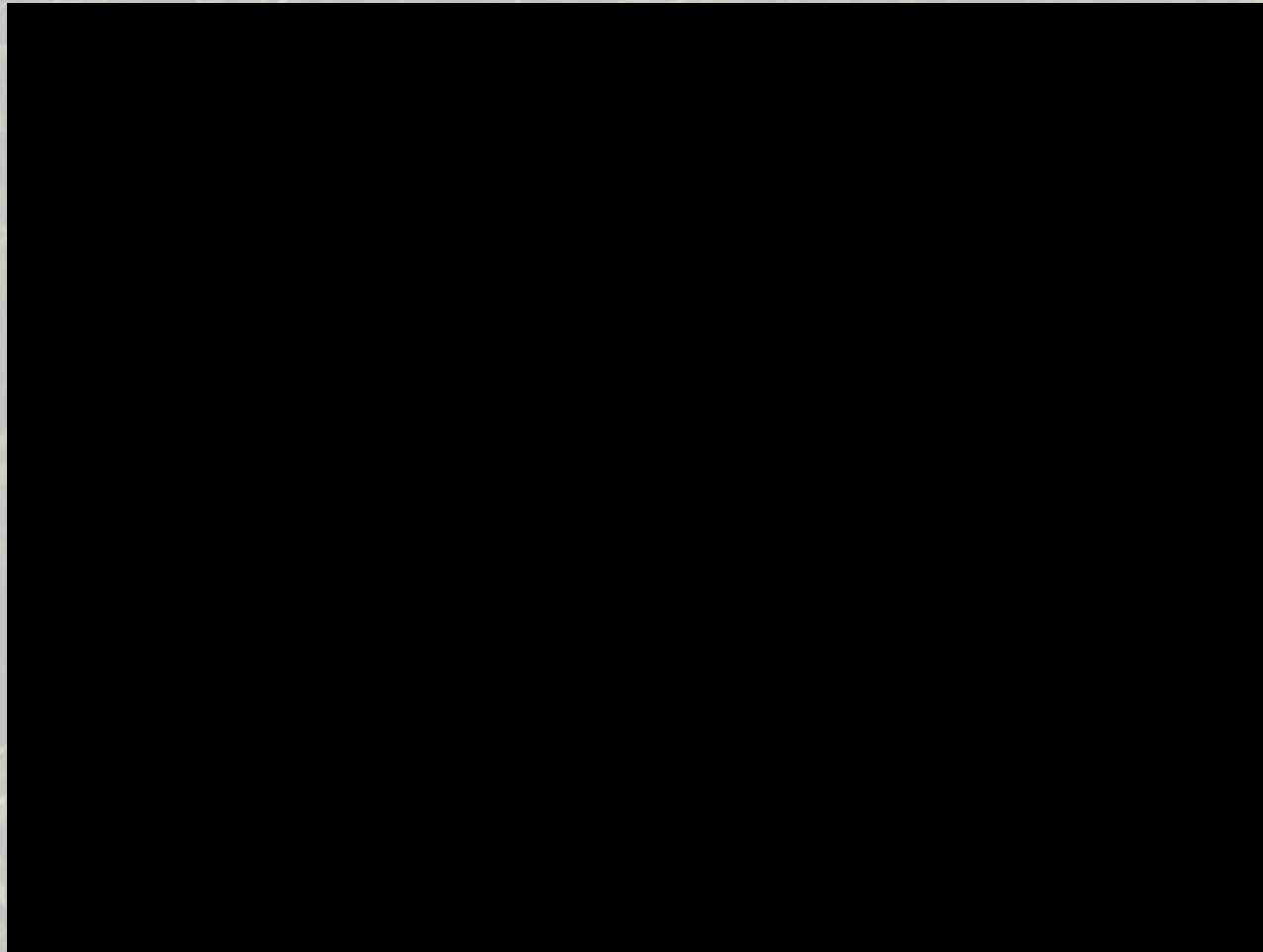
NPP 2021

Overview



Video Presentation

Overview of New Planting Procedure 2021



Facts of NPP

(as of May 2023)



200

Total Approved
NPP



1,632,586
ha

Total NPP Area



334,213
ha

Total HCV Area

Average Days
Taken For NPP
Completion

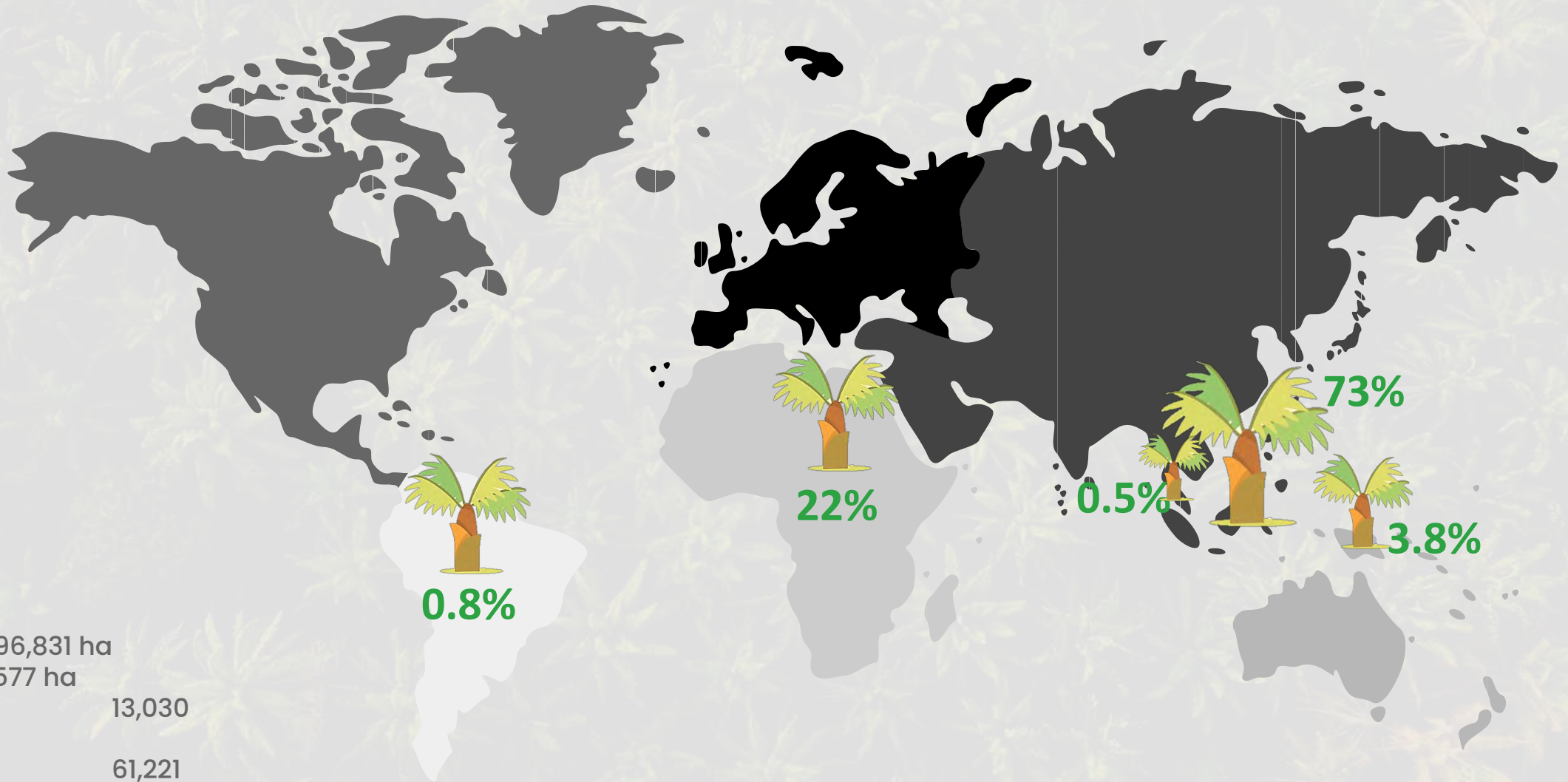
111

65

2015

2021

NPP Area by Region



Indonesia	1,196,831 ha
Malaysia	8,577 ha
LATAM	13,030 ha
RoW	61,221 ha



Overview of NPP 2021

New Planting Procedure consists of a set of processes that involve *assessments* to be conducted by growers followed by a *verification* by certification bodies (CB) prior to any new oil palm development, from 1 January 2010.

(EXCEPT Independent Smallholders pursuing RSPO Independent Smallholder Standard)

NPP Area:

Must be calculated based on the development permit or land deed. The area (ha) of a permit or land deed **cannot be separated** into several different NPP reports.

Commence of New Development:

NPP is to be verified by CB and subject to a **30-day public comment** period. New development can **ONLY** commence with approved NPP and subject to applicable legal requirements being met.

Validity:

Approved NPP is deemed valid as long as assessment findings are **still valid**.

Sanction:

Sanctioned areas will not be able to trade FFB produced from the sanctioned areas as Certified FFB for the **first three (3) years of certification**. Sanction will be reported in the relevant Certification Assessment by responsible CB



WHEN DOES THE NPP APPLY?

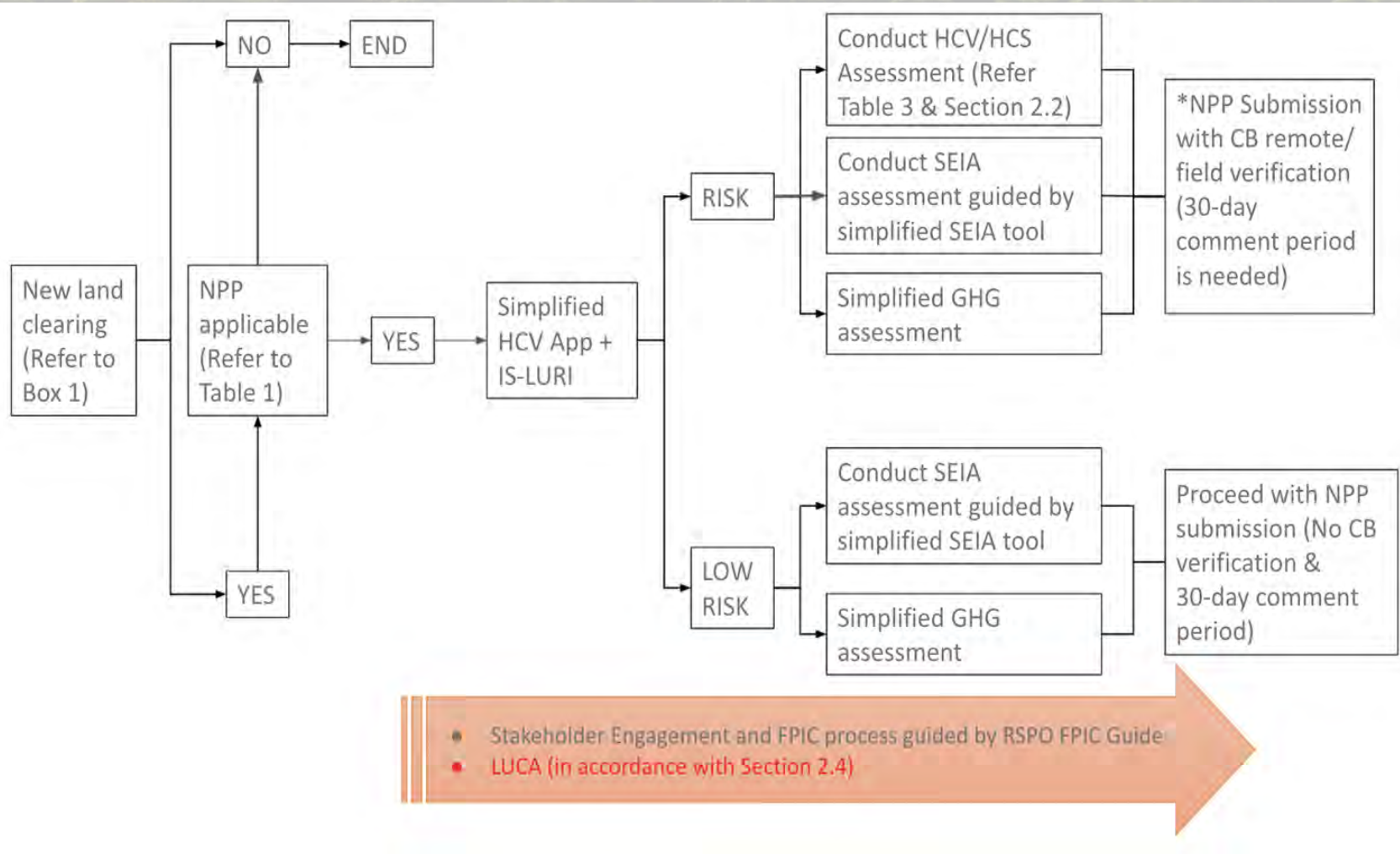
NPP Applies

- RSPO members have a **majority** shareholding in and/or management control (Refer to Certification System).
- It is a **new land acquisition** by RSPO members.
- The area falls **outside** of RSPO certified areas.
- New development planned on **abandoned** Land Re-clearing (>3 years)
- It is a new area of smallholder(s), either through existing group member(s) or new recruitment:
 - managed by own appointed **Group Manager**; OR
 - certified or supported by company under **company's supply base**

NPP **Does Not** Apply

- New development by **non-RSPO member**.
- New development that took place **before 1 January 2010**.
- The area falls within an RSPO **certified management unit**.
- New development planned on Land Re-clearing of **actively managed** areas.
- New development with completed and **approved NPP** by the company and/or previous owner.
- **Replanting**: replacing oil palm with a subsequent oil palm crop.

NPP for Smallholders



Smallholders pursuing P&C:

Smallholders certified through company supply base/ group certification



Type of Assessments

SEIA

- Internal assessment ≤ 500 ha
- Independent assessment > 500 ha
- Assessment can be older than 3 years

1. Accordance with National regulations.
2. P&C 2018 Annex 2 for Criteria 3.4 (*National Interpretation)

FPIC

FPIC is a process and to be guided by RSPO FPIC Guide 2022

Soil & Topography

- Internal assessment is allowed
- Assessment can be older than 3 years

Areas to be identified: marginal & fragile soils, steep terrains, riparian buffers and peatlands (*National Interpretation)

GHG

- Internal assessment is allowed
- Assessment older than 3 years are to be updated

Accordance with RSPO GHG Assessment Procedure for New Development, Version 4.



Type of Assessments

LUCA

- Internal assessment is allowed
- Assessment has to be current (less than 2 year old)

1. Accordance with Annex 3: LUCA Guidance of RSPO Remediation and Compensation Procedure.

HCV & HCS

- HCVN ALS Licensed Assessor
- Refer to Section 1.3 of the HCVNs "HCV-HCSA Assessment Manual" for requirements of the HCV-HCSA assessment team competencies.
- Standalone HCSA assessment: HCSA registered practitioners affiliated with HCSA registered organisations (refer to HCSA website for latest list of qualified practitioners).
- Guided by RSPO Interpretation of Indicator 7.12.2 and Annex 5 of P&C 2018.
- HCV-HCSA assessment obtained a 'satisfactory' status by a HCVN Quality Panel remains valid regardless of the year of the assessment.
- Standalone HCSA Assessment: Assessment must undergo the HCSA peer review process AND the final version of the HCSA assessment summary available at the HCSA website.
- The newest HCV-HCSA assessment findings (if any) overrides the previous report and will be used.

Reporting & Verification

Who to verify

- P&C qualified lead auditor (by RSPO CB)
- No conflict of interest
- As required RSPO Certification System for P&C and RSPO Independent Smallholder Standard (2020)

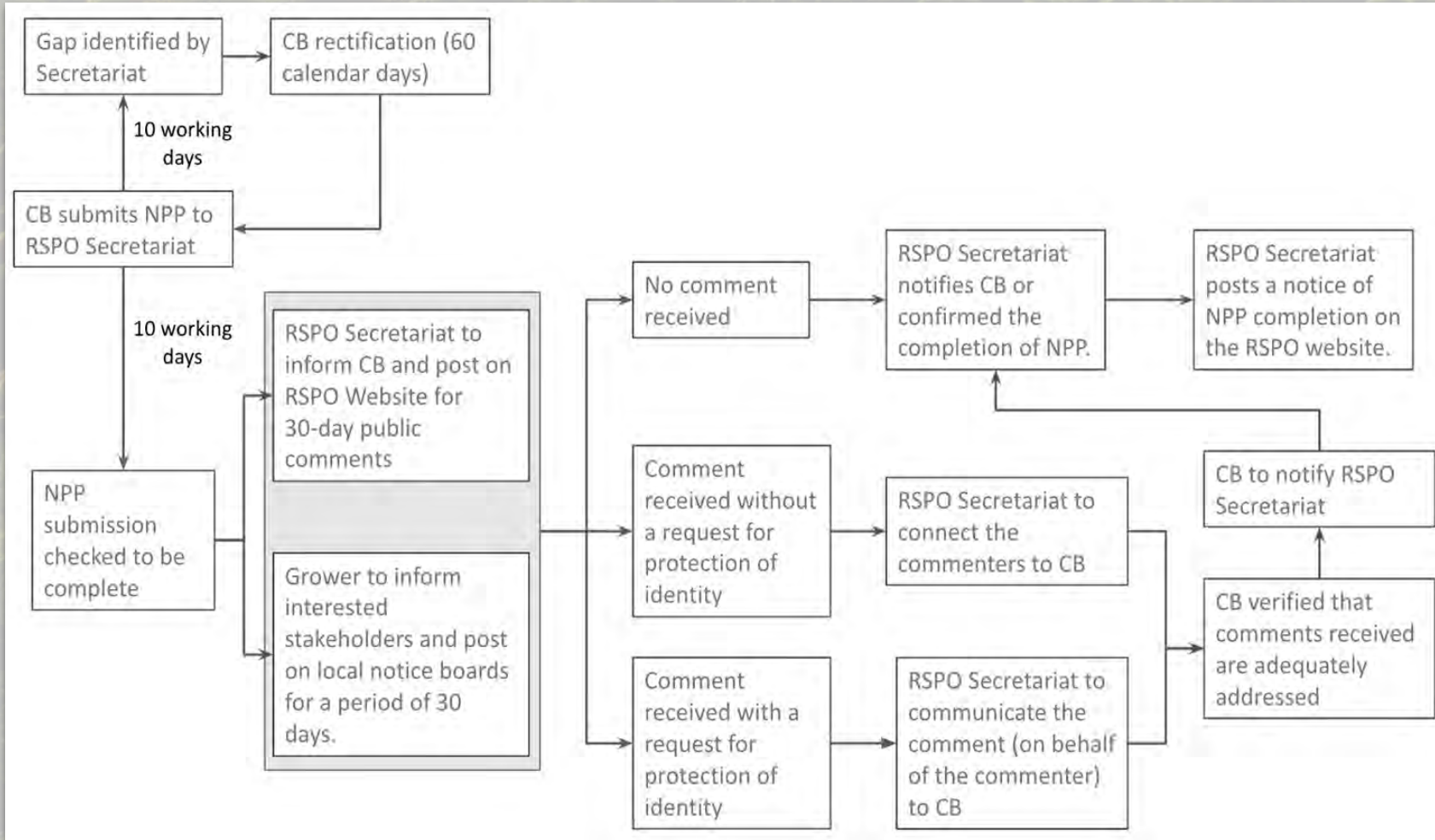
What to verify

- Compliance to assessment requirements
- Passed assessment quality check (i.e. HCV/HCS)
- No inconsistency across assessment and management regime

Field Verification

- Mandatory if it is within risk area (i.e. near HCV)
- Verification elements outlined
- Local expert can be appointed based on lead auditor discretion

NPP SUBMISSIONS: 30-DAY PUBLIC COMMENT



- The final NPP report shall contain:
- NPP notification statement
 - Summary of assessment reports
 - Summary of integrated management plans
 - Relevant digital map (shapefiles)

Respect any request (from commenter(s)) for protection of identity



NPP 2021

Completeness Checklist

NPP: Reminder



1

Your verification should be:

- Comprehensive
- Professional quality
- In compliance with relevant P&C

2

Not just fulfilling the audit checklist and desktop verification

3

Be alert if the company have **complaints** against them or not

4

Notification Statement

Summary of Assessment

Integrated Management Plan

5

SHAPEFILES!

NPP: Notification Statement



ITEMS
RSPO Membership Number refer to https://rspo.org/members/all
Name of Subsidiary
Name of Management Unit
Name(s) of Estate(s) covered under this management plan
Location of NPP area (Country, State, District)
Address of NPP area
Business/operation Permit Reference Number and Issuing Authority:
Size information (ha) - Total area as per permit:
Size information (ha) - Area for new planting:
Size information (ha) - HCV area
Size information (ha) - HCS Forest
Size information (ha) - peatland area
Size information (ha) - Steep Terrain
Size information (ha) - Riparian Buffer
Size information (ha) - Marginal and Fragile Soil
Projected GHG emissions (in tonne CO ₂ e, tCO ₂ e/tFFB, or tCO ₂ e/tCPO)
Geospatial Coordinates (Degree Minutes and Seconds)
Boundary Maps - Include clear relevant legends, title, scale
Areas and proposed time for new planting
Summary of the NPP Verification by CB
Acknowledgement by RSPO Member
Confirmation by Certification Body
Signatures

Can be left blank if no subsidiary involved in the NPP

Land permit covers the whole planting period

Add new row as Other Areas eg. non-HCS conservation area

Indicate which version of NPP GHG calculator was used

Ensure correct date under signature

NPP: Summary of Assessment



ITEMS

Reference Number

Country

RSPO Membership Number refer to <https://rspo.org/members/all>

Section 1: General Information

Does it have information on types of assessment conducted?

Does it have information on the location?

Does it have information on permits?

Does it have information on the rights to use the land?

Does it include land clearing plans? (land use & time plan for new planting)

Section 2: Maps

Boundary Maps owned by the company

Proposed NPP area Maps

Proposed NPP area Maps overlay with HCV and HCS areas

Does the concession area size match with HCVN public summary?

Are all the maps clearly made and readable?

Does the maps include legends suitable to describe the area?

→ minimum 300 dpi resolution

NPP: Summary of Assessment



ITEMS

Section 3: SEIA

Does it describe the methodology used? (following national regulation? NI?)

Does it describe the people involved in the process?

Is there a date on when the assessment was conducted? period from when to when

Does it describe the findings?

Date of assessment

Name of assessor

Assessor Designation and Company

Is the assessment was done internally or using external? (if more than 500 ha. = external)

Section 4: HCV-HCSA Assessment; OR ALS HCV and Standalone HCSA assessment

Does it give reference to the full report?

Does it describe the methodology used? (which toolkit used)

Does it describe the people involved in the process? (consultation/assessor)

Is there a date on when the assessment was conducted? (period from when to when)

Does it describe the findings? (including total conservation area)

ALS Satisfactory Date Obtained (ALS HCV & HCV-HCSA assessment)

Name of Assessor

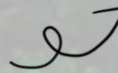
ALS Number

HCSA peer review completion date and link to HCSA summary report (HCSA website)

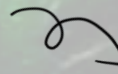
Was the assessment done internally or using external assessor?



- o Assessment older than 3 years must be reviewed
- o Social, Health & Environment Impact



Competent internal assessor; at least 3 assessments, expert in remote sensing, mapping and social aspects.



- o New land clearing after 15 November 2018 must be preceded by HCV-HCSA Assessment.
- o As per HCVN QP feedback
- o Include activities related to FPIC (land tenure, land use and social baseline studies)

NPP: Summary of Assessment



ITEMS

Section 5: FPIC

Does it describe about stakeholder mapping? (participatory?)

Does it describe the methodology used?

Does it describe the people involved in the process?

Is there a date on when the FPIC process begins? (period from when to when)

Does it describe the findings?

Was the assessment done internally or using external assessor?

Has the plan has been accepted by the affected right holders?

Section 6: Soil & Topography

Has identification of soil been made?

Does it describe about sampling points?

Does it describe about steep terrain? (if any)

Does it describe the methodology used?

Does it describe the people involved in the process?

Is there a date on when the survey was conducted? period from when to when

Date of assessment

Name of assessor

Assessor Designation and Company

Was the assessment done internally or using external assessor?

- o Ensure development plan accepted by land owners.
- o Evidence of communication and consent.

- o Survey report can be older than 3 years.
- o Describe marginal, fragile soils, riparian buffer, steep terrain and peatlands

NPP: Summary of Assessment



ITEMS

Section 7: Greenhouse Gas (GHG)

Does it describe the use of GHG Calculator for new development?

Does it identify significant sources and types of emissions?

Does it describe the methodology used?

Does it describe the people involved in the process?

Date of assessment

Name of assessor

Assessor Designation and Company

Was the assessment done internally or using external assessor?

Section 8: Land Use Change Analysis (LUCA)

Is there a map for the range of Nov 2005 – Nov 2007

Is there a map for the range of Dec 2007 – Dec 2009

Is there a map for the range of 1 Jan 2010 – 9 May 2014

Is there a map for the range of 9 May 2014 – 15 Nov 2018

Is there a map for the range of 15 Nov 2018 – Current (not more than two years)

Does it describe the methodology used? image processing information (geometric and radiometric correction) and image classification type (supervised, unsupervised, object-based)

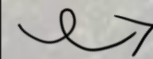
Does it describe the people involved in the process?

Date of assessment

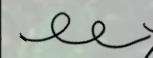
Name of assessor

Assessor Designation and Company

Was the assessment done internally or using external assessor?



- o Carbon stock for proposed development and to minimised.
- o Assessment not more than 3 years



If maps not clear, choose next best date between date range.

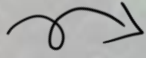


Not more than 2 years of NPP submission

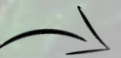
NPP: Integrated Management Plan



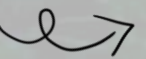
Reference Number
Country
RSPO Membership Number refer to https://rspo.org/members/all
Does the company make reference to the management plan?
Name(s) of estate(s) covered under this management plan
Key findings of the various assessments (e.g., potential minor environment and/or social risk requiring mitigation actions; total conservation areas).
Key mitigation and monitoring regime, covering both the environmental and social aspects
Evidence of FPIC and key agreements with local communities (if any).
An action plan describing operational actions consequent to the findings of the various assessments, referencing the grower's relevant operational procedures.
Name of Person Responsible
Designation
Signature
Date



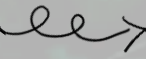
Make reference to the management plan that CB should check in the next audit



Include timeline for the mitigation & monitoring regime



Pictures of stakeholders engagement sessions, signed agreements



Ensure correct date



Best Practices

- o Keep growers in the loop to ensure **transparency**.
- o Manage **record keeping** properly (version, filename and etc).
- o **DOUBLE TRIPLE** check before submitting (typo, foreign language and etc.).



NPP 2021

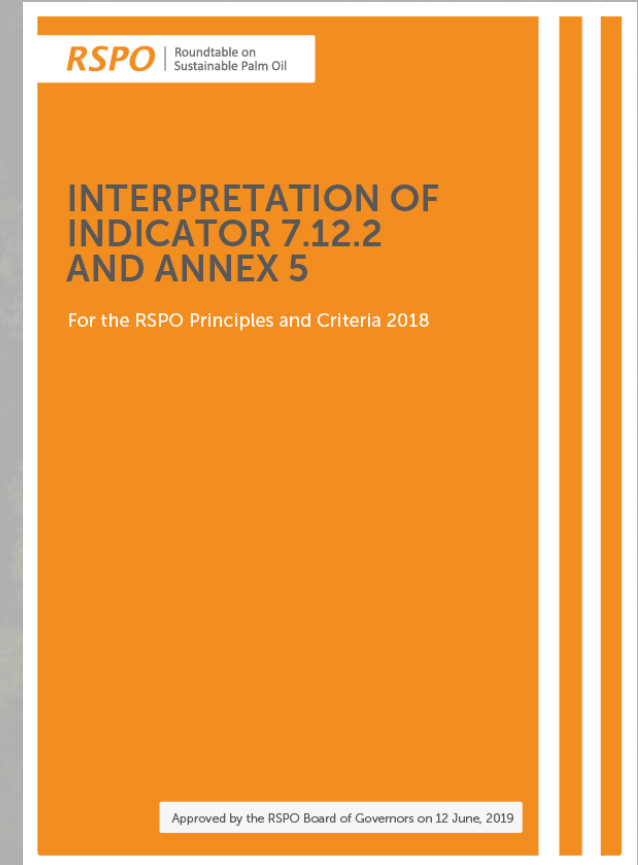
**INTERPRETATION OF INDICATOR 7.12.2
AND ANNEX 5 P&C 2018**

Background



As part of RSPO commitment to halt deforestation, any new land clearing after **15 November 2018** must be preceded by an HCV–HCSA assessment, and Annex 5: Transition from **HCV to HCV–HCSA Assessment**.

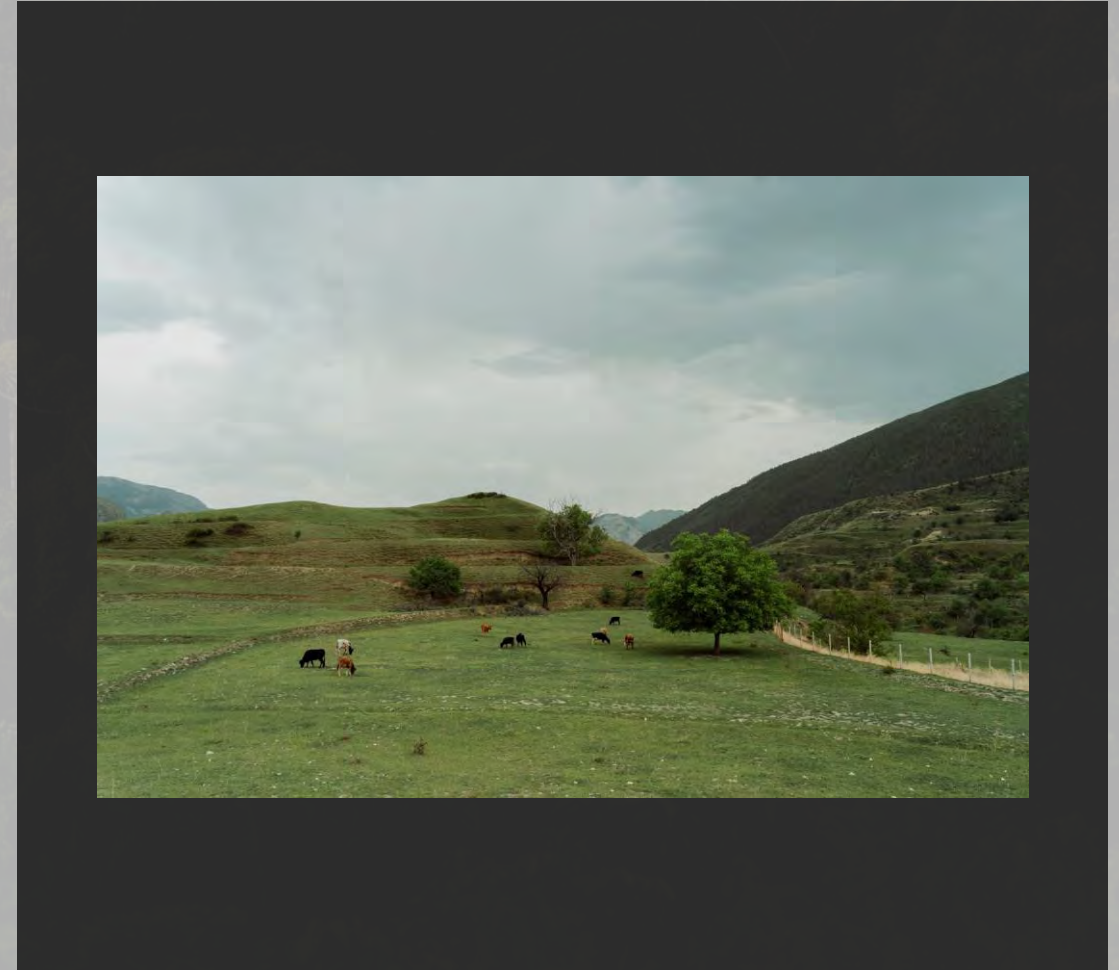
This document shows how the new requirements apply in the **different scenarios** of existing and new certification, considering scenarios with and without new land clearing.



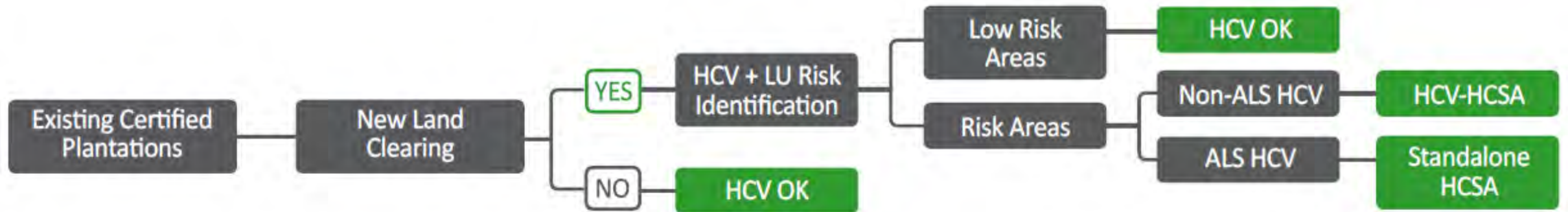
Definition: Actively Managed Area

Any activities within three (3) years in areas that have diversified farming and forestry practices. The area has created accessibility, vegetation structure, and/or functional activities, such as grazing, mining, timber harvest, fire protection, crop production, conservation, and social functions.

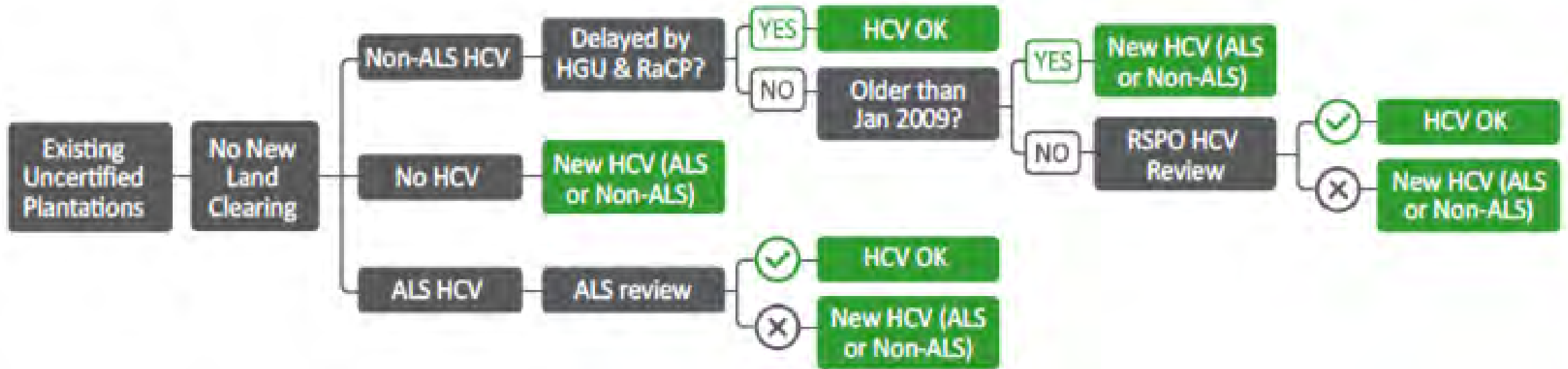
This includes to support horticulture, improve habitat for important plant and animal resources, and procure wood resources. The areas can be either managed or owned by RSPO members or non-RSPO members. This includes the areas owned by local communities.



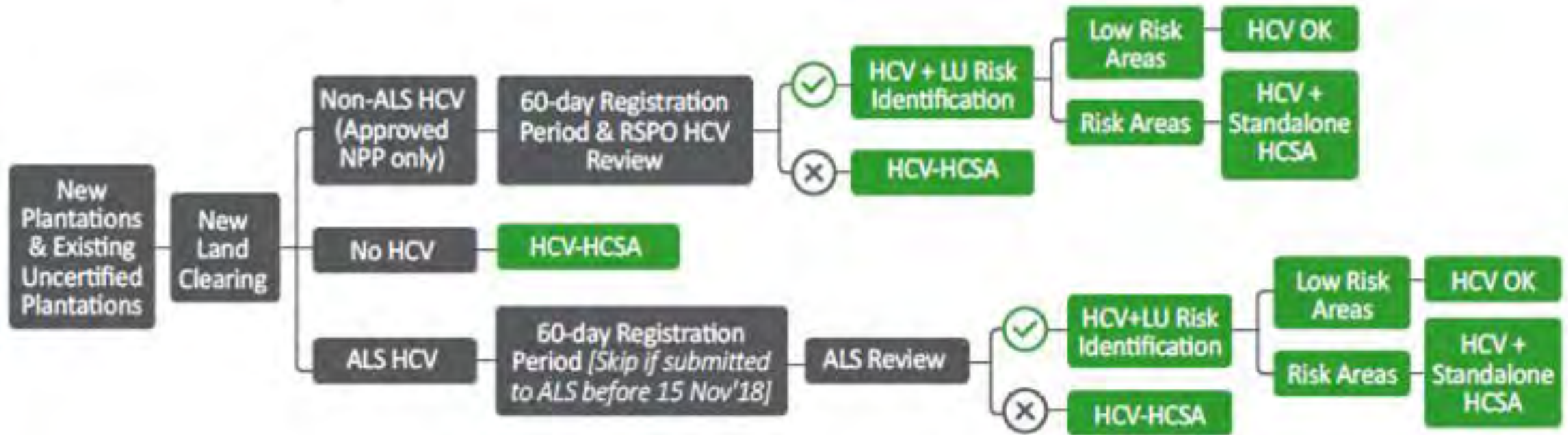
Existing Certified Plantations



New Plantations & Existing Uncertified Plantations (No New Land Clearing)



New Plantations & Existing Uncertified Plantations (New Land Clearing)





NPP 2021

Scenarios

Scenario 1



ABC Corporation is planning to conduct new land clearing within its certified UoC. Before proceeding with the expansion, they already has a High Conservation Value (HCV) assessment for the proposed new area and received a 'satisfactory' result by the HCVN Quality Panel. However, they are not sure whether New Planting Procedure (NPP) is required and what is the process involved?

ABC Corporation planea llevar a cabo un nuevo desbroce de tierras dentro de su UoC certificado. Antes de continuar con la expansión, ya cuenta con una evaluación de Alto Valor de Conservación (HCV) para la nueva área propuesta y recibió un resultado 'satisfactorio' por parte del Panel de Calidad de HCVN. Sin embargo, no están seguros de si se requiere el Procedimiento de Nueva Plantación (NPP) y cuál es el proceso involucrado.



Scenario 2



XYZ Corporation is planning to develop a new oil palm plantation by converting an existing pasture land used for livestock activities. A HCV assessment was conducted by an RSPO-approved assessor in 2008. The company needs to determine whether NPP is required and what is the process before plantation can be developed on this land?

La Corporación XYZ está planeando desarrollar una nueva plantación de palma aceitera mediante la conversión de un pastizal existente utilizado para actividades ganaderas. Un evaluador aprobado por la RSPO realizó una evaluación de AVC en 2008. La empresa necesita determinar si se requiere NPP y cuál es el proceso antes de que se pueda desarrollar la plantación en esta tierra.



Scenario 3



In 2018, DEF Corporation acquired an oil palm plantation from a non-RSPO member company that had been abandoned since 2008 due to significant drops in palm oil prices and inability to sustain the operation. The newly acquired company conducted an integrated High Conservation Value-High Carbon Stock (HCV-HCS) assessment to determine the environmental and social risks associated with the plantation, but the results are still pending 'Satisfactory' approval from the HCVN Quality Panel. Is the company required to submit NPP? And if YES or NO, what are the processes involved?

En 2018, DEF Corporation adquirió una plantación de palma aceitera de una empresa no miembro de la RSPO que había estado abandonada desde 2008 debido a caídas significativas en los precios del aceite de palma y la incapacidad de sostener la operación. La empresa recién adquirida llevó a cabo una evaluación integrada de Alto Valor de Conservación-Altas Reservas de Carbono (HCV-HCS) para determinar los riesgos ambientales y sociales asociados con la plantación, pero los resultados aún están pendientes de la aprobación 'Satisfactoria' del Panel de Calidad de HCVN. ¿La empresa está obligada a presentar NPP? Y en caso afirmativo o no, ¿cuáles son los procesos involucrados?



Scenario 4



WTZ Corporation is an RSPO member that plans to establish a new oil palm plantation. The proposed site is currently covered in secondary forest, scrub and was previously used for subsistence agriculture. Is the company required to submit NPP?

WTZ Corporation es un miembro de la RSPO que planea establecer una nueva plantación de palma aceitera. El sitio propuesto actualmente está cubierto de bosque secundario, matorral y anteriormente se usaba para la agricultura de subsistencia. ¿La empresa está obligada a presentar NPP?



Scenario 5



QPR Corporation is planning to develop a new area for oil palm production. A non-ALS HCV assessment has been conducted in 2013. They plan to submit the HCV assessment report as part of their NPP submission. Can this be accepted and why?

QPR Corporation planea desarrollar una nueva área para la producción de palma aceitera. En 2013 se realizó una evaluación de AVC sin ALS. Planean presentar el informe de evaluación de AVC como parte de su presentación de NPP. ¿Se puede aceptar esto y por qué?



THANK YOU



Find out more at www.rspo.org

PalmTrace Review: Common Issues identified during License Submission



RSPO CB Interpretation Forum
31 May 2023

www.rspo.org



Objective of this session
To highlight common mistakes made in
PalmTrace (PT) that causes denial of
the license request

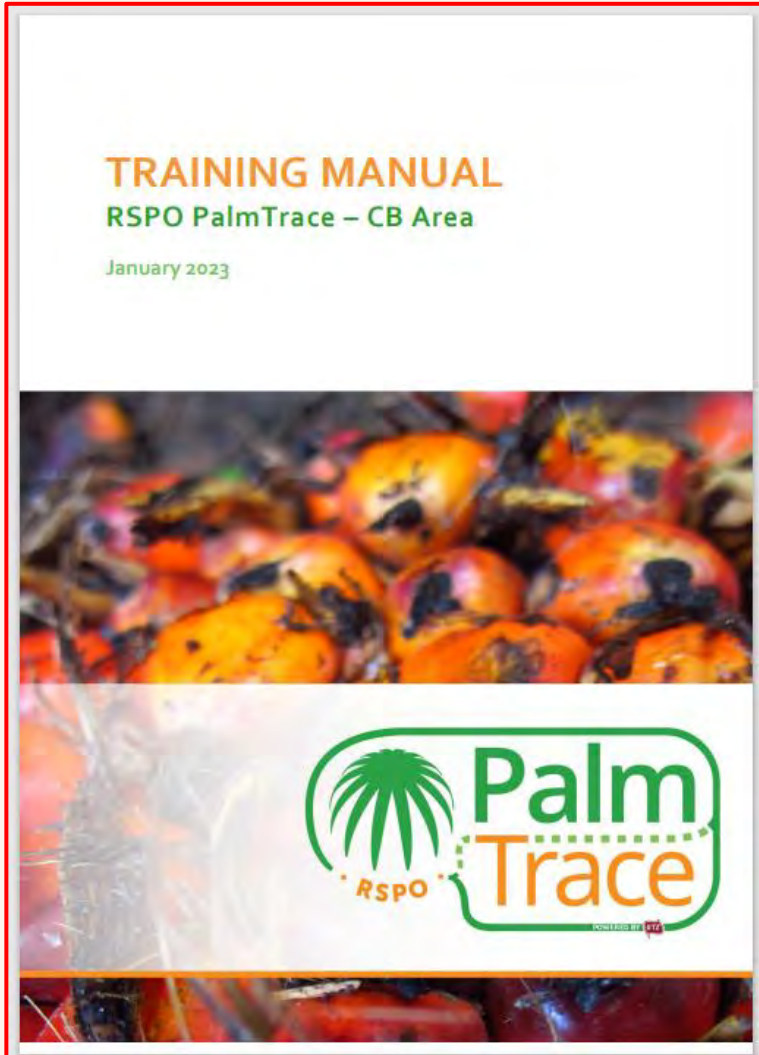


“

Continuous improvement and ensure the key Palm Trace personnel are up to date

”

Palm Trace Manual - CB Area

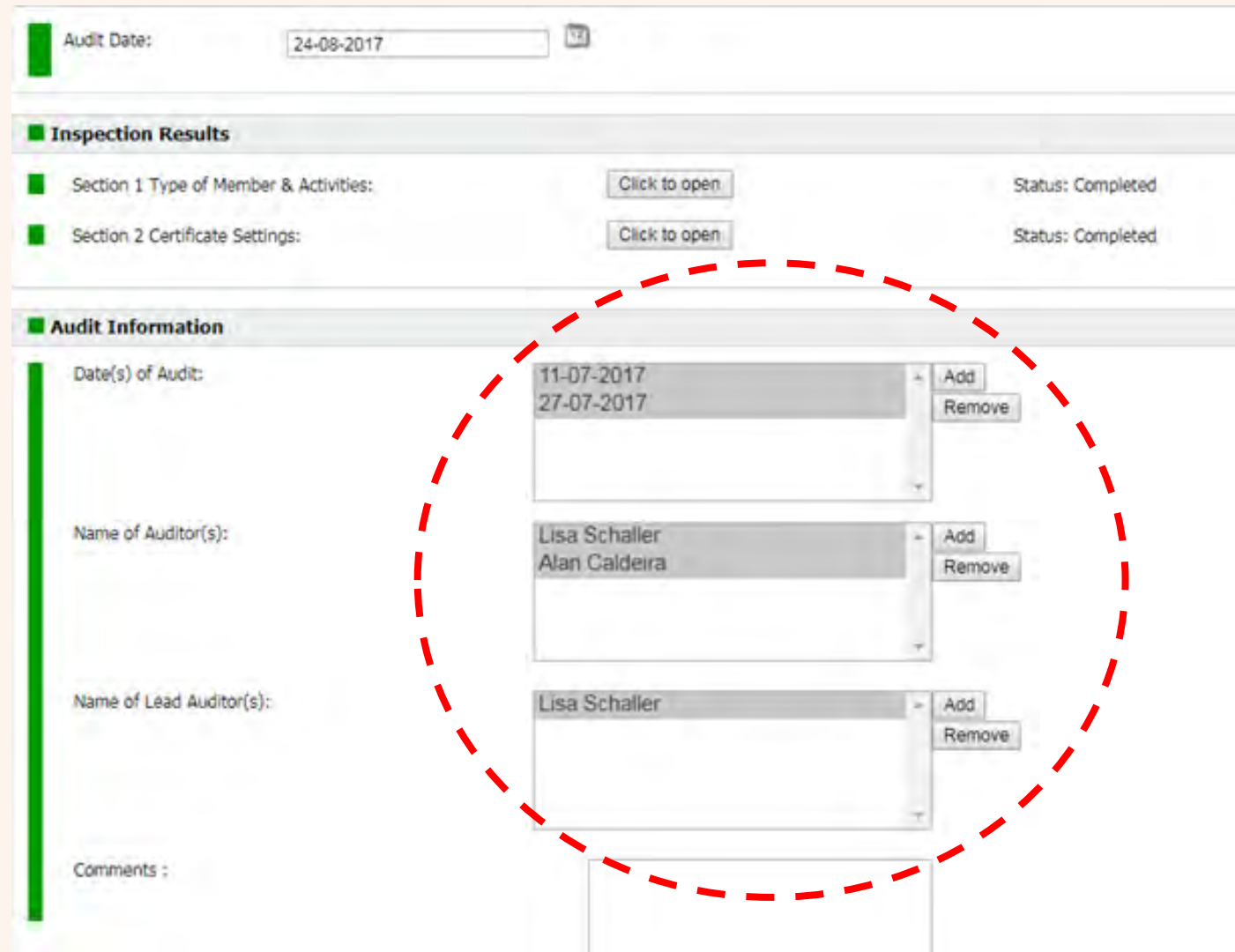



Contents

Introduction to the CB Area	2
LOG IN	2
REQUESTS	3
LICENSE OVERVIEW	4
SETTINGS	5
SECTION 1. TYPE OF MEMBER & ACTIVITIES	8
SECTION 2. QUESTIONNAIRE	20
SECTION 3. CERTIFICATE SETTINGS	21
NEW! MULTIPLE PHASE LICENSE SUBMISSION	22
SUPPORTING INFORMATION FOR LICENSES	26
AUDIT INFORMATION	27
APPROVED LICENSE REQUEST	27
DENIED LICENSE REQUEST	28
Modify or Withdraw a License Request	30
Manage Licenses	31
NEW! UPDATE AN EXISTING LICENSE	31
SUSPEND A LICENSE	32
EXTEND A LICENSE	34
REQUEST A SUBSEQUENT LICENSE	37
Request a Member Transfer	38
Manage Settings	40
ADD A USER	40
MANAGE USER RIGHTS	41
REMOVE A USER	42
Check the member's transactions in RSPO PalmTrace	44
NEW FEATURE IN RSPO PALMTRACE FOR INDEPENDENT SMALLHOLDER GROUPS	45
CONTACT US	45

Section 0 - Inconsistencies

- The information of Date of Audit, Name of Auditor(s), and Lead Auditor(s) not consistent with the Audit Report

A screenshot of a web-based audit management system. The interface is divided into several sections. At the top, there is a field for "Audit Date" with the value "24-08-2017". Below this is the "Inspection Results" section, which contains two entries: "Section 1 Type of Member & Activities" and "Section 2 Certificate Settings", both with a status of "Completed". The "Audit Information" section is highlighted with a red dashed circle. It contains three fields: "Date(s) of Audit" with values "11-07-2017" and "27-07-2017"; "Name of Auditor(s)" with values "Lisa Schaller" and "Alan Caldeira"; and "Name of Lead Auditor(s)" with the value "Lisa Schaller". Each of these three fields has "Add" and "Remove" buttons. A "Comments" field is also visible at the bottom of the "Audit Information" section.



Section 1 - Certified Volumes

- Mill with estate = FFB_estate, CSPO, CSPK
- Mill with estate & scheme SH = FFB_estate, FFB_scheme/associated, CSPO & CSPK
- For Outgrower = FFB
- For Independent Smallholder (ISH)
 - Eligibility & MS A = IS_FFB, IS_CSPO, IS_CSPKO, and IS_CSPKE
 - MSB = FFB

Certified Volumes

Select the product(s), supply chain model, and volume that are produced by the Certificate Holder.

While listing down the volume for CSPO and CSPK, please also indicate the certified volume of FFB Estate, FFB Scheme or Associated, and IS-FFB respectively for Mills with Estates, Mills plus Scheme Smallholders, and Independent Smallholders.

Product

CSPK- Supply Chain Model:Mass Balance- Certified Volume:7239.39 MT- Carry Over:0.00 MT
 FFB_estates- Supply Chain Model:Mass Balance- Certified Volume:37745.00 MT- Carry Over:0.00 MT
 CSPO- Supply Chain Model:Mass Balance- Certified Volume:39825.56 MT- Carry Over:0.00 MT

Information on supply base, SCC multisite or SCC group members.

Please indicate the number of supply bases (number of estates plus scheme/associated smallholders for P&C), sites or group members (SCC): 8

Name and Address
 Mesuji Estate - Pematang Panggang Village, Mesuji Sub-

Type
 Estate Scheme/Associated Smallholders

Name and Address
 Surya Adi Estate - Surya Adi Village, Mesuji Sub-district, C

Type
 Estate Scheme/Associated Smallholders

Name and Address
 KUD Surya Adi - Surya Adi Village, Mesuji Sub-district, O

Type
 Estate Scheme/Associated Smallholders

	No. of Smallholders	Certified Area (ha)	Production Area (ha)
	1085	2,053.45	2,053.45

Section 1 - Certified Volumes

% Kernel Extraction Rate (KER)

$$= \frac{\text{CSPK (147.04 MT)}}{\text{FFB (107,244 MT)}}$$

FFB (107,244

MT)

$$= 0.13 \%$$



Certified Volumes

Select the product(s), supply chain model, and volume that are produced by the Certificat

While listing down the volume for CSPO and CSPK, please also indicate the certified volum
Mills plus Scheme Smallholders, and Independent Smallholders.

Is RSPO NEXT Compliant?

Product

FFB_estates- Supply Chain Model: Mass Balance- Certified Volume: 107,244 MT

CSPK- Supply Chain Model: Mass Balance- Certified Volume: 147.04 MT

CSPO- Supply Chain Model: Mass Balance- Certified Volume: 26,766.89 MT



Section 1 - Supply bases

- Information on supply bases are not consistent with the Certificate

Information on supply base, SCC multisite or SCC group members.

Please indicate the number of supply bases (number of estates plus scheme/associated smallholders for P&C), sites or group members (SCC): 2

Name and Address
Associate outgrowers - Colombia

Type	No. of Smallholders	Certified Area (ha)	Production Area (ha)
<input type="checkbox"/> Estate <input checked="" type="checkbox"/> Scheme/Associated Smallholders	38	9,606.29	6,906.68

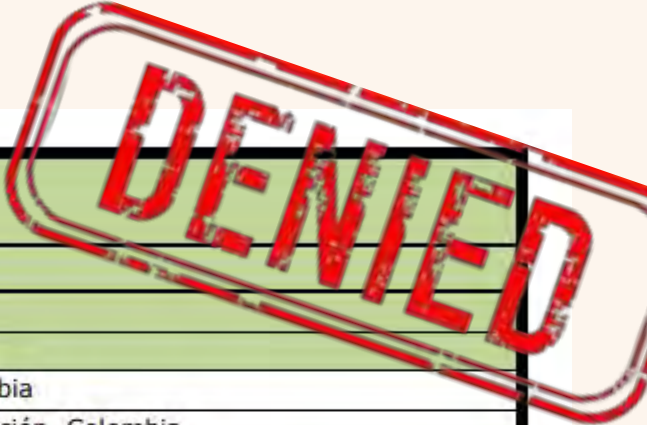
Name and Address
Aceites S.A. - Planta extractora Km 2 Vía el Retén. Color

Type
 Estate Scheme/Associated Smallholders



Section 1 - Supply bases

- 5.11.2 For P&C, the certificate shall contain
- b. Name of the supply base(s), GPS coordinates of the supply base(s), and the individual certified area (in ha)



ACEITES S.A								
CRSPO6276								
Facilities included in the scope of the audit.								
Name of facility:				Location address:				
Aceites S.A.				Planta extractora Km 2 Vía el Retén. Colombia				
Palmaceite S. A				Planta extractora Km 49 Via Ciénega Fundación. Colombia				
Statistics of the Supply Base and Estimated Tonnes of FFB produced per year								
Name	GPS Coordinates		Area of Oil Palm (Ha)		Estimat. Tonnes FFB/Yr	HCV area	Conser v. Area	Certified Area
	Lat.	Long.	Total	Production				
Associated Outgrowers			16.441,79	13.511,64	337.379,89	2759,25	2759,25	19.201,04
TOTAL						-	-	
Total Certified Area (Total Certified Area corresponds to the sum of total Area of Oil Palm and the total Conservation Area)							19.201,04	

Section 2 - Certified areas is not consistent with Certificate





- Certified Areas and Production Areas must be consistent with Certificate

Section 2 - Questionnaire:

Principles & Criteria

1 Please indicate the member type
 Mill Independent Smallholder Outgrower

2 Total Estate Certified Area (excluding scheme smallholders) (ha)
7,405.78 

3 Total Estate Production Area (excluding scheme smallholders) (ha)
5,775.25 

4 Certified Mill Capacity (mt/hr)
30

5 High Conservation Value (HCV) Area (excluding scheme smallholders) (ha)
0

6 GPS Coordinates

Latitude 14.555208

Longitude -92.006

Section 2 - Previous License Volume Information



- Actual Sold Volume is more than Certified Volume
- Actual Sold volume is more than Actual produced volume
- Actual Sold Volume less than 50% of actual produced volume (justification need to be provided in audit report/ put remarks in PT)

7 Previous License Volume Information

- Information not available (for initial certification only)
- Select product to add volume

Product	CSPK
Supply Chain Model	Identity Preserved
Last Year Projected CSPK Certified Volume (MT)	3,449
Last Year Actual CSPK Produced Volume (MT)	3,185
Last Year Actual CSPK Sold Volume (RSPO Certified) (MT)	630
Last Year Actual CSPK Sold Volume Conventional (MT)	0
Last Year Actual CSPK Sold Volume (Other Schemes Certified) (MT)	0
Total Actual CSPK Sold Volume (MT)	630

Product	CSPO
Supply Chain Model	Identity Preserved
Last Year Projected CSPO Certified Volume (MT)	17,770
Last Year Actual CSPO Produced Volume (MT)	15,186
Last Year Actual CSPO Sold Volume (RSPO Certified) (MT)	3,947
Last Year Actual CSPO Sold Volume Conventional (MT)	2,224
Last Year Actual CSPO Sold Volume (Other Schemes Certified) (MT)	0
Total Actual CSPO Sold Volume (MT)	6,171

Section 3 - Metrics Template

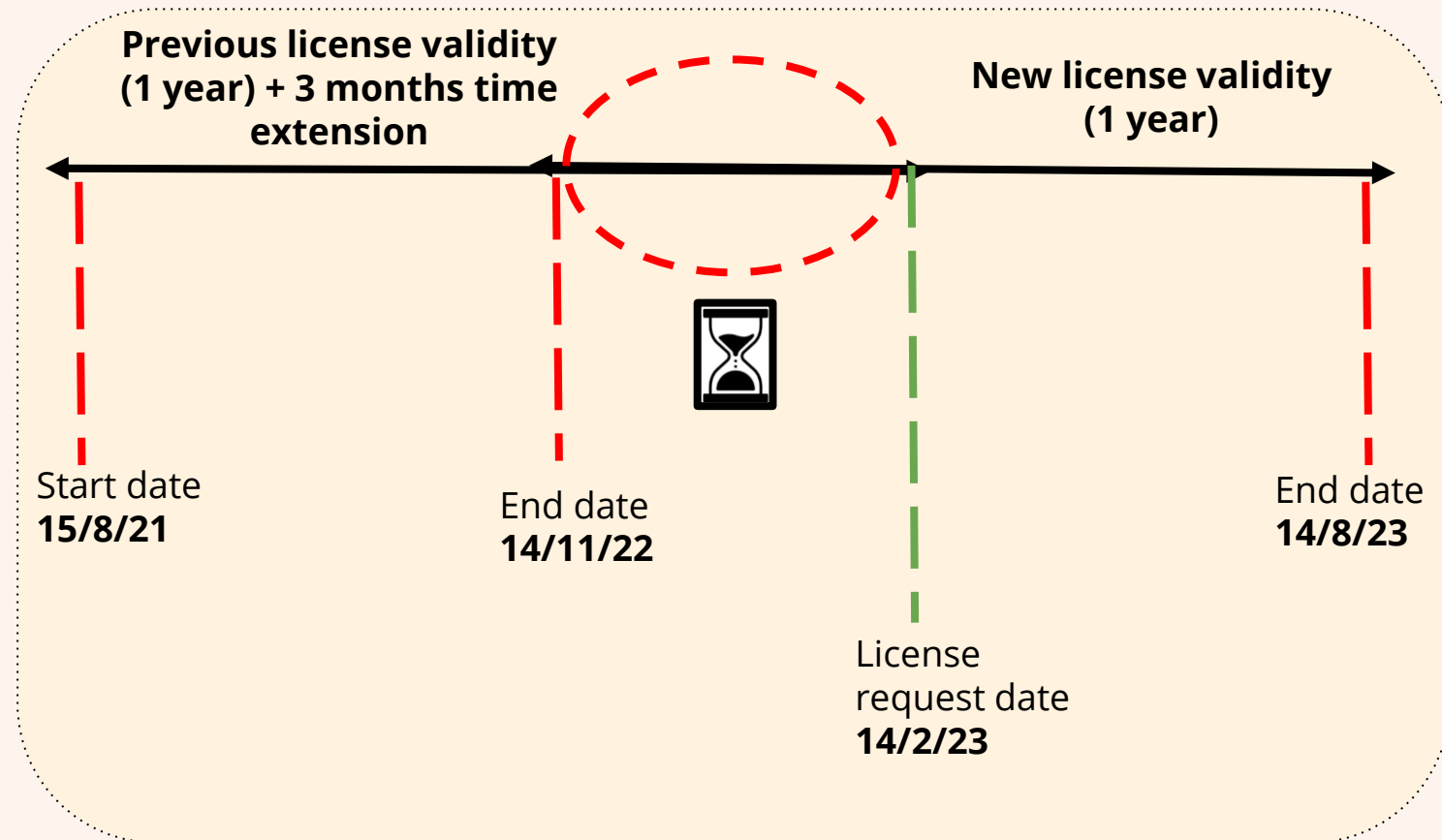
- RSPO Metrics Template is not uploaded

Supporting Information (Principles & Criteria)	
A. Upload Certificate	RSPO Certificate of Bukit Ajong POM.pdf
B. Upload Audit Report	RSPO ASA-1.4 & RC-2 Summary Report of Bukit Ajong POM PT SIA subsidiary of SDP.pdf
C. Upload Other Documents <i>(optional)</i>	
Principles & Criteria - Documents	
D. Date of first RSPO certification	18-08-2010



Section 3 - Backdating the start date of new license

- When there is a **lapses** between previous license and a new license validity
- The start date of new license shall not be backdated, it must **follow the same as license request date**



Suspend a license



- Prior to suspension of license, CB need to **remind the CH that all unconfirmed transactions will be automatically cancelled** and will only be resubmitted by the system once a new license has been approved
- Communicate with the member about this procedure - make sure all transaction (i.e. shipping announcement) has been completed prior to the suspension

Suspend License

License			
Sub License ID	CB49146		
Issued On	11-07-2017		
Issued By	CB_1		
Start Date	10-07-2017		
End Date	09-07-2018		
Group size	50		
Total Certified Area (Ha)	200		

Product Details:			
Type	Mill	Mill	Mill
Product(s)	IS-CSPD	IS-CSPKO	IS-CSPKE
Certified Volume	800	87.5	82.5
Carry Over	0	0	0
Program level(s)	IP	IP	IP
Allowed to sell as	N/A	N/A	N/A
Allowed to process	N/A	N/A	N/A
Type of extension			
Additional Volume			
Remaining	590 MT	87.5 MT	82.5 MT

Yes, I want to suspend this license

Yes, I want member to be notified by email

Remark

P&C Multi-Mill and Multi Model

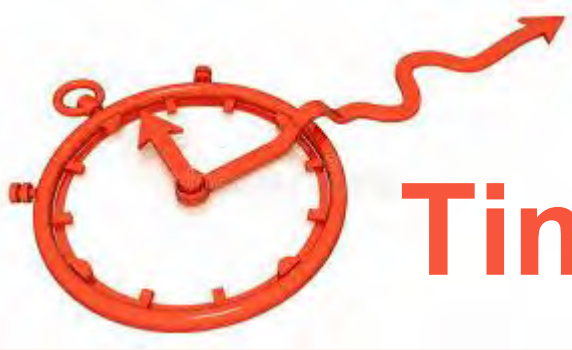


Multi-mill situation

- Each mill have its **own PalmTrace account**
- Each mill account will need to be assigned with **specific estate(s)**
- **Certified volumes, certified areas, production areas, and HCV areas** shall follow the estate assigned in section 1 of PalmTrace
- The supply chain model needs to be the same for all the mills

Multi Supply Chain Model

- IP & MB
- Assignment of certified volumes need to be provided for each supply chain model
- In section 2, the sold volumes of each SC model needs to be clearly separated
- Audit report – needs to be clear on how the handling of the process to ensure no contamination of IP product.
- This should include from FFB receiving, processing, storing and dispatch



Time Extension



5.13.2 A request for time extension of up to a maximum of three (3) months may be approved by the RSPO Secretariat.

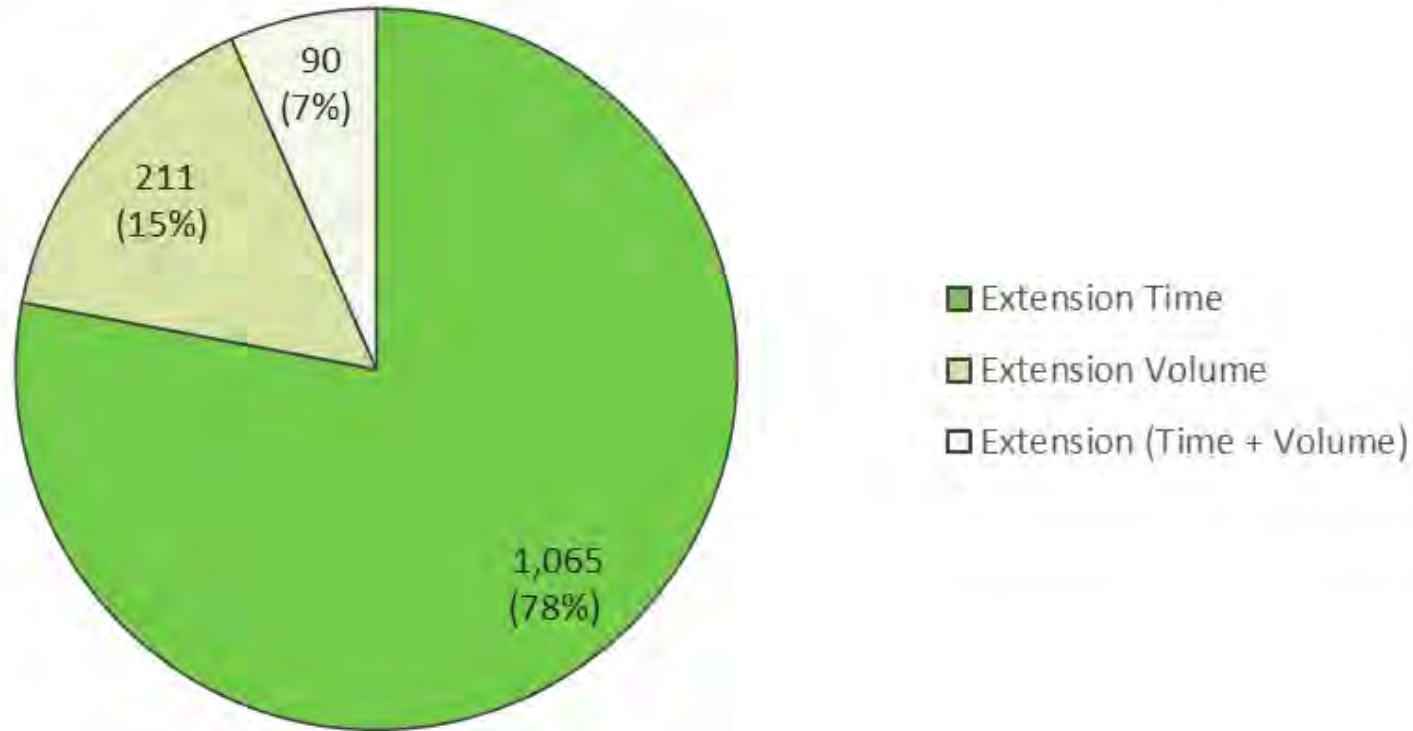


Volume Extension

3.8.7 (ii) The mill shall inform the CB immediately if there is a projected overproduction of certified volume.

Analysis of Extension Request

Time and Volume Extension - May 2022 - Apr 2023





Time Extension: Request Denied



Type of extension	Time
New License End Date	21-01-2023
License End Date	14-01-2023
Extension Document	
Status	Denied by TP
Extension Reason	License was requested on Palm Trace on January 5 2023. License is due to expire tomorrow 14 January 2023. One week extension is requested to allow certification team to conduct the license review.



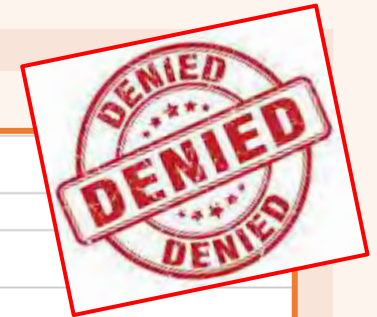
Time Extension: Request Denied



Type of extension	Time
New License End Date	09-05-2023
License End Date	09-04-2023
Extension Document	View
Status	Denied by TP
Extension Reason	<p>Dear RSPO, Recertification audit was conducted on 27 February to 2 March 2023. NC was raised during the audit and NC dateline is on June 2023. License extension was request to give time for the NC closure. Please approve license extension request. Thank you.</p>



Time Extension: Request Denied



Type of extension	Time
New License End Date	26-05-2023
License End Date	19-05-2023
Extension Document	View
Status	Denied by TP
Extension Reason	<p>We would like to request additional 1 week extension due to we have passed the internal review process, however there is any correction in volume reported.. curenly we have to re-issued the certificate and requesting approval again i the system. attached is the final audit report approved and draft certificate and we expect will conduct the submission within this week. Thank you fro your support and understanding</p>



Time Extension: Request Denied



Type of extension	Time
New License End Date	26-05-2023
License End Date	19-05-2023
Extension Document	View
Status	Denied by TP
Extension Reason	<p>We would like to request additional 1 week extension due to we have passed the internal review process, however there is any correction in volume reported.. curenly we have to re-issued the certificate and requesting approval again i the system. attached is the final audit report approved and draft certificate and we expect will conduct the submission within this week. Thank you fro your support and understanding</p>



Volume Extension: Request Denied



Product Details:

Type	Oil mill	Oil mill	Oil mill
Product	CSPO	CSPK	FFB_estates
Certified Volume	128,820.05	33,026.42	314,578
Program level(s)	Identity Preserved	Identity Preserved	Identity Preserved
Allowed to sell as	N/A	N/A	N/A
Allowed to process	N/A	N/A	N/A
Type of extension	Volume	Volume	
Additional Volume	1 MT	1 MT	
Remaining	23,374.13 MT	8,327.67 MT	314,578 MT



Volume Extension: Request Denied



Type of extension	Volume
Product	CSPK
Supply Chain Model	Identity Preserved
Additional Volume	4,291.92 MT
Product	CSPO
Supply Chain Model	Identity Preserved
Additional Volume	5.58 MT
Extension Document	
Status	Denied by TP
Extension Reason	Volume increase



Volume Extension: Request Denied



Type of extension	Volume + Time
New License End Date	11-04-2023
License End Date	11-03-2023
Product	FFB_estates
Supply Chain Model	Mass Balance
Additional Volume	0.01 MT
Extension Document	View
Status	Denied by TP
Extension Reason	The volume of FFB has been left as 0,01 because proportionally the volume of CSPO and CSPK would be 0. Following what we've mentioned previously.



Volume Extension: Justification from CB



Extensions

Type of extension	Volume
Product	FFB
Supply Chain Model	Identity Preserved
Additional Volume	2,500 MT
Extension Document	
Status	Approved by TP
Extension Date	23-05-2023
Extension Reason	The client requested an extension volume. The FFB produced increased from all smallholders by good practice and due to sufficient rainfall.



Volume Extension: Justification from CB



Extensions	
Type of extension	Volume
Product	FFB_estates
Supply Chain Model	Mass Balance
Additional Volume	7,228.89 MT
Product	CSPK
Supply Chain Model	Mass Balance
Additional Volume	341.61 MT
Product	CSPO
Supply Chain Model	Mass Balance
Additional Volume	1,373.22 MT
Extension Document	
Status	Approved by TP
Extension Date	26-05-2023
Extension Reason	<p>This additional quota request is due to the new license announcement in July 2022 which should have used the previous license quota, but because the announcement was created after the license change, it used (cut) the current license quota. Current License Period: 24-08-2022 to 23-08-2022, announcement date: 24-08-2022. Please approve our request.</p> <p>Thanks</p>



Volume Extension: Justification from CB



Type of extension	Volume
Product	FFB_estates
Supply Chain Model	Identity Preserved
Additional Volume	26,294.34 MT
Product	CSPK
Supply Chain Model	Identity Preserved
Additional Volume	1,200 MT
Product	CSPO
Supply Chain Model	Identity Preserved
Additional Volume	4,100 MT
Extension Document	
Status	Approved by TP
Extension Date	10-01-2023
Extension Reason	Due to optimum weather, the production was larger than estimated.



Volume Extension: Justification from CB



Type of extension	Volume
Product	FFB_estates
Supply Chain Model	Mass Balance
Additional Volume	1 MT
Product	CSPK
Supply Chain Model	Mass Balance
Additional Volume	2,908.99 MT
Product	CSPO
Supply Chain Model	Mass Balance
Additional Volume	1 MT
Extension Document	
Status	Approved by TP
Extension Date	06-09-2022
Extension Reason	The client requests a Volume extension due to an error in declaring their initial volumes at the time of their initial audit. Requesting a volume of PK much less than what they actually estimated to process, for that reason it is only necessary to increase the volume of PK and not of fruit or CPO.



Question & Answer

www.rspo.org



Find out more at
www.rspo.org

RSPO CB INTERPRETATION FORUM

30 May - 1 Jun 2023

We will be back in

1:30:00



www.rspo.org



RSPO CB INTERPRETATION FORUM

**SOCIALIZATION: RSPO Remediation and
Compensation Procedure (RaCP)**

Wan Muqtadir
Head, Integrity



www.rspo.org

RSPO Remediation and Compensation Procedure (RaCP)

Miami, USA
31 May 2023





“

What is RaCP?

”

What is RaCP and why it is important



WM

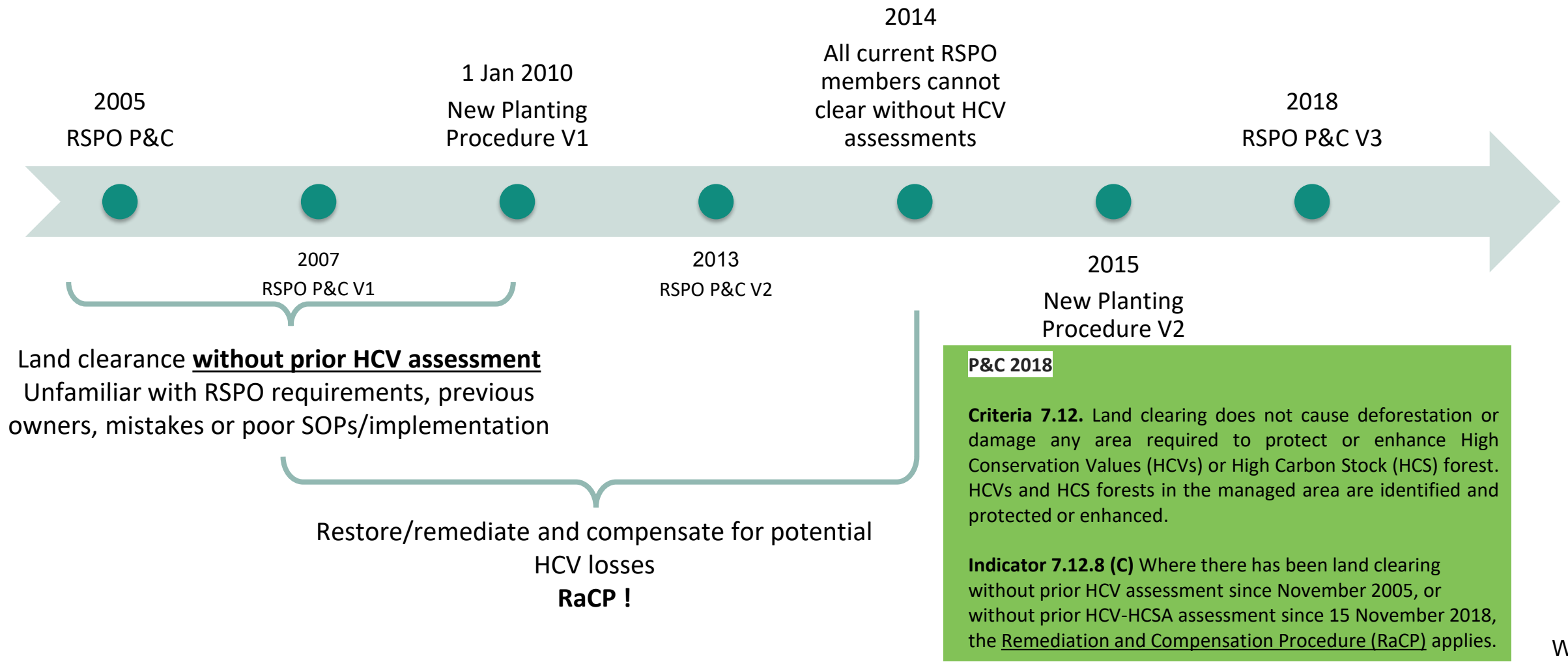
Remediation and compensation is required for any clearance since 2005 without prior HCV assessment

RaCP ensures that there is a process to remediate and compensate for social and/or environmental damage to the area

RaCP is primarily intended to:

- Encourage preservation of biodiversity, environmental, and socio-cultural HCVs,
- Safeguard the areas necessary to maintain them in the context of oil palm expansion

Historical Timeline



Cases Relevant to RaCP

- The RaCP was developed to address the specific problem of the failure to conduct HCV assessments prior to land clearance since November 2005.
- Only cases where no HCV assessment was conducted prior to land clearance since November 2005 will be accepted as potential Compensation Cases under this procedure

The following cases may lead to complaints and not automatically be treated as Compensation Cases

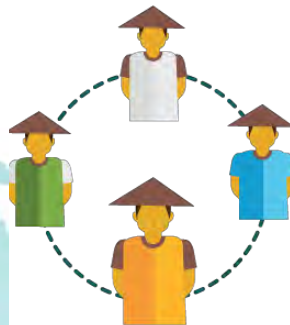
- Cases where HCV assessments were conducted prior to land clearance since November 2005 and where known and identified HCVs and/or HCVAs were subsequently damaged
- Cases where there is doubt over the adequacy or quality of an HCV assessment conducted prior to land being cleared since November 2005 and where subsequently there may have been damage of HCVs and/or HCVAs.

Applicability



RSPO grower members, as well as growers applying for RSPO membership

Partial application to smallholders:
Disclosure
LUCA



Applicability - RaCP for Scheme Smallholders



Announcement on Resolution GA18-2d to Review and Amend the Remediation and Compensation Procedure (RaCP) for Scheme Smallholders

- Resolution GA18-2d, which calls for a review and amendment of the Remediation and Compensation Procedure (RaCP) process as applied to scheme smallholders” was formally adopted at the 18th RSPO General Assembly (GA), which was held virtually on 2 December 2021.
- Following the adoption of the Resolution, the Biodiversity and High Conservation Values Working Group (BHCVWG) will be initiating a rapid study to define the conditions and a clear mechanism to implement the reprieve for the various affected parties, i.e., new and existing members, smallholders, the RSPO Secretariat and Certification Bodies.
- Any delays in the time bound plan for certification due to this reprieve should not be considered as a failure to deliver on the time bound plan requirements.



RaCP Key Steps

Disclosure

- (1) Disclosure of non-compliant land clearing after November 2005 without prior HCV assessment

Relevant documents:
Annex 2
Supporting documents

Land Use Change Analysis (LUCA)

- (1) Identification of areas requiring environmental remediation
- (2) Calculation of final conservation liability (FCL)

LUCA package

Concept note

- (1) Development of compensation concept note
- (2) Concept note review and endorsement

Annex 7 - Concept note

Compensation Plan

- (1) Development of compensation plan
- (2) Compensation plan evaluation

Annex 8 - Remediation and Compensation Report

----- Certification approved -----

Implementation of remediation and compensation plan

Annex 9 - Annual Monitoring

Disclosure(s)



Existing RSPO members

Should already disclosed all non-compliant land clearance on land under their control (owned, managed, leased, or acquired)

If reported to the RSPO by anyone other than the company, the case will be treated as a complaint.



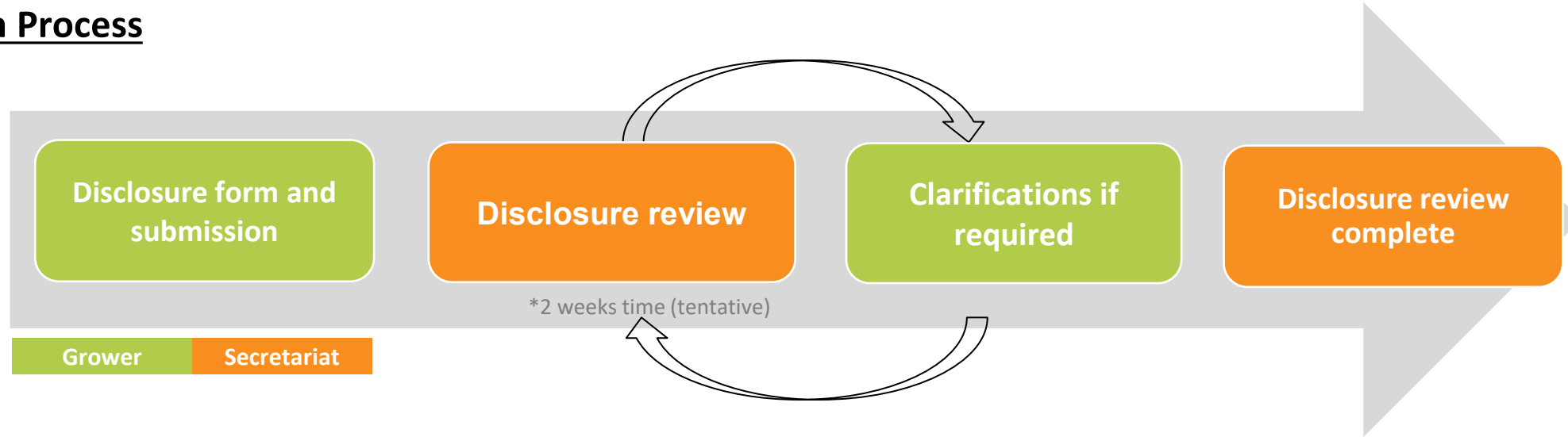
Applicants for RSPO membership

Disclose to RSPO Secretariat any non-compliant land clearance or state in writing that no-compliant land clearing exists

Membership application will be approved once the Land-Use Change Analysis (LUCA) is passed.

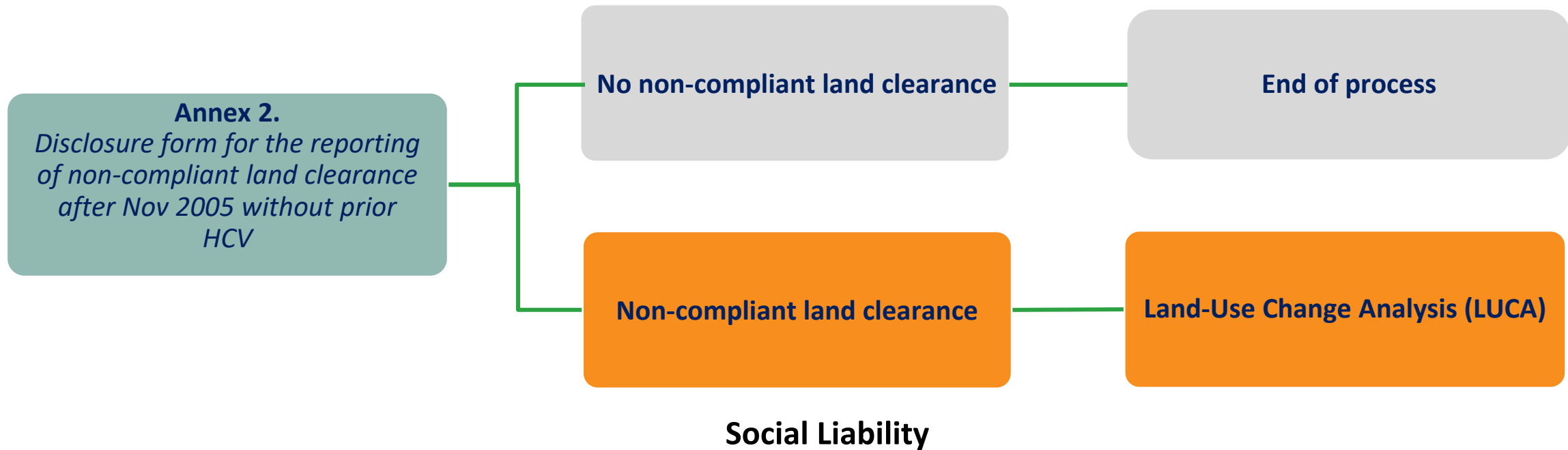
Disclosure Steps

Submission Process



Relevant documents:

- Annex 2 - Reporting Template for Disclosure of Areas Cleared without Prior HCV Assessment since
- Shapefiles
- HCV report
- Social liability document as mentioned in Box 3.2: ESIA, FPIC, Land-use maps based on participatory exercise, Documentation of land acquisition process, Absence of unresolved land dispute, CSR activities that demonstrably maintain, enhance, remediate for social HCV, Consultation with communities demonstrate no social liability

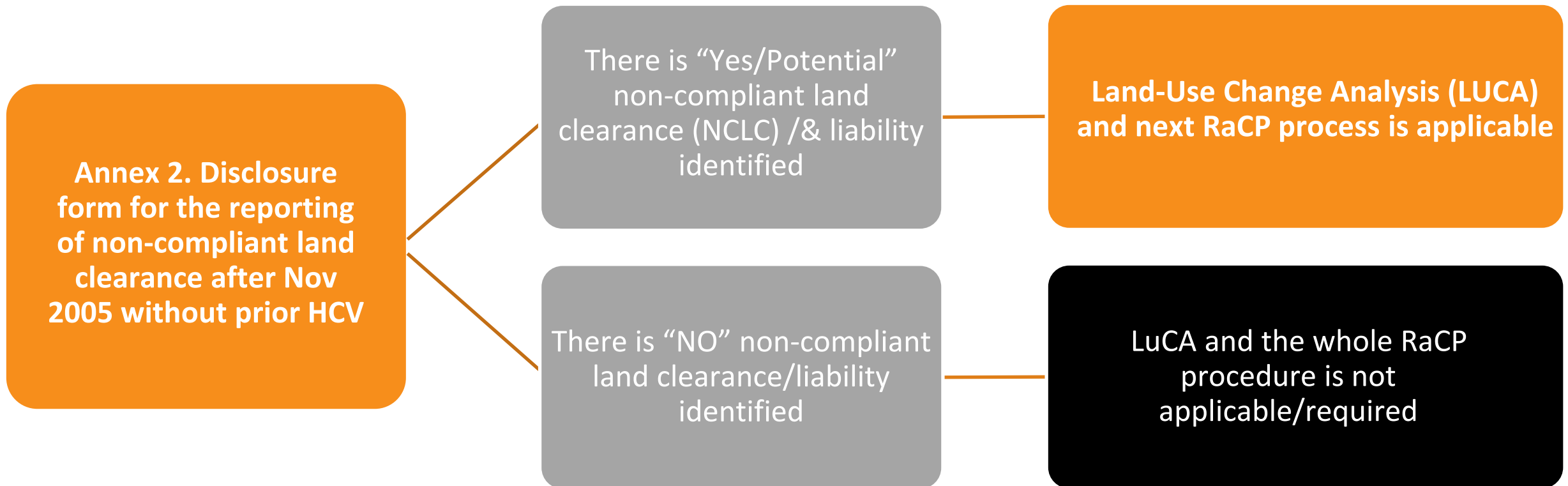


Growers who have non-compliant land clearance need to demonstrate they have not overlooked their obligation to **maintain or enhance social HCVs where their licensed areas were or are owned, used, or occupied by indigenous peoples and local communities.**

Growers should provide evidence to demonstrate that they do not have outstanding social liability. In cases where social liability exists, the growers are required to provide remediation for HCVs 4, 5 and 6.

Disclosure → LUCA/RaCP

Findings from Disclosure Review





Land Use Change Analysis (LUCA)

Rationale



Land Use Change Analysis (LUCA) is primarily intended to support RacP and NPP procedure to:

Encourage preservation of biodiversity, environmental, and socio-cultural HCVs

Safeguard the areas necessary to maintain them in the context of oil palm expansion

Protection for endangered animals, specific vegetation, prevent erosion, protecting riparian buffer



Who is required to do the LUCA?

LUCA is required for all management units with non-compliant land clearance

Land cover in November 2005 is used as proxy for the potential HCVs that may have been lost.

The LUC analysis will help determine remediation needs and compensation liabilities by identifying:

- Areas with potential loss of environmental HCVs (HCV 1-4).
- Areas where clearing vegetation and planting of oil palm is prohibited by the P&C
- Areas with potential loss of HCV 4-6 for affected communities [rarely and very limited in spatial analysis]

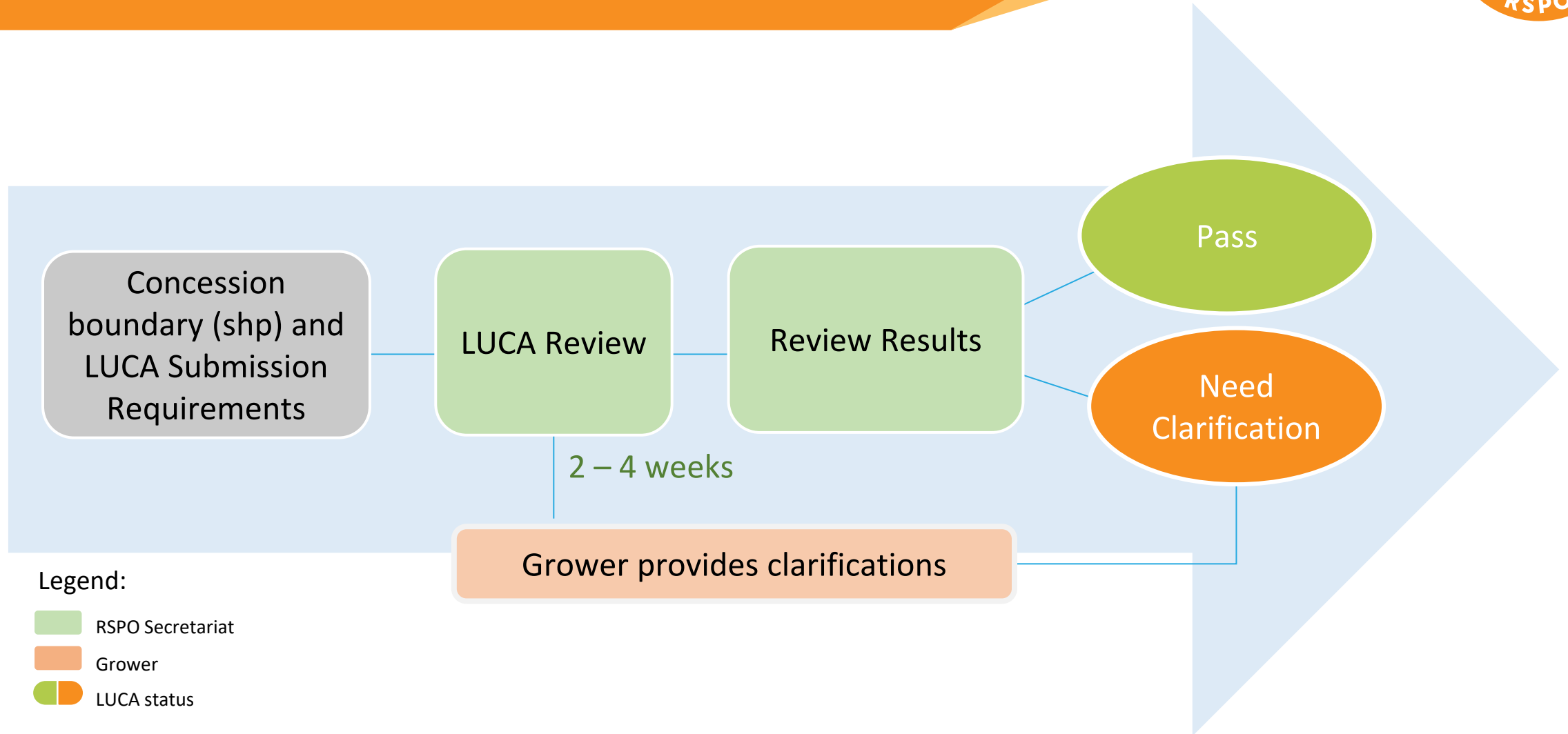
- Who owned/managed the area at the time of clearance?
- Was the clearance corporate or non-corporate?

	Land controlled by a non-member at time of clearance	Land controlled by a RSPO member at the time of clearance <i>Including land acquired from other RSPO members</i>
Land clearance after 9 May 2014	Twice the sum of all corporate clearance ⁹ without prior HCV assessment multiplied by their vegetation coefficient(s) in Nov. 2005	Expulsion from RSPO* If the areas are later acquired by another RSPO member and only if the seller was a member of RSPO before 9 May 2014, liability is as per "non-member"
Land cleared from 1 January 2010 to 9 May 2014	The sum of all corporate clearance without prior HCV assessment multiplied by their vegetation coefficient(s) in Nov. 2005	Twice the sum of all corporate clearance without prior HCV assessment multiplied by their vegetation coefficient(s) in Nov. 2005.
Land cleared from December 2007 to 31 December 2009	Half the sum of all corporate clearance without prior HCV assessment multiplied by their vegetation coefficient(s) in Nov. 2005	The sum of all corporate clearance without prior HCV assessment multiplied by their vegetation coefficient(s) in Nov. 2005
Land cleared from November 2005 to November 2007 ¹⁰	No additional conservation liability	No additional conservation liability

When did the clearance occur?



LUCA Submission Process Flow



LUCA Submission Requirements

[Remediation and Compensation Procedure \(RaCP\) - Roundtable on Sustainable Palm Oil \(RSPO\)](https://rspo.org/certification/remediation-and-compensation)

<https://rspo.org/certification/remediation-and-compensation>

RaCP Key documents

- PDF RSPO Remediation and Compensation Procedures
- PDF Annex 1 History and Rationale of the RaCP
- XLS Annex 2 Disclosure of non-compliant land clearing**
- XLS Disclosure Template for Areas Cleared without Prior HCV Assessment since November 2005 ISH Feb 2017
- PDF Final Guidance on Social HCVs Identification
- PDF Annex 3 LUCA guidance document
- DOC Annex 3a LUCA Reporting Template**
- DOC Annex 4 LUCA Reporting Checklist Table**
- XLS Annex 5 Reporting Template for LUCC**
- PDF Annex 6 Project Criteria
- DOC Annex 7 Compensation Concept Note Format
- DOC Annex 8 Compensation Plan Template
- PDF Minimum requirements for using High Carbon Stock (HCS) forest areas for compensation purposes

1

3

2

4

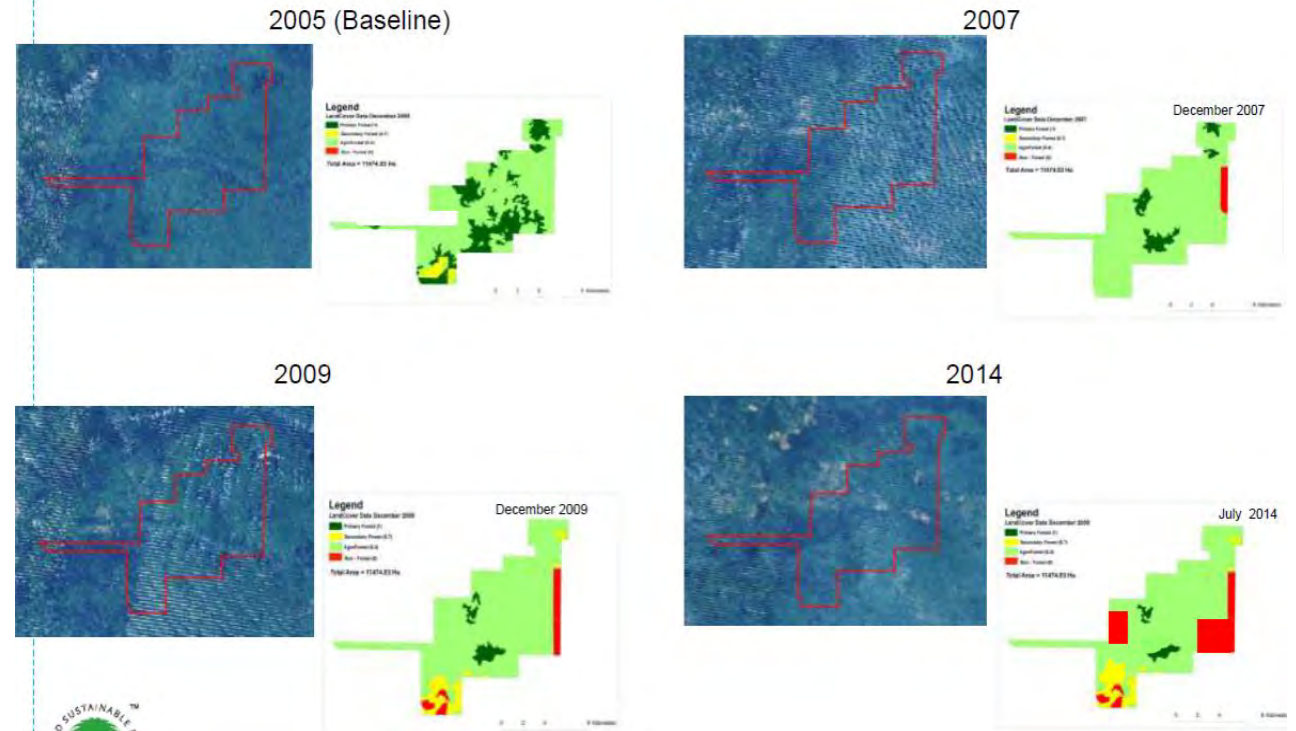
LUCA report and associated files need to be submitted to the RSPO

Land Use Change Analysis (LUCA) RaCP

LUCA enables growers to maximise positive environmental impact on-site.
The disclosed liability will be assessed and quantified in this process.

LUCA will analyse the whole area owned by the grower where the land opening did not comply to RSPO rule. i.e where

- the existing plot planted / cleared after November 2005, or
- the existing plot planted / cleared on an area identified as HCS forest after November 2019

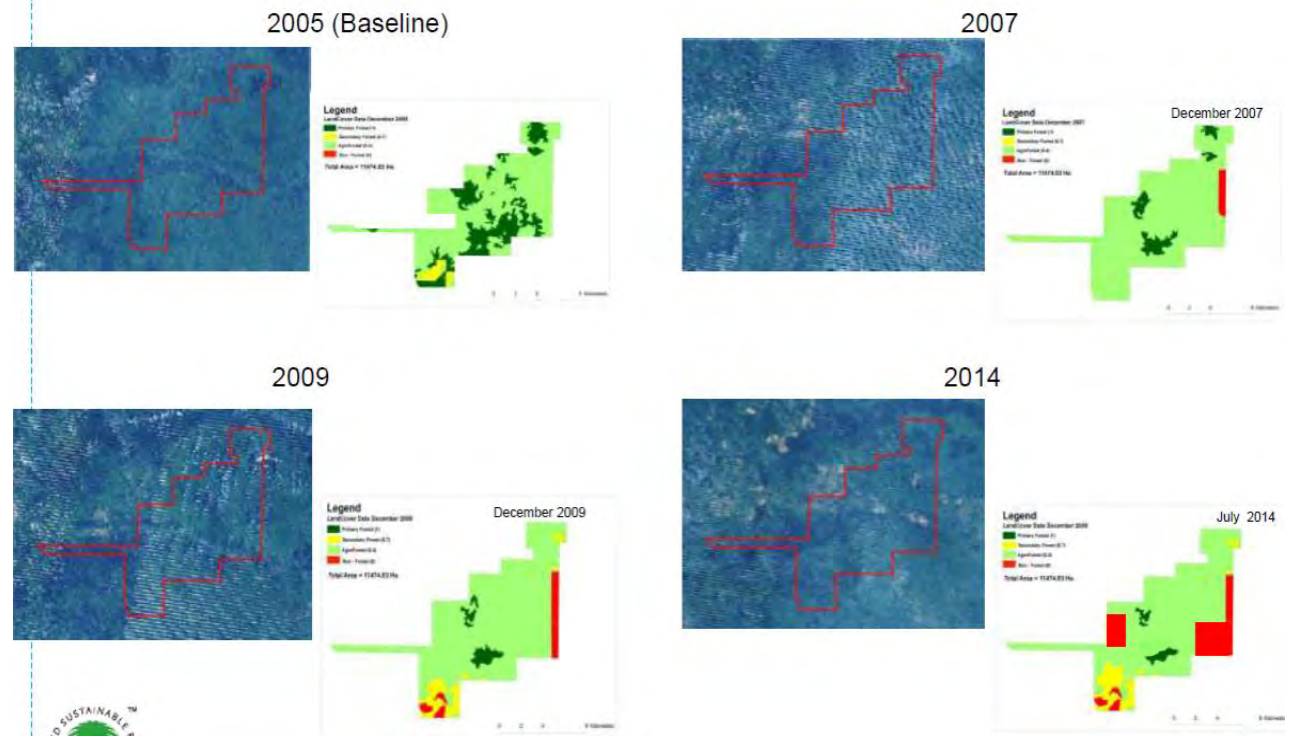


Land Use Change Analysis (LUCA) NPP

ISH pursuing the RSPO Independent Smallholder Standard do not need to apply NPP, but to demonstrate compliance with relevant requirements for new plantings/developments as outlined within the standard during the certification process.

LUCA NPP is applicable to growers when

- a new area of existing smallholders, or
- a new recruitment into existing group, or
- new members join an existing scheme/organised smallholders or group of growers certified through group certification, managed by an appointed Group Manager (not company).

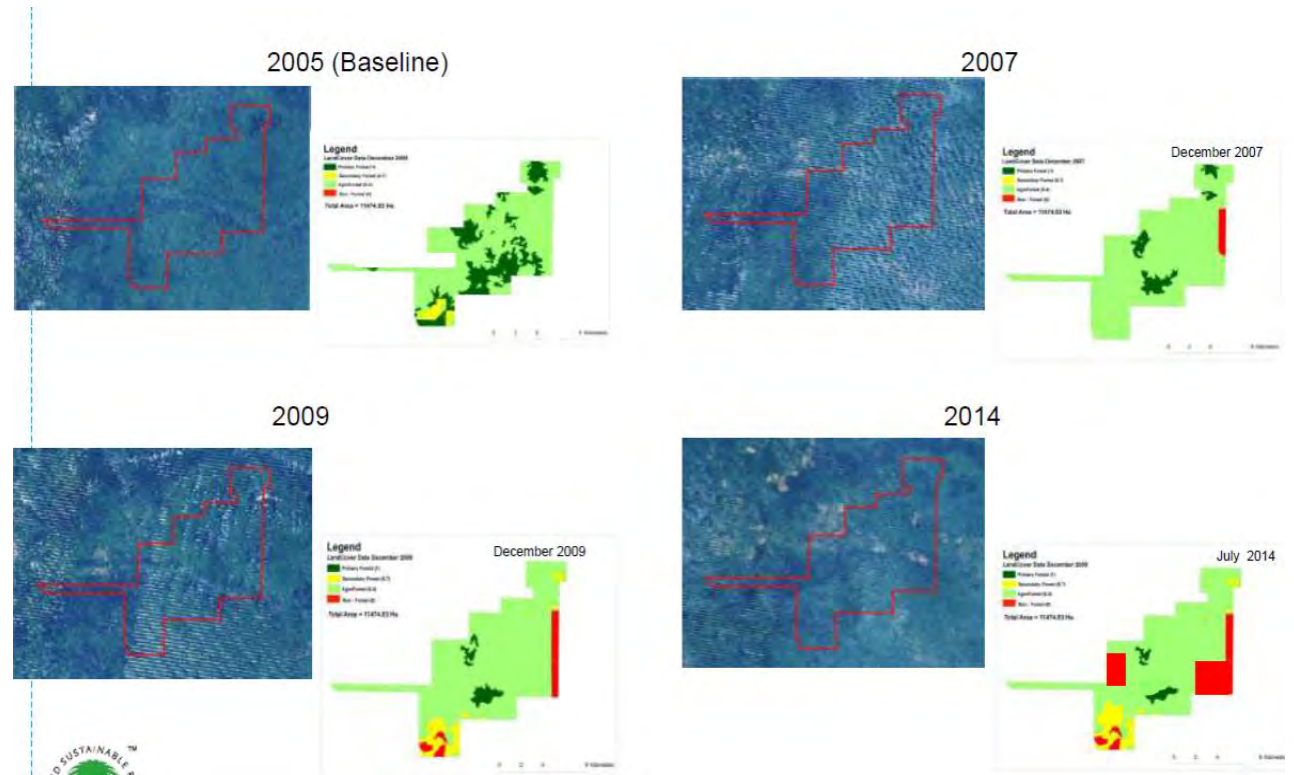


Land Use Change Analysis (LUCA) RaCP

LUCA enables growers to maximise positive environmental impact on-site.
The disclosed liability will be assessed and quantified in this process.

LUCA will determine

- The area for remediation
- Final Conservation Liability (FCL) , also known as conservation responsibilities



LUCA - Vegetation Coefficient

Land cover vegetation coefficients



Coefficient 1.0
*Structurally complex forest
with uneven or multi
layered canopy*



Coefficient 0.4
Multi-species agroforestry



Coefficient 0.7
*Structurally simplified or
degraded forest with even
or single layered canopy*



Coefficient 0.0
*Highly modified and/or
degraded areas retaining
little to no natural,
structurally intact
vegetation*

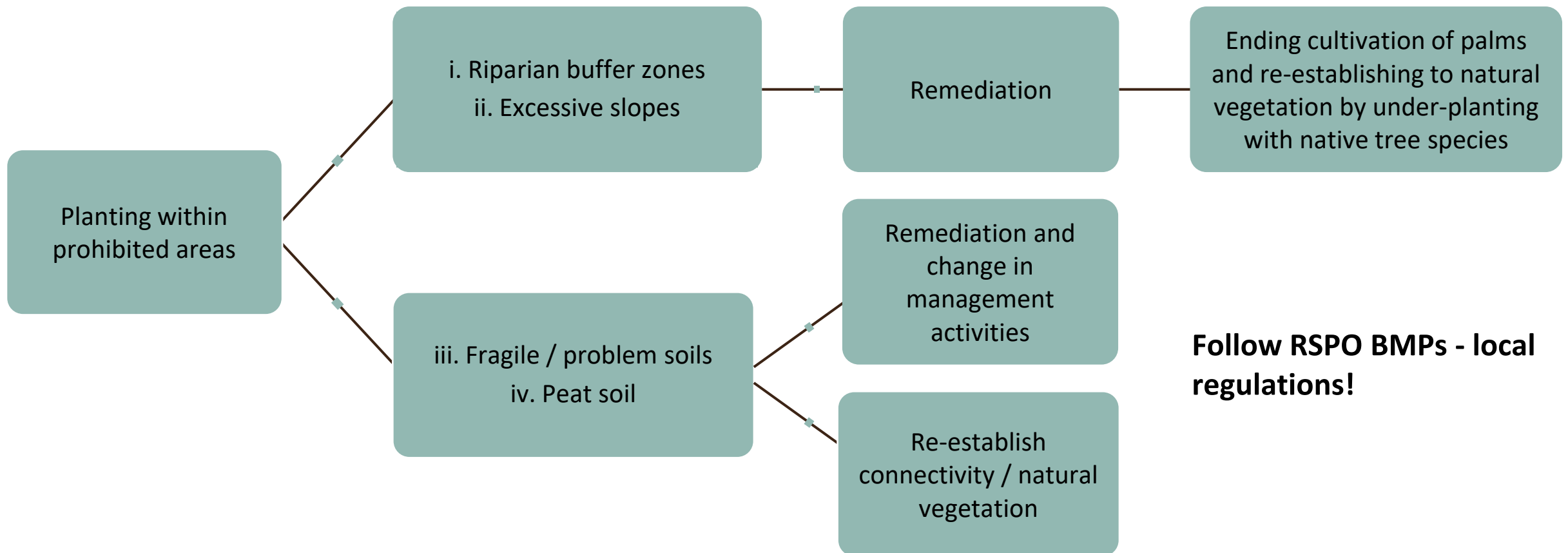


Final Conservation Liability

The results obtained from the Land Use Change Analysis is used to calculate the Final Conservation Liability (ha).

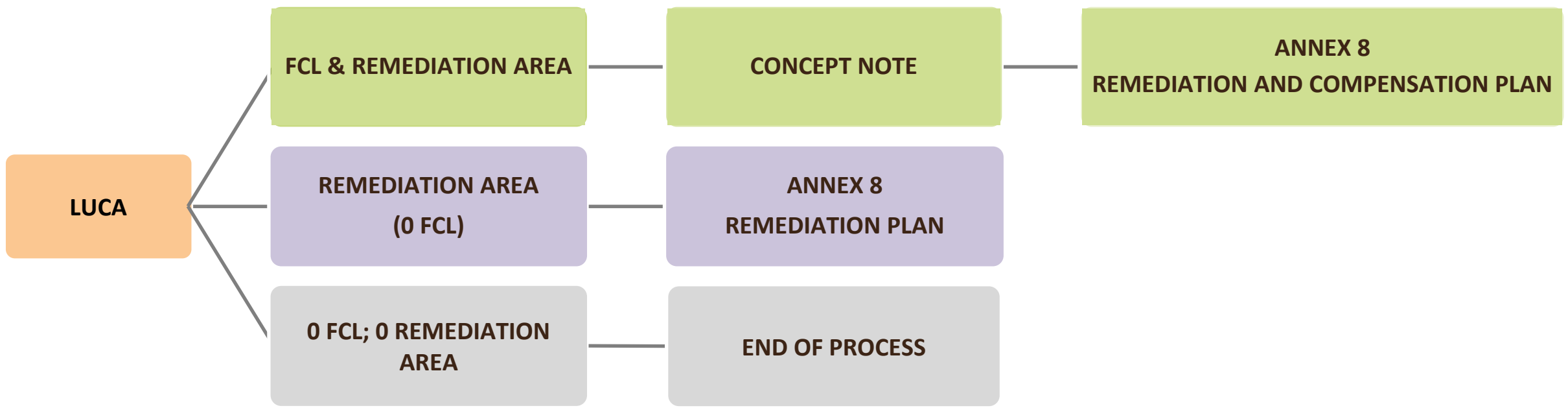
The Final Conservation Liability determines the scale of the conservation project which must be undertaken for the grower to meet their compensation liability and proceed with RSPO certification.

Land Use Change Analysis



Follow RSPO BMPs - local regulations!

Land Use Change Analysis Results





Compensation

Compensation Projects



Option 1: Hectare to Hectare

An area of land equal to the final conservation liability is managed primarily to conserve biodiversity by the company and/or by a third party within or outside areas managed by the company.

Option 2: Monetary Compensation (USD2,500 per ha)

The company provides funding to a third party for projects of programs contributing to achieving conservation objectives outside the areas managed by the company.

Designing conservation projects

Off-site avoided deforestation and/or avoided degradation of high quality habitats

Off-site restoration of degraded forest on land with clear ownership and legal status to high quality habitats

Off-site species-based conservation measures

On-site forest/high quality habitat re-establishment

within the same geographic region

Designing Conservation Projects

Additional

Adding to conservation efforts already planned and funded or executed by the company or other parties and to any measures required anyway by legislation or provisions in the RSPO standard.

Long lasting

Projects should be designed to deliver specified outcomes that last at least 25 years.

Project Criteria

Equitable

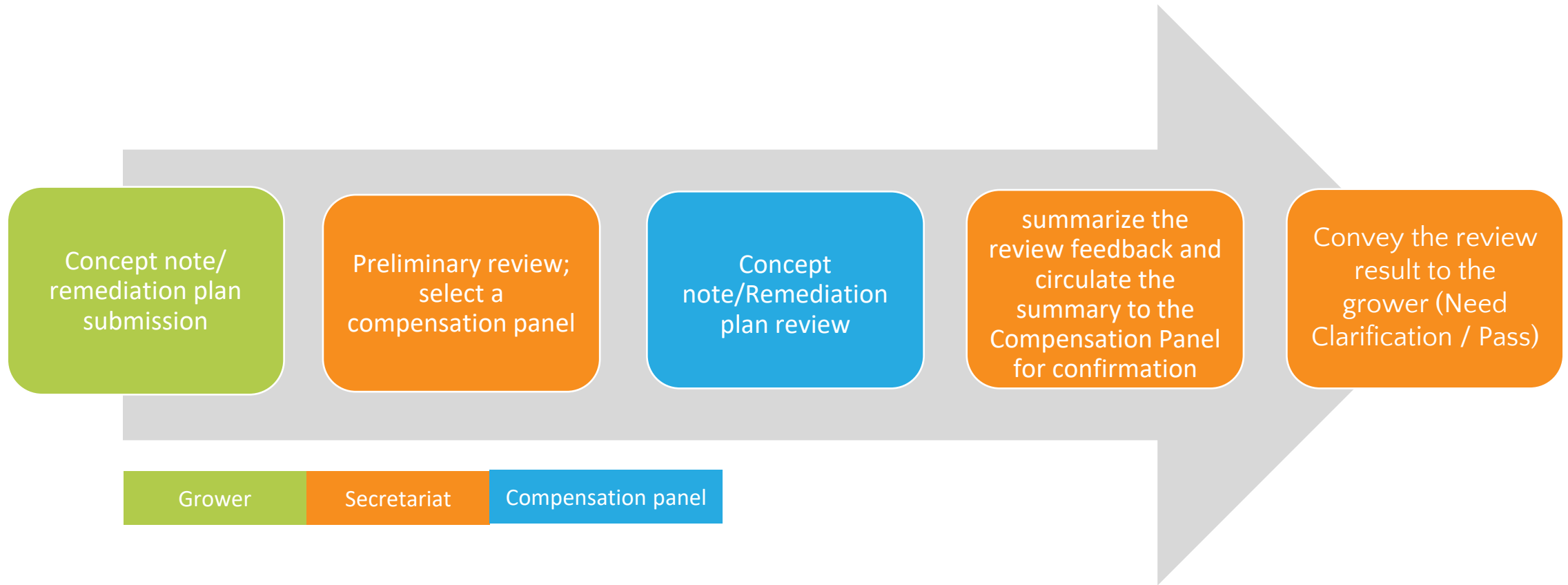
Through engaging and involving affected stakeholders in project planning, decision making, and implementation, fair and balanced sharing of responsibilities and rewards and through respect for legal and customary arrangements.

Knowledge-based

Based on sound scientific and/or traditional knowledge with results widely disseminated and communicated to stakeholders and partners in a transparent and timely manner.

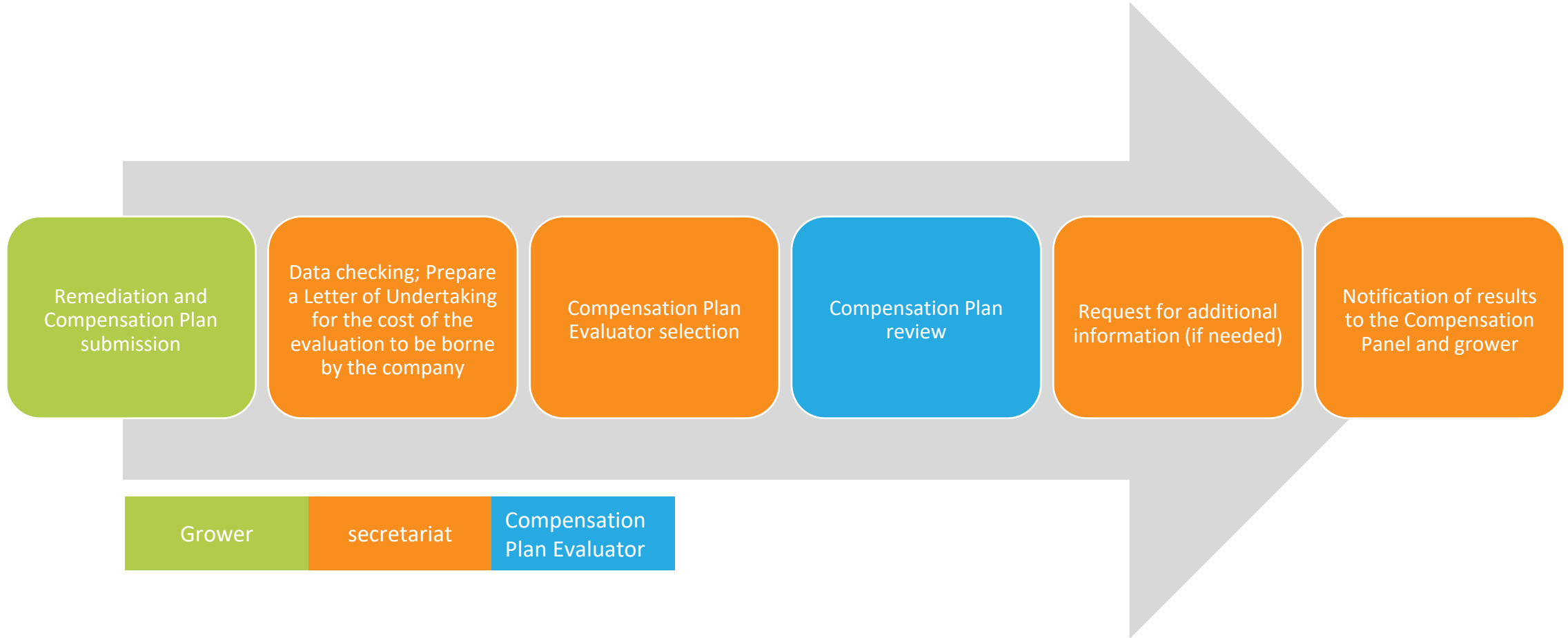


Concept Note/Remediation Plan Submission





Remediation and Compensation Plan submission



Implementation and Monitoring



- Growers implementing the Compensation Plans shall provide an annual progress report following a reporting template
- Failure to implement the approved compensation plan will be considered as a complaint and reported to the Complaints Panel.

Scenarios for monitoring:



Monitoring on annual basis by either the company or by a third party implementing the Compensation Plan

Remediation and Compensation Plan submission



Certified Units



Annual reporting will be done via the annual audits by a Certification Body (CB)



Non-certified Units

















Independent evaluators will be used for year one of the implementation and every five years subsequent to this until the end of the implementation period.

RaCP related documents: guidance & template



<https://www.rspo.org/resources/remediation-and-compensation/remediation-compensation-procedure>

Title ▾	File(s)	Language	Modified* ▾
 RSPO Remediation and Compensation Procedure			27 Nov 2018
 Annex 1 History and Rationale of the RaCP		ENG	27 Nov 2018
 Annex 2 Disclosure of non-compliant land clearing		ENG	27 Nov 2018
 Disclosure Template for Areas Cleared without Prior HCV Assessment since November 2005			29 Nov 2021
 Final Guidance on Social HCVs Identification		ENG	27 Nov 2018
 Annex 3 LUCA Guidance Document		ENG	27 Nov 2018
 Annex 3a LUCA Reporting Template		ENG	28 Nov 2018
 Annex 4 LUCA Reporting Checklist Table		ENG	28 Nov 2018
 Annex 5 Reporting Template for LUCC		ENG	27 Nov 2018
 Annex 6 Project Criteria		ENG	27 Nov 2018
 Annex 7 Compensation Concept Note Format		ENG	28 Nov 2018
 Annex 8 Remediation and Compensation Plan Template		ENG	27 Nov 2018



- a. Is there land cleared since November 2005 without prior HCV assessment?
- a. Is there land cleared since 15 November 2018 without prior HCV-HCSA assessment?
- a. If (a) or (b) above applies, has the unit of certification undergone the RaCP process?
- a. If (c) applies, is there evidence that compensation plan for the affected area has been approved by the RSPO?

Note to auditor: Certificate shall not be issued until the Compensation Plan is approved.



Find out more at
www.rspo.org

RSPO CB INTERPRETATION FORUM

Updates from Assurance Services International
(ASI)

Jan Pierre
Program Manager, ASI

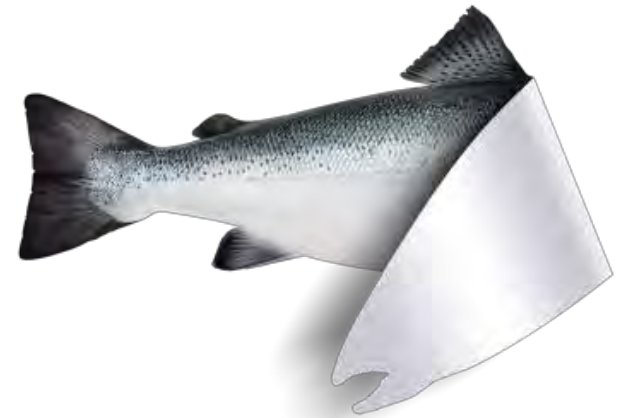


www.rspo.org

asi

RSPO CB
Interpretation Forum
(P&C)

Jan Pierre Jarrin
31 May 2023



Contents

ASI updates

2022 review

- CAB performance review
- Risk patterns and data insights

2023 preview

- ASI focus areas
- Assessment approaches

Contents

ASI updates

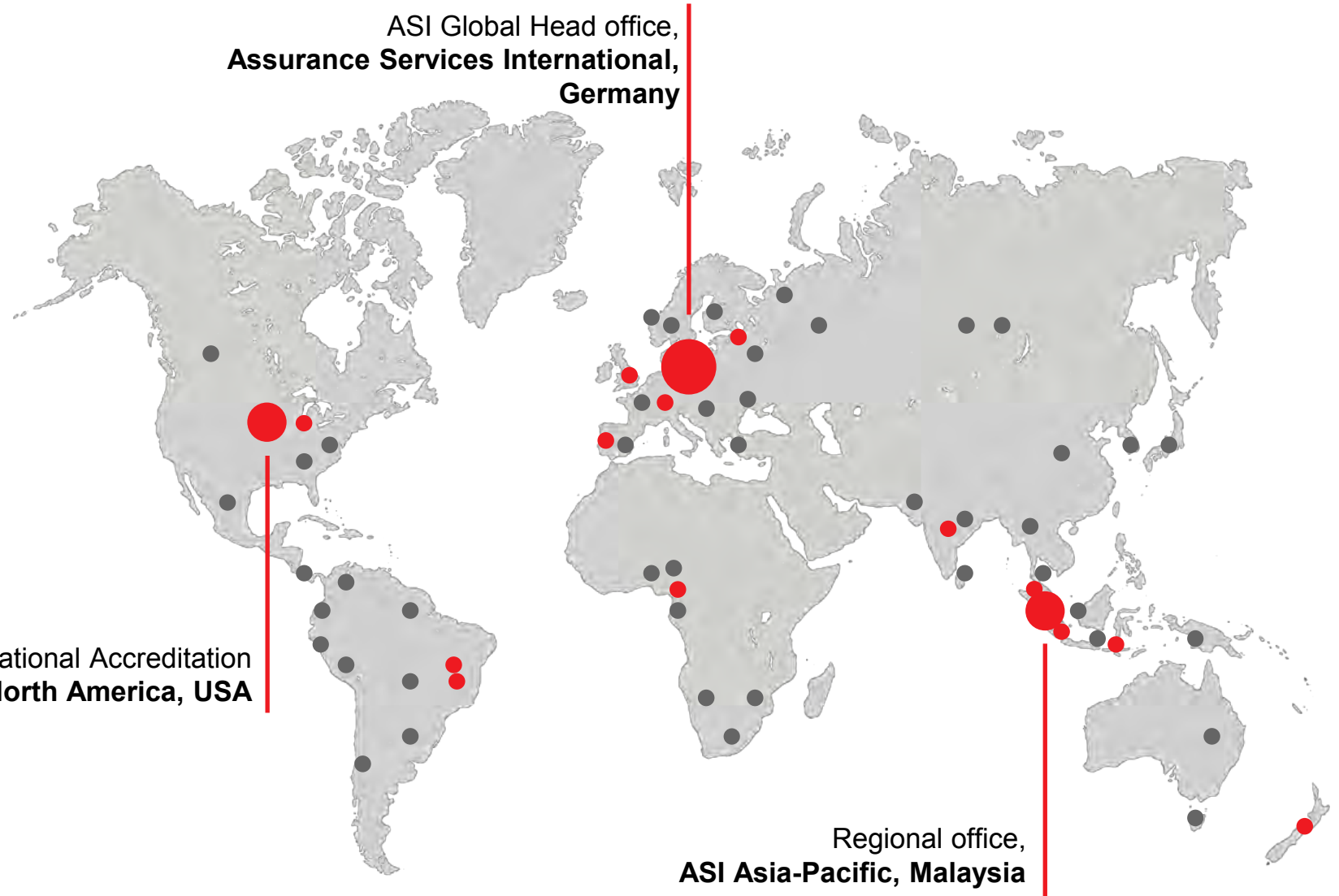
ASI - globally consistent oversight for RSPO

We are globally present through a network of Assessors, Local Experts and Facilitators.

We operate a head office in Bonn, Germany, an Asia-Pacific office in Malaysia and a North America office in the USA.

International Accreditation
ASI North America, USA

- RSPO assessor
- Local Expert or Facilitator



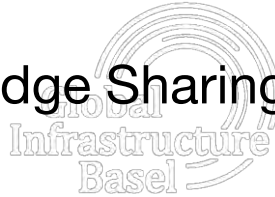
We are the assurance partner for leading sustainability standards & initiatives.

1. Oversight – “Auditing the auditors”

2. Assurance System Development

3. System Integrity and Risk Management

4. Knowledge Sharing & Learning



Aquaculture

Aquaculture Stewardship Council



Fisheries

Marine Stewardship Council



Forestry

Forest Stewardship Council®



Palm Oil

Roundtable on Sustainable Palm Oil



Tourism

Global Sustainable Tourism Council



Biomaterials

Roundtable on Sustainable Biomaterials

Examples of Project clients



How our international oversight program works



Certificate Holder

4 900 000 Certified Ha.
500 Certified POM
3.700 SCC Certificates
5.400 Members



Auditor

170+ working
across the world



Conformity Assessment Body

25 CABs with
certificates in 97
countries, monitored
with central oversight

asi

One international
assurance
provider for
consistent
oversight



Leading certification
systems with global
reach and impact



Scheme Users

- 6.400 Cert.
- Consumers
- Governments
- Businesses
- NGOs and other stakeholders

Data and on-ground
expertise

Trusted insight
Real world experience

Two-Tier Assurance Program (TTAP) to integrate “two worlds”



Certificate Holder

4 900 000 Certified Ha.
500 Certified POM
3.700 SCC Certificates
5.400 Members



Auditor

170+ working
across the world



Conformity Assessment Body

25 CABs with
certificates in 97
countries, monitored
with central oversight

asi
Tier 2 - International assurance
Tier 1 - Accreditation



Leading certification
systems with global
reach and impact



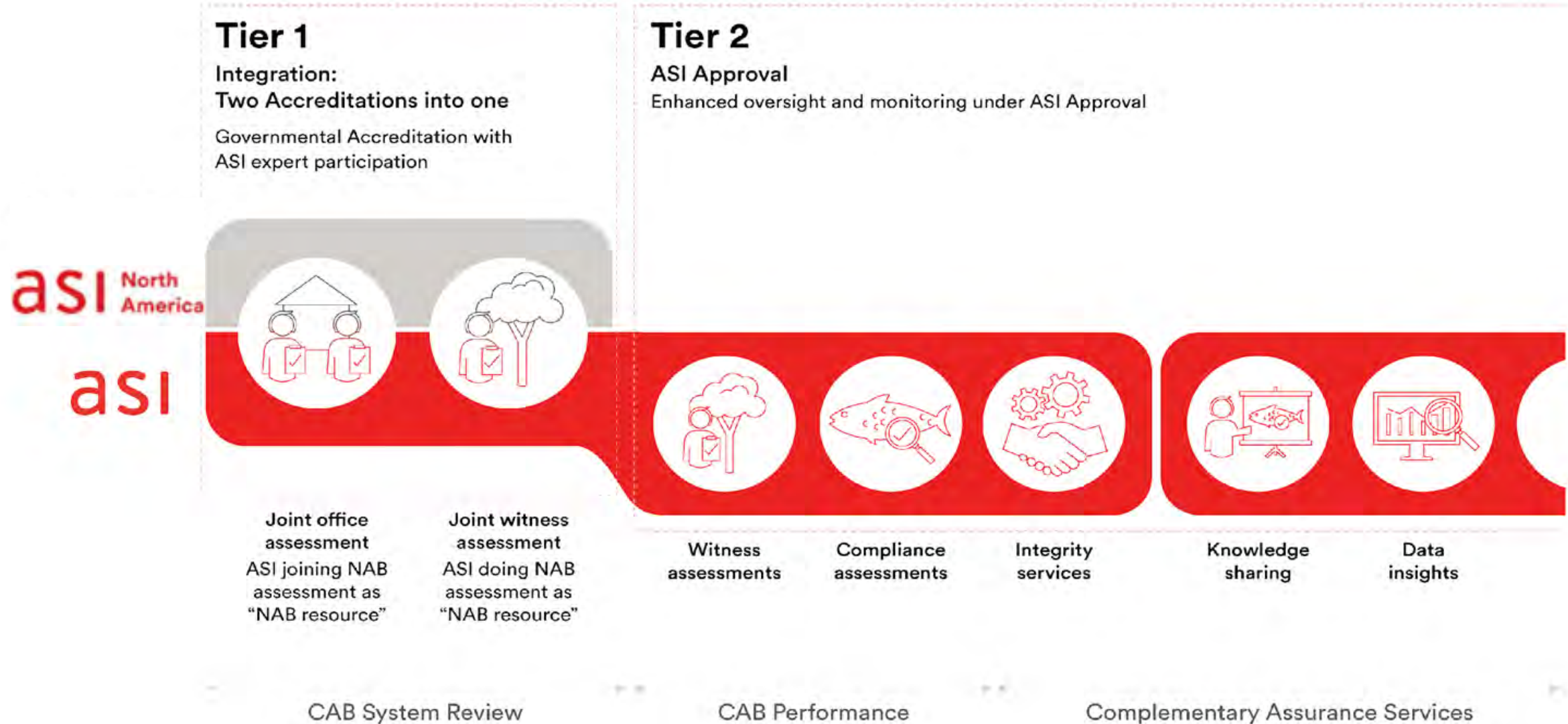
Scheme Users

- 6.400 Cert.
- Consumers
- Governments
- Businesses
- NGOs and other stakeholders

Data and on-ground expertise

**Trusted insight
Real world experience**

Accreditation + Impact Driven Assurance



ASI North America transition update

As of mid February 2023, all “global” RSPO CABs have initiated the process. 8 of 15 “global” RSPO CABs had already fully transitioned.

ASI North America QMS and structure is fully operational since end of last year. Hubert de Bonafos is COO, Guntars Laguns is CEO.

For more information, please see ASI North America [FAQs](#) and [Procedures](#).



Contents

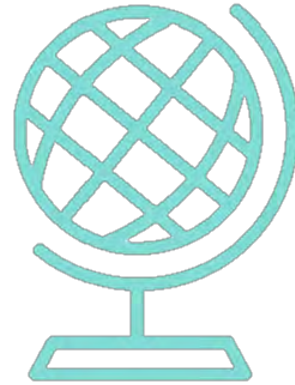
2022 review

- CAB performance review
- Risk patterns and data insights

RSPO P&C accreditation in 2022 at a glance

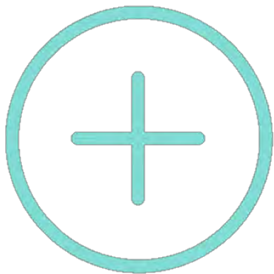
25 

currently accredited CABs.
11 for P&C and, 23 also for SCC



85 assessments in 2022 in
19 countries

2 current applicants for new accreditation,
additional scheme or scope extension



5 new or re-accreditations, scheme
& scope extensions

14 

ASI assessors
around the world



16 Sanctions enforced (2 suspensions, 2
increased surveillance, 12 formal
warnings)

A bird's eye view on CAB performance

CAB	Organisation & Management	Competent resources	Impartiality management	Dispute management	Internal audit	Social findings	Environmental findings	Average
CAB 1								
CAB 2								
CAB 3								
CAB 4								
CAB 5								
CAB 6								
CAB 7								
CAB 8								
CAB 9								
CAB 10								
CAB 11								
CAB 12								
CAB 13								
Average								

see blog [here](#) for more details

=> Overall performance of CABs in relation to system requirements is satisfactory but

- e.g. Dispute Management: systems in place but continued complaints against RSPO CHs or CABs

=> Lower performance when auditing social and environmental requirements

- e.g. recurring issues in the evaluation of land rights, working conditions and workers' rights, as well as indigenous people rights

Incident patterns in 2022

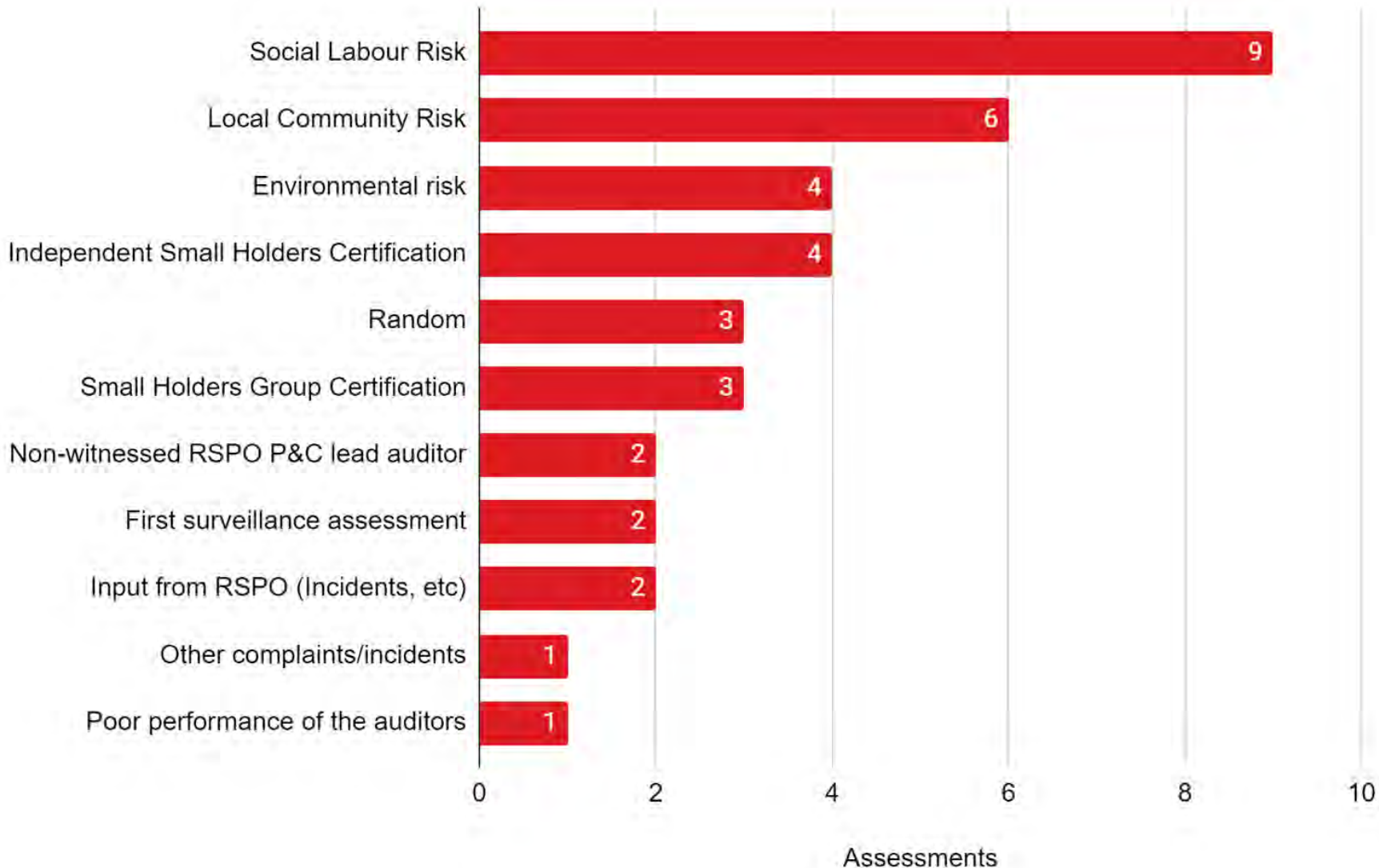


The main source of incidents in Malaysia is labor issues.

In Indonesia it is both labor and environmental/ecosystem impact.

In Latin America, land tenure conflicts are the main incident source.

RSPO P&C - 2022 Assessments focused on key risk areas



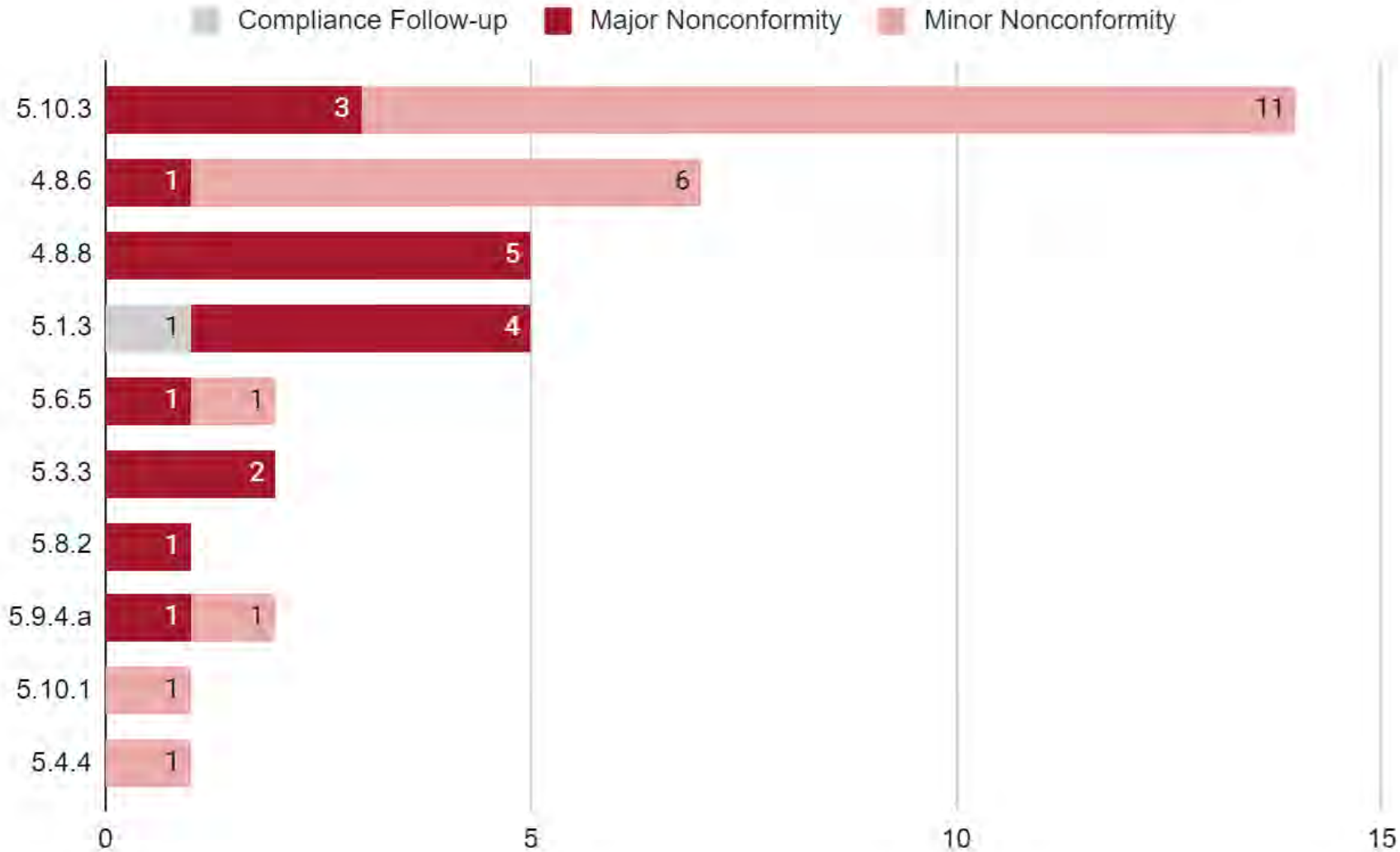
Nearly half (41%) of ASI assessments had “social” topics as the core focus area.

- Labour
- Stakeholder Consultation
- Incidents with community

Other key topics were:

- Environmental risks (11%),
- Smallholder certification (19%)

Average number of ASI findings per P&C Assessment in 2022



Main NC subjects

- Auditor Competence
- Time bound plan
- Stakeholder Consultation
- Sufficient Evaluation
- Grading
- Delivering reports on time

Adjusting our oversight approaches

Latin America

A **compliance assessment** was conducted by ASI in Q4 2022 following inadequate special audit by the CAB.

=> 4 Major NCs and 1 Compliance follow-up were raised: **Certification inconsistencies, poor stakeholder consultation process and poor CH grievance system.**

South-East Asia

A **compliance assessment** was conducted by ASI in Q1 of 2022 looking at social labour risk.

=> 2 Minor NCs were raised: CAB failure to recognize the **presence of smallholders** in the supply chain and to recognize the shortcomings of the **HCV assessment.**



Beyond assessments - creating a new perspective on RSPO certification

ASI has tracked P&C audit report data since 2015, through manual data extraction from PDF files.

At present, the database sums up more than 2,400 RSPO P&C audit reports, which include more than 4,900 major and 3,800 minor Non-Conformities (NCs) raised by CBs.

Previous studies on this dataset that correspond to the P&C 2013 standard can be found on the ASI website from [2017](#), [2018](#) and [2019](#).

Currently we are generating insights to uplift ASI's assurance activities.

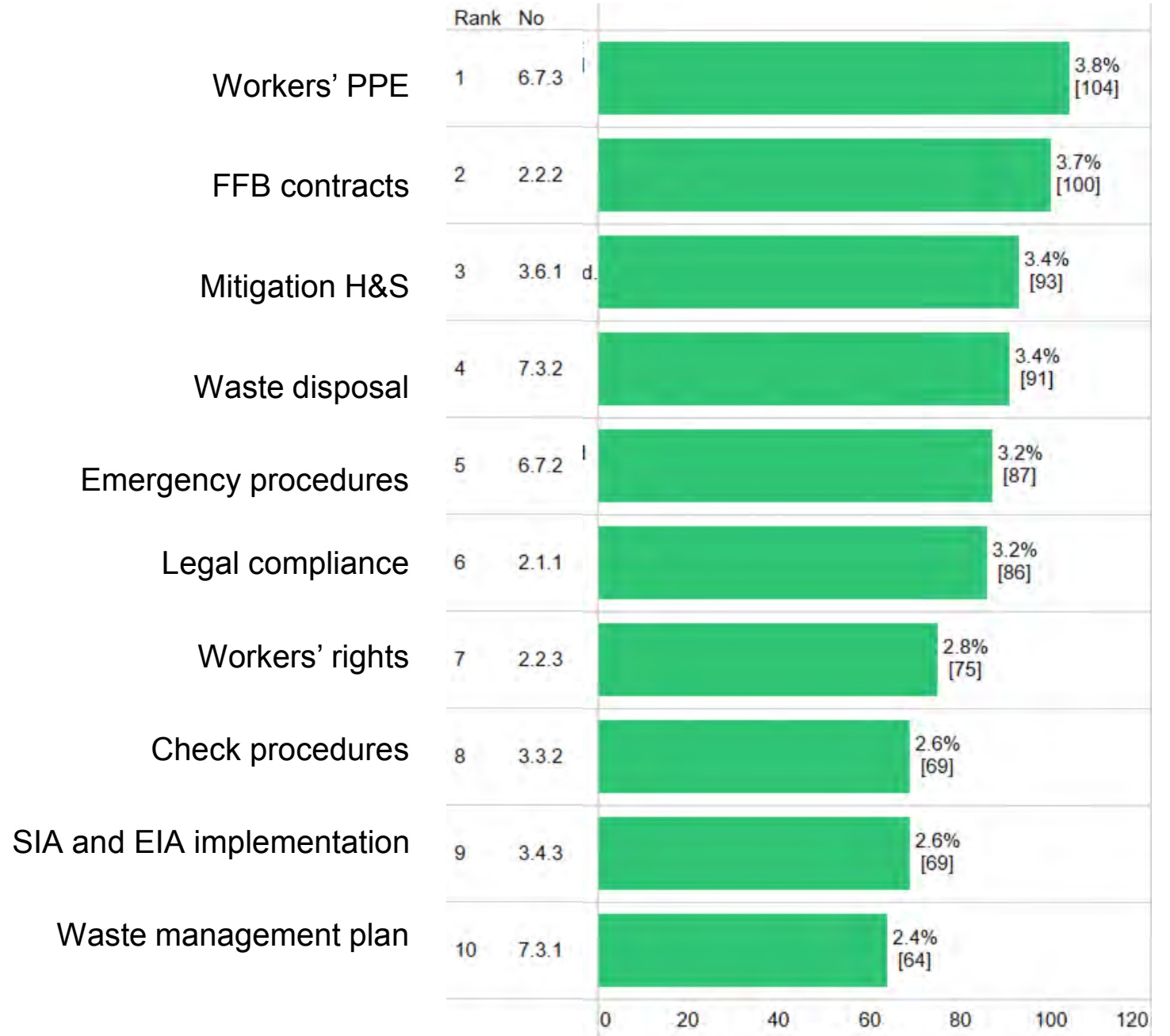
See [blog on ASI website](#).



P&C 2018: 10 most prevalent NCs

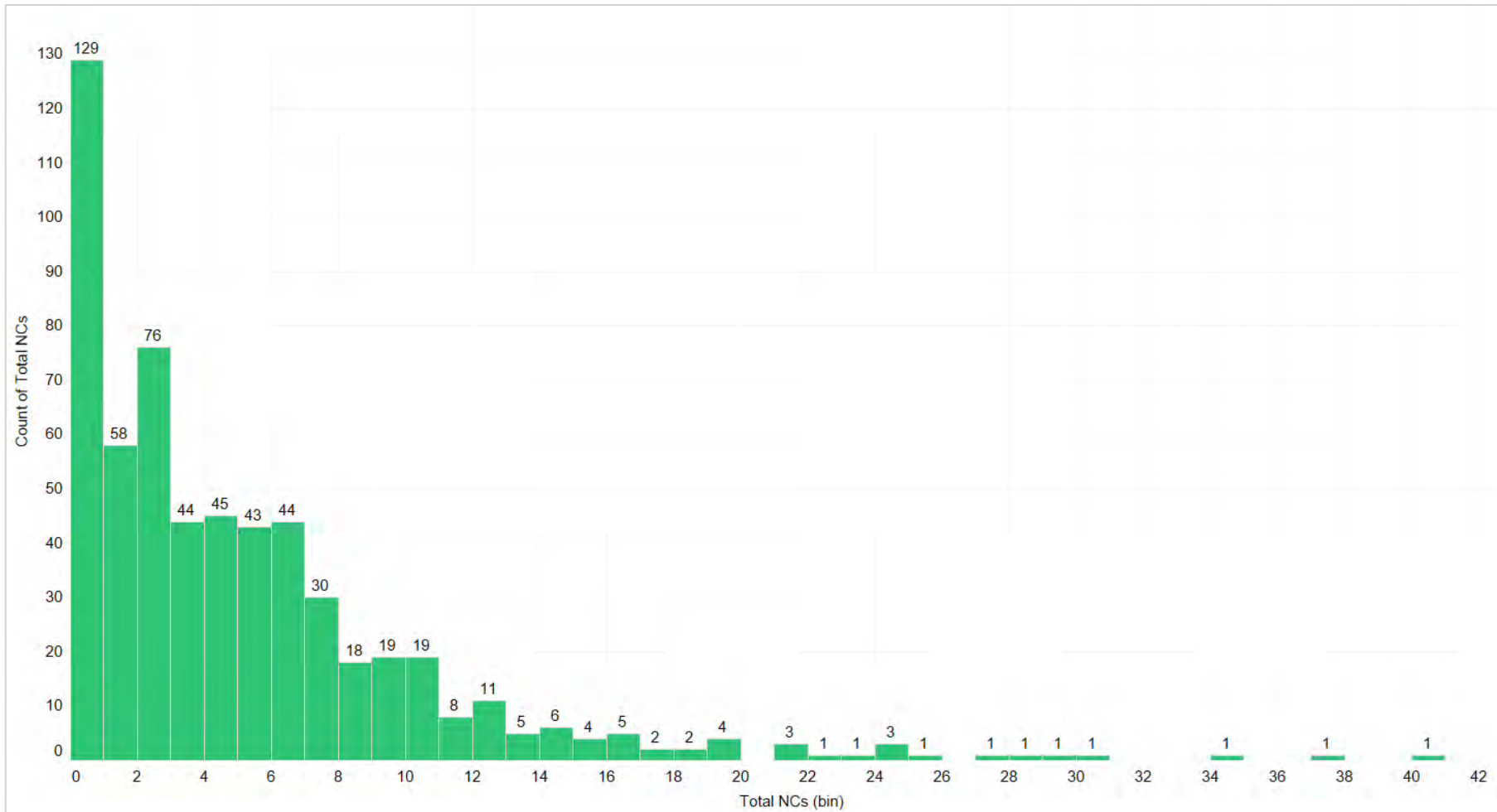
What is the real prevalence of NCs?

We request you to go deeper (ref. “witness effect”)



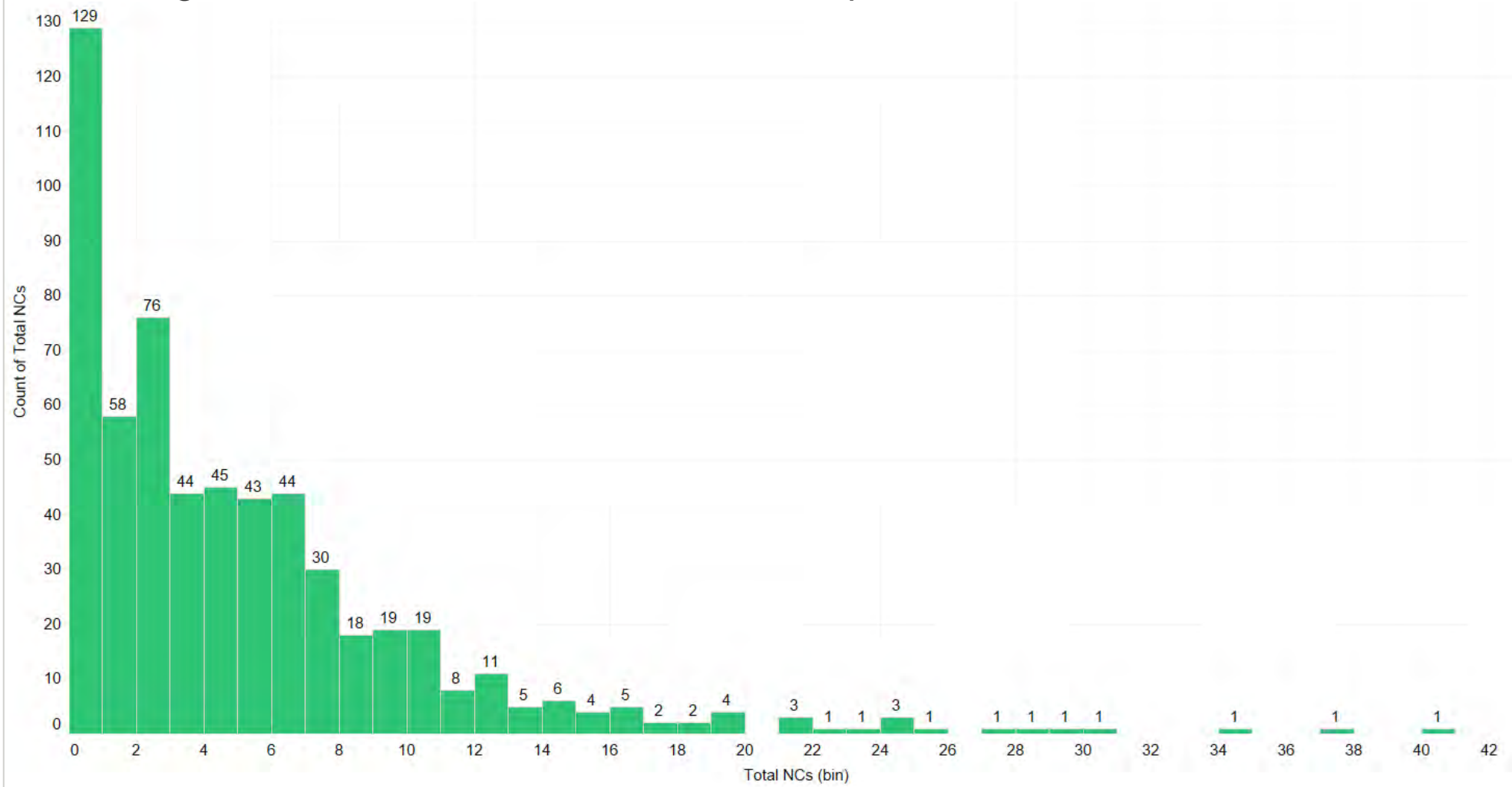
Descriptive Analytics

The histogram shows that 0 NCs is the most frequent outcome of RSPO P&C 2018 assessments.



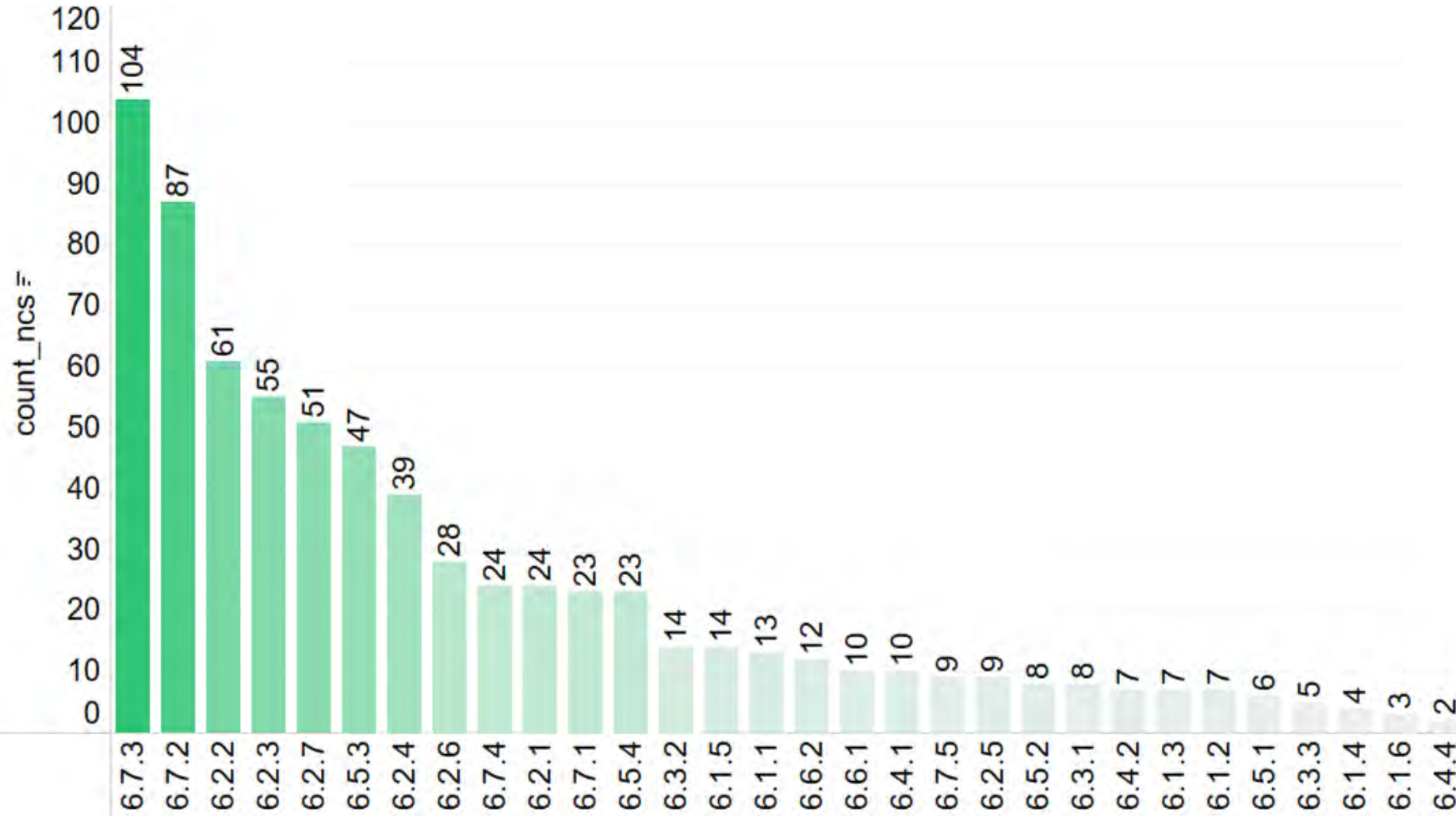
Descriptive Analytics

The histogram shows that 0 NCs is the most frequent outcome of RSPO P&C 2018 assessments.



**Is this good
or bad?**

Descriptive Analytics

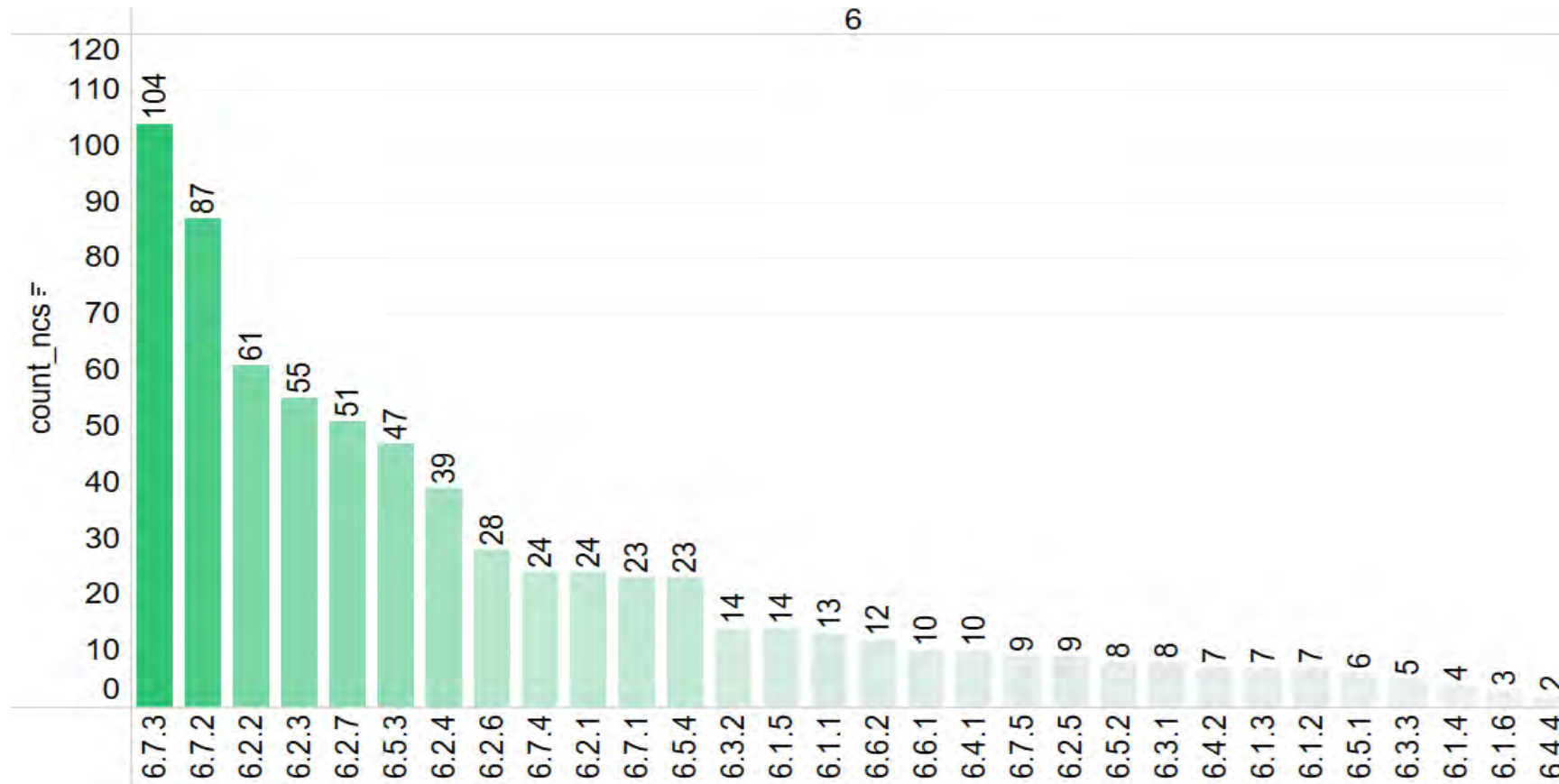


Principle 6: Respect Workers' Rights and Conditions

The histogram shows the distribution of NCs across RSPO P&C 2018 principle 6 indicators.

Descriptive Analytics

The histogram shows the distribution of NCs across RSPO P&C 2018 principle 6 indicators.



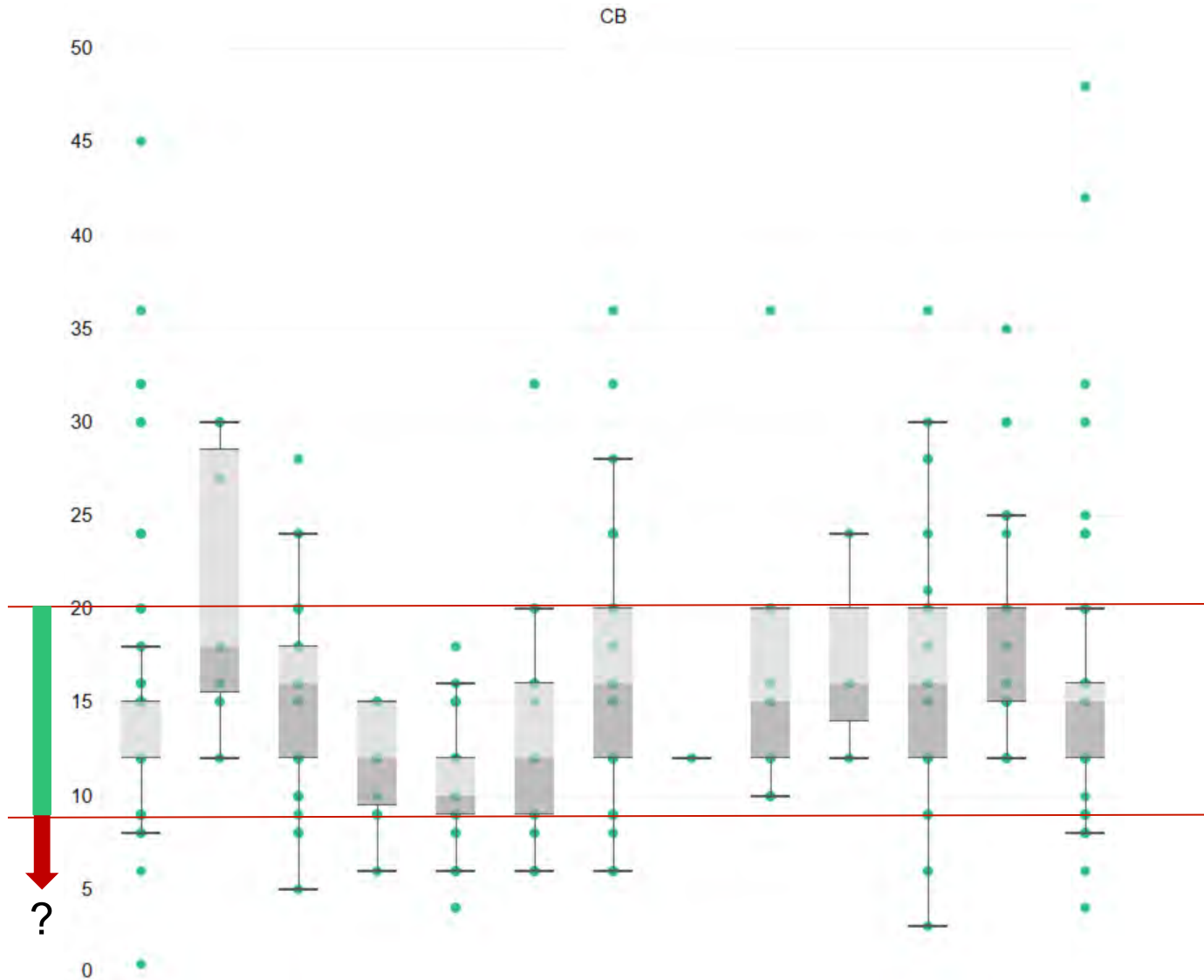
Principle 6: Respect Workers' Rights and Conditions



Is this a surprise?

Is e.g. 6.7.3 not so relevant?

CAB person-days spent per audit



Red lines describe the “normal” range for audit durations.

Below the line (red arrow) shows audits where duration is so little that level of sampling and rigour is at question.

The data analysis shows that CAB efforts are not balanced.

Data also shows CABs that potentially “underaudit” their CH.

CAB avg person-days per audit in different countries



The map shows that CAB level of effort is higher in South-East Asia compared to Latin America and Africa. This is a risk in ASI's view.

What do CABs think about this?

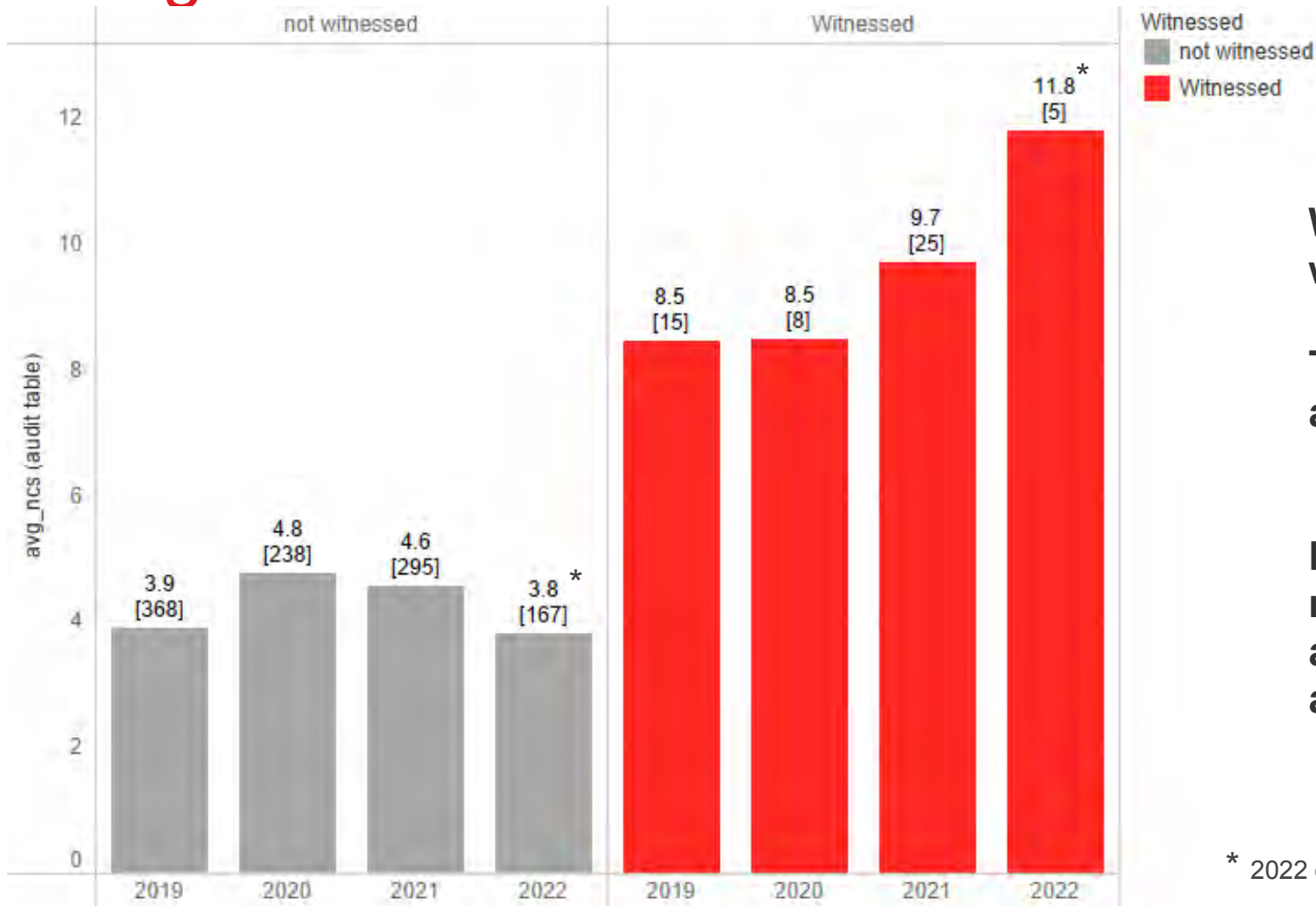
CAB avg number of auditors per audit



The map shows that CAB audit teams are larger in South-East Asia compared to Latin America and Africa. This is a risk in ASI's view.

What do CABs think about this?

The “Witness effect”: RSPO CABs raise much more NCs when being witnessed



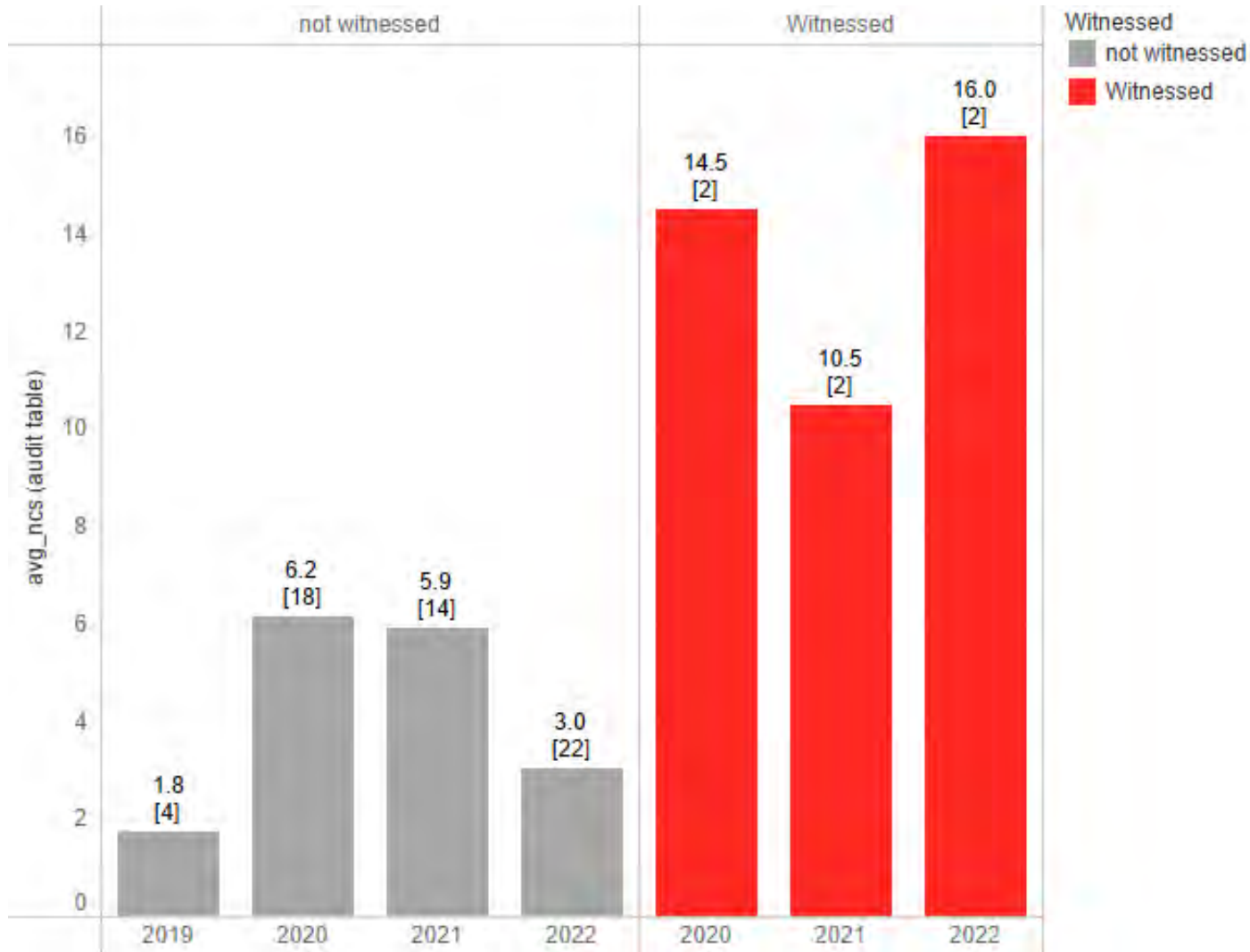
WHY do CABs not raise findings when ASI is not present?

The witness effect and the trend are alarming signals.

Please note: such data insights raise transparency and thus better allow to hold individual CABs and auditors accountable.

* 2022 data gathering still ongoing, data not final

Example: the witness effect for CAB A





CAB raised a total of 343 NCs in 2019-2022 (only P&C 2018) in 54 assessments.

Of these, 82 NCs (24 % of all NCs) were raised in 6 assessments (9% of all assessments) which were witnessed by ASI.

The performance AND the integrity of this CAB and its auditors are at question.

Example: the witness effect for 3 auditors

La Id	Witnessed	count_audits	Total NCs	
LA13	Witnessed	1	20	20.0
	not witnessed	11	39	3.5
LA224	Witnessed	4	43	10.8
	not witnessed	10	32	3.2
LA276	Witnessed	1	19	19.0
	not witnessed	6	26	4.3

Witnessed
 Witnessed
 not witnessed

The witness effect is also clearly visible at the level of individual auditors.

Are CABs happy with “one-day-audit wonders” of their auditors? What will you do about it?

ASI expects improved CAB performance. We will increase our oversight and enforce sanctions where needed. On the contrary, good performing CABs will be rewarded.

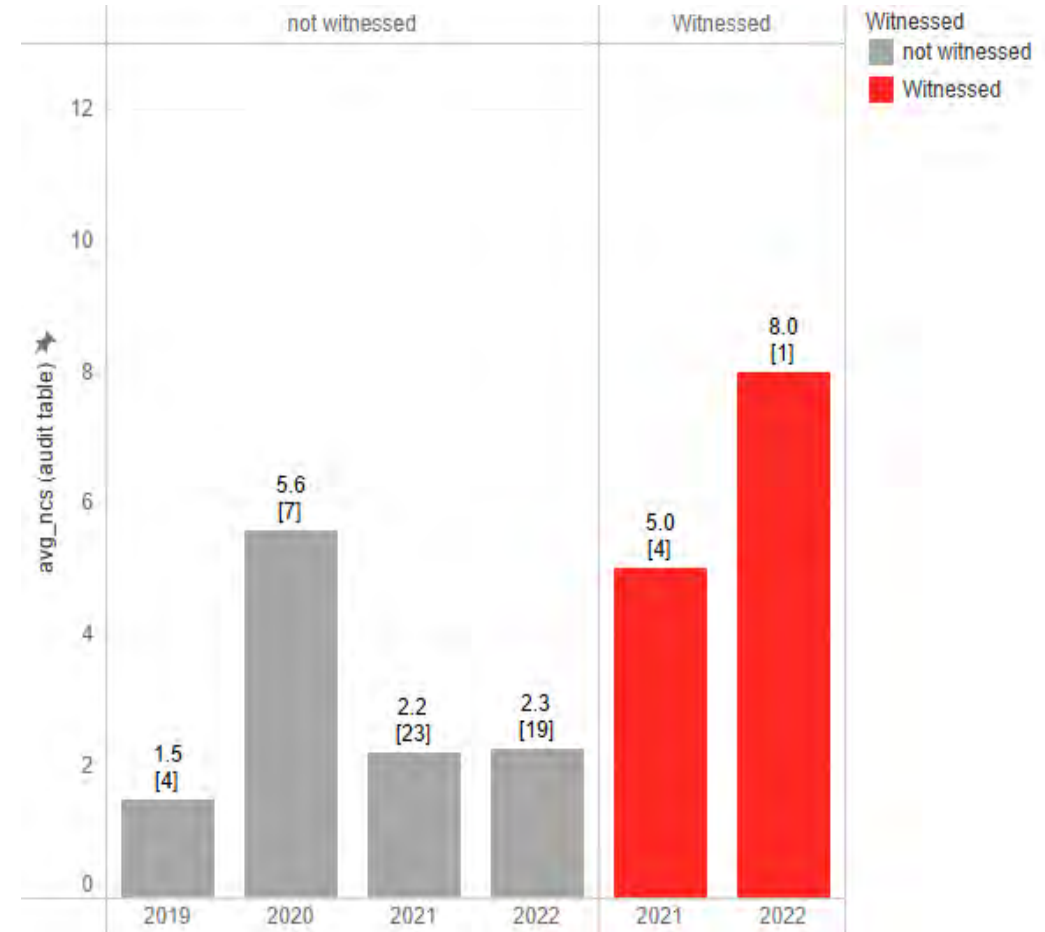
Example 2: CAB B transfer strategy

CAB B has a very low number of initial certifications audits compared to recertification audits (1:6 ratio).

This means they are mainly taking over certificates that were with other CABs before.

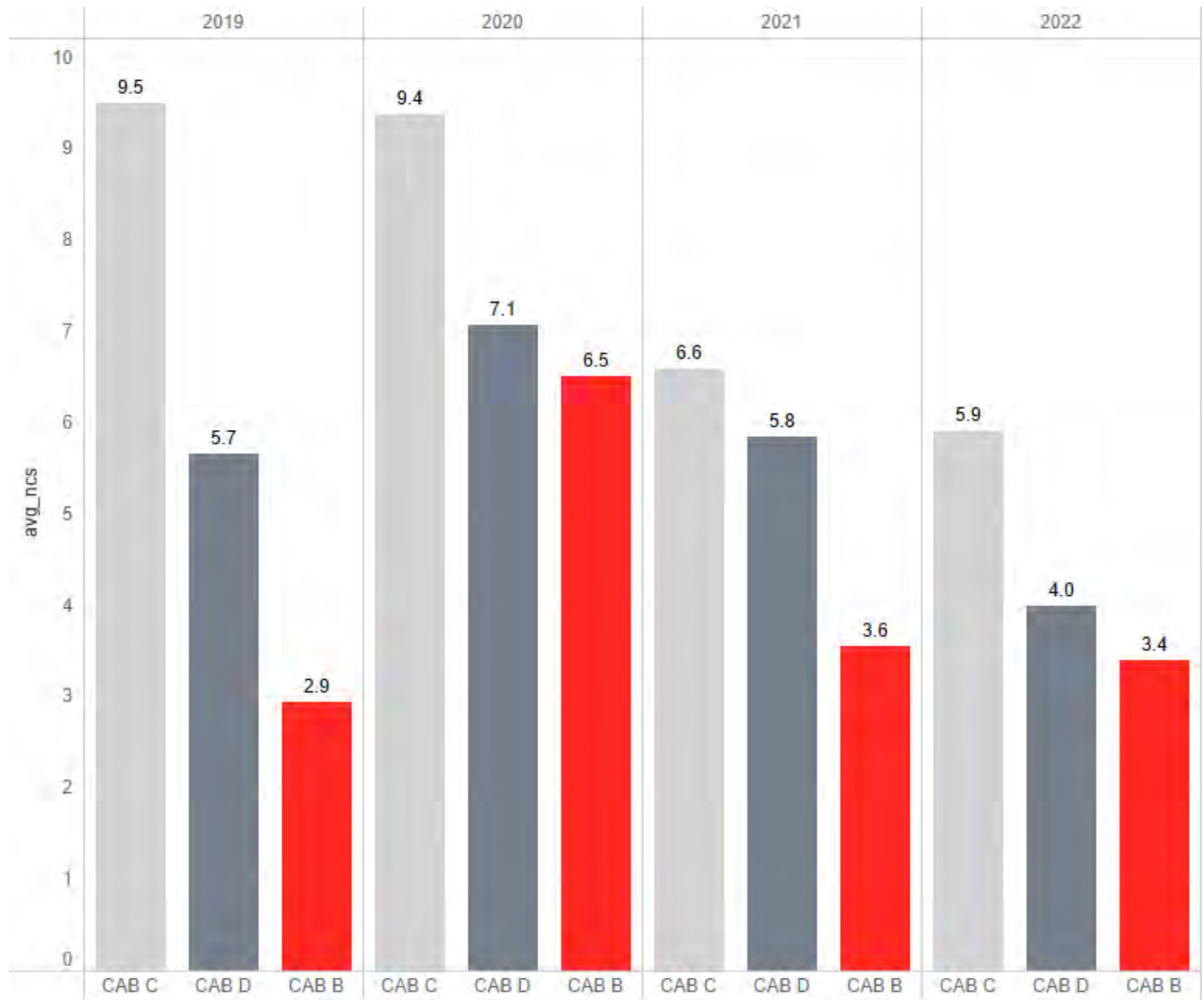
We identified 8 specific cases where certificates that transferred from two main competitors to CAB B. We did not find any transfer in the opposite direction.

But how are they winning certificates over?



Example 2: CAB B transfer strategy

On country level, **CAB B** raises systematically less NCs than competitors.



Example 2: CAB B transfer strategy

Looking into specific certificates suggests a **reduction of NCs after the transfer.**

CAB C	ASA1	201 7	6 Major	5 Minor	11 Total NCs
CAB C	ASA2	201 8	8 Major	3 Minor	11 Total NCs
CAB C	ASA3	201 9	4 Major	2 Minor	6 Total NCs
CAB B	ASA4	202 1	0 Major	0 Minor	0 Total NCs
CAB B	RC	202 2	1 Major	0 Minor	1 Total NCs



**What do CABs
think about it?**

Example 2: CAB B transfer strategy

Looking into specific certificates suggests a **reduction of NCs after the transfer.**

CAB C	ASA1	201 7	6 Major	5 Minor	11 Total NCs
CAB C	ASA2	201 8	8 Major	3 Minor	11 Total NCs
CAB C	ASA3	201 9	4 Major	2 Minor	6 Total NCs
CAB B	ASA4	202 1	0 Major	0 Minor	0 Total NCs
CAB B	RC	202 2	1 Major	0 Minor	1 Total NCs



CABs that do not adhere to highest standard of impartiality and competence will face consequences from ASI.

Contents

2023 preview

- ASI focus areas
- Assessment approaches

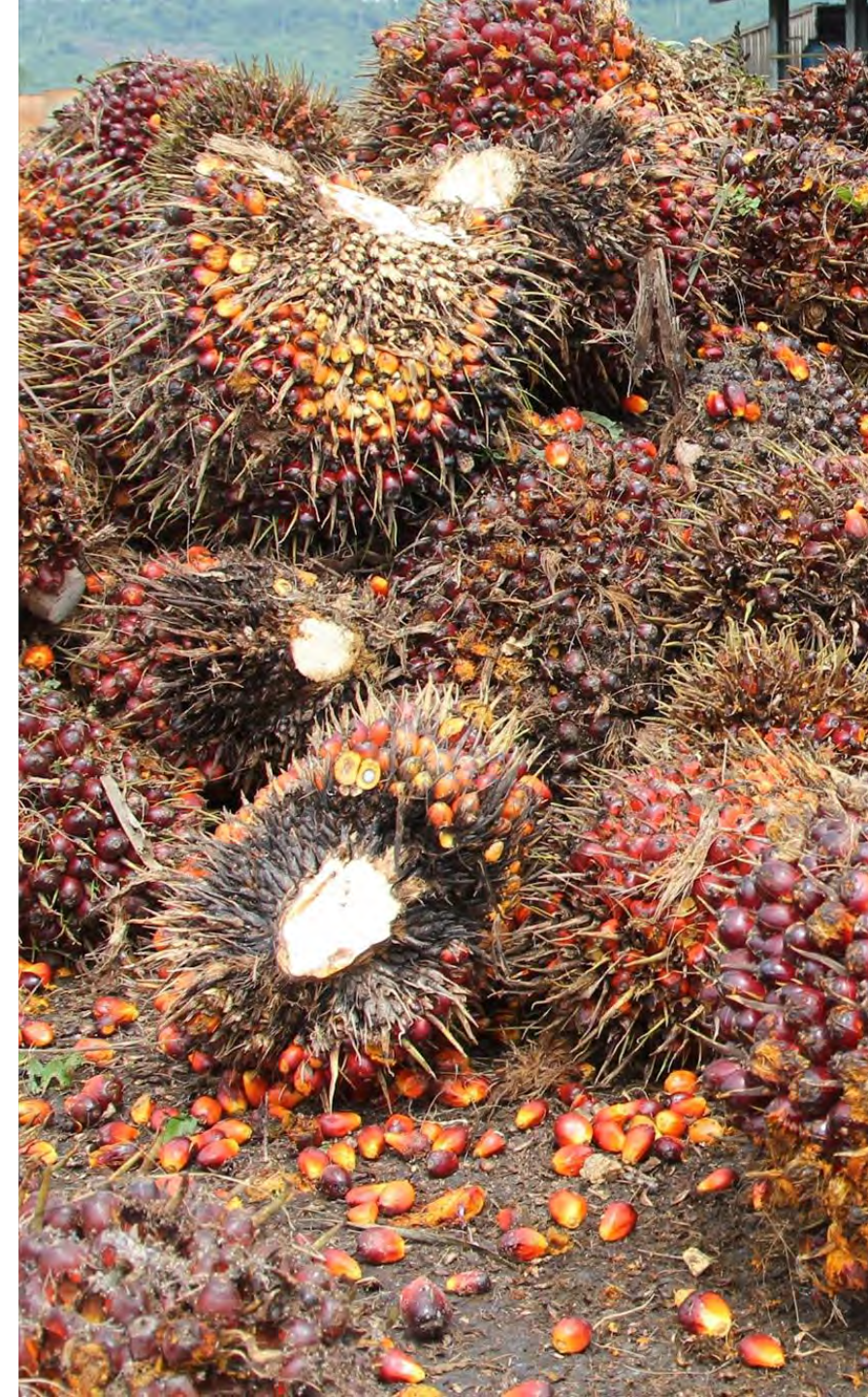
Outlook on 2023 focus areas

Enhanced, **data-driven** risk based approach

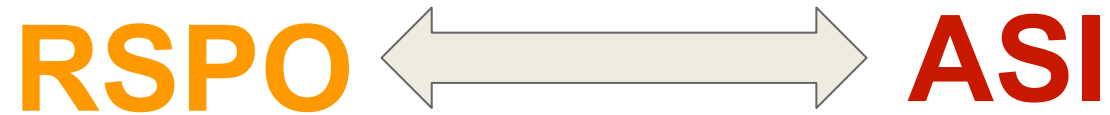
- Continue data analysis (witness effect, irregularities such as duplicate audits and copy-paste of report content)
- Evaluation and cross reference over the supply chain information, landbank, yields, increments on production, extraction ratios. Comparison on time and region of the evolution of the production of the CH.

Desk Reviews to review procedures for **social auditing** and witnessing CAB implementation of **labour auditing guidance**

Generally: strong assessment **focus on stakeholder engagement, labour rights and land rights**



Integration of ASI - RSPO Incidents platform



RSPO and ASI is continuously monitoring incidents in the media, these incidents are appraised and actions are taken that could lead in to more investigation, Witness Assessments and Compliance Assessments

On 2022:

- 3 full compliance assessments.
- 2 Special Investigations
- 5 CAB requirements

Risk Based Approach is the focus of the ASI Assessments and in 2023 the new RSPO Complaints and Appeals Procedure will be implemented.



MONGABAY



Bloomberg
NEWS

TheStar

El Comercio

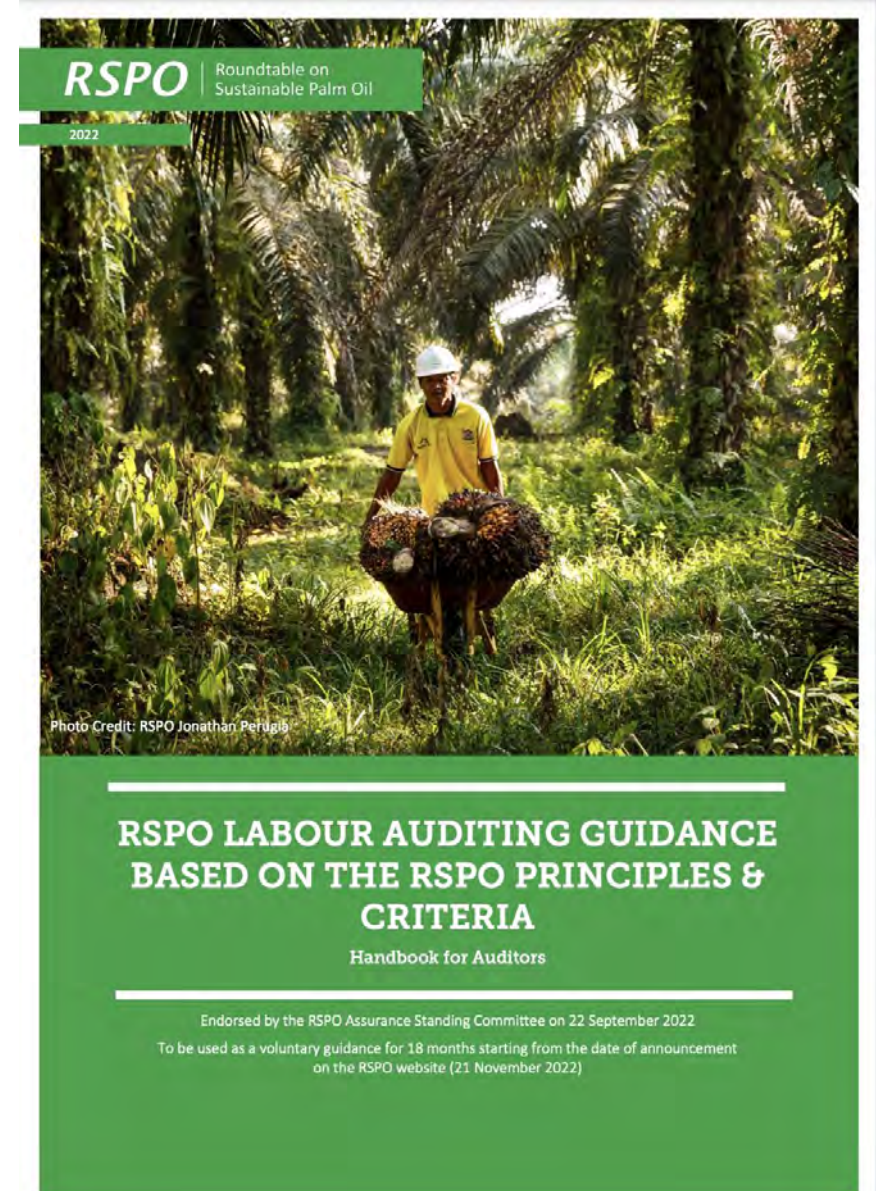
RSPO Labour Auditing Guidance

RSPO and ASI agreed on the following way forward:

- ASI will only raise OFIs against the Guidance, to identify CAB performance and progress
- ASI may raise NCs based on ISO requirements.
- ASI may raise NCs based on P&C requirements.
- ASI to enforce the presence of auditors with social competence as required on RSPO P&C CS 4.8.8

CABs are expected to review the current system against the RSPO Guidance and ISO 17021 Annex A.

How effective are the current CAB procedures in light of social auditing?



Violation of workers' rights

Deep dive into certification practices, compliance, issues, and patterns pertaining to workers' rights in Malaysia and Indonesia

Applicable requirements: 3.4, 6.1 until 6.7

Approach

- Direct stakeholder engagement
- Assessments
- Desk review on SIA

Target selection based on stakeholder input, RSPO secretariat, incidents, NC analysis

Timeline: 2023

Report with findings and recommendations will be made available to RSPO Secretariat

Violation of land rights

Deep dive into certification practices, compliance, issues, and patterns pertaining land rights in LATAM, West Africa and Indonesia

Applicable requirements: 4.2, 4.4, 4.5, 4.6, 4.7 and 4.8

Approach

- Direct stakeholder engagement
- Assessments

Target selection based on stakeholder input, RSPO secretariat incidents, NC analysis

Timeline: 2023

Report with findings and recommendations will be made available to RSPO Secretariat

On-ground verification assessment of Sime Darby Plantation Berhad

In the next 21 months, ASI will increase the number of Compliance and Witness assessments on SDPB's current or future Certification Bodies.

Sime Darby CABs	Num. Cert	Ext. Ass.
BSI	24	3
MUTU	12	2
SIRIM	10	2
Control Union	9	2
SGS ID	4	1
SCS	3	1
GGC	1	1

Any questions or comments?



- Contact us:
info@asi-assurance.org
- Read our [annual report](#)
- Sign up for the [ASI newsletter](#)
- Visit our [newsroom](#)
- Learn more about [ASI services](#)

Thank you!

asi assurance
services
international

ASI

Friedrich-Ebert-Allee 69
53113 Bonn, Germany

Phone: +49 (228) 227 237 0
Fax: +49 (228) 227 237 30

www.asi-assurance.org

RSPO CB INTERPRETATION FORUM

**RSPO PALMTRACE: Common issues identified
during License Submission**

Amirul Ariff
Manager, Certification (P&C)



www.rspo.org

PalmTrace Review: Common Issues identified during License Submission



RSPO CB Interpretation Forum
31 May 2023

www.rspo.org



Objective of this session
To highlight common mistakes made in
PalmTrace (PT) that causes denial of
the license request

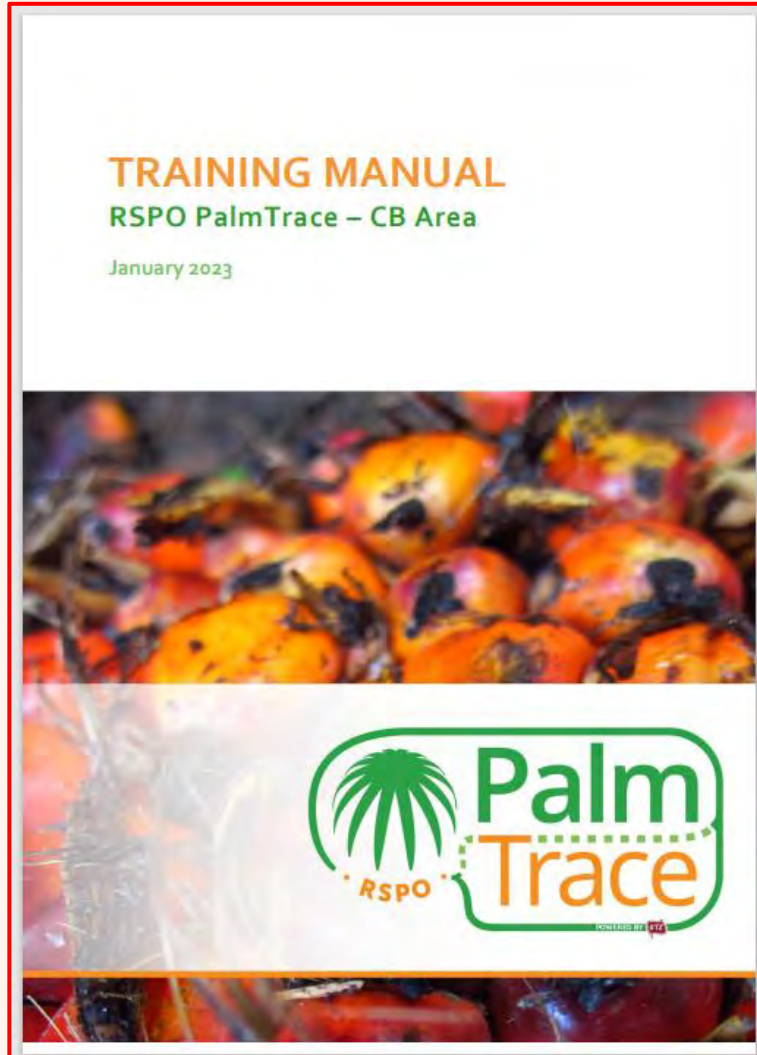



“

Continuous improvement and ensure the key Palm Trace personnel are up to date

”

Palm Trace Manual - CB Area

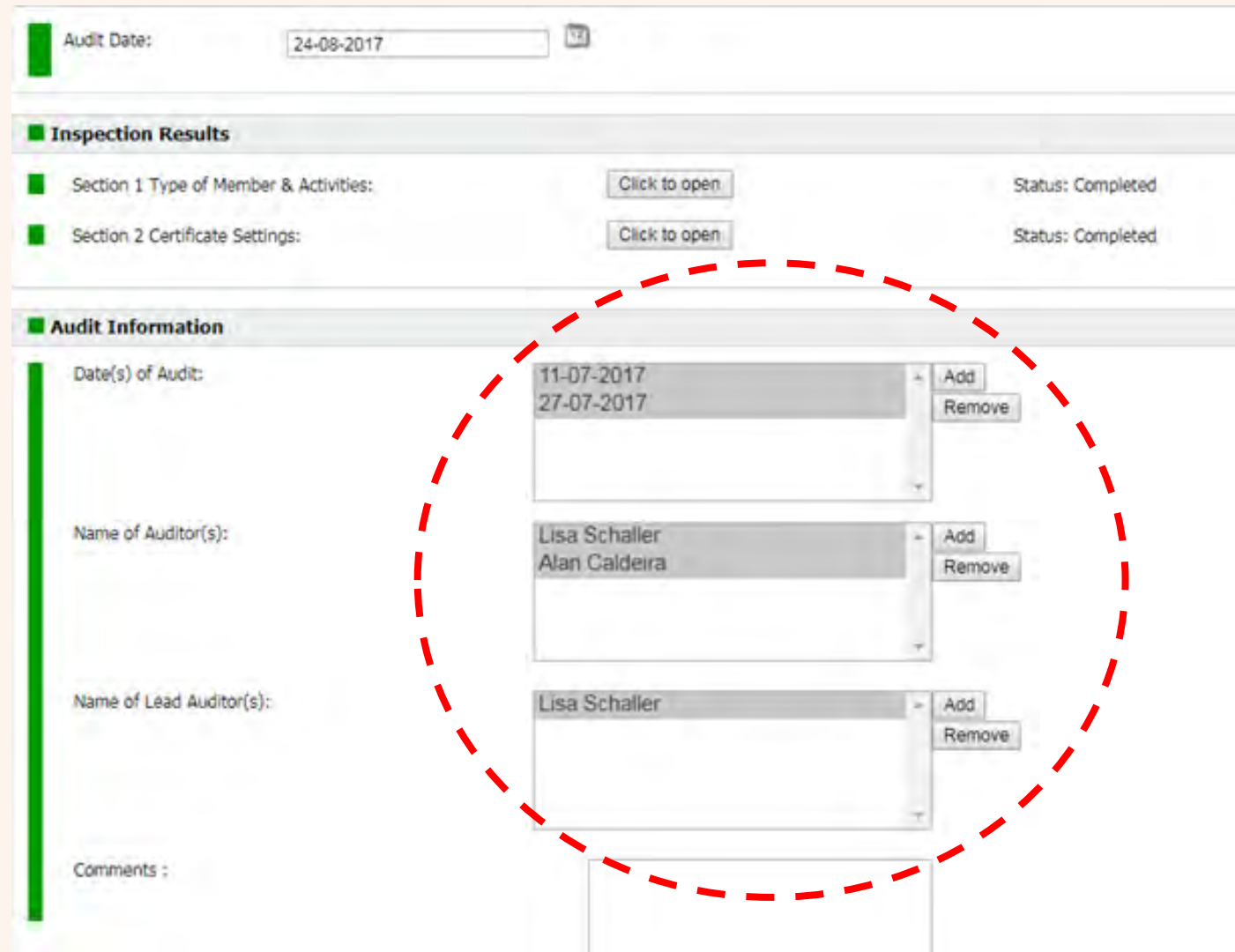



Contents

Introduction to the CB Area	2
LOG IN	2
REQUESTS	3
LICENSE OVERVIEW	4
SETTINGS	5
SECTION 1. TYPE OF MEMBER & ACTIVITIES	8
SECTION 2. QUESTIONNAIRE	20
SECTION 3. CERTIFICATE SETTINGS	21
NEW! MULTIPLE PHASE LICENSE SUBMISSION	22
SUPPORTING INFORMATION FOR LICENSES	26
AUDIT INFORMATION	27
APPROVED LICENSE REQUEST	27
DENIED LICENSE REQUEST	28
Modify or Withdraw a License Request	30
Manage Licenses	31
NEW! UPDATE AN EXISTING LICENSE	31
SUSPEND A LICENSE	32
EXTEND A LICENSE	34
REQUEST A SUBSEQUENT LICENSE	37
Request a Member Transfer	38
Manage Settings	40
ADD A USER	40
MANAGE USER RIGHTS	41
REMOVE A USER	42
Check the member's transactions in RSPO PalmTrace	44
NEW FEATURE IN RSPO PALMTRACE FOR INDEPENDENT SMALLHOLDER GROUPS	45
CONTACT US	45

Section 0 - Inconsistencies

- The information of Date of Audit, Name of Auditor(s), and Lead Auditor(s) not consistent with the Audit Report



The screenshot displays a web interface for audit management. At the top, the 'Audit Date' is set to 24-08-2017. Below this, the 'Inspection Results' section shows two items: 'Section 1 Type of Member & Activities' and 'Section 2 Certificate Settings', both with a status of 'Completed'. The 'Audit Information' section contains three dropdown menus: 'Date(s) of Audit' (listing 11-07-2017 and 27-07-2017), 'Name of Auditor(s)' (listing Lisa Schaller and Alan Caldeira), and 'Name of Lead Auditor(s)' (listing Lisa Schaller). A red dashed circle highlights these three dropdown menus, indicating a discrepancy between the audit date and the dates of the auditors listed.



Section 1 - Certified Volumes

- Mill with estate = FFB_estate, CSPO, CSPK
- Mill with estate & scheme SH = FFB_estate, FFB_scheme/associated, CSPO & CSPK
- For Outgrower = FFB
- For Independent Smallholder (ISH)
 - Eligibility & MS A = IS_FFB, IS_CSPO, IS_CSPKO, and IS_CSPKE
 - MSB = FFB

Certified Volumes

Select the product(s), supply chain model, and volume that are produced by the Certificate Holder.

While listing down the volume for CSPO and CSPK, please also indicate the certified volume of FFB Estate, FFB Scheme or Associated, and IS-FFB respectively for Mills with Estates, Mills plus Scheme Smallholders, and Independent Smallholders.

Product

CSPK- Supply Chain Model:Mass Balance- Certified Volume:7239.39 MT- Carry Over:0.00 MT
 FFB_estates- Supply Chain Model:Mass Balance- Certified Volume:37745.00 MT- Carry Over:0.00 MT
 CSPO- Supply Chain Model:Mass Balance- Certified Volume:39825.56 MT- Carry Over:0.00 MT

Information on supply base, SCC multisite or SCC group members.

Please indicate the number of supply bases (number of estates plus scheme/associated smallholders for P&C), sites or group members (SCC): 8

Name and Address			
Mesuji Estate - Pematang Panggang Village, Mesuji Sub-			
Type			
<input checked="" type="checkbox"/> Estate <input type="checkbox"/> Scheme/Associated Smallholders			
Name and Address			
Surya Adi Estate - Surya Adi Village, Mesuji Sub-district, C			
Type			
<input checked="" type="checkbox"/> Estate <input type="checkbox"/> Scheme/Associated Smallholders			
Name and Address			
KUD Surya Adi - Surya Adi Village, Mesuji Sub-district, O			
Type			
<input type="checkbox"/> Estate <input checked="" type="checkbox"/> Scheme/Associated Smallholders			
	No. of Smallholders	Certified Area (ha)	Production Area (ha)
	1085	2,053.45	2,053.45

Section 1 - Certified Volumes

% Kernel Extraction Rate (KER)

$$= \frac{\text{CSPK (147.04 MT)}}{\text{FFB (107,244 MT)}}$$

FFB (107,244

MT)

$$= 0.13 \%$$



Certified Volumes

Select the product(s), supply chain model, and volume that are produced by the Certificate Holder.

While listing down the volume for CSPO and CSPK, please also indicate the certified volume for Mills plus Scheme Smallholders, and Independent Smallholders.

Is RSPO NEXT Compliant?

Product

FFB_estates- Supply Chain Model: Mass Balance- Certified Volume: 107,244 MT
 CSPK- Supply Chain Model: Mass Balance- Certified Volume: 147.04 MT
 CSPO- Supply Chain Model: Mass Balance- Certified Volume: 26,766.89 MT



Section 1 - Supply bases

- Information on supply bases are not consistent with the Certificate

Information on supply base, SCC multisite or SCC group members.

Please indicate the number of supply bases (number of estates plus scheme/associated smallholders for P&C), sites or group members (SCC): 2

Name and Address
Associate outgrowers - Colombia

Type	No. of Smallholders	Certified Area (ha)	Production Area (ha)
<input type="checkbox"/> Estate <input checked="" type="checkbox"/> Scheme/Associated Smallholders	38	9,606.29	6,906.68

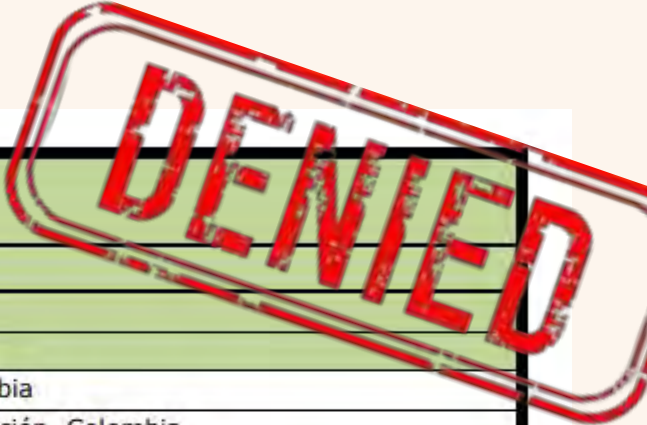
Name and Address
Aceites S.A. - Planta extractora Km 2 Vía el Retén. Color

Type
 Estate Scheme/Associated Smallholders



Section 1 - Supply bases

- 5.11.2 For P&C, the certificate shall contain
- b. Name of the supply base(s), GPS coordinates of the supply base(s), and the individual certified area (in ha)



ACEITES S.A								
CRSPO6276								
Facilities included in the scope of the audit.								
Name of facility:				Location address:				
Aceites S.A.				Planta extractora Km 2 Vía el Retén. Colombia				
Palmaceite S. A				Planta extractora Km 49 Via Ciénega Fundación. Colombia				
Statistics of the Supply Base and Estimated Tonnes of FFB produced per year								
Name	GPS Coordinates		Area of Oil Palm (Ha)		Estimat. Tonnes FFB/Yr	HCV area	Conser v. Area	Certified Area
	Lat.	Long.	Total	Production				
Associated Outgrowers			16.441,79	13.511,64	337.379,89	2759,25	2759,25	19.201,04
TOTAL						-	-	
Total Certified Area (Total Certified Area corresponds to the sum of total Area of Oil Palm and the total Conservation Area)							19.201,04	

Section 2 - Certified areas is not consistent with Certificate





- Certified Areas and Production Areas must be consistent with Certificate

Section 2 - Questionnaire:

Principles & Criteria

1 Please indicate the member type
 Mill Independent Smallholder Outgrower

2 Total Estate Certified Area (excluding scheme smallholders) (ha)
7,405.78 

3 Total Estate Production Area (excluding scheme smallholders) (ha)
5,775.25 

4 Certified Mill Capacity (mt/hr)
30

5 High Conservation Value (HCV) Area (excluding scheme smallholders) (ha)
0

6 GPS Coordinates

Latitude 14.555208

Longitude -92.006

Section 2 - Previous License Volume Information



- Actual Sold Volume is more than Certified Volume
- Actual Sold volume is more than Actual produced volume
- Actual Sold Volume less than 50% of actual produced volume (justification need to be provided in audit report/ put remarks in PT)

7 Previous License Volume Information

- Information not available (for initial certification only)
- Select product to add volume

Product	CSPK
Supply Chain Model	Identity Preserved
Last Year Projected CSPK Certified Volume (MT)	3,449
Last Year Actual CSPK Produced Volume (MT)	3,185
Last Year Actual CSPK Sold Volume (RSPO Certified) (MT)	630
Last Year Actual CSPK Sold Volume Conventional (MT)	0
Last Year Actual CSPK Sold Volume (Other Schemes Certified) (MT)	0
Total Actual CSPK Sold Volume (MT)	630

Product	CSPO
Supply Chain Model	Identity Preserved
Last Year Projected CSPO Certified Volume (MT)	17,770
Last Year Actual CSPO Produced Volume (MT)	15,186
Last Year Actual CSPO Sold Volume (RSPO Certified) (MT)	3,947
Last Year Actual CSPO Sold Volume Conventional (MT)	2,224
Last Year Actual CSPO Sold Volume (Other Schemes Certified) (MT)	0
Total Actual CSPO Sold Volume (MT)	6,171

Section 3 - Metrics Template

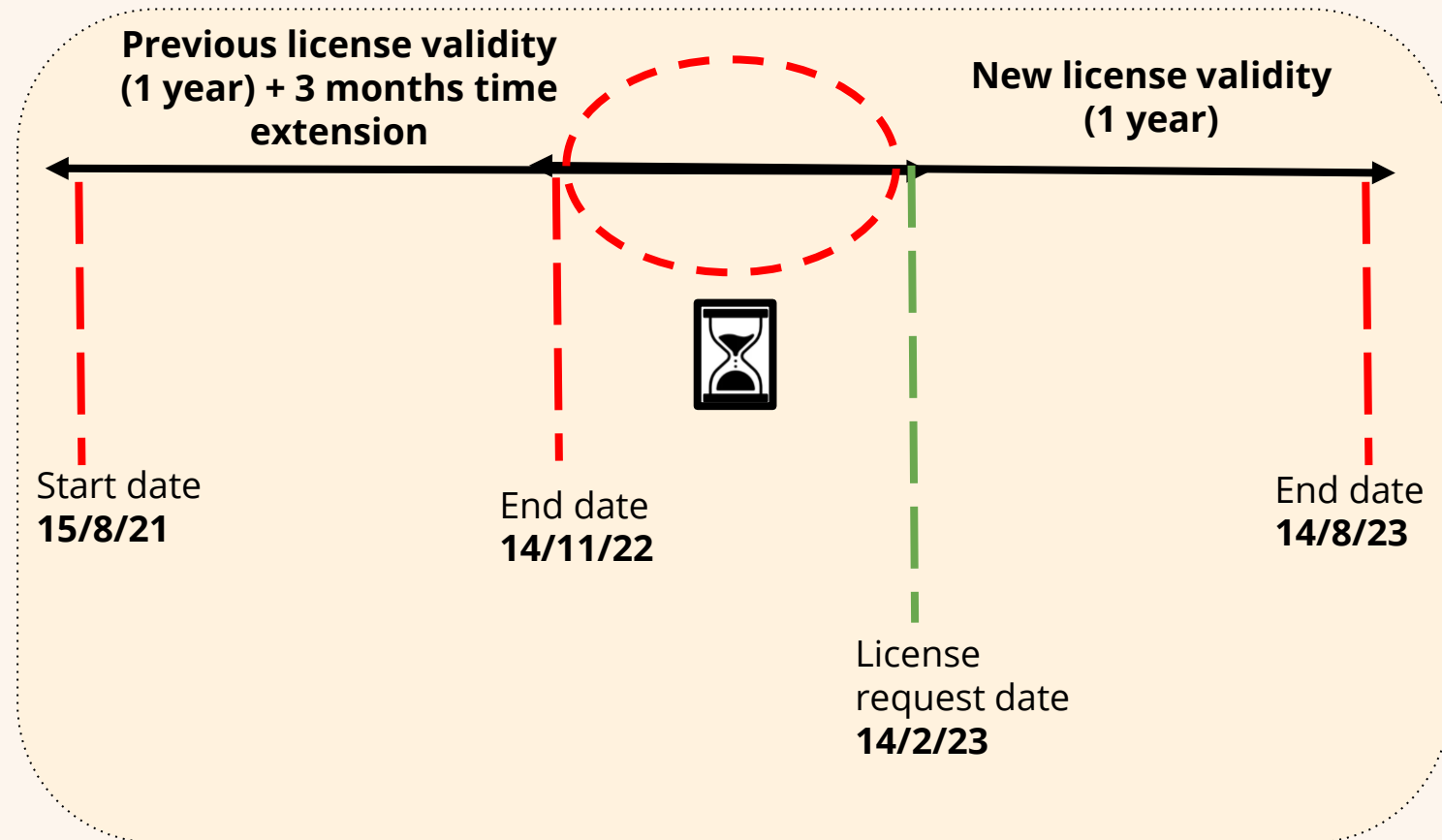
- RSPO Metrics Template is not uploaded

Supporting Information (Principles & Criteria)	
A. Upload Certificate	RSPO Certificate of Bukit Ajong POM.pdf
B. Upload Audit Report	RSPO ASA-1.4 & RC-2 Summary Report of Bukit Ajong POM PT SIA subsidiary of SDP.pdf
C. Upload Other Documents <i>(optional)</i>	
Principles & Criteria - Documents	
D. Date of first RSPO certification	18-08-2010



Section 3 - Backdating the start date of new license

- When there is a **lapses** between previous license and a new license validity
- The start date of new license shall not be backdated, it must **follow the same as license request date**



Suspend a license

- Prior to suspension of license, CB need to **remind the CH that all unconfirmed transactions will be automatically cancelled** and will only be resubmitted by the system once a new license has been approved
- Communicate with the member about this procedure - make sure all transaction (i.e. shipping announcement) has been completed prior to the suspension

Suspend License

License

Sub License ID	CB49146
Issued On	11-07-2017
Issued By	CB_1
Start Date	10-07-2017
End Date	09-07-2018
Group size	50
Total Certified Area (Ha)	200

Product Details:

Type	Mill	Mill	Mill
Product(s)	IS-CSPD	IS-CSPKO	IS-CSPKE
Certified Volume	800	87.5	82.5
Carry Over	0	0	0
Program level(s)	IP	IP	IP
Allowed to sell as	N/A	N/A	N/A
Allowed to process	N/A	N/A	N/A
Type of extension			
Additional Volume			
Remaining	590 MT	87.5 MT	82.5 MT

Yes, I want to suspend this license

Yes, I want member to be notified by email

Remark

P&C Multi-Mill and Multi Model

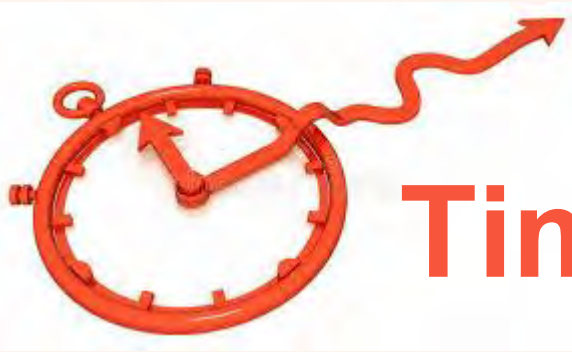


Multi-mill situation

- Each mill have its **own PalmTrace account**
- Each mill account will need to be assigned with **specific estate(s)**
- **Certified volumes, certified areas, production areas, and HCV areas** shall follow the estate assigned in section 1 of PalmTrace
- The supply chain model needs to be the same for all the mills

Multi Supply Chain Model

- IP & MB
- Assignment of certified volumes need to be provided for each supply chain model
- In section 2, the sold volumes of each SC model needs to be clearly separated
- Audit report – needs to be clear on how the handling of the process to ensure no contamination of IP product.
- This should include from FFB receiving, processing, storing and dispatch



Time Extension

5.13.2 A request for time extension of up to a maximum of three (3) months may be approved by the RSPO Secretariat.

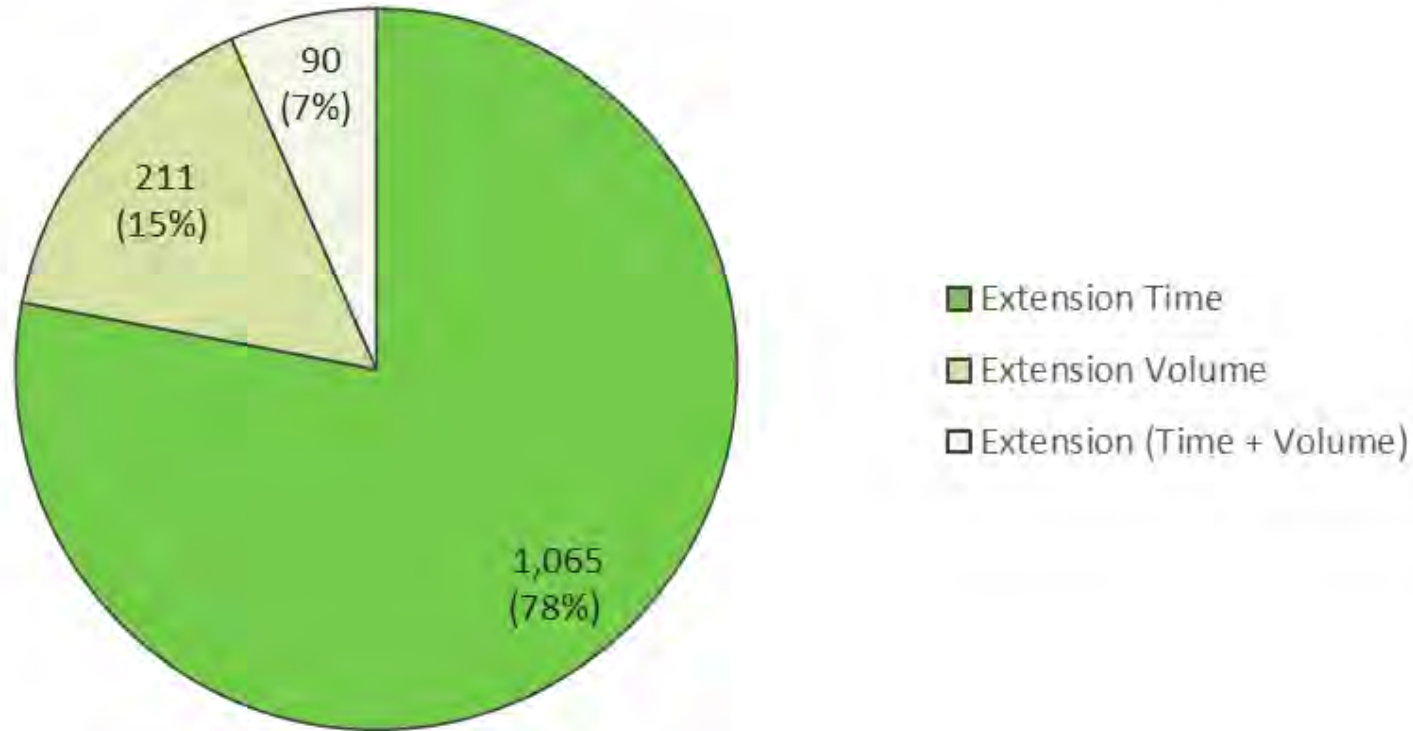


Volume Extension

3.8.7 (ii) The mill shall inform the CB immediately if there is a projected overproduction of certified volume.

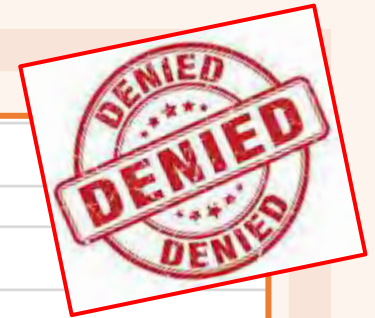
Analysis of Extension Request

Time and Volume Extension - May 2022 - Apr 2023





Time Extension: Request Denied



Type of extension	Time
New License End Date	21-01-2023
License End Date	14-01-2023
Extension Document	
Status	Denied by TP
Extension Reason	License was requested on Palm Trace on January 5 2023. License is due to expire tomorrow 14 January 2023. One week extension is requested to allow certification team to conduct the license review.



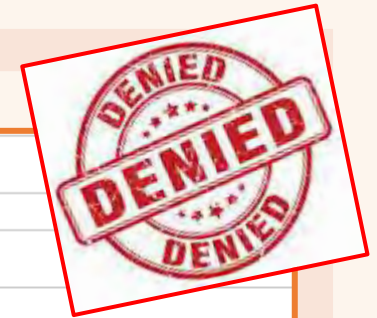
Time Extension: Request Denied



Type of extension	Time
New License End Date	09-05-2023
License End Date	09-04-2023
Extension Document	View
Status	Denied by TP
Extension Reason	<p>Dear RSPO, Recertification audit was conducted on 27 February to 2 March 2023. NC was raised during the audit and NC dateline is on June 2023. License extension was request to give time for the NC closure. Please approve license extension request. Thank you.</p>



Time Extension: Request Denied



Type of extension	Time
New License End Date	26-05-2023
License End Date	19-05-2023
Extension Document	View
Status	Denied by TP
Extension Reason	<p>We would like to request additional 1 week extension due to we have passed the internal review process, however there is any correction in volume reported.. curently we have to re-issued the certificate and requesting approval again i the system. attached is the final audit report approved and draft certificate and we expect will conduct the submission within this week. Thank you fro your support and understanding</p>



Time Extension: Request Denied



Type of extension	Time
New License End Date	26-05-2023
License End Date	19-05-2023
Extension Document	View
Status	Denied by TP
Extension Reason	<p>We would like to request additional 1 week extension due to we have passed the internal review process, however there is any correction in volume reported.. curenly we have to re-issued the certificate and requesting approval again i the system. attached is the final audit report approved and draft certificate and we expect will conduct the submission within this week. Thank you fro your support and understanding</p>



Volume Extension: Request Denied



Product Details:

Type	Oil mill	Oil mill	Oil mill
Product	CSPO	CSPK	FFB_estates
Certified Volume	128,820.05	33,026.42	314,578
Program level(s)	Identity Preserved	Identity Preserved	Identity Preserved
Allowed to sell as	N/A	N/A	N/A
Allowed to process	N/A	N/A	N/A
Type of extension	Volume	Volume	
Additional Volume	1 MT	1 MT	
Remaining	23,374.13 MT	8,327.67 MT	314,578 MT



Volume Extension: Request Denied



Type of extension	Volume
Product	CSPK
Supply Chain Model	Identity Preserved
Additional Volume	4,291.92 MT
Product	CSPO
Supply Chain Model	Identity Preserved
Additional Volume	5.58 MT
Extension Document	
Status	Denied by TP
Extension Reason	Volume increase



Volume Extension: Request Denied



Type of extension	Volume + Time
New License End Date	11-04-2023
License End Date	11-03-2023
Product	FFB_estates
Supply Chain Model	Mass Balance
Additional Volume	0.01 MT
Extension Document	View
Status	Denied by TP
Extension Reason	The volume of FFB has been left as 0,01 because proportionally the volume of CSPO and CSPK would be 0. Following what we've mentioned previously.



Volume Extension: Justification from CB



Extensions

Type of extension	Volume
Product	FFB
Supply Chain Model	Identity Preserved
Additional Volume	2,500 MT
Extension Document	
Status	Approved by TP
Extension Date	23-05-2023
Extension Reason	The client requested an extension volume. The FFB produced increased from all smallholders by good practice and due to sufficient rainfall.



Volume Extension: Justification from CB



Extensions	
Type of extension	Volume
Product	FFB_estates
Supply Chain Model	Mass Balance
Additional Volume	7,228.89 MT
Product	CSPK
Supply Chain Model	Mass Balance
Additional Volume	341.61 MT
Product	CSPO
Supply Chain Model	Mass Balance
Additional Volume	1,373.22 MT
Extension Document	
Status	Approved by TP
Extension Date	26-05-2023
Extension Reason	<p>This additional quota request is due to the new license announcement in July 2022 which should have used the previous license quota, but because the announcement was created after the license change, it used (cut) the current license quota. Current License Period: 24-08-2022 to 23-08-2022, announcement date: 24-08-2022. Please approve our request.</p> <p>Thanks</p>



Volume Extension: Justification from CB



Type of extension	Volume
Product	FFB_estates
Supply Chain Model	Identity Preserved
Additional Volume	26,294.34 MT
Product	CSPK
Supply Chain Model	Identity Preserved
Additional Volume	1,200 MT
Product	CSPO
Supply Chain Model	Identity Preserved
Additional Volume	4,100 MT
Extension Document	
Status	Approved by TP
Extension Date	10-01-2023
Extension Reason	Due to optimum weather, the production was larger than estimated.



Volume Extension: Justification from CB



Type of extension	Volume
Product	FFB_estates
Supply Chain Model	Mass Balance
Additional Volume	1 MT
Product	CSPK
Supply Chain Model	Mass Balance
Additional Volume	2,908.99 MT
Product	CSPO
Supply Chain Model	Mass Balance
Additional Volume	1 MT
Extension Document	
Status	Approved by TP
Extension Date	06-09-2022
Extension Reason	The client requests a Volume extension due to an error in declaring their initial volumes at the time of their initial audit. Requesting a volume of PK much less than what they actually estimated to process, for that reason it is only necessary to increase the volume of PK and not of fruit or CPO.



Question & Answer

www.rspo.org



Find out more at
www.rspo.org

RSPO CB INTERPRETATION FORUM

QUESTION & ANSWER



www.rspo.org

RSPO CB INTERPRETATION FORUM

THANK YOU!



www.rspo.org